


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Court Executive

October 27, 2020
Date

SANTA CRUZ SUPERIOR COURT
Court

2020-21 Quarter 1
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

SANTA CRUZ SUPERIOR COURT

Court

2020-21 Quarter 1

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

SANTA CRUZ SUPERIOR COURT

Court

2020-21 Quarter 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		119.6			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2020/21									
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	Special Revenue			Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
	General	Non-Grant	Grant						
ASSETS									
Operations	\$ (455,488)	\$ 420,562	\$ 100,909	\$ 1			\$ 29,616	\$ 95,600	\$ (80,118)
Payroll	\$ (3,136)						\$ 0	\$ (3,136)	\$ (3,219)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 0	\$ 0	\$ (76,644)
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (4,975)	\$ (4,975)	\$ (12,528)
Credit Card									
Cash on Hand	\$ 3,246							\$ 3,246	\$ 3,246
Cash with County							\$ 919,747	\$ 919,747	\$ 1,024,621
Cash Outside of the JCC									
Cash Equivalents	\$ 4,302,735						\$ 672,689	\$ 4,975,404	\$ 5,492,145
Total Cash and Cash Equivalents	\$ 3,852,356	\$ 420,562	\$ 100,909	\$ 1			\$ 1,617,057	\$ 5,990,885	\$ 6,352,502
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 4,095	\$ 0	\$ 0					\$ 4,095	\$ 3,150
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0					\$ 11,944	\$ 11,944	\$ 11,944
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 64,843					\$ 64,843	\$ (1)
Trust Due To/From									
Distribution Due To/From							\$ 1,015	\$ 1,015	\$ 72,573
Civil Filing Fee Due To/From							\$ 220	\$ 220	\$ 2,556
General Due To/From	\$ 333							\$ 333	\$ 0
Total Receivables	\$ 4,428	\$ 0	\$ 64,843				\$ 13,179	\$ 82,449	\$ 96,936
Prepaid Expenses - General	\$ 14,904							\$ 14,904	
Salary and Travel Advances	\$ 0							\$ 0	
Counties									
Total Prepaid Expenses	\$ 14,904							\$ 14,904	
Other Assets									
Total Other Assets									
Total Assets	\$ 3,871,688	\$ 420,562	\$ 165,752	\$ 1			\$ 1,630,235	\$ 6,088,238	\$ 6,449,438
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 109,355	\$ 0	\$ 0	\$ 0			\$ 0	\$ 109,355	\$ 144,630
Due to Other Funds	\$ 0	\$ 0	\$ 0	\$ 0			\$ 1,568	\$ 1,568	\$ 81,844
Due to Other Courts									\$ 0
Due to State	\$ 0		\$ 38,966					\$ 38,966	\$ 0
TC145 Liability							\$ 323,250	\$ 323,250	\$ 243,202
Due to Other Governments	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 22,870	\$ 22,870	\$ 79,239
Due to Other Public Agencies									
Sales and Use Tax	\$ 613							\$ 613	\$ 823
Interest							\$ 25	\$ 25	\$ 1,219
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 109,968	\$ 0	\$ 38,966	\$ 0			\$ 347,712	\$ 496,646	\$ 550,957
Civil							\$ 186,029	\$ 186,029	\$ 289,970
Criminal							\$ 75,340	\$ 75,340	\$ 10,356
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 919,747	\$ 919,747	\$ 1,024,621
Trust Interest Payable							\$ 5,378	\$ 5,378	\$ 10,682
Miscellaneous Trust									
Total Trust Deposits							\$ 1,186,495	\$ 1,186,495	\$ 1,335,629
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 154,304							\$ 154,304	\$ (6,355)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 410,025							\$ 410,025	\$ 315,815
Payroll Clearing	\$ (1)							\$ (1)	\$ (6)
Total Payroll Liabilities	\$ 564,327	\$ 0	\$ 0					\$ 564,327	\$ 309,454
Revenue Collected in Advance			\$ 248,667					\$ 248,667	\$ 289,509
Liabilities For Deposits	\$ 29,832						\$ 96,028	\$ 125,860	\$ 125,860
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment									\$ (111)
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 29,832		\$ 248,667				\$ 96,028	\$ 374,528	\$ 415,258
Total Liabilities	\$ 704,127	\$ 0	\$ 287,633	\$ 0			\$ 1,630,235	\$ 2,621,995	\$ 2,611,298
Total Fund Balance	\$ 3,167,562	\$ 420,562	\$ (121,881)	\$ 1				\$ 3,466,243	\$ 3,838,140
Total Liabilities and Fund Balance	\$ 3,871,688	\$ 420,562	\$ 165,752	\$ 1			\$ 1,630,235	\$ 6,088,238	\$ 6,449,438

**Superior Court of California, County of Santa Cruz
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

For the month ended September										
Fiscal Year 2020/21										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 5,731,712	\$ 9,881					\$ 5,741,593	\$ 13,419,761	\$ 5,883,809	\$ 13,923,116
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 34,992		\$ 34,992
Judges' Compensation (0150019)										
Court Interpreter (0150037)	\$ 179,024						\$ 179,024	\$ 700,000	\$ 211,800	\$ 903,839
Civil Coordination Reimbursement (0150091)								\$ 307,558	\$ 39,163	\$ 366,009
MOU Reimbursements (0150010 and General)	\$ 20,581						\$ 20,581	\$ 203,558		\$ 203,558
Other Miscellaneous										
	\$ 5,931,317	\$ 9,881					\$ 5,941,198	\$ 14,665,870	\$ 6,134,772	\$ 15,433,514
Grants										
AB 1058 Commissioner/Facilitator								\$ 267,329		\$ 310,378
Other Judicial Council Grants			\$ 1,676				\$ 1,676	\$ 994,567	\$ 551,234	\$ 864,151
Non-Judicial Council Grants										
			\$ 1,676				\$ 1,676	\$ 1,261,896	\$ 551,234	\$ 1,174,529
Other Financing Sources										
Interest Income	\$ 45	\$ 13		\$ 1			\$ 59	\$ 30,000	\$ 2,385	\$ 50,000
Investment Income										
Donations										
Local Fees	\$ 2,311	\$ 0					\$ 2,311	\$ 116,300	\$ (30,599)	\$ 134,300
Non-Fee Revenues										
Enhanced Collections	\$ 0	\$ 16,478					\$ 16,478	\$ 138,316	\$ (549)	\$ 150,824
Escheatment										
Prior Year Revenue	\$ 662						\$ 662			
County Program - Restricted	\$ 0	\$ 0					\$ 0	\$ 47,000	\$ (2,318)	\$ 49,000
Reimbursement Other	\$ 31,630						\$ 31,630	\$ 149,749	\$ 11,619	\$ 142,749
Sale of Fixed Assets										
Other Miscellaneous	\$ (1,558)						\$ (1,558)	\$ 5,000	\$ 118	\$ 3,000
	\$ 33,091	\$ 16,491		\$ 1			\$ 49,583	\$ 486,365	\$ (19,344)	\$ 529,873
Total Revenues	\$ 5,964,407	\$ 26,372	\$ 1,676	\$ 1			\$ 5,992,456	\$ 16,414,131	\$ 6,666,662	\$ 17,137,916
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 1,958,423	\$ 45,744	\$ 86,639				\$ 2,090,805	\$ 9,300,306	\$ 2,066,344	\$ 9,030,197
Temp Help	\$ 0						\$ 0		\$ 13,184	\$ 18,864
Overtime	\$ 912	\$ 0					\$ 912		\$ 7,338	
Staff Benefits	\$ 1,305,248	\$ 28,172	\$ 59,518				\$ 1,392,938	\$ 5,321,615	\$ 1,217,799	\$ 5,211,266
	\$ 3,264,583	\$ 73,917	\$ 146,157				\$ 3,484,656	\$ 14,621,921	\$ 3,304,665	\$ 14,260,327
Operating Expenses and Equipment										
General Expense	\$ 25,513		\$ 6,174				\$ 31,687	\$ 193,974	\$ 124,381	\$ 325,999
Printing	\$ 8,876	\$ 3,099					\$ 11,975	\$ 64,500	\$ 19,736	\$ 87,150
Telecommunications	\$ 18,618						\$ 18,618	\$ 119,600	\$ 23,885	\$ 70,400
Postage	\$ 3,934						\$ 3,934	\$ 25,780	\$ 4,353	\$ 20,300
Insurance								\$ 7,000	\$ 85	\$ 5,700
In-State Travel	\$ 578						\$ 578	\$ 3,575	\$ 4,206	\$ 39,275
Out-of-State Travel										\$ 1,550
Training	\$ 990						\$ 990	\$ 12,003	\$ 3,182	\$ 21,250
Security Services								\$ 300	\$ 0	\$ 450
Facility Operations	\$ 47,179			\$ 0			\$ 47,179	\$ 472,002	\$ 197,317	\$ 872,294
Utilities										
Contracted Services	\$ 92,792	\$ 6,667	\$ (29,374)				\$ 70,084	\$ 791,724	\$ 95,996	\$ 998,463
Consulting and Professional Services	\$ 1,580						\$ 1,580	\$ 10,000	\$ 2,555	\$ 10,900
Information Technology	\$ 36,050		\$ 600				\$ 36,650	\$ 169,682	\$ 26,606	\$ 185,500
Major Equipment								\$ 141,500	\$ 4,939	
Other Items of Expense	\$ 170						\$ 170	\$ 1,500	\$ 154	\$ 1,200
	\$ 236,279	\$ 9,766	\$ (22,600)	\$ 0			\$ 223,445	\$ 2,013,140	\$ 507,395	\$ 2,640,331
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 3,107						\$ 3,107	\$ 115,000	\$ 33,822	\$ 168,000
Judgements, Settlements and Claims	\$ 0						\$ 0			
Debt Service										
Other	\$ 214						\$ 214		\$ 4	
Capital Costs										
Internal Cost Recovery								\$ 1	\$ 0	\$ 0
Prior Year Expense Adjustment										
	\$ 3,321						\$ 3,321	\$ 115,001	\$ 33,826	\$ 168,000
Total Expenditures	\$ 3,504,182	\$ 83,683	\$ 123,557	\$ 0			\$ 3,711,422	\$ 16,750,062	\$ 3,845,885	\$ 17,068,658
Excess (Deficit) of Revenues Over Expenditures	\$ 2,460,225	\$ (57,311)	\$ (121,881)	\$ 1			\$ 2,281,034	\$ (335,931)	\$ 2,820,776	\$ 69,258
Operating Transfers In (Out)										
								\$ 0		\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 707,337	\$ 477,872	\$ 0	\$ 0			\$ 1,185,209	\$ 1,185,209	\$ 1,017,364	\$ 1,017,364
Ending Balance (Deficit)	\$ 3,167,562	\$ 420,562	\$ (121,881)	\$ 1			\$ 3,466,243	\$ 849,278	\$ 3,838,140	\$ 1,086,622

**Superior Court of California, County of Santa Cruz
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

	For the month ended September									
	Fiscal Year 2020/21							2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,434,634	\$ 47,454					\$ 1,482,087	\$ 4,447,809	\$ 1,342,061	\$ 4,520,241
Traffic & Other Infractions	\$ 156,664						\$ 156,664	\$ 402,065	\$ 147,359	\$ 396,999
Other Criminal Cases	\$ 165,559	\$ 17,035					\$ 182,593	\$ 1,796,544	\$ 166,016	\$ 1,151,025
Civil	\$ 326,685	\$ 7,662					\$ 334,346	\$ 1,291,152	\$ 377,322	\$ 1,226,078
Family & Children Services	\$ 147,718	\$ (30,543)					\$ 117,175	\$ 917,248	\$ 89,797	\$ 836,709
Probate, Guardianship & Mental Health Services	\$ 54,416	\$ 0					\$ 54,416	\$ 417,903	\$ 65,975	\$ 473,219
Juvenile Dependency Services	\$ 42,908						\$ 42,908	\$ 348,956	\$ 39,647	\$ 324,758
Juvenile Delinquency Services									\$ 302	\$ 1,925
Other Court Operations	\$ 180,941	\$ 5,918					\$ 186,859	\$ 1,068,658	\$ 169,018	\$ 1,735,459
Court Interpreters	\$ 150,771	\$ 17,615	\$ 214				\$ 168,600	\$ 719,911	\$ 207,607	\$ 903,839
Jury Services	\$ 42,313	\$ 20,312	\$ 3,107				\$ 65,732	\$ 317,209	\$ 101,484	\$ 261,427
Security										\$ 450
Trial Court Operations Program	\$ 2,702,608	\$ 85,451	\$ 3,321				\$ 2,791,380	\$ 11,727,455	\$ 2,706,588	\$ 11,832,129
Enhanced Collections	\$ 44,278						\$ 44,278	\$ 138,316	\$ 42,618	\$ 150,824
Other Non-Court Operations	\$ 154,360	\$ 882					\$ 155,242	\$ 1,056,287	\$ 217,669	\$ 1,297,040
Non-Court Operations Program	\$ 198,638	\$ 882					\$ 199,520	\$ 1,194,603	\$ 260,287	\$ 1,447,864
Executive Office	\$ 85,920	\$ 465					\$ 86,385	\$ 364,998	\$ 59,849	\$ 369,848
Fiscal Services	\$ 121,695	\$ (7,208)					\$ 114,487	\$ 784,609	\$ 110,175	\$ 898,103
Human Resources	\$ 86,779	\$ 4,088	\$ 0				\$ 90,867	\$ 471,577	\$ 58,041	\$ 157,814
Business & Facilities Services	\$ 12,662	\$ 89,180					\$ 101,842	\$ 734,243	\$ 332,342	\$ 957,473
Information Technology	\$ 276,356	\$ 50,586					\$ 326,942	\$ 1,472,577	\$ 318,603	\$ 1,405,427
Court Administration Program	\$ 583,411	\$ 137,112	\$ 0				\$ 720,522	\$ 3,828,004	\$ 879,010	\$ 3,788,665
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 3,484,656	\$ 223,445	\$ 3,321				\$ 3,711,422	\$ 16,750,062	\$ 3,845,885	\$ 17,068,658