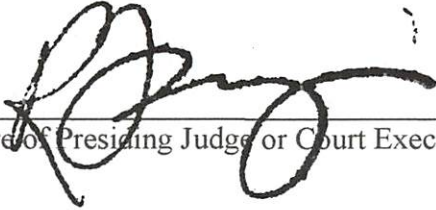


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



REBECCA J. FLEMING
Chief Executive Officer

11-5-2020

Date

Signature of Presiding Judge or Court Executive

Santa Clara

Court

FY 2020-21 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2020/21									2019/20
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 994,397	\$ 5,044	\$ (1,010,768)	\$ 0		\$ 271,175	\$ 259,848	\$ (742,105)	
Payroll	\$ (7,752)					\$ 0	\$ (7,752)	\$ (6,417)	
Jury									
Revolving	\$ 10,000						\$ 10,000		
Other	\$ 0						\$ 0	\$ 0	
Distribution						\$ 73,661	\$ 73,661	\$ 227,959	
Civil Filing Fees						\$ 0	\$ 0	\$ 0	
Trust						\$ (252,065)	\$ (252,065)	\$ (500,714)	
Credit Card									
Cash on Hand	\$ 14,865	\$ 150					\$ 15,015	\$ 15,015	
Cash with County	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Cash Outside of the JCC						\$ 0	\$ 0	\$ 0	
Cash Equivalents	\$ 13,347,164					\$ 32,116,299	\$ 45,463,463	\$ 51,984,200	
Total Cash and Cash Equivalents	\$ 14,358,674	\$ 5,194	\$ (1,010,768)	\$ 0		\$ 32,209,070	\$ 45,562,169	\$ 50,977,939	
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Receivable - General	\$ 755,632		\$ 0			\$ 0	\$ 755,632	\$ 5,738	
Dishonored Checks									
Due From Employee	\$ 16,813						\$ 16,813	\$ 26,093	
Civil Jury Fees									
Trust						\$ 9,492	\$ 9,492	\$ 9,492	
Due From Other Funds	\$ 0					\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 52,504	\$ 0	\$ 18,972				\$ 71,477	\$ 44,161	
Due From Other Courts						\$ 0	\$ 0	\$ 0	
Due From State	\$ 93,205	\$ 0	\$ 909,851			\$ 0	\$ 1,003,056	\$ 170,975	
Trust Due To/From						\$ 154,494	\$ 154,494	\$ 523,911	
Distribution Due To/From						\$ 10,366	\$ 10,366	\$ 111,177	
Civil Filing Fee Due To/From									
General Due To/From	\$ 6	\$ 0					\$ 6	\$ 1,677	
Total Receivables	\$ 918,160	\$ 0	\$ 928,823			\$ 174,352	\$ 2,021,336	\$ 893,224	
Prepaid Expenses - General	\$ 0						\$ 0	\$ 18,828	
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0						\$ 0	\$ 18,828	
Other Assets									
Total Other Assets									
Total Assets	\$ 15,276,834	\$ 5,194	\$ (81,945)	\$ 0		\$ 32,383,422	\$ 47,583,505	\$ 51,889,992	
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 883,475	\$ 0	\$ 36,449	\$ 0		\$ 24,597	\$ 944,521	\$ 335,326	
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 164,866	\$ 164,866	\$ 636,744	
Due to Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	
Due to State	\$ 7,548	\$ 1,747				\$ 180,000	\$ 189,296	\$ 67,171	
TC145 Liability						\$ 1,293,808	\$ 1,293,808	\$ 1,855,115	
Due to Other Governments	\$ 0		\$ 0				\$ 0	\$ 0	
AB145 Due to Other Government Agency	\$ 0					\$ 1,277,126	\$ 1,277,126	\$ 1,597,024	
Due to Other Public Agencies									
Sales and Use Tax	\$ 378						\$ 378	\$ 88	
Interest						\$ 1,266	\$ 1,266	\$ 59,661	
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 891,401	\$ 1,747	\$ 36,449	\$ 0		\$ 2,941,663	\$ 3,871,261	\$ 4,551,129	
Civil						\$ 23,680,108	\$ 23,680,108	\$ 24,918,190	
Criminal						\$ 4,959,405	\$ 4,959,405	\$ 7,038,715	
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC						\$ 0	\$ 0	\$ 0	
Trust Interest Payable						\$ 998,442	\$ 998,442	\$ 878,819	
Miscellaneous Trust									
Total Trust Deposits						\$ 29,637,956	\$ 29,637,956	\$ 32,835,725	
Accrued Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Benefits Payable	\$ 1,537,521						\$ 1,537,521	\$ 2,581,144	
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0	
Deductions Payable	\$ 189						\$ 189	\$ (27)	
Payroll Clearing	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Total Payroll Liabilities	\$ 1,537,710	\$ 0	\$ 0				\$ 1,537,710	\$ 2,581,118	
Revenue Collected in Advance	\$ 0		\$ 209,834				\$ 209,834	\$ 1,321,882	
Liabilities For Deposits	\$ 106,182	\$ 5,528	\$ 1,162			\$ 22,978	\$ 135,850	\$ 231,483	
Jury Fees - Non-Interest								\$ 16,771	
Fees - Partial Payment & Overpayment									
Uncleared Collections						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities						\$ (219,175)	\$ (219,175)	\$ (8,079)	
Total Other Liabilities	\$ 106,182	\$ 5,528	\$ 210,996			\$ (196,197)	\$ 126,509	\$ 1,562,058	
Total Liabilities	\$ 2,535,293	\$ 7,276	\$ 247,445	\$ 0		\$ 32,383,422	\$ 35,173,436	\$ 41,530,029	
Total Fund Balance	\$ 12,741,541	\$ (2,082)	\$ (329,390)	\$ 0			\$ 12,410,069	\$ 10,359,963	
Total Liabilities and Fund Balance	\$ 15,276,834	\$ 5,194	\$ (81,945)	\$ 0		\$ 32,383,422	\$ 47,583,505	\$ 51,889,992	

Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended September											
Fiscal Year 2020/21										2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 33,871,183	\$ 51,039					\$ 33,922,221	\$ 76,015,483	\$ 34,317,150	\$ 82,068,881	
Improvement and Modernization Fund								\$ 316,924		\$ 245,193	
Judges' Compensation (0150019)	\$ 182,874						\$ 182,874	\$ 715,000	\$ 182,874	\$ 715,000	
Court Interpreter (0150037)	\$ 678,260						\$ 678,260	\$ 5,997,690	\$ 338,589	\$ 7,215,522	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 126,025						\$ 126,025	\$ 1,565,691	\$ 319,650	\$ 1,943,671	
Other Miscellaneous								\$ 2,309,466		\$ 2,309,466	
	\$ 34,858,342	\$ 51,039					\$ 34,909,380	\$ 86,920,254	\$ 35,158,262	\$ 94,497,733	
Grants											
AB 1058 Commissioner/Facilitator			\$ (16,508)				\$ (16,508)	\$ 2,249,839		\$ 2,926,643	
Other Judicial Council Grants								\$ 869,803	\$ 15,401	\$ 565,484	
Non-Judicial Council Grants			\$ 105,131				\$ 105,131	\$ 1,648,211	\$ 146,367	\$ 1,288,149	
			\$ 88,622				\$ 88,622	\$ 4,767,853	\$ 161,768	\$ 4,780,276	
Other Financing Sources											
Interest Income	\$ 972	\$ 5					\$ 977	\$ 425,000	\$ 43,241	\$ 425,000	
Investment Income											
Donations		\$ 3,000					\$ 3,000	\$ 3,000	\$ 4	\$ 12,280	
Local Fees	\$ 34,334	\$ 31,243					\$ 65,577	\$ 809,425	\$ 191,252	\$ 1,044,912	
Non-Fee Revenues											
Enhanced Collections		\$ 395,775					\$ 395,775	\$ 2,000,000	\$ 166,050	\$ 2,300,000	
Escheatment								\$ 25,000	\$ (136,800)	\$ 25,000	
Prior Year Revenue	\$ 1,744						\$ 1,744				
County Program - Restricted		\$ 2,302					\$ 2,302	\$ 126,983	\$ 3,607	\$ 113,028	
Reimbursement Other	\$ 808,132	\$ 332,634					\$ 1,140,765	\$ 5,373,954	\$ 282,543	\$ 5,372,613	
Sale of Fixed Assets											
Other Miscellaneous	\$ 2,972						\$ 2,972	\$ 11,460	\$ 7,794	\$ 15,000	
	\$ 848,153	\$ 764,959					\$ 1,613,112	\$ 8,774,822	\$ 557,692	\$ 9,307,833	
Total Revenues	\$ 35,706,494	\$ 815,997	\$ 88,622				\$ 36,611,114	\$ 100,462,929	\$ 35,877,721	\$ 108,585,842	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 12,014,131	\$ 264,339	\$ 162,861				\$ 12,441,331	\$ 44,827,858	\$ 12,345,428	\$ 45,018,053	
Temp Help	\$ 21,821						\$ 21,821	\$ 236,360	\$ 44,076	\$ 250,000	
Overtime	\$ 1,660	\$ (155)					\$ 1,505	\$ 100,000	\$ 102,964	\$ 100,000	
Staff Benefits	\$ 8,802,361	\$ 701,799	\$ 105,041				\$ 9,609,201	\$ 32,792,993	\$ 8,751,684	\$ 38,434,959	
	\$ 20,839,974	\$ 965,983	\$ 267,902				\$ 22,073,858	\$ 77,957,211	\$ 21,244,153	\$ 83,803,012	
Operating Expenses and Equipment											
General Expense	\$ 270,726	\$ 2,318	\$ 7,721				\$ 280,764	\$ 1,581,534	\$ 440,466	\$ 1,901,850	
Printing	\$ 7,419						\$ 7,419	\$ 75,000	\$ 15,644	\$ 95,000	
Telecommunications	\$ 103,755						\$ 103,755	\$ 1,110,005	\$ 138,278	\$ 981,806	
Postage	\$ 58,845						\$ 58,845	\$ 288,700	\$ 57,149	\$ 339,500	
Insurance	\$ 1,655						\$ 1,655	\$ 42,000	\$ 1,363	\$ 42,000	
In-State Travel	\$ 2,295	\$ 91	\$ (732)				\$ 1,655	\$ 62,501	\$ 18,696	\$ 128,499	
Out-of-State Travel	\$ (2,107)						\$ (2,107)	\$ 8,000	\$ 5,382	\$ 29,999	
Training	\$ 1,771		\$ (5,885)				\$ (4,115)	\$ 5,000	\$ 32,058	\$ 130,000	
Security Services	\$ 0						\$ 0	\$ 504,000	\$ 44,726	\$ 563,565	
Facility Operations	\$ 570,276						\$ 570,276	\$ 2,900,300	\$ 412,505	\$ 1,879,915	
Utilities	\$ 33,893						\$ 33,893	\$ 189,000	\$ 53,578	\$ 160,000	
Contracted Services	\$ 1,200,318	\$ 17,736	\$ 144,245				\$ 1,362,299	\$ 8,925,134	\$ 1,854,389	\$ 11,771,798	
Consulting and Professional Services	\$ 395						\$ 395	\$ 57,200	\$ 1,409	\$ 192,200	
Information Technology	\$ 172,523		\$ 1,529				\$ 174,052	\$ 3,518,745	\$ 81,506	\$ 2,480,474	
Major Equipment	\$ 7,846						\$ 7,846	\$ 5,500	\$ 57,589	\$ 35,500	
Other Items of Expense	\$ 7,524						\$ 7,524	\$ 60,000	\$ 13,759	\$ 63,825	
	\$ 2,437,134	\$ 20,146	\$ 146,877				\$ 2,604,157	\$ 19,332,619	\$ 3,228,497	\$ 20,795,931	
Special Items of Expense											
Grand Jury								\$ 3,412	\$ 109	\$ 5,500	
Jury Costs	\$ 36,919	\$ 19,559					\$ 56,478	\$ 421,640	\$ 162,851	\$ 826,000	
Judgements, Settlements and Claims										\$ 250,000	
Debt Service											
Other											
Capital Costs								\$ 3,031,000	\$ 1,007,750	\$ 3,031,000	
Internal Cost Recovery	\$ (50,741)	\$ 47,507	\$ 3,233				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ (13,822)	\$ 67,066	\$ 3,233				\$ 56,478	\$ 3,456,052	\$ 1,170,710	\$ 4,112,500	
Total Expenditures	\$ 23,263,287	\$ 1,053,195	\$ 418,012				\$ 24,734,494	\$ 100,745,882	\$ 25,643,361	\$ 108,711,443	
Excess (Deficit) of Revenues Over Expenditures	\$ 12,443,208	\$ (237,197)	\$ (329,390)				\$ 11,876,620	\$ (282,953)	\$ 10,234,361	\$ (125,601)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 298,333	\$ 235,115	\$ 0	\$ 0			\$ 533,449	\$ 533,449	\$ 125,602	\$ 125,602	
Ending Balance (Deficit)	\$ 12,741,541	\$ (2,082)	\$ (329,390)	\$ 0			\$ 12,410,069	\$ 250,496	\$ 10,359,963	\$ 1	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2020/21									2019/20	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,177,352	\$ 123,575					\$ 6,300,927	\$ 24,464,391	\$ 5,495,583	\$ 23,123,677
Traffic & Other Infractions	\$ 445,083	\$ 1,162					\$ 446,245	\$ 1,420,474	\$ 805,038	\$ 2,318,835
Other Criminal Cases	\$ 3,558,768	\$ 733,414			\$ 2,343		\$ 4,294,524	\$ 13,645,296	\$ 3,518,103	\$ 13,527,533
Civil	\$ 1,842,614	\$ 18,706					\$ 1,861,320	\$ 6,994,198	\$ 1,670,552	\$ 6,859,273
Family & Children Services	\$ 2,482,774	\$ 93,146					\$ 2,575,921	\$ 12,034,264	\$ 3,073,415	\$ 14,001,486
Probate, Guardianship & Mental Health Services	\$ 765,325	\$ 160					\$ 765,486	\$ 2,619,994	\$ 716,150	\$ 3,067,815
Juvenile Dependency Services	\$ 198,232	\$ 129,483			\$ 1,095		\$ 328,809	\$ 787,314	\$ 183,266	\$ 878,900
Juvenile Delinquency Services	\$ 215,373	\$ 31,667					\$ 247,041	\$ 988,827	\$ 210,821	\$ 831,030
Other Court Operations	\$ 90,257	\$ 295					\$ 90,552	\$ 346,376	\$ 112,899	\$ 906,808
Court Interpreters	\$ 918,999	\$ 187,114					\$ 1,106,113	\$ 6,297,040	\$ 1,561,567	\$ 7,420,378
Jury Services	\$ 159,560	\$ 3,640	\$ 36,919				\$ 200,120	\$ 1,099,480	\$ 279,977	\$ 1,234,893
Security		\$ 20,569					\$ 20,569	\$ 204,775	\$ 50,838	\$ 330,800
Trial Court Operations Program	\$ 16,854,339	\$ 1,342,931	\$ 36,919		\$ 3,438		\$ 18,237,626	\$ 70,902,429	\$ 17,678,209	\$ 74,501,428
Enhanced Collections	\$ 417,342	\$ 91			\$ 0		\$ 417,434	\$ 2,825,508	\$ 62,745	\$ 2,300,000
Other Non-Court Operations	\$ 506,379	\$ 190	\$ 19,559				\$ 526,128	\$ 252,054	\$ 466,776	\$ 2,088,724
Non-Court Operations Program	\$ 923,722	\$ 282	\$ 19,559		\$ 0		\$ 943,562	\$ 3,077,562	\$ 529,521	\$ 4,388,724
Executive Office	\$ 826,290	\$ 191,418			\$ (3,438)		\$ 1,014,271	\$ 3,890,851	\$ 806,985	\$ 2,668,206
Fiscal Services	\$ 698,778	\$ 133,736					\$ 832,514	\$ 3,459,876	\$ 1,577,220	\$ 4,687,309
Human Resources	\$ 1,135,421	\$ 13,971					\$ 1,149,392	\$ 1,289,622	\$ 1,673,447	\$ 4,989,211
Business & Facilities Services	\$ 543,101	\$ 619,648					\$ 1,162,749	\$ 8,454,865	\$ 2,041,751	\$ 7,427,860
Information Technology	\$ 1,092,208	\$ 302,171					\$ 1,394,379	\$ 9,670,677	\$ 1,336,227	\$ 10,048,705
Court Administration Program	\$ 4,295,798	\$ 1,260,945			\$ (3,438)		\$ 5,553,305	\$ 26,765,891	\$ 7,435,631	\$ 29,821,291
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 22,073,858	\$ 2,604,157	\$ 56,478		\$ 0		\$ 24,734,494	\$ 100,745,882	\$ 25,643,361	\$ 108,711,443