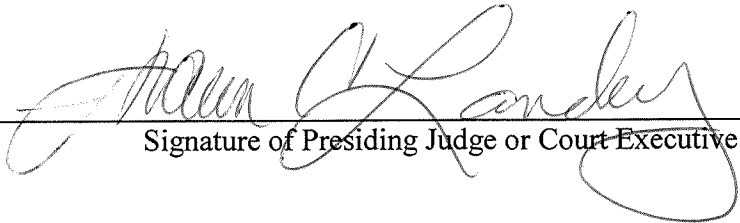


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

1-3-2021  
\_\_\_\_\_  
Date

Yolo  
\_\_\_\_\_  
Court  
2020/Q1  
\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Yolo

Court

2020/Q1

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Yolo  


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 Court  
 2020/Q1  


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 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	114	104			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Yolo  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2020/21								2019/20	
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (256,346)	\$ 288,877	\$ (52,708)	\$ 272			\$ 0	\$ (19,904)	\$ (44,622)
Payroll	\$ 0	\$ 0						\$ 0	\$ (1,003)
Jury	\$ 14,142							\$ 14,142	\$ 14,142
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 1,535							\$ 1,535	\$ 1,535
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC							\$ 2,252,750	\$ 2,252,750	\$ 2,252,750
Cash Equivalents	\$ 4,691,117						\$ 2	\$ 4,691,119	\$ 5,117,997
<b>Total Cash and Cash Equivalents</b>	<b>\$ 4,450,449</b>	<b>\$ 288,877</b>	<b>\$ (52,708)</b>	<b>\$ 272</b>			<b>\$ 2,252,752</b>	<b>\$ 6,939,642</b>	<b>\$ 7,340,800</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 0							\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0							\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
<b>Total Receivables</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>
Prepaid Expenses - General	\$ 94,900							\$ 94,900	
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 94,900</b>							<b>\$ 94,900</b>	
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 4,545,349</b>	<b>\$ 288,877</b>	<b>\$ (52,708)</b>	<b>\$ 272</b>			<b>\$ 2,252,752</b>	<b>\$ 7,034,542</b>	<b>\$ 7,340,800</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 8,008	\$ 13	\$ 0				\$ 0	\$ 8,020	\$ 10,236
Due to Other Funds	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due to Other Courts									
Due to State									\$ (9,600)
TC145 Liability							\$ 0	\$ 0	\$ 180,986
Due to Other Governments	\$ 0		\$ 501					\$ 501	\$ 501
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 189							\$ 189	\$ 186
Interest							\$ 2	\$ 2	\$ 219
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 8,197</b>	<b>\$ 13</b>	<b>\$ 501</b>				<b>\$ 2</b>	<b>\$ 8,712</b>	<b>\$ 182,528</b>
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 2,252,750	\$ 2,252,750	\$ 2,252,750
Trust Interest Payable									
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 2,252,750</b>	<b>\$ 2,252,750</b>	<b>\$ 2,252,750</b>
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 59,442	\$ 0						\$ 59,442	\$ 57,786
Deferred Compensation Payable	\$ 0	\$ 0						\$ 0	\$ 7,048
Deductions Payable	\$ 41,654	\$ 0						\$ 41,654	\$ 38,477
Payroll Clearing									\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 101,096</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ 101,096</b>	<b>\$ 103,311</b>
Revenue Collected in Advance	\$ 438,438		\$ 102,027					\$ 540,465	\$ 557,210
Liabilities For Deposits	\$ 40,841							\$ 40,841	\$ 40,841
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 479,279</b>		<b>\$ 102,027</b>					<b>\$ 581,305</b>	<b>\$ 598,050</b>
<b>Total Liabilities</b>	<b>\$ 588,572</b>	<b>\$ 13</b>	<b>\$ 102,528</b>				<b>\$ 2,252,752</b>	<b>\$ 2,943,864</b>	<b>\$ 3,136,639</b>
<b>Total Fund Balance</b>	<b>\$ 3,956,777</b>	<b>\$ 288,864</b>	<b>\$ (155,235)</b>	<b>\$ 272</b>				<b>\$ 4,090,678</b>	<b>\$ 4,204,161</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 4,545,349</b>	<b>\$ 288,877</b>	<b>\$ (52,708)</b>	<b>\$ 272</b>			<b>\$ 2,252,752</b>	<b>\$ 7,034,542</b>	<b>\$ 7,340,800</b>

Superior Court of California, County of Yolo  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September										
	Fiscal Year 2020/21							2019/20		
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
<b>REVENUES</b>										
State Financing Sources										
Trial Court Trust Fund	\$ 5,353,904	\$ 4,388					\$ 5,358,290	\$ 11,894,527	\$ 5,422,217	\$ 12,407,588
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 27,692	\$ 20,825	\$ 27,692
Judges' Compensation (0150019)	\$ 20,625						\$ 20,625	\$ 80,000	\$ 20,825	\$ 80,000
Court Interpreter (0150037)	\$ 75,535						\$ 75,535	\$ 968,499	\$ 176,864	\$ 809,700
Civil Coordination Reimbursement (0150091)										
MOU Reimbursements (0150010 and General)	\$ 125,238						\$ 125,238	\$ 1,410,028	\$ 184,883	\$ 1,277,390
Other Miscellaneous							\$ 210,076	\$ 210,076		\$ 210,076
	\$ 5,575,301	\$ 4,388					\$ 5,579,687	\$ 14,590,822	\$ 5,804,589	\$ 14,812,444
Grants										
AB 1058 Commissioner/Facilitator			\$ (61,242)				\$ (61,242)	\$ 276,937		\$ 321,435
Other Judicial Council Grants								\$ 88,323	\$ (8,000)	\$ 18,500
Non-Judicial Council Grants										
			\$ (61,242)				\$ (61,242)	\$ 365,260	\$ (6,000)	\$ 339,935
Other Financing Sources										
Interest Income	\$ 20	\$ 19					\$ 39	\$ 85,000	\$ 1,543	\$ 85,400
Investment Income										
Donations										
Local Fees	\$ 0	\$ 0					\$ 0	\$ 126,100	\$ 0	\$ 124,825
Non-Fee Revenues	\$ 137						\$ 137	\$ 78,798	\$ 5,612	\$ 191,250
Enhanced Collections		\$ (5,008)					\$ (5,008)	\$ 925,945	\$ 8,269	\$ 888,052
Escheatment										
Prior Year Revenue	\$ 173,986						\$ 173,986			
County Program - Restricted										
Reimbursement Other	\$ 0						\$ 0	\$ 9,000		\$ 13,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 2,500						\$ 2,500	\$ 4,500	\$ 0	\$ 2,500
	\$ 176,643	\$ (4,988)					\$ 171,655	\$ 1,229,343	\$ 15,424	\$ 1,305,027
<b>Total Revenues</b>	<b>\$ 5,751,945</b>	<b>\$ (602)</b>	<b>\$ (61,242)</b>				<b>\$ 5,690,100</b>	<b>\$ 16,185,425</b>	<b>\$ 5,814,013</b>	<b>\$ 16,457,406</b>
<b>EXPENDITURES</b>										
Personal Services										
Salaries - Permanent	\$ 1,337,030	\$ 73,078	\$ 48,027				\$ 1,458,135	\$ 7,254,311	\$ 1,543,684	\$ 7,487,171
Temp Help										
Overtime	\$ 1,376						\$ 1,376			
Staff Benefits	\$ 703,205	\$ 47,604	\$ 40,831				\$ 791,640	\$ 4,990,134	\$ 971,714	\$ 4,778,071
	\$ 2,041,611	\$ 120,682	\$ 88,858				\$ 2,251,151	\$ 12,244,445	\$ 2,515,398	\$ 12,245,242
Operating Expenses and Equipment										
General Expense	\$ 21,146	\$ 85	\$ 2,793				\$ 24,024	\$ 147,823	\$ 32,274	\$ 213,010
Printing								\$ 4,100	\$ 3,955	\$ 12,735
Telecommunications	\$ 14,781						\$ 14,781	\$ 75,613	\$ 4,988	\$ 50,215
Postage	\$ 10,630	\$ 3,463					\$ 14,093	\$ 96,200	\$ 19,559	\$ 107,032
Insurance	\$ 5,329						\$ 5,329	\$ 5,670	\$ 4,310	\$ 5,200
In-State Travel									\$ 2,094	\$ 5,650
Out-of-State Travel										
Training	\$ 95						\$ 95	\$ 1,000	\$ 2,326	\$ 2,050
Security Services	\$ 51,461						\$ 51,461	\$ 303,100	\$ 69,766	\$ 305,000
Facility Operations	\$ 29,342						\$ 29,342	\$ 185,596	\$ 13,155	\$ 169,770
Utilities										
Contracted Services	\$ 357,410	\$ 26,184	\$ 2,342				\$ 385,936	\$ 2,694,530	\$ 484,907	\$ 2,702,526
Consulting and Professional Services	\$ 175						\$ 175	\$ 13,000	\$ (6,670)	\$ 16,000
Information Technology	\$ 379,725	\$ 168,127					\$ 547,852	\$ 614,120	\$ 93,016	\$ 172,827
Major Equipment										
Other Items of Expense	\$ 30						\$ 30	\$ 350	\$ 0	\$ 500
	\$ 870,125	\$ 197,859	\$ 5,135				\$ 1,073,119	\$ 4,140,902	\$ 703,661	\$ 3,762,515
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 16,479						\$ 16,479	\$ 75,100	\$ 17,102	\$ 89,200
Judgements, Settlements and Claims										
Debt Service										
Other										\$ 235,000
Capital Costs										
Internal Cost Recovery								\$ 0		\$ 0
Prior Year Expense Adjustment										
	\$ 16,479						\$ 16,479	\$ 75,100	\$ 17,102	\$ 324,200
<b>Total Expenditures</b>	<b>\$ 2,928,214</b>	<b>\$ 318,541</b>	<b>\$ 93,994</b>				<b>\$ 3,340,749</b>	<b>\$ 16,480,447</b>	<b>\$ 3,236,181</b>	<b>\$ 16,331,957</b>
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ 2,823,730</b>	<b>\$ (319,144)</b>	<b>\$ (155,235)</b>				<b>\$ 2,349,352</b>	<b>\$ (275,022)</b>	<b>\$ 2,577,852</b>	<b>\$ 125,449</b>
Operating Transfers In (Out)								\$ 1,289		\$ 0
<b>Fund Balance (Deficit)</b>										
Beginning Balance (Deficit)	\$ 1,133,047	\$ 608,008	\$ 0	\$ 272			\$ 1,741,326	\$ 1,741,326	\$ 1,626,309	\$ 1,626,309
Ending Balance (Deficit)	\$ 3,956,777	\$ 288,864	\$ (155,235)	\$ 272			\$ 4,090,678	\$ 1,467,593	\$ 4,204,161	\$ 1,751,758

Superior Court of California, County of Yolo  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended September									
	Fiscal Year 2020/21							2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 925,486	\$ 40,992					\$ 966,478	\$ 4,977,812	\$ 970,808	\$ 5,021,121
Traffic & Other Infractions	\$ 150,598	\$ 1,470					\$ 152,068	\$ 805,154	\$ 151,911	\$ 827,764
Other Criminal Cases	\$ 132,534	\$ 5,514					\$ 138,048	\$ 772,479	\$ 194,128	\$ 1,035,796
Civil	\$ 145,506	\$ 763					\$ 146,269	\$ 808,395	\$ 93,368	\$ 551,681
Family & Children Services	\$ 116,012	\$ 9,711					\$ 125,724	\$ 653,210	\$ 144,387	\$ 771,182
Probate, Guardianship & Mental Health Services	\$ 18,383	\$ 16,140					\$ 34,523	\$ 158,093	\$ 32,852	\$ 136,191
Juvenile Dependency Services	\$ 9,069	\$ 194,595					\$ 203,664	\$ 1,226,481	\$ 121,682	\$ 1,096,568
Juvenile Delinquency Services	\$ 12,491						\$ 12,491	\$ 65,916	\$ 13,789	\$ 64,848
Other Court Operations	\$ 121,555	\$ 1,616					\$ 123,171	\$ 612,274	\$ 151,450	\$ 809,998
Court Interpreters	\$ 33,679	\$ 96,806					\$ 130,485	\$ 968,499	\$ 266,114	\$ 953,760
Jury Services	\$ 50,781	\$ 4,987	\$ 16,479				\$ 72,247	\$ 384,235	\$ 76,237	\$ 389,849
Security		\$ 55,665					\$ 55,665	\$ 303,100	\$ 75,601	\$ 305,000
Trial Court Operations Program	\$ 1,716,095	\$ 428,259	\$ 16,479				\$ 2,160,832	\$ 11,735,648	\$ 2,292,327	\$ 11,963,758
Enhanced Collections	\$ 120,682	\$ 29,732					\$ 150,414	\$ 925,945	\$ 161,207	\$ 888,052
Other Non-Court Operations	\$ 36,878	\$ 267					\$ 37,145	\$ 257,453	\$ 45,201	\$ 213,038
Non-Court Operations Program	\$ 157,560	\$ 29,999					\$ 187,559	\$ 1,183,398	\$ 206,408	\$ 1,101,090
Executive Office	\$ 103,569	\$ 869					\$ 104,438	\$ 1,159,125	\$ 310,955	\$ 1,386,264
Fiscal Services	\$ 90,836	\$ 214					\$ 91,049	\$ 435,325	\$ 96,291	\$ 445,610
Human Resources	\$ 76,619	\$ 3,366					\$ 79,985	\$ 454,416	\$ 91,087	\$ 418,927
Business & Facilities Services		\$ 52,526					\$ 52,526	\$ 292,960	\$ 38,631	\$ 293,911
Information Technology	\$ 106,472	\$ 557,886					\$ 664,358	\$ 1,199,575	\$ 200,463	\$ 722,397
Court Administration Program	\$ 377,496	\$ 614,861					\$ 992,357	\$ 3,541,401	\$ 737,426	\$ 3,267,109
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 2,251,151	\$ 1,073,119	\$ 16,479				\$ 3,340,749	\$ 16,460,447	\$ 3,236,161	\$ 16,331,957