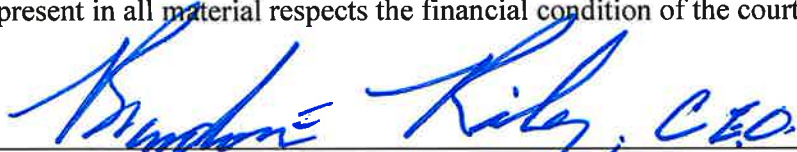


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

08/23/2021

Date

San Joaquin

Court

2020-2021 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

2020-2021 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

San Joaquin

 Court
 2020-2021 Q4

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	347.25	317.77	313.61	316.11	311.45

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2020/21									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (3,754,650)	\$ 1,484,731	\$ (58,362)	\$ 2,477,936			\$ 97,838	\$ 247,491	\$ 96,797
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Jury									
Revolving	\$ 19,719							\$ 19,719	\$ 25,000
Other	\$ 20,264							\$ 20,264	\$ 14,870
Distribution							\$ (564,912)	\$ (564,912)	\$ 223,460
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (62,512)	\$ (62,512)	\$ (5,085)
Credit Card									
Cash on Hand	\$ 10,250						\$ 400	\$ 10,650	\$ 10,250
Cash with County	\$ 3,487	\$ 250,037	\$ 68,428					\$ 321,952	\$ 300,349
Cash Outside of the JCC									\$ 0
Cash Equivalents	\$ 6,562,418						\$ 4,051,381	\$ 10,613,799	\$ 11,149,400
Total Cash and Cash Equivalents	\$ 2,861,487	\$ 1,734,767	\$ 10,066	\$ 2,477,936			\$ 3,522,194	\$ 10,606,450	\$ 11,815,041
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 5,564	\$ 1,743		\$ 1,870				\$ 9,178	\$ 40,033
Accounts Receivable - General	\$ 8,068							\$ 8,068	\$ 795
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 682
Civil Jury Fees	\$ 9,236							\$ 9,236	\$ 0
Trust									
Due From Other Funds	\$ 597,283							\$ 597,283	\$ 191,395
Due From Other Governments	\$ 2,499	\$ 27,929	\$ 340,757					\$ 371,186	\$ 146,653
Due From Other Courts									\$ 0
Due From State	\$ 464,070	\$ 51,973	\$ 511,312					\$ 1,027,356	\$ 1,219,214
Trust Due To/From							\$ 62,037	\$ 62,037	\$ 25,472
Distribution Due To/From							\$ 0	\$ 0	\$ 6
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 1,132
General Due To/From	\$ 4,476	\$ 46,344						\$ 50,820	\$ 119,005
Total Receivables	\$ 1,091,196	\$ 127,989	\$ 852,069	\$ 1,870			\$ 62,037	\$ 2,135,183	\$ 1,744,387
Prepaid Expenses - General	\$ 220,296	\$ 0	\$ 0					\$ 220,296	\$ 152,861
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 220,296	\$ 0	\$ 0					\$ 220,296	\$ 152,861
Other Assets									
Total Other Assets									
Total Assets	\$ 4,172,979	\$ 1,862,757	\$ 862,135	\$ 2,479,806			\$ 3,584,232	\$ 12,961,909	\$ 13,712,288
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 222,156	\$ 14,540	\$ 56,828					\$ 293,524	\$ 228,065
Accounts Payable - General	\$ 36,227	\$ 222	\$ 7,065				\$ 0	\$ 43,515	\$ 22,138
Due to Other Funds	\$ 0	\$ 49,006	\$ 548,276				\$ 112,857	\$ 710,140	\$ 337,008
Due to Other Courts	\$ 0							\$ 0	\$ 4,306
Due to State	\$ 34,272			\$ 2,477,931				\$ 2,512,203	\$ 2,754
TC145 Liability							\$ 623,765	\$ 623,765	\$ 376,696
Due to Other Governments	\$ 18,190	\$ 0		\$ 1,875				\$ 20,065	\$ 2,489,056
AB145 Due to Other Government Agency							\$ 664,437	\$ 664,437	\$ 784,598
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 3,779
Interest							\$ 1	\$ 1	\$ 17
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 310,845	\$ 63,768	\$ 612,170	\$ 2,479,806			\$ 1,401,060	\$ 4,867,650	\$ 4,248,413
Total Accounts Payable and Accrued Liab.	\$ 310,845	\$ 63,768	\$ 612,170	\$ 2,479,806			\$ 1,401,060	\$ 4,867,650	\$ 4,248,413
Civil							\$ 1,466,663	\$ 1,466,663	\$ 2,254,385
Criminal							\$ 615,568	\$ 615,568	\$ 429,928
Unreconciled - Civil and Criminal									\$ 0
Trust Held Outside of the JCC									\$ 0
Trust Interest Payable							\$ 69,065	\$ 69,065	\$ 82,984
Miscellaneous Trust									
Total Trust Deposits							\$ 2,151,296	\$ 2,151,296	\$ 2,777,298
Accrued Payroll	\$ 933,655	\$ 23,448	\$ 36,003					\$ 993,106	\$ 1,001,201
Benefits Payable	\$ 1,126,551							\$ 1,126,551	\$ 1,016,410
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ (2,300)
Total Payroll Liabilities	\$ 2,060,206	\$ 23,448	\$ 36,003					\$ 2,119,657	\$ 2,015,311
Revenue Collected in Advance	\$ 0	\$ 281	\$ 213,925					\$ 214,207	\$ 580,879
Liabilities For Deposits	\$ 46,702	\$ 1,176	\$ 37				\$ 10,765	\$ 58,680	\$ 38,025
Jury Fees - Non-Interest							\$ 9,333	\$ 9,333	\$ 0
Fees - Partial Payment & Overpayment							\$ 11,777	\$ 11,777	\$ 14,542
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	
Total Other Liabilities	\$ 46,702	\$ 1,457	\$ 213,962				\$ 31,875	\$ 293,996	\$ 633,446
Total Liabilities	\$ 2,417,753	\$ 88,673	\$ 862,135	\$ 2,479,806			\$ 3,584,232	\$ 9,432,599	\$ 9,674,468
Total Fund Balance	\$ 1,755,226	\$ 1,774,084	\$ 0	\$ 0				\$ 3,529,310	\$ 4,037,820
Total Liabilities and Fund Balance	\$ 4,172,979	\$ 1,862,757	\$ 862,135	\$ 2,479,806			\$ 3,584,232	\$ 12,961,909	\$ 13,712,288

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended June										
	Fiscal Year 2020/21										
	Governmental Funds							Total Funds (Info Purposes Only)	Current Budget (Annual)	2019/20	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info Purposes Only)	Current Budget (Annual)
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 37,022,091	\$ 300,684					\$ 37,322,776	\$ 37,064,860	\$ 38,983,872	\$ 38,886,774	
Improvement and Modernization Fund	\$ 93,738						\$ 93,738	\$ 98,778	\$ 94,413	\$ 137,118	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 1,859,775						\$ 1,859,775	\$ 1,859,775	\$ 1,689,177	\$ 1,879,164	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 1,194,978	\$ 0					\$ 1,194,978	\$ 865,123	\$ 873,231	\$ 889,424	
Other Miscellaneous	\$ 1,225,356						\$ 1,225,356	\$ 1,245,356	\$ 1,245,356	\$ 1,245,356	
	\$ 41,415,938	\$ 300,684					\$ 41,716,622	\$ 41,133,892	\$ 42,886,049	\$ 43,047,836	
Grants											
AB 1058 Commissioner/Facilitator			\$ 860,194				\$ 860,194	\$ 868,137	\$ 883,763	\$ 1,018,047	
Other Judicial Council Grants			\$ 747,277				\$ 747,277	\$ 1,006,019	\$ 928,073	\$ 1,528,363	
Non-Judicial Council Grants			\$ 1,271,225				\$ 1,271,225	\$ 1,601,432	\$ 907,024	\$ 1,238,950	
			\$ 2,878,696				\$ 2,878,696	\$ 3,475,588	\$ 2,718,859	\$ 3,785,360	
Other Financing Sources											
Interest Income	\$ 45,461	\$ 11,868					\$ 57,327	\$ 140,485	\$ 229,959	\$ 239,400	
Investment Income											
Donations											
Local Fees	\$ 176,540	\$ 68,634					\$ 245,173	\$ 268,933	\$ 310,234	\$ 312,025	
Non-Fee Revenues											
Enhanced Collections		\$ 397,063					\$ 397,063	\$ 588,626	\$ 498,924	\$ 676,769	
Escheatment	\$ 114,807						\$ 114,807		\$ 38,386		
Prior Year Revenue	\$ 3,161	\$ 0					\$ 3,161		\$ (6,519)		
County Program - Restricted		\$ 1,222,098					\$ 1,222,098	\$ 1,349,249	\$ 1,186,219	\$ 1,279,396	
Reimbursement Other	\$ 95,786						\$ 95,786	\$ 120,777	\$ 1,231,938	\$ 72,388	
Sale of Fixed Assets											
Other Miscellaneous	\$ 3,063						\$ 3,063	\$ 2,545	\$ 2,553	\$ 5,775	
	\$ 438,838	\$ 1,699,680					\$ 2,138,518	\$ 2,490,615	\$ 3,491,897	\$ 2,585,753	
Total Revenues	\$ 41,854,775	\$ 2,000,365	\$ 2,878,696				\$ 46,733,836	\$ 47,100,095	\$ 49,096,606	\$ 49,418,949	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 19,948,868	\$ 936,893	\$ 1,267,418				\$ 22,153,179	\$ 22,434,298	\$ 22,640,232	\$ 22,521,465	
Temp Help								\$ 187,429	\$ 124,995	\$ 174,492	
Overtime	\$ 96,798	\$ 3,758					\$ 100,556	\$ 12,000	\$ 409,472	\$ 30,000	
Staff Benefits	\$ 15,848,782	\$ 501,830	\$ 664,521				\$ 17,015,133	\$ 17,430,613	\$ 16,471,099	\$ 17,935,362	
	\$ 35,694,448	\$ 1,442,481	\$ 1,931,939				\$ 39,268,668	\$ 40,064,340	\$ 39,645,797	\$ 40,661,339	
Operating Expenses and Equipment											
General Expense	\$ 1,209,645	\$ 27,347	\$ 152,483				\$ 1,389,485	\$ 1,282,346	\$ 1,189,572	\$ 1,351,114	
Printing	\$ 28,855	\$ 151	\$ 1,826				\$ 30,831	\$ 39,459	\$ 41,548	\$ 47,756	
Telecommunications	\$ 148,557	\$ 431	\$ 14,351				\$ 163,339	\$ 143,627	\$ 140,068	\$ 131,308	
Postage	\$ 189,318		\$ 58				\$ 189,376	\$ 202,615	\$ 197,776	\$ 202,023	
Insurance	\$ 33,114						\$ 33,114	\$ 30,000	\$ 28,577	\$ 27,273	
In-State Travel	\$ 7,142		\$ 8,000				\$ 15,142	\$ 66,137	\$ 83,953	\$ 103,688	
Out-of-State Travel		\$ 4,048	\$ 5,151				\$ 9,197		\$ 10,301	\$ 24,709	
Training	\$ 12,927	\$ 199	\$ 1,647				\$ 14,773	\$ 9,998	\$ 5,293	\$ 12,733	
Security Services	\$ 985,441		\$ 65,847				\$ 1,051,288	\$ 1,215,210	\$ 1,034,892	\$ 1,044,298	
Facility Operations	\$ 1,103,724		\$ 17,078				\$ 1,120,802	\$ 1,013,432	\$ 926,174	\$ 1,042,662	
Utilities	\$ 60,025						\$ 60,025	\$ 68,000	\$ 65,659	\$ 70,000	
Contracted Services	\$ 2,008,369	\$ 387,044	\$ 481,812				\$ 2,857,225	\$ 3,250,943	\$ 2,933,481	\$ 3,898,468	
Consulting and Professional Services	\$ 36,613		\$ 102,317				\$ 138,930	\$ 141,361	\$ 80,060	\$ 111,846	
Information Technology	\$ 184,140	\$ 347,766	\$ 48,742				\$ 580,648	\$ 870,309	\$ 699,799	\$ 755,413	
Major Equipment	\$ 26,950						\$ 26,950	\$ 16,286	\$ 152,829	\$ 10,000	
Other Items of Expense	\$ 26,035						\$ 26,035	\$ 29,975	\$ 30,007	\$ 30,725	
	\$ 6,060,657	\$ 766,965	\$ 879,320				\$ 7,707,162	\$ 8,379,610	\$ 7,619,963	\$ 8,664,016	
Special Items of Expense											
Grand Jury		\$ 67,573					\$ 67,573	\$ 70,000	\$ 69,913	\$ 94,250	
Jury Costs	\$ 195,655						\$ 195,655	\$ 224,800	\$ 228,867	\$ 252,575	
Judgements, Settlements and Claims									\$ 25,733		
Debt Service									\$ 0		
Other											
Capital Costs											
Internal Cost Recovery	\$ (118,033)	\$ 11,087	\$ 106,947				\$ 0	\$ 1	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 4,050	\$ (961)					\$ 3,089		\$ (6,278)		
	\$ 81,672	\$ 77,696	\$ 106,947				\$ 286,317	\$ 294,801	\$ 318,234	\$ 346,825	
Total Expenditures	\$ 42,038,977	\$ 2,287,164	\$ 2,918,206				\$ 47,242,346	\$ 48,738,751	\$ 47,583,994	\$ 49,672,160	
Excess (Deficit) of Revenues Over Expenditures	\$ (182,201)	\$ (286,799)	\$ (39,510)				\$ (508,511)	\$ (1,638,656)	\$ 1,512,612	\$ (253,231)	
Operating Transfers In (Out)	\$ (39,450)	\$ (60)	\$ 39,510				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,976,878	\$ 2,060,943	\$ 0	\$ 0			\$ 4,037,820	\$ 4,037,820	\$ 2,525,209	\$ 2,525,209	
Ending Balance (Deficit)	\$ 1,755,228	\$ 1,774,084	\$ 0	\$ 0			\$ 3,529,310	\$ 2,399,164	\$ 4,037,820	\$ 2,271,978	

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended June										
	Fiscal Year 2020/21							2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 13,606,832	\$ 743,603				\$ (878)	\$ 14,349,557	\$ 15,618,028	\$ 14,845,672	\$ 15,410,499
Traffic & Other Infractions	\$ 2,433,326	\$ 177,448			\$ 124		\$ 2,610,897	\$ 2,639,784	\$ 2,374,988	\$ 2,556,814
Other Criminal Cases	\$ 5,643,959	\$ 1,020,859			\$ 21,275	\$ 8,132	\$ 6,694,224	\$ 7,925,038	\$ 7,033,674	\$ 7,994,607
Civil	\$ 2,612,682	\$ 17,017			\$ 2	\$ 0	\$ 2,629,701	\$ 2,869,876	\$ 2,767,524	\$ 2,766,816
Family & Children Services	\$ 3,590,776	\$ 89,549			\$ 106,947	\$ (1,401)	\$ 3,785,871	\$ 3,950,167	\$ 3,790,940	\$ 3,809,229
Probate, Guardianship & Mental Health Services	\$ 1,698,234	\$ 9,080				\$ (1,863)	\$ 1,705,452	\$ 1,808,733	\$ 1,617,293	\$ 1,622,772
Juvenile Dependency Services	\$ 335,624	\$ 912					\$ 336,535	\$ 339,524	\$ 328,037	\$ 323,300
Juvenile Delinquency Services	\$ 461,747	\$ 51,920					\$ 513,668	\$ 524,484	\$ 491,764	\$ 549,880
Other Court Operations	\$ 1,268,891	\$ 1,179,870			\$ 1,088	\$ 268	\$ 2,450,118	\$ 967,793	\$ 2,228,533	\$ 1,209,780
Court Interpreters	\$ 1,031,080	\$ 940,058				\$ 0	\$ 1,971,137	\$ 1,903,593	\$ 1,757,253	\$ 2,076,653
Jury Services	\$ 362,378	\$ 170,537	\$ 195,655				\$ 728,570	\$ 757,940	\$ 684,792	\$ 794,044
Security		\$ 1,087,908				\$ 467	\$ 1,088,375	\$ 1,151,424	\$ 1,068,871	\$ 923,181
Trial Court Operations Program	\$ 33,046,529	\$ 5,488,760	\$ 195,655		\$ 129,435	\$ 4,726	\$ 38,864,105	\$ 40,456,382	\$ 38,989,341	\$ 40,037,575
Enhanced Collections	\$ 71,435	\$ 314,562			\$ 11,087		\$ 397,083	\$ 588,626	\$ 499,924	\$ 676,899
Other Non-Court Operations	\$ 68,050	\$ 51,697	\$ 67,573			\$ (1,304)	\$ 186,016	\$ 263,406	\$ 250,387	\$ 334,285
Non-Court Operations Program	\$ 139,485	\$ 366,259	\$ 67,573		\$ 11,087	\$ (1,304)	\$ 583,099	\$ 852,032	\$ 749,311	\$ 1,011,184
Executive Office	\$ 629,201	\$ 2,855			\$ (18,901)	\$ (150)	\$ 613,005	\$ 603,908	\$ 880,913	\$ 980,448
Fiscal Services	\$ 2,555,863	\$ 110,208			\$ (41,783)	\$ 208	\$ 2,624,496	\$ 1,648,861	\$ 2,164,224	\$ 2,538,607
Human Resources	\$ 453,204	\$ 48,163			\$ (14,530)	\$ 16	\$ 486,852	\$ 592,726	\$ 588,780	\$ 679,512
Business & Facilities Services	\$ 764,927	\$ 902,650			\$ (23,305)	\$ 218	\$ 1,644,490	\$ 1,908,250	\$ 1,847,859	\$ 1,959,445
Information Technology	\$ 1,680,660	\$ 788,267			\$ (42,002)	\$ (626)	\$ 2,426,299	\$ 2,676,592	\$ 2,363,566	\$ 2,465,409
Court Administration Program	\$ 6,083,854	\$ 1,852,143			\$ (140,522)	\$ (334)	\$ 7,795,142	\$ 7,430,337	\$ 7,845,342	\$ 8,623,421
Expenditures Not Distributed or Posted to a Program										\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 39,288,888	\$ 7,707,162	\$ 263,228		\$ 0	\$ 3,089	\$ 47,242,346	\$ 48,739,754	\$ 47,583,964	\$ 49,672,180

Constraints on Fiscal Year-End Fund Balance - Summary

San Joaquin

Classification	Info Only	Info Only	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project	Debt Service			
				Non-Grant	Grant					
Nonspendable	220,296	-	220,296	-	-	-	-	-	-	220,296
Restricted	39,273	-	39,273	1,774,084	-	-	-	-	-	1,813,357
Committed	221,353	-	221,353	-	-	-	-	-	-	221,353
Assigned	1,274,305	-	1,274,305	-	-	-	-	-	-	1,274,305
Unassigned	-	-	-	-	-	-	-	-	-	-
Total	\$ 1,755,226	\$ -	\$ 1,755,226	\$ 1,774,084	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,529,310