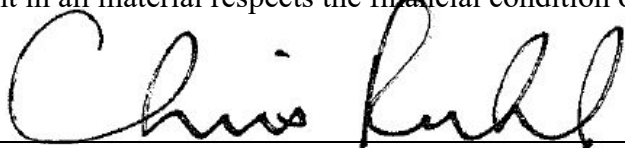


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

\_\_\_\_\_  
Date

\_\_\_\_\_  
Court

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

---

Court

---

Fiscal Year and Ending Quarter

**FOOTNOTES**

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

---

Court

---

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended June									
Fiscal Year 2020/21									2019/20
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Special Revenue							
		General	Non-Grant						
<b>ASSETS</b>									
Operations	\$ (1,070,601)	\$ 1,035,885	\$ 0				\$ 65,550	\$ 30,834	\$ 31,688
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 25,495							\$ 25,495	\$ 25,000
Other									
Distribution							\$ 111,234	\$ 111,234	\$ 264,819
Civil Filing Fees							\$ 180,294	\$ 180,294	\$ 0
Trust							\$ (123,916)	\$ (123,916)	\$ (92,936)
Credit Card									
Cash on Hand	\$ 5,100							\$ 5,100	\$ 5,100
Cash with County									
Cash Outside of the JCC									\$ 0
Cash Equivalents	\$ 3,206,405						\$ 3,842,335	\$ 7,048,740	\$ 7,047,042
Total Cash and Cash Equivalents	\$ 2,166,400	\$ 1,035,885	\$ 0				\$ 4,075,497	\$ 7,277,781	\$ 7,280,713
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 2,929	\$ 741						\$ 3,670	\$ 10,459
Accounts Receivable - General							\$ 0	\$ 0	\$ 73
Dishonored Checks									
Due From Employee	\$ 1,167							\$ 1,167	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 199,902							\$ 199,902	\$ 200,630
Due From Other Governments	\$ 0	\$ 35,960						\$ 35,960	\$ 28,971
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 1,015,905	\$ 40,260	\$ 181,599					\$ 1,237,765	\$ 1,071,044
Trust Due To/From							\$ 137,622	\$ 137,622	\$ 776,672
Distribution Due To/From							\$ 67,706	\$ 67,706	\$ 27,678
Civil Filing Fee Due To/From									
General Due To/From	\$ 8,638	\$ 15,573						\$ 24,211	\$ 133
Total Receivables	\$ 1,228,541	\$ 92,534	\$ 181,599				\$ 205,328	\$ 1,708,003	\$ 2,115,660
Prepaid Expenses - General	\$ 4,780							\$ 4,780	\$ 181,900
Salary and Travel Advances									\$ 0
Counties									
Total Prepaid Expenses	\$ 4,780							\$ 4,780	\$ 181,900
Other Assets									
Total Other Assets									
Total Assets	\$ 3,399,721	\$ 1,128,419	\$ 181,599				\$ 4,280,825	\$ 8,990,563	\$ 9,578,273
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 391,364	\$ 35,511	\$ 1,154					\$ 428,030	\$ 499,475
Accounts Payable - General	\$ 26,095	\$ 0	\$ 1,850				\$ 0	\$ 27,945	\$ 44,826
Due to Other Funds	\$ 10	\$ 40,967	\$ 158,936				\$ 229,529	\$ 429,441	\$ 1,005,114
Due to Other Courts									
Due to State							\$ 0	\$ 0	\$ 0
TC145 Liability							\$ 587,988	\$ 587,988	\$ 264,840
Due to Other Governments	\$ 30,712		\$ 8,349					\$ 39,060	\$ 1,482
AB145 Due to Other Government Agency							\$ 414,353	\$ 414,353	\$ 332,746
Due to Other Public Agencies									
Sales and Use Tax	\$ 710							\$ 710	\$ 2,774
Interest							\$ 2	\$ 2	\$ 23
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 448,891	\$ 76,478	\$ 170,288				\$ 1,231,872	\$ 1,927,529	\$ 2,151,280
Civil							\$ 767,463	\$ 767,463	\$ 2,429,809
Criminal	\$ 0						\$ 1,851,374	\$ 1,851,374	\$ 1,530,298
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									\$ 0
Trust Interest Payable							\$ 21,625	\$ 21,625	\$ 39,915
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 2,640,461	\$ 2,640,461	\$ 4,000,022
Accrued Payroll	\$ 567,671							\$ 567,671	\$ 566,197
Benefits Payable	\$ 157,540							\$ 157,540	\$ 152,257
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 83,253
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ 725,210							\$ 725,210	\$ 801,706
Revenue Collected in Advance	\$ 368,947		\$ 11,068					\$ 380,015	\$ 130,578
Liabilities For Deposits	\$ 49,650		\$ 243				\$ 408,466	\$ 458,359	\$ 389,771
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment	\$ 0						\$ 26	\$ 26	
Uncleared Collections							\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 0
Total Other Liabilities	\$ 418,597		\$ 11,311				\$ 408,492	\$ 838,399	\$ 520,348
Total Liabilities	\$ 1,592,698	\$ 76,478	\$ 181,599				\$ 4,280,825	\$ 6,131,599	\$ 7,473,356
Total Fund Balance	\$ 1,807,023	\$ 1,051,941	\$ 0					\$ 2,858,964	\$ 2,104,917
Total Liabilities and Fund Balance	\$ 3,399,721	\$ 1,128,419	\$ 181,599				\$ 4,280,825	\$ 8,990,563	\$ 9,578,273

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended June											
Fiscal Year 2020/21										2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 21,061,488	\$ 187,403					\$ 21,248,891	\$ 21,117,975	\$ 22,007,967	\$ 22,224,597	
Improvement and Modernization Fund	\$ 105,962						\$ 105,962	\$ 105,962	\$ 55,962	\$ 55,962	
Judges' Compensation (0150019)	\$ 164,025						\$ 164,025	\$ 180,501	\$ 180,266	\$ 180,501	
Court Interpreter (0150037)	\$ 1,548,575						\$ 1,548,575	\$ 1,482,843	\$ 1,408,690	\$ 1,528,203	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 1,096,362						\$ 1,096,362	\$ 1,694,655	\$ 810,605	\$ 883,462	
Other Miscellaneous	\$ 277,496						\$ 277,496	\$ 277,496	\$ 277,496	\$ 277,496	
	<b>\$ 24,253,908</b>	<b>\$ 187,403</b>					<b>\$ 24,441,311</b>	<b>\$ 24,859,432</b>	<b>\$ 24,740,986</b>	<b>\$ 25,150,221</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 526,999				\$ 526,999	\$ 517,000	\$ 564,985	\$ 557,629	
Other Judicial Council Grants			\$ 147,882				\$ 147,882	\$ 208,019	\$ 594,463	\$ 569,356	
Non-Judicial Council Grants									\$ 125,061	\$ 133,329	
			<b>\$ 674,882</b>				<b>\$ 674,882</b>	<b>\$ 725,019</b>	<b>\$ 1,284,509</b>	<b>\$ 1,260,314</b>	
Other Financing Sources											
Interest Income	\$ 21,693	\$ 4,881					\$ 26,574	\$ 135,350	\$ 118,223	\$ 138,685	
Investment Income											
Donations											
Local Fees	\$ 116,288	\$ 171,044					\$ 287,332	\$ 235,287	\$ 247,023	\$ 247,117	
Non-Fee Revenues		\$ 3,216					\$ 3,216	\$ 4,700	\$ 7,669	\$ 3,484	
Enhanced Collections											
Escheatment								\$ 150,000		\$ 100,000	
Prior Year Revenue	\$ 19,760	\$ (4)					\$ 19,756		\$ (175)		
County Program - Restricted		\$ 57,200					\$ 57,200	\$ 64,860	\$ 68,768	\$ 74,436	
Reimbursement Other	\$ 58,495	\$ 126,517					\$ 185,013	\$ 278,539	\$ 117,745	\$ 129,450	
Sale of Fixed Assets											
Other Miscellaneous	\$ 95,137						\$ 95,137	\$ 69,584	\$ 119,780	\$ 126,528	
	<b>\$ 311,374</b>	<b>\$ 362,854</b>					<b>\$ 674,228</b>	<b>\$ 938,320</b>	<b>\$ 679,032</b>	<b>\$ 819,700</b>	
<b>Total Revenues</b>	<b>\$ 24,565,282</b>	<b>\$ 550,258</b>	<b>\$ 674,882</b>				<b>\$ 25,790,421</b>	<b>\$ 26,522,771</b>	<b>\$ 26,704,527</b>	<b>\$ 27,230,235</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 12,682,710	\$ 103,071	\$ 514,520				\$ 13,300,301	\$ 13,316,997	\$ 14,337,723	\$ 14,533,435	
Temp Help									\$ 52,067	\$ 67,954	
Overtime	\$ 22,231		\$ 4,244				\$ 26,475	\$ 20,000	\$ 176,571	\$ 75,000	
Staff Benefits	\$ 6,486,579	\$ 49,985	\$ 260,436				\$ 6,797,001	\$ 6,909,292	\$ 6,483,140	\$ 6,547,187	
	<b>\$ 19,191,520</b>	<b>\$ 153,056</b>	<b>\$ 779,200</b>				<b>\$ 20,123,777</b>	<b>\$ 20,246,289</b>	<b>\$ 21,049,500</b>	<b>\$ 21,223,576</b>	
Operating Expenses and Equipment											
General Expense	\$ 584,555		\$ 6,979				\$ 591,534	\$ 1,014,348	\$ 857,888	\$ 640,207	
Printing	\$ 20,847						\$ 20,847	\$ 26,535	\$ 30,364	\$ 26,508	
Telecommunications	\$ 163,324		\$ 31,148				\$ 194,472	\$ 174,362	\$ 177,564	\$ 148,055	
Postage	\$ 92,302						\$ 92,302	\$ 119,285	\$ 93,986	\$ 118,585	
Insurance	\$ 17,754						\$ 17,754	\$ 18,592	\$ 15,384	\$ 9,006	
In-State Travel	\$ 1,808						\$ 1,808	\$ 18,361	\$ 45,074	\$ 41,104	
Out-of-State Travel			\$ 1,250				\$ 1,250		\$ 6,962	\$ 6,270	
Training	\$ 7,289		\$ 17,759				\$ 25,048	\$ 36,150	\$ 63,763	\$ 61,469	
Security Services	\$ 620,209						\$ 620,209	\$ 684,752	\$ 528,639	\$ 631,225	
Facility Operations	\$ 489,607		\$ 149				\$ 489,757	\$ 585,726	\$ 343,238	\$ 312,206	
Utilities											
Contracted Services	\$ 1,656,677	\$ 195,183	\$ 3,867				\$ 1,855,728	\$ 2,295,246	\$ 1,990,723	\$ 2,311,713	
Consulting and Professional Services	\$ 23,764						\$ 23,764	\$ 12,040	\$ 13,841	\$ 12,566	
Information Technology	\$ 569,491		\$ 17,096				\$ 586,587	\$ 688,227	\$ 452,988	\$ 457,470	
Major Equipment	\$ 352,391		\$ (21,174)				\$ 331,217	\$ 549,796	\$ 190,918	\$ 892,007	
Other Items of Expense	\$ 2,387						\$ 2,387	\$ 9,293	\$ 3,887	\$ 10,268	
	<b>\$ 4,602,405</b>	<b>\$ 195,183</b>	<b>\$ 57,074</b>				<b>\$ 4,854,662</b>	<b>\$ 6,232,714</b>	<b>\$ 4,815,218</b>	<b>\$ 5,678,659</b>	
Special Items of Expense											
Grand Jury	\$ 11,250						\$ 11,250	\$ 16,286	\$ 11,514	\$ 16,286	
Jury Costs	\$ 71,449	\$ 1,844					\$ 73,293	\$ 75,755	\$ 84,209	\$ 160,981	
Judgements, Settlements and Claims									\$ 21,188		
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (177,964)	\$ 23,077	\$ 154,886				\$ 0	\$ 1,003	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (26,608)						\$ (26,608)		\$ (923)		
	<b>\$ (121,873)</b>	<b>\$ 24,921</b>	<b>\$ 154,886</b>				<b>\$ 57,935</b>	<b>\$ 93,044</b>	<b>\$ 115,988</b>	<b>\$ 177,267</b>	
<b>Total Expenditures</b>	<b>\$ 23,672,052</b>	<b>\$ 373,161</b>	<b>\$ 991,161</b>				<b>\$ 25,036,374</b>	<b>\$ 26,572,048</b>	<b>\$ 25,980,705</b>	<b>\$ 27,079,502</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 893,229	\$ 177,097	\$ (316,279)				\$ 754,047	\$ (49,277)	\$ 723,822	\$ 150,733	
Operating Transfers In (Out)	\$ (318,123)	\$ 1,844	\$ 316,279				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,231,917	\$ 873,000	\$ 0				\$ 2,104,917	\$ 2,104,917	\$ 1,381,095	\$ 1,381,095	
Ending Balance (Deficit)	<b>\$ 1,807,023</b>	<b>\$ 1,051,941</b>	<b>\$ 0</b>				<b>\$ 2,858,964</b>	<b>\$ 2,055,640</b>	<b>\$ 2,104,917</b>	<b>\$ 1,531,828</b>	

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended June										
Fiscal Year 2020/21									2019/20	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 5,601,538	\$ 278,807			\$ 0	\$ (3,892)	\$ 5,876,453	\$ 6,067,652	\$ 6,492,942	\$ 6,290,405
Traffic & Other Infractions	\$ 878,238	\$ 138,668					\$ 1,016,907	\$ 797,631	\$ 1,184,835	\$ 882,020
Other Criminal Cases	\$ 2,844,666	\$ 112,636			\$ (23,077)		\$ 2,934,225	\$ 2,319,809	\$ 2,856,155	\$ 2,487,703
Civil	\$ 379,142	\$ 69,435					\$ 448,577	\$ 937,803	\$ 475,899	\$ 1,034,138
Family & Children Services	\$ 1,877,197	\$ 84,704			\$ 0		\$ 1,961,900	\$ 1,925,046	\$ 1,846,137	\$ 1,738,751
Probate, Guardianship & Mental Health Services	\$ 388,284	\$ 339,427					\$ 727,710	\$ 734,301	\$ 696,562	\$ 740,597
Juvenile Dependency Services	\$ 88,276	\$ 347,960					\$ 436,236	\$ 934,872	\$ 413,138	\$ 622,722
Juvenile Delinquency Services	\$ 170,120	\$ 0					\$ 170,120	\$ 232,527	\$ 181,836	\$ 237,489
Other Court Operations	\$ 1,443,882	\$ 109,678					\$ 1,553,560	\$ 1,705,068	\$ 1,482,041	\$ 1,809,680
Court Interpreters	\$ 1,126,292	\$ 425,599					\$ 1,551,891	\$ 1,481,642	\$ 1,417,137	\$ 1,544,072
Jury Services	\$ 187,358	\$ 51,684	\$ 73,293			\$ (3,414)	\$ 308,921	\$ 392,508	\$ 320,901	\$ 534,462
Security		\$ 651,465					\$ 651,465	\$ 674,816	\$ 525,863	\$ 622,155
Trial Court Operations Program	\$ 14,984,993	\$ 2,610,062	\$ 73,293		\$ (23,077)	\$ (7,305)	\$ 17,637,966	\$ 18,203,675	\$ 17,893,446	\$ 18,544,195
Enhanced Collections	\$ 94,627	\$ 0			\$ 23,077		\$ 117,705	\$ 88,161	\$ 106,907	\$ 87,910
Other Non-Court Operations			\$ 11,250				\$ 11,250	\$ 16,286	\$ 11,153	\$ 16,286
Non-Court Operations Program	\$ 94,627	\$ 0	\$ 11,250		\$ 23,077		\$ 128,955	\$ 104,447	\$ 118,060	\$ 104,196
Executive Office	\$ 1,393,229	\$ 1,168					\$ 1,394,397	\$ 1,471,512	\$ 1,782,698	\$ 1,691,320
Fiscal Services	\$ 934,281	\$ 4,623					\$ 938,904	\$ 917,272	\$ 1,049,007	\$ 942,303
Human Resources	\$ 452,840	\$ 61,612					\$ 514,452	\$ 648,642	\$ 574,144	\$ 805,196
Business & Facilities Services	\$ 444,108	\$ 812,381			\$ 0	\$ (15,098)	\$ 1,241,390	\$ 1,320,367	\$ 1,455,969	\$ 1,884,919
Information Technology	\$ 1,819,699	\$ 1,364,817			\$ 0	\$ (4,205)	\$ 3,180,311	\$ 3,906,133	\$ 3,107,382	\$ 3,107,374
Court Administration Program	\$ 5,044,157	\$ 2,244,600			\$ 0	\$ (19,303)	\$ 7,269,453	\$ 8,263,926	\$ 7,969,199	\$ 8,431,111
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 20,123,777	\$ 4,854,662	\$ 84,543		\$ 0	\$ (26,608)	\$ 25,036,374	\$ 26,572,048	\$ 25,980,705	\$ 27,079,502

### Constraints on Fiscal Year-End Fund Balance - Summary

Monterey

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	4,780	-	4,780	-	-	-	-	-	4,780	
Restricted	816,128	-	816,128	1,051,941	-	-	-	-	1,868,069	
Committed	552,712	-	552,712	-	-	-	-	-	552,712	
Assigned	433,404	-	433,404	-	-	-	-	-	433,404	
Unassigned	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>\$ 1,807,023</b>	<b>\$ -</b>	<b>\$ 1,807,023</b>	<b>\$ 1,051,941</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,858,964</b>	