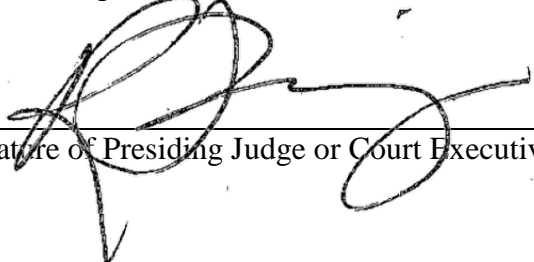


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2020/21									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	2019/20 Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 1,819,252	\$ (416,422)	\$ (334,898)	\$ (1,515,500)			\$ 241,025	\$ (206,543)	\$ 66,262
Payroll	\$ (4,954)						\$ 0	\$ (4,954)	\$ (3,868)
Jury									
Revolving	\$ 10,000							\$ 10,000	\$ 13,868
Other									\$ 0
Distribution							\$ (122,313)	\$ (122,313)	\$ 268,514
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (67,468)	\$ (67,468)	\$ (2,130,701)
Credit Card									
Cash on Hand	\$ 14,865	\$ 150						\$ 15,015	\$ 15,015
Cash with County	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 14,423,691						\$ 31,082,502	\$ 45,506,193	\$ 49,476,714
Total Cash and Cash Equivalents	\$ 16,262,853	\$ (416,272)	\$ (334,898)	\$ (1,515,500)			\$ 31,133,747	\$ 45,129,930	\$ 47,705,804
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 2,783		\$ 0				\$ 0	\$ 2,783	\$ 6,111
Dishonored Checks									
Due From Employee	\$ 41,501							\$ 41,501	\$ 26,517
Civil Jury Fees									
Trust							\$ 9,492	\$ 9,492	\$ 9,492
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 4	\$ 0	\$ 148,298				\$ 148,303	\$ 148,303	\$ 654,258
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 109,120	\$ 0	\$ 21,013				\$ 0	\$ 130,133	\$ 1,064,202
Trust Due To/From							\$ 140,415	\$ 140,415	\$ 231,786
Distribution Due To/From							\$ 18,695	\$ 18,695	\$ 63,411
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 46
Total Receivables	\$ 153,409	\$ 0	\$ 169,312				\$ 168,602	\$ 491,322	\$ 2,075,823
Prepaid Expenses - General	\$ 0							\$ 0	\$ 18,828
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 18,828
Other Assets									
Total Other Assets									
Total Assets	\$ 16,416,262	\$ (416,272)	\$ (165,587)	\$ (1,515,500)			\$ 31,302,348	\$ 45,621,252	\$ 49,800,456
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 804,182	\$ 0	\$ 43	\$ 0			\$ 0	\$ 804,225	\$ 780,142
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 159,110	\$ 159,110	\$ 315,243
Due to Other Courts	\$ 0	\$ 0						\$ 0	\$ 0
Due to State	\$ 6,696	\$ 1,944					\$ 180,000	\$ 188,640	\$ 21,504
TC145 Liability							\$ 1,114,882	\$ 1,114,882	\$ 1,652,317
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,437,881	\$ 1,437,881	\$ 1,578,745
Due to Other Public Agencies									
Sales and Use Tax	\$ 120							\$ 120	\$ 339
Interest							\$ 705	\$ 705	\$ 46,399
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 810,998	\$ 1,944	\$ 43	\$ 0			\$ 2,892,577	\$ 3,705,562	\$ 4,394,689
Civil									
Criminal									
Unreconciled - Civil and Criminal							\$ 22,676,083	\$ 22,676,083	\$ 24,372,506
Trust Held Outside of the JCC							\$ 4,928,103	\$ 4,928,103	\$ 6,352,863
Trust Interest Payable									
Miscellaneous Trust							\$ 974,829	\$ 974,829	\$ 951,972
Total Trust Deposits							\$ 28,579,015	\$ 28,579,015	\$ 31,677,341
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,414,925							\$ 1,414,925	\$ 1,565,212
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ (14)
Payroll Clearing	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,414,925	\$ 0	\$ 0					\$ 1,414,925	\$ 1,565,198

Revenue Collected in Advance	\$ 0		\$ 188,433				\$ 188,433	\$ 202,576
Liabilities For Deposits	\$ 122,055	\$ 6,104	\$ 1,162			\$ 50,618	\$ 179,939	\$ 226,837
Jury Fees - Non-Interest						\$ 0	\$ 0	\$ 8,696
Fees - Partial Payment & Overpayment						\$ 0	\$ 0	\$ 0
Uncleared Collections						\$ (219,861)	\$ (219,861)	\$ (277,139)
Other Miscellaneous Liabilities						\$ (169,244)	\$ 146,510	\$ 160,969
Total Other Liabilities	\$ 122,055	\$ 6,104	\$ 189,594					
Total Liabilities	\$ 2,347,978	\$ 8,047	\$ 189,638	\$ 0		\$ 31,302,348	\$ 33,848,011	\$ 37,798,198
Total Fund Balance	\$ 14,068,284	\$ (424,319)	\$ (355,224)	\$ (1,515,500)			\$ 11,773,240	\$ 12,002,257
Total Liabilities and Fund Balance	\$ 16,416,262	\$ (416,272)	\$ (165,587)	\$ (1,515,500)		\$ 31,302,348	\$ 45,621,252	\$ 49,800,456

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Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended December											
Fiscal Year 2020/21											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	2019/20	
	General	Special Revenue Non-Grant	Grant	Capital Projects	Debt Service					Total Funds (Info. Purposes Only)	Final Budget (Annual)
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 52,168,676	\$ 202,683					\$ 52,371,359	\$ 76,015,483	\$ 54,785,193	\$ 82,068,881	
Improvement and Modernization Fund								\$ 316,924		\$ 245,193	
Judges' Compensation (0150019)	\$ 365,748						\$ 365,748	\$ 715,000	\$ 365,748	\$ 715,000	
Court Interpreter (0150037)	\$ 2,697,576						\$ 2,697,576	\$ 5,997,690	\$ 1,982,389	\$ 7,215,522	
Civil Coordination Reimbursement (0150091)							\$ 521,515	\$ 1,565,691	\$ 918,241	\$ 1,943,671	
NCJ Reimbursements (0150010 and General)	\$ 521,515						\$ 521,515	\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	
Other Miscellaneous	\$ 2,309,466						\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	
	\$ 58,062,980	\$ 202,683					\$ 58,265,663	\$ 86,920,254	\$ 60,361,036	\$ 94,497,733	
Grants											
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 2,249,839	\$ 949,382	\$ 2,926,643	
Other Judicial Council Grants			\$ 42,415				\$ 42,415	\$ 869,803	\$ 64,429	\$ 565,494	
Non-Judicial Council Grants			\$ 392,343				\$ 392,343	\$ 1,648,211	\$ 342,882	\$ 1,288,149	
			\$ 434,757				\$ 434,757	\$ 4,767,853	\$ 1,356,493	\$ 4,780,276	
Other Financing Sources											
Interest Income	\$ 22,400	\$ 431					\$ 22,831	\$ 425,000	\$ 154,413	\$ 425,000	
Investment Income								\$ 3,000	\$ 3,494	\$ 12,280	
Donations		\$ 3,004					\$ 3,004	\$ 809,425	\$ 430,261	\$ 1,044,912	
Local Fees	\$ 81,961	\$ 81,199					\$ 163,159	\$ 2,000,000	\$ 450,019	\$ 2,300,000	
Non-Fee Revenues		\$ 962,683					\$ 962,683	\$ 25,000	\$ (136,800)	\$ 25,000	
Enhanced Collections								\$ 1,744		\$ 113,028	
Escheatment	\$ 1,744						\$ 1,744	\$ 126,983	\$ 35,019	\$ 113,028	
Prior Year Revenue		\$ 27,034					\$ 27,034	\$ 5,373,954	\$ 1,569,893	\$ 5,372,613	
County Program - Restricted	\$ 1,648,530	\$ 735,601					\$ 2,384,131	\$ 26,265	\$ 11,460	\$ 15,000	
Reimbursement Other								\$ 3,590,851	\$ 8,774,822	\$ 2,520,054	
Sale of Fixed Assets								\$ 8,774,822	\$ 2,520,054	\$ 9,307,833	
Other Miscellaneous	\$ 26,265						\$ 26,265	\$ 11,460	\$ 13,754	\$ 15,000	
	\$ 1,780,899	\$ 1,809,952					\$ 3,590,851	\$ 8,774,822	\$ 2,520,054	\$ 9,307,833	
Total Revenues	\$ 59,843,879	\$ 2,012,635	\$ 434,757				\$ 62,291,271	\$ 100,462,929	\$ 64,237,583	\$ 108,585,842	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 25,147,386	\$ 740,330	\$ 300,454				\$ 26,188,170	\$ 44,827,858	\$ 25,254,201	\$ 45,018,053	
Temp Help	\$ 49,918						\$ 49,918	\$ 236,360	\$ 129,260	\$ 250,000	
Overtime	\$ 73,179	\$ (155)					\$ 73,024	\$ 100,000	\$ 187,982	\$ 100,000	
Staff Benefits	\$ 15,895,953	\$ 1,346,640	\$ 189,260				\$ 17,431,854	\$ 32,792,993	\$ 17,092,431	\$ 38,434,959	
	\$ 41,166,437	\$ 2,086,815	\$ 489,714				\$ 43,742,967	\$ 77,957,211	\$ 42,663,874	\$ 83,803,012	
Operating Expenses and Equipment											
General Expense	\$ 592,885	\$ 6,410	\$ 14,388				\$ 613,684	\$ 1,581,534	\$ 806,674	\$ 1,901,850	
Printing	\$ 35,902		\$ 43				\$ 35,945	\$ 75,000	\$ 57,943	\$ 95,000	
Telecommunications	\$ 373,306						\$ 373,306	\$ 1,110,005	\$ 319,953	\$ 981,806	
Postage	\$ 178,502						\$ 178,502	\$ 288,700	\$ 192,223	\$ 339,500	
Insurance	\$ 51,151						\$ 51,151	\$ 42,000	\$ 43,985	\$ 42,000	
In-State Travel	\$ 7,585	\$ 25	\$ (732)				\$ 6,879	\$ 62,501	\$ 92,286	\$ 128,499	
Out-of-State Travel	\$ (2,107)						\$ (2,107)	\$ 8,000	\$ 9,482	\$ 29,999	
Training	\$ 13,355		\$ (5,885)				\$ 7,470	\$ 5,000	\$ 67,827	\$ 130,000	
Security Services	\$ 52,094						\$ 52,094	\$ 504,000	\$ 83,741	\$ 563,565	
Facility Operations	\$ 1,143,010	\$ 13,992					\$ 1,157,002	\$ 2,900,300	\$ 812,599	\$ 1,879,915	
Utilities	\$ 88,630						\$ 88,630	\$ 189,000	\$ 102,416	\$ 160,000	
Contracted Services	\$ 2,296,103	\$ 180,476	\$ 257,415				\$ 2,733,994	\$ 8,925,134	\$ 4,055,490	\$ 11,771,798	
Consulting and Professional Services	\$ 16,380						\$ 16,380	\$ 57,200	\$ 28,704	\$ 192,200	
Information Technology	\$ 314,931		\$ 21,001				\$ 335,931	\$ 3,518,745	\$ 557,614	\$ 2,480,474	
Major Equipment	\$ 14,915						\$ 14,915	\$ 5,500	\$ 173,053	\$ 35,500	

Other Items of Expense	\$ 20,297							\$ 20,297	\$ 60,000	\$ 31,885	\$ 63,825
	\$ 5,196,939	\$ 200,904	\$ 286,230					\$ 5,684,073	\$ 19,332,619	\$ 7,435,875	\$ 20,795,931
Special Items of Expense											
Grand Jury									\$ 3,412	\$ 1,794	\$ 5,500
Jury Costs	\$ 67,008	\$ 41,367						\$ 108,375	\$ 421,640	\$ 379,846	\$ 826,000
Judgements, Settlements and Claims										\$ 114,038	\$ 250,000
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (388,688)	\$ 374,650	\$ 14,037	\$ 1,515,500				\$ 1,515,500	\$ 3,031,000	\$ 1,765,500	\$ 3,031,000
Prior Year Expense Adjustment	\$ 565							\$ 0	\$ 0	\$ 0	\$ 0
	\$ (321,114)	\$ 416,017	\$ 14,037	\$ 1,515,500				\$ 1,624,440	\$ 3,456,052	\$ 2,261,178	\$ 4,112,500
Total Expenditures	\$ 46,042,262	\$ 2,703,736	\$ 789,982	\$ 1,515,500				\$ 51,051,480	\$ 100,745,882	\$ 52,360,927	\$ 108,711,443
Excess (Deficit) of Revenues Over	\$ 13,801,617	\$ (691,101)	\$ (355,224)	\$ (1,515,500)				\$ 11,239,792	\$ (282,953)	\$ 11,876,656	\$ (125,601)
Operating Transfers In (Out)	\$ (31,667)	\$ 31,667						\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 298,333	\$ 235,115	\$ 0	\$ 0				\$ 533,449	\$ 533,449	\$ 125,602	\$ 125,602
Ending Balance (Deficit)	\$ 14,068,284	\$ (424,319)	\$ (355,224)	\$ (1,515,500)				\$ 11,773,240	\$ 250,496	\$ 12,002,257	\$ 1

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Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
	Fiscal Year 2020/21						2019/20			
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 11,941,239	\$ 366,524					\$ 12,307,763	\$ 24,464,391	\$ 11,640,019	\$ 23,123,677
Traffic & Other Infractions	\$ 856,424	\$ 4,229					\$ 860,653	\$ 1,420,474	\$ 1,615,040	\$ 2,318,835
Other Criminal Cases	\$ 7,449,569	\$ 881,266			\$ 3,724		\$ 8,334,559	\$ 13,645,296	\$ 7,430,964	\$ 13,527,533
Civil	\$ 3,552,800	\$ 36,115			\$ (1,273)		\$ 3,588,207	\$ 6,994,198	\$ 3,443,188	\$ 6,859,273
Family & Children Services	\$ 4,895,253	\$ 259,965			\$ 1,803	\$ 565	\$ 5,157,022	\$ 12,034,264	\$ 6,308,828	\$ 14,001,486
Probation, Community Corrections and Restorative Justice	\$ 1,381,347	\$ 1,024					\$ 1,382,372	\$ 2,619,994	\$ 1,544,101	\$ 3,067,815
Juvenile Dependency Services	\$ 243,153	\$ 180,159			\$ 1,545		\$ 424,856	\$ 787,314	\$ 344,772	\$ 878,900
Juvenile Delinquency Services	\$ 451,392	\$ 85,450					\$ 536,843	\$ 988,827	\$ 449,857	\$ 831,030
Other Court Operations	\$ 190,453	\$ 952					\$ 191,405	\$ 346,376	\$ 267,669	\$ 906,808
Court Interpreters	\$ 1,875,057	\$ 679,141					\$ 2,554,197	\$ 6,297,040	\$ 3,268,973	\$ 7,420,378
Jury Services	\$ 348,191	\$ 71,061	\$ 67,008				\$ 486,260	\$ 1,099,480	\$ 633,121	\$ 1,234,893
Security		\$ 77,399					\$ 77,399	\$ 204,775	\$ 174,200	\$ 330,800
Trial Court Operations Program	\$ 33,184,878	\$ 2,643,285	\$ 67,008		\$ 5,799	\$ 565	\$ 35,901,536	\$ 70,902,429	\$ 37,120,732	\$ 74,501,428
Enhanced Collections	\$ 808,240	\$ 133,754			\$ 0		\$ 941,995	\$ 2,825,508	\$ 395,313	\$ 2,300,000
Other Non-Court Operations	\$ 1,023,568	\$ 482	\$ 41,367				\$ 1,065,416	\$ 252,054	\$ 983,967	\$ 2,088,724
Non-Court Operations Program	\$ 1,831,808	\$ 134,236	\$ 41,367		\$ 0		\$ 2,007,410	\$ 3,077,562	\$ 1,359,280	\$ 4,388,724
Executive Office	\$ 1,721,510	\$ 336,590			\$ (5,799)		\$ 2,052,301	\$ 3,890,851	\$ 1,785,950	\$ 2,668,206
Fiscal Services	\$ 1,299,499	\$ 413,735					\$ 1,713,234	\$ 3,459,876	\$ 2,719,326	\$ 4,697,309
Human Resources	\$ 2,536,504	\$ 16,400					\$ 2,552,905	\$ 1,299,622	\$ 2,605,930	\$ 4,989,211
Business & Facilities Services	\$ 1,102,469	\$ 1,276,512		\$ 1,515,500			\$ 3,894,482	\$ 8,454,865	\$ 3,786,712	\$ 7,427,860
Information Technology	\$ 2,066,299	\$ 863,314					\$ 2,929,613	\$ 9,670,677	\$ 3,083,997	\$ 10,048,705
Court Administration Program	\$ 8,726,281	\$ 2,906,552		\$ 1,515,500	\$ (5,799)		\$ 13,142,534	\$ 26,765,891	\$ 13,880,915	\$ 29,821,291
EXPENDITURES FOR LEGISLATION OR COURT RULES <small>These expenditures are funded by a separate account.</small>										
Total	\$ 43,742,967	\$ 5,684,073	\$ 108,375	\$ 1,515,500	\$ 0	\$ 565	\$ 51,051,480	\$ 100,745,882	\$ 52,360,927	\$ 108,711,443

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