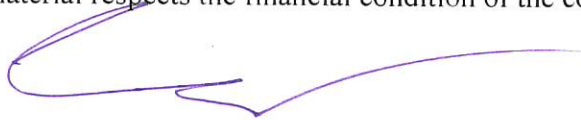


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

8/25/2021

Date

ALAMEDA

Court

FY 2020-2021 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY 2020-2021 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

FY 2020-2021 Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	777.85	677.6	664.4	655.4	603.35

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended June								2019/20
	Fiscal Year 2020/21								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (6,208,796)	\$ 6,261,723	\$ 0	\$ 0			\$ 400,519	\$ 453,447	\$ 958,046
Payroll	\$ 306,294	\$ 0						\$ 306,294	\$ 0
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 123,444	\$ 123,444	\$ (2,731,662)
Civil Filing Fees							\$ 0	\$ 0	\$ 2,373,973
Trust							\$ (3,375,693)	\$ (3,375,693)	\$ (2,802,252)
Credit Card									
Cash on Hand	\$ 20,090							\$ 20,090	\$ 20,090
Cash with County	\$ 3,326			\$ 0				\$ 3,326	\$ 48,125
Cash Outside of the JCC									\$ 0
Cash Equivalents	\$ 12,822,238						\$ 25,227,542	\$ 38,049,780	\$ 37,969,945
Total Cash and Cash Equivalents	\$ 6,968,152	\$ 6,261,723	\$ 0	\$ 0			\$ 22,375,813	\$ 35,605,688	\$ 35,861,264
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 19,456	\$ 4,356						\$ 23,812	\$ 89,838
Accounts Receivable - General	\$ 32,054							\$ 32,054	\$ 15,434
Dishonored Checks									
Due From Employee	\$ 64,984							\$ 64,984	\$ 144,389
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 2,545,064							\$ 2,545,064	\$ 2,054,092
Due From Other Governments	\$ 163,084	\$ 154,022	\$ 506,421					\$ 823,527	\$ 907,033
Due From Other Courts									
Due From State	\$ 1,823,980	\$ 94,080	\$ 1,976,030					\$ 3,894,091	\$ 3,517,756
Trust Due To/From							\$ 994,354	\$ 994,354	\$ 1,582,954
Distribution Due To/From							\$ 159,060	\$ 159,060	\$ 118,954
Civil Filing Fee Due To/From									
General Due To/From	\$ 123,054	\$ 451,546						\$ 574,600	\$ 294,539
Total Receivables	\$ 4,771,677	\$ 704,004	\$ 2,482,452				\$ 1,205,351	\$ 9,163,483	\$ 8,776,925
Prepaid Expenses - General	\$ 125,554							\$ 125,554	\$ 0
Salary and Travel Advances									\$ 0
Counties									\$ 0
Total Prepaid Expenses	\$ 125,554							\$ 125,554	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 11,865,383	\$ 6,965,727	\$ 2,482,452	\$ 0			\$ 23,581,164	\$ 44,894,725	\$ 44,638,189
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 654,120	\$ 393,520	\$ 45,538					\$ 1,093,178	\$ 1,157,731
Accounts Payable - General	\$ 174,552	\$ 31,919	\$ 200				\$ 0	\$ 206,672	\$ 62,954
Due to Other Funds	\$ 0	\$ 212,153	\$ 2,332,911				\$ 1,728,014	\$ 4,273,078	\$ 4,050,539
Due to Other Courts									
Due to State	\$ 1,812							\$ 1,812	\$ 2,537
TC145 Liability							\$ 1,735,109	\$ 1,735,109	\$ 2,373,973
Due to Other Governments	\$ 64,591	\$ 1,649,825						\$ 1,714,416	\$ 170,535
AB145 Due to Other Government Agency							\$ 1,471,296	\$ 1,471,296	\$ 1,623,878
Due to Other Public Agencies									
Sales and Use Tax	\$ 196							\$ 196	\$ 1,339
Interest							\$ 3	\$ 3	\$ 9
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 6,332							\$ 6,332	\$ 6,332
Total Accounts Payable and Accrued Liab.	\$ 901,603	\$ 2,287,417	\$ 2,378,648				\$ 4,934,422	\$ 10,502,090	\$ 9,449,827
Civil							\$ 9,422,240	\$ 9,422,240	\$ 14,046,640
Criminal							\$ 6,895,368	\$ 6,895,368	\$ 5,394,535
Unreconciled - Civil and Criminal							\$ 374,054	\$ 374,054	\$ 373,080
Trust Held Outside of the JCC									\$ 0
Trust Interest Payable							\$ 352,185	\$ 352,185	\$ 483,851
Miscellaneous Trust									
Total Trust Deposits							\$ 17,043,846	\$ 17,043,846	\$ 20,298,106
Accrued Payroll	\$ 2,365,883	\$ 19,058	\$ 33,630					\$ 2,418,570	\$ 2,375,801
Benefits Payable	\$ 150,076	\$ 43,592						\$ 193,668	\$ (147,021)
Deferred Compensation Payable	\$ 0	\$ 0						\$ 0	\$ 0
Deductions Payable	\$ 2,264,406	\$ (2,071)						\$ 2,262,335	\$ 202,367
Payroll Clearing	\$ 0							\$ 0	\$ 2,613
Total Payroll Liabilities	\$ 4,780,365	\$ 60,579	\$ 33,630					\$ 4,874,574	\$ 2,433,759
Revenue Collected in Advance	\$ 228,176	\$ 2,876,832	\$ 51,471					\$ 3,156,480	\$ 2,551,990
Liabilities For Deposits	\$ 109,554	\$ 10,966	\$ 18,702				\$ 375,588	\$ 514,809	\$ 528,737
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 44,685	\$ 44,685	\$ 56,854
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities							\$ 1,182,624	\$ 1,182,624	\$ 1,659,218
Total Other Liabilities	\$ 337,730	\$ 2,887,798	\$ 70,173				\$ 1,602,896	\$ 4,898,598	\$ 4,796,798
Total Liabilities	\$ 6,019,698	\$ 5,235,794	\$ 2,482,452				\$ 23,581,164	\$ 37,319,108	\$ 36,978,491
Total Fund Balance	\$ 5,845,685	\$ 1,729,933	\$ 0	\$ 0				\$ 7,575,618	\$ 7,659,699
Total Liabilities and Fund Balance	\$ 11,865,383	\$ 6,965,727	\$ 2,482,452	\$ 0			\$ 23,581,164	\$ 44,894,725	\$ 44,638,189

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
Fiscal Year 2020/21										2019/20	
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 76,256,295	\$ 573,713					\$ 76,830,009	\$ 75,187,991	\$ 83,345,437	\$ 82,245,021	
Improvement and Modernization Fund	\$ 679,640						\$ 679,640	\$ 474,933	\$ 399,311	\$ 418,149	
Judges' Compensation (0150019)	\$ 674,552						\$ 674,552	\$ 712,500	\$ 675,749	\$ 712,500	
Court Interpreter (0150037)	\$ 5,024,020						\$ 5,024,020	\$ 5,347,063	\$ 4,878,420	\$ 5,000,000	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 1,487,270						\$ 1,487,270	\$ 1,968,708	\$ 1,620,924	\$ 1,379,224	
Other Miscellaneous	\$ 3,102,046						\$ 3,102,046	\$ 3,102,046	\$ 3,102,046	\$ 3,102,046	
	\$ 87,223,823	\$ 573,713					\$ 87,797,536	\$ 86,793,241	\$ 94,021,887	\$ 92,856,940	
Grants											
AB 1058 Commissioner/Facilitator			\$ 1,668,306				\$ 1,668,306	\$ 1,715,656	\$ 1,862,404	\$ 1,991,247	
Other Judicial Council Grants			\$ 578,161				\$ 578,161	\$ 667,404	\$ 493,006	\$ 497,309	
Non-Judicial Council Grants	\$ 150,598		\$ 1,730,900				\$ 1,881,497	\$ 1,941,286	\$ 1,906,734	\$ 2,083,837	
	\$ 150,598		\$ 3,977,367				\$ 4,127,965	\$ 4,324,346	\$ 4,262,144	\$ 4,572,393	
Other Financing Sources											
Interest Income	\$ 86,238	\$ 35,648					\$ 121,886	\$ 114,459	\$ 563,751	\$ 656,898	
Investment Income											
Donations											
Local Fees	\$ 3,151,429	\$ 82,370					\$ 3,233,799	\$ 2,777,499	\$ 2,231,433	\$ 2,123,861	
Non-Fee Revenues	\$ 98,825						\$ 98,825	\$ 98,825	\$ 98,825	\$ 98,825	
Enhanced Collections		\$ 2,195,350					\$ 2,195,350	\$ 1,856,677	\$ 2,809,767	\$ 2,686,324	
Escheatment	\$ 1,134,379						\$ 1,134,379	\$ 1,126,305	\$ 136,483	\$ 136,504	
Prior Year Revenue	\$ 1,053,989						\$ 1,053,989		\$ (12,601)		
County Program - Restricted		\$ 5,452,069					\$ 5,452,069	\$ 7,692,243	\$ 5,360,685	\$ 7,852,049	
Reimbursement Other	\$ 301,874						\$ 301,874	\$ 298,354	\$ 101,307	\$ 143,313	
Sale of Fixed Assets											
Other Miscellaneous	\$ 16,741						\$ 16,741	\$ 1,459	\$ 84,173	\$ 32,070	
	\$ 5,843,475	\$ 7,765,437					\$ 13,608,912	\$ 13,965,821	\$ 11,373,824	\$ 13,729,844	
Total Revenues	\$ 93,217,895	\$ 8,339,151	\$ 3,977,367				\$ 105,534,413	\$ 105,083,408	\$ 109,657,855	\$ 111,159,177	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 51,534,799	\$ 921,797	\$ 2,147,812				\$ 54,604,407	\$ 51,410,936	\$ 57,697,076	\$ 55,237,872	
Temp Help	\$ 96,018	\$ 527	\$ 6,339				\$ 102,884	\$ 265,513	\$ 444,097	\$ 190,329	
Overtime	\$ 7,353						\$ 7,353	\$ 1,249	\$ 14,032	\$ 29,790	
Staff Benefits	\$ 27,525,343	\$ 505,815	\$ 1,004,667				\$ 29,035,824	\$ 34,294,508	\$ 29,095,147	\$ 32,350,463	
	\$ 79,163,512	\$ 1,428,139	\$ 3,158,818				\$ 83,750,469	\$ 85,972,206	\$ 87,250,353	\$ 87,808,454	
Operating Expenses and Equipment											
General Expense	\$ 1,489,647	\$ 989	\$ 47,252				\$ 1,537,888	\$ 1,606,210	\$ 1,903,223	\$ 1,914,719	
Printing	\$ 196,151		\$ 219				\$ 196,370	\$ 293,146	\$ 321,020	\$ 384,190	
Telecommunications	\$ 277,261		\$ 5,624				\$ 282,884	\$ 420,362	\$ 426,647	\$ 468,342	
Postage	\$ 322,505						\$ 322,505	\$ 366,270	\$ 487,529	\$ 541,894	
Insurance	\$ 63,977						\$ 63,977	\$ 52,221	\$ 60,732	\$ 40,656	
In-State Travel	\$ 34,852		\$ 2,979				\$ 37,831	\$ 46,329	\$ 86,402	\$ 112,019	
Out-of-State Travel								\$ 5,917	\$ 4,540	\$ 4,424	
Training	\$ 76,765		\$ 16,361				\$ 93,126	\$ 105,067	\$ 99,227	\$ 158,800	
Security Services	\$ 39,584						\$ 39,584	\$ 39,471	\$ 41,749	\$ 41,820	
Facility Operations	\$ 3,314,565		\$ 83,400				\$ 3,397,965	\$ 3,256,666	\$ 3,298,236	\$ 486,525	
Utilities											
Contracted Services	\$ 2,917,733	\$ 2,038,681	\$ 570,158				\$ 5,526,572	\$ 5,382,317	\$ 8,029,280	\$ 7,723,334	
Consulting and Professional Services	\$ 563,010	\$ 6,575,078					\$ 7,138,088	\$ 6,964,828	\$ 2,712,878	\$ 4,901,150	
Information Technology	\$ 1,986,765	\$ 347,305	\$ 799				\$ 2,334,869	\$ 2,533,156	\$ 2,420,320	\$ 2,513,030	
Major Equipment	\$ 484,180	\$ 926					\$ 485,105	\$ 116,791	\$ 477,638	\$ 553,931	
Other Items of Expense	\$ 23,803		\$ 22,711				\$ 46,514	\$ 118,028	\$ 121,043	\$ 118,275	
	\$ 11,790,796	\$ 8,962,980	\$ 749,502				\$ 21,503,278	\$ 21,306,779	\$ 20,490,464	\$ 19,963,109	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 306,810						\$ 306,810	\$ 346,810	\$ 462,121	\$ 461,967	
Judgements, Settlements and Claims	\$ 23,000						\$ 23,000	\$ 23,000	\$ 5,977	\$ 5,977	
Debt Service											
Other	\$ 0		\$ 18,414				\$ 18,414	\$ 18,414	\$ 4,500	\$ 440,332	
Capital Costs									\$ 2,162,500	\$ 2,162,500	
Internal Cost Recovery	\$ (485,294)	\$ 174,997	\$ 310,297				\$ 0	\$ 25,188	\$ 0	\$ 277,222	
Prior Year Expense Adjustment	\$ 18,452		\$ (1,928)				\$ 16,524		\$ 21,885		
	\$ (137,032)	\$ 174,997	\$ 326,783				\$ 364,748	\$ 413,412	\$ 2,656,982	\$ 3,347,998	
Total Expenditures	\$ 90,817,276	\$ 10,566,115	\$ 4,235,103				\$ 105,618,494	\$ 107,692,397	\$ 110,397,799	\$ 111,119,561	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,400,619	\$ (2,226,965)	\$ (257,736)				\$ (84,081)	\$ (2,608,989)	\$ (739,944)	\$ 39,616	
Operating Transfers In (Out)	\$ (286,916)	\$ 29,180	\$ 257,736				\$ 0	\$ 0	\$ 0	\$ 43,972	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,731,981	\$ 3,927,718	\$ 0	\$ 0			\$ 7,659,699	\$ 7,659,699	\$ 8,399,643	\$ 8,399,643	
Ending Balance (Deficit)	\$ 5,845,685	\$ 1,729,933	\$ 0	\$ 0			\$ 7,575,618	\$ 5,050,710	\$ 7,659,699	\$ 8,483,231	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2020/21									2019/20	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 26,189,341	\$ 1,111,133	\$ 0				\$ 27,300,474	\$ 32,523,449	\$ 31,013,911	\$ 32,585,496
Traffic & Other Infractions	\$ 3,406,334	\$ 448,612					\$ 3,854,946	\$ 3,975,234	\$ 4,272,266	\$ 4,496,754
Other Criminal Cases	\$ 8,320,136	\$ 7,383,406	\$ 18,414			\$ (214)	\$ 15,721,742	\$ 15,760,045	\$ 11,610,214	\$ 13,898,426
Civil	\$ 6,612,942	\$ 13,283					\$ 6,626,225	\$ 4,620,759	\$ 5,422,135	\$ 4,810,551
Family & Children Services	\$ 9,951,521	\$ 553,469					\$ 10,504,990	\$ 11,961,355	\$ 11,578,338	\$ 12,046,531
Probate, Guardianship & Mental Health Services	\$ 2,345,306	\$ 12,346					\$ 2,357,652	\$ 2,469,326	\$ 2,465,858	\$ 2,575,967
Juvenile Dependency Services	\$ 522,263	\$ 217,711				\$ (1,714)	\$ 738,261	\$ 586,480	\$ 590,851	\$ 1,043,443
Juvenile Delinquency Services	\$ 1,164,553	\$ 389					\$ 1,164,941	\$ 884,355	\$ 1,176,587	\$ 620,926
Other Court Operations	\$ 1,529,591	\$ 147,779					\$ 1,677,370	\$ 1,238,918	\$ 1,615,296	\$ 1,235,223
Court Interpreters	\$ 4,251,781	\$ 920,750					\$ 5,172,531	\$ 5,180,917	\$ 5,402,166	\$ 4,716,982
Jury Services	\$ 711,884	\$ 339,278	\$ 306,810				\$ 1,357,972	\$ 1,369,542	\$ 1,679,744	\$ 1,781,564
Security	\$ 2,672,198	\$ 525,023					\$ 3,197,221	\$ 3,183,648	\$ 3,439,617	\$ 3,684,505
Trial Court Operations Program	\$ 67,677,849	\$ 11,673,178	\$ 325,224			\$ (1,928)	\$ 79,674,323	\$ 83,754,028	\$ 80,266,983	\$ 83,496,368
Enhanced Collections	\$ 414,508	\$ 1,697,090			\$ 83,752		\$ 2,195,350	\$ 1,541,827	\$ 2,805,609	\$ 2,783,887
Other Non-Court Operations	\$ 506,297						\$ 506,297	\$ 514,077	\$ 487,981	\$ 569,730
Non-Court Operations Program	\$ 920,805	\$ 1,697,090			\$ 83,752		\$ 2,701,647	\$ 2,055,904	\$ 3,293,590	\$ 3,353,617
Executive Office	\$ 1,148,184	\$ 84,402	\$ 23,000		\$ (5,863)		\$ 1,249,724	\$ 1,187,286	\$ 1,192,039	\$ 1,787,683
Fiscal Services	\$ 3,671,356	\$ 846,471			\$ (25,126)		\$ 4,492,702	\$ 4,878,032	\$ 4,698,540	\$ 4,909,114
Human Resources	\$ 2,894,892	\$ 747,151			\$ (12,563)	\$ 2,813	\$ 3,632,293	\$ 2,455,254	\$ 4,655,202	\$ 3,596,612
Business & Facilities Services	\$ 869,280	\$ 3,089,274				\$ 15,639	\$ 3,974,192	\$ 4,353,158	\$ 6,557,843	\$ 4,107,435
Information Technology	\$ 6,568,103	\$ 3,365,711			\$ (40,201)		\$ 9,893,613	\$ 9,008,735	\$ 9,733,602	\$ 9,868,732
Court Administration Program	\$ 15,151,815	\$ 8,133,009	\$ 23,000		\$ (83,752)	\$ 18,452	\$ 23,242,524	\$ 21,882,465	\$ 26,837,226	\$ 24,269,576
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 83,750,469	\$ 21,503,278	\$ 348,224		\$ 0	\$ 16,524	\$ 105,618,494	\$ 107,692,397	\$ 110,397,799	\$ 111,119,561

Constraints on Fiscal Year-End Fund Balance - Summary

Alameda

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	125,554	-	125,554	-	-	-	-	-	125,554	
Restricted	379,358	-	379,358	1,558,388	-	-	-	-	1,937,746	
Committed	3,311,175	-	3,311,175	171,545	-	-	-	-	3,482,719	
Assigned	2,029,598	-	2,029,598	-	-	-	-	-	2,029,598	
Unassigned	-	-	-	-	-	-	-	-	-	
Total	\$ 5,845,685	\$ -	\$ 5,845,685	\$ 1,729,933	\$ -	\$ -	\$ -	\$ -	\$ 7,575,618	