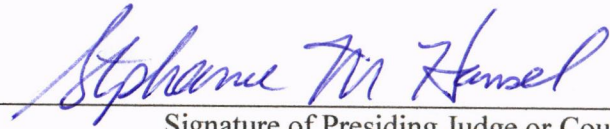


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

10/19/2020

\_\_\_\_\_  
Date

Sutter

\_\_\_\_\_  
Court

FY 20-21 Q1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

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Court

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Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Sutter  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September								2019/20
	Fiscal Year 2020/21								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
<b>ASSETS</b>									
Operations	\$ (245,511)	\$ 390,213	\$ (96,777)				\$ 25,119	\$ 73,044	\$ (20,466)
Payroll	\$ 0						\$ 0	\$ 0	\$ (1,768)
Jury									
Revolving	\$ 5,000							\$ 5,000	
Other									
Distribution							\$ 1,941	\$ 1,941	\$ 2,185
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (4,118)	\$ (4,118)	\$ (21,930)
Credit Card									
Cash on Hand	\$ 1,300							\$ 1,300	\$ 1,300
Cash with County	\$ 0	\$ 0					\$ 0	\$ 0	\$ 462,635
Cash Outside of the JCC	\$ 0						\$ 0	\$ 0	\$ 3,879
Cash Equivalents	\$ 2,944,261						\$ 516,852	\$ 3,461,112	\$ 2,550,921
Total Cash and Cash Equivalents	\$ 2,705,049	\$ 390,213	\$ (96,777)				\$ 539,793	\$ 3,538,279	\$ 2,976,757
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 0							\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 99
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts			\$ 0				\$ 0	\$ 0	\$ 0
Due From State	\$ 5,523	\$ 0	\$ 89,455					\$ 94,978	\$ 40,427
Trust Due To/From							\$ 71,034	\$ 71,034	\$ 5,257
Distribution Due To/From							\$ 9,558	\$ 9,558	\$ 4,190
Civil Filing Fee Due To/From									
General Due To/From	\$ 20	\$ 0						\$ 20	\$ 626
Total Receivables	\$ 5,543	\$ 0	\$ 89,455				\$ 80,592	\$ 175,590	\$ 50,599
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 2,710,592	\$ 390,213	\$ (7,323)				\$ 620,386	\$ 3,713,869	\$ 3,027,356
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Payable - General	\$ 86,859	\$ 0	\$ 0				\$ 0	\$ 86,859	\$ 0
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 80,612	\$ 80,612	\$ 10,073
Due to Other Courts	\$ 0		\$ 0					\$ 0	\$ 0
Due to State								\$ 0	\$ 0
TC145 Liability							\$ 83,137	\$ 83,137	\$ 95,347
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 89,056	\$ 89,056	\$ 95,190
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 72
Interest							\$ 18	\$ 18	\$ 733
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 86,859	\$ 0	\$ 0				\$ 252,823	\$ 339,683	\$ 201,415
Civil							\$ 26,358	\$ 26,358	\$ 23,861
Criminal							\$ 311,175	\$ 311,175	\$ 245,317
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 15,688
Trust Interest Payable							\$ 2,328	\$ 2,328	\$ 2,645
Miscellaneous Trust									
Total Trust Deposits							\$ 339,861	\$ 339,861	\$ 287,512
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (90,971)							\$ (90,971)	\$ (150,033)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 1,425
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ (90,971)	\$ 0	\$ 0					\$ (90,971)	\$ (148,608)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 6,166						\$ 26,800	\$ 32,967	\$ 17,497
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 900	\$ 900	\$ 1,199
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,166						\$ 27,701	\$ 33,867	\$ 18,696
Total Liabilities	\$ 2,055	\$ 0	\$ 0				\$ 620,386	\$ 622,441	\$ 359,015
Total Fund Balance	\$ 2,708,537	\$ 390,213	\$ (7,323)					\$ 3,091,428	\$ 2,668,341
Total Liabilities and Fund Balance	\$ 2,710,592	\$ 390,213	\$ (7,323)				\$ 620,386	\$ 3,713,869	\$ 3,027,356

Superior Court of California, County of Sutter  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2020/21										2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 2,615,736	\$ 3,331					\$ 2,619,068	\$ 5,958,394	\$ 2,622,049	\$ 6,018,050	
Improvement and Modernization Fund	\$ 145,501						\$ 145,501	\$ 157,767	\$ 0	\$ 12,266	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 26,797						\$ 26,797	\$ 167,386	\$ 43,678	\$ 317,632	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ (37,783)						\$ (37,783)	\$ 484,307	\$ 29,906	\$ 462,112	
Other Miscellaneous								\$ 159,761		\$ 159,761	
	\$ 2,750,251	\$ 3,331					\$ 2,753,583	\$ 6,927,615	\$ 2,695,633	\$ 6,969,821	
Grants											
AB 1058 Commissioner/Facilitator			\$ 26,362				\$ 26,362	\$ 275,729	\$ 23,361	\$ 321,158	
Other Judicial Council Grants			\$ 20,654				\$ 20,654	\$ 105,631	\$ 7,963	\$ 105,631	
Non-Judicial Council Grants											
			\$ 47,017				\$ 47,017	\$ 381,360	\$ 31,324	\$ 426,789	
Other Financing Sources											
Interest Income	\$ 11	\$ 31					\$ 43	\$ 40,000	\$ 1,303	\$ 30,000	
Investment Income											
Donations											
Local Fees	\$ 8,726	\$ 4,325					\$ 13,051	\$ 70,000	\$ 15,740	\$ 70,552	
Non-Fee Revenues											
Enhanced Collections		\$ 39,794					\$ 39,794	\$ 266,207	\$ 39,076	\$ 231,498	
Escheatment											
Prior Year Revenue	\$ 83,529	\$ 2					\$ 83,531		\$ 8,548		
County Program - Restricted								\$ 1,800	\$ 0	\$ 3,500	
Reimbursement Other								\$ 5,000			
Sale of Fixed Assets											
Other Miscellaneous	\$ 144						\$ 144		\$ 165		
	\$ 92,409	\$ 44,153					\$ 136,562	\$ 383,007	\$ 64,833	\$ 335,550	
<b>Total Revenues</b>	<b>\$ 2,842,661</b>	<b>\$ 47,484</b>	<b>\$ 47,017</b>				<b>\$ 2,937,162</b>	<b>\$ 7,691,982</b>	<b>\$ 2,791,789</b>	<b>\$ 7,732,160</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 623,901	\$ 23,315	\$ 24,848				\$ 672,065	\$ 3,461,631	\$ 661,526	\$ 3,368,304	
Temp Help									\$ 3,621		
Overtime	\$ 502						\$ 502		\$ 144		
Staff Benefits	\$ 515,498	\$ 23,243	\$ 19,488				\$ 558,229	\$ 2,688,009	\$ 511,266	\$ 2,493,909	
	\$ 1,139,902	\$ 46,558	\$ 44,336				\$ 1,230,796	\$ 6,149,640	\$ 1,176,557	\$ 5,862,213	
Operating Expenses and Equipment											
General Expense	\$ 18,370	\$ 247	\$ 56				\$ 18,673	\$ 157,569	\$ 28,064	\$ 99,320	
Printing	\$ 536						\$ 536	\$ 8,090	\$ 3,336	\$ 8,050	
Telecommunications	\$ 5,516						\$ 5,516	\$ 50,451	\$ 6,524	\$ 49,244	
Postage	\$ 4,683	\$ 2,134					\$ 6,818	\$ 54,195	\$ 7,195	\$ 54,410	
Insurance								\$ 6,368		\$ 5,050	
In-State Travel								\$ 4,550	\$ 8,367	\$ 7,761	
Out-of-State Travel										\$ 1,065	
Training	\$ 49						\$ 49	\$ 11,500	\$ 2,617	\$ 6,165	
Security Services	\$ 50,057						\$ 50,057	\$ 407,639	\$ 51,161	\$ 237,357	
Facility Operations	\$ 1,323						\$ 1,323	\$ 10,925	\$ 1,277	\$ 18,840	
Utilities											
Contracted Services	\$ (38,068)		\$ 1,050				\$ (37,018)	\$ 981,576	\$ 136,441	\$ 1,061,925	
Consulting and Professional Services	\$ 1,195						\$ 1,195	\$ 7,000	\$ 1,495	\$ 9,500	
Information Technology	\$ (10,113)	\$ 3,472					\$ (6,641)	\$ 596,849	\$ 24,051	\$ 288,247	
Major Equipment	\$ 5,363						\$ 5,363	\$ 145,362		\$ 140,000	
Other Items of Expense	\$ 452						\$ 452	\$ 1,420	\$ 322	\$ 2,200	
	\$ 39,363	\$ 5,854	\$ 1,106				\$ 46,323	\$ 2,443,494	\$ 270,851	\$ 1,989,134	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 420						\$ 420	\$ 11,200	\$ 2,398	\$ 7,387	
Judgements, Settlements and Claims											
Debt Service											
Other										\$ 100,000	
Capital Costs											
Internal Cost Recovery	\$ (20,880)	\$ 12,013	\$ 8,867				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 2,857	\$ 355	\$ 30				\$ 3,242		\$ 165		
	\$ (17,603)	\$ 12,368	\$ 8,897				\$ 3,662	\$ 11,200	\$ 2,563	\$ 107,387	
<b>Total Expenditures</b>	<b>\$ 1,161,662</b>	<b>\$ 64,779</b>	<b>\$ 54,340</b>				<b>\$ 1,280,781</b>	<b>\$ 8,604,334</b>	<b>\$ 1,449,970</b>	<b>\$ 7,958,734</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,680,999	\$ (17,295)	\$ (7,323)				\$ 1,656,381	\$ (912,352)	\$ 1,341,819	\$ (226,574)	
Operating Transfers In (Out)			\$ 0				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,027,538	\$ 407,508	\$ 0				\$ 1,435,047	\$ 1,435,047	\$ 1,326,522	\$ 1,326,522	
Ending Balance (Deficit)	\$ 2,708,537	\$ 390,213	\$ (7,323)				\$ 3,091,428	\$ 522,695	\$ 2,668,341	\$ 1,099,948	

Superior Court of California, County of Sutter  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September

Fiscal Year 2020/21

2019/20

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
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<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 216,180	\$ (31,885)			\$ (39)	\$ 164	\$ 184,421	\$ 1,163,661	\$ 190,464	\$ 1,173,434
Traffic & Other Infractions	\$ 103,212	\$ 1,729			\$ (17)	\$ 73	\$ 104,997	\$ 523,837	\$ 99,309	\$ 492,665
Other Criminal Cases	\$ 10,269	\$ 16,545			\$ (2)	\$ 7	\$ 26,819	\$ 167,851	\$ 43,070	\$ 370,145
Civil	\$ 100,469	\$ 2,642			\$ (17)	\$ 112	\$ 103,205	\$ 488,480	\$ 101,615	\$ 599,202
Family & Children Services	\$ 109,812	\$ 8,686			\$ 8,856	\$ 1,317	\$ 128,672	\$ 1,211,943	\$ 186,815	\$ 746,903
Probate, Guardianship & Mental Health Services	\$ 64,898	\$ 772			\$ (13)	\$ 57	\$ 65,713	\$ 340,356	\$ 70,669	\$ 347,802
Juvenile Dependency Services	\$ 4,836	\$ (41,675)			\$ (1)	\$ 4	\$ (36,837)	\$ 24,992	\$ 27,098	\$ 379,640
Juvenile Delinquency Services	\$ 4,836	\$ 181			\$ (1)	\$ 4	\$ 5,019	\$ 30,022	\$ 5,432	\$ 26,596
Other Court Operations	\$ 203,310	\$ (27,735)			\$ (37)	\$ 155	\$ 175,694	\$ 976,226	\$ 153,770	\$ 706,010
Court Interpreters	\$ 23,263	\$ 36,502			\$ (5)	\$ 21	\$ 59,781	\$ 167,540	\$ 75,592	\$ 319,114
Jury Services	\$ 6,167	\$ 2,086	\$ 420		\$ (1)	\$ 5	\$ 8,676	\$ 68,195	\$ 14,044	\$ 65,768
Security	\$ 33,817	\$ 54,883			\$ (6)	\$ 24	\$ 88,718	\$ 672,238	\$ 80,312	\$ 406,533
Trial Court Operations Program	\$ 881,068	\$ 22,731	\$ 420		\$ 8,718	\$ 1,942	\$ 914,878	\$ 5,835,340	\$ 1,048,188	\$ 5,633,814
Enhanced Collections	\$ 43,618	\$ 2,259			\$ 12,006	\$ 28	\$ 57,911	\$ 266,207	\$ 53,421	\$ 232,987
Other Non-Court Operations	\$ 1,852	\$ 479			\$ 0	\$ 356	\$ 2,687	\$ 1,800	\$ 613	\$ 3,587
Non-Court Operations Program	\$ 45,469	\$ 2,738			\$ 12,006	\$ 385	\$ 60,598	\$ 268,007	\$ 54,034	\$ 236,574
Executive Office	\$ 93,017	\$ 1,004			\$ (6,963)	\$ 75	\$ 87,133	\$ 524,670	\$ 103,314	\$ 520,674
Fiscal Services	\$ 102,318	\$ 3,199			\$ (4,915)	\$ 82	\$ 100,684	\$ 292,279	\$ 54,424	\$ 302,812
Human Resources	\$ 37,510	\$ 488			\$ (2,073)	\$ 19	\$ 35,943	\$ 327,187	\$ 39,663	\$ 211,762
Business & Facilities Services	\$ 27,792	\$ 612			\$ (1,752)	\$ 234	\$ 26,885	\$ 198,740	\$ 34,236	\$ 206,091
Information Technology	\$ 43,622	\$ 15,550			\$ (5,020)	\$ 506	\$ 54,658	\$ 1,158,112	\$ 116,111	\$ 847,008
Court Administration Program	\$ 304,260	\$ 20,853			\$ (20,724)	\$ 915	\$ 305,305	\$ 2,500,987	\$ 347,748	\$ 2,088,346
Expenditures Not Distributed or Posted to a Program		\$ 0			\$ 0	\$ 0	\$ 0		\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 1,230,796	\$ 46,323	\$ 420		\$ 0	\$ 3,242	\$ 1,280,781	\$ 8,604,334	\$ 1,449,970	\$ 7,958,734