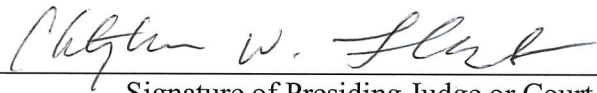


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

10/23/2020

Date

Orange

Court

2020-21 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Orange
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2020/21

2019/20

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,140,045)	\$ 1,999,634	\$ (607,599)			\$ 0	\$ 490,847	\$ 742,836	\$ (10,848)
Payroll									
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other		\$ 0						\$ 0	\$ 0
Distribution			\$ (495)				\$ 256,984	\$ 256,489	\$ 282,145
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (7,673)	\$ (7,673)	\$ (6,975)
Credit Card									
Cash on Hand	\$ 26,250							\$ 26,250	\$ 28,150
Cash with County	\$ 6,942,030	\$ 0	\$ 0				\$ 32,021,886	\$ 38,963,916	\$ 47,236,239
Cash Outside of the JCC									
Cash Equivalents	\$ 21,562,434						\$ 8,906,527	\$ 30,468,961	\$ 48,558,672
Total Cash and Cash Equivalents	\$ 27,395,669	\$ 1,999,634	\$ (608,094)			\$ 0	\$ 41,668,571	\$ 70,455,780	\$ 96,092,383
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 14,589						\$ 0	\$ 14,589	\$ 13,666
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 116,801	\$ 701,342	\$ 0				\$ 80,028	\$ 898,171	\$ 2,084,904
Due From Other Courts	\$ 74						\$ 0	\$ 74	\$ 74
Due From State	\$ 67,063	\$ 332,283	\$ 768,516					\$ 1,167,862	\$ 428,569
Trust Due To/From							\$ 1,606	\$ 1,606	\$ 6,445
Distribution Due To/From							\$ 0	\$ 0	\$ 698
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 147	\$ 0						\$ 147	\$ 4,188
Total Receivables	\$ 198,673	\$ 1,033,626	\$ 768,516				\$ 81,634	\$ 2,082,448	\$ 2,538,543
Prepaid Expenses - General	\$ 148,479	\$ 0	\$ 1,288					\$ 149,766	\$ 15,577
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 148,479	\$ 0	\$ 1,288					\$ 149,766	\$ 15,577
Other Assets									
Total Other Assets									
Total Assets	\$ 27,742,821	\$ 3,033,260	\$ 161,709			\$ 0	\$ 41,750,205	\$ 72,687,994	\$ 98,646,503
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 125,799	\$ 125,799	\$ 156,943
Accounts Payable - General	\$ 703,493	\$ 37,081	\$ 24,886			\$ 0	\$ 0	\$ 765,460	\$ 471,304
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 1,752	\$ 1,752	\$ 11,330
Due to Other Courts									
Due to State	\$ 0		\$ 0				\$ 1,583,243	\$ 1,583,243	\$ 0
TC145 Liability							\$ 4,118,079	\$ 4,118,079	\$ 4,731,727
Due to Other Governments	\$ 0	\$ 0					\$ 0	\$ 0	\$ 2,118,852
AB145 Due to Other Government Agency							\$ 462,923	\$ 462,923	\$ 587,985
Due to Other Public Agencies									
Sales and Use Tax	\$ 3,489							\$ 3,489	\$ 19,247
Interest							\$ 299	\$ 299	\$ 16,385
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 706,982	\$ 37,081	\$ 24,886			\$ 0	\$ 6,292,095	\$ 7,061,044	\$ 8,113,774
Civil							\$ 265,544	\$ 265,544	\$ 252,685
Criminal							\$ 3,202,076	\$ 3,202,076	\$ 4,000,892
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 31,940,396	\$ 31,940,396	\$ 47,085,217
Trust Interest Payable							\$ 25,024	\$ 25,024	\$ 23,128
Miscellaneous Trust									
Total Trust Deposits							\$ 35,433,040	\$ 35,433,040	\$ 51,361,922
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (184)							\$ (184)	\$ 102
Deferred Compensation Payable									
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing									
Total Payroll Liabilities	\$ (184)	\$ 0	\$ 0					\$ (184)	\$ 102
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0					\$ 0	\$ 2,487
Liabilities For Deposits	\$ 362,143	\$ 14,820					\$ 435	\$ 377,398	\$ 330,844
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 25	\$ 25	\$ 25
Uncleared Collections							\$ 24,610	\$ 24,610	\$ 24,406
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 362,143	\$ 14,820	\$ 0				\$ 25,070	\$ 402,033	\$ 357,762
Total Liabilities	\$ 1,068,942	\$ 51,901	\$ 24,886			\$ 0	\$ 41,750,205	\$ 42,895,933	\$ 59,833,559
Total Fund Balance	\$ 26,673,879	\$ 2,981,359	\$ 136,823			\$ 0		\$ 29,792,061	\$ 38,812,943
Total Liabilities and Fund Balance	\$ 27,742,821	\$ 3,033,260	\$ 161,709			\$ 0	\$ 41,750,205	\$ 72,687,994	\$ 98,646,503

Superior Court of California, County of Orange
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2020/21										2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 59,751,206	\$ 119,143					\$ 59,870,349	\$ 139,236,326	\$ 61,148,867	\$ 148,433,000	
Improvement and Modernization Fund	\$ 0	\$ 0					\$ 0	\$ 1,907,065	\$ 0	\$ 2,117,715	
Judges' Compensation (0150019)	\$ 194,751						\$ 194,751	\$ 788,500	\$ 205,833	\$ 792,901	
Court Interpreter (0150037)	\$ 848,222						\$ 848,222	\$ 10,588,370	\$ 1,155,747	\$ 11,200,000	
Civil Coordination Reimbursement (0150091)								\$ 20,000		\$ 20,000	
MOU Reimbursements (0150010 and General)	\$ 887,129	\$ 0					\$ 887,129	\$ 9,605,814	\$ 844,350	\$ 9,137,652	
Other Miscellaneous								\$ 6,959,920		\$ 6,959,920	
	\$ 61,681,308	\$ 119,143					\$ 61,800,452	\$ 169,105,995	\$ 63,354,797	\$ 178,661,188	
Grants											
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 2,545,254	\$ 0	\$ 3,027,999	
Other Judicial Council Grants	\$ 36		\$ 429,652				\$ 429,688	\$ 859,689	\$ 1,361,257	\$ 1,447,420	
Non-Judicial Council Grants		\$ (2,824)	\$ 0				\$ (2,824)	\$ 364,781	\$ (5,194)	\$ 80,200	
	\$ 36	\$ (2,824)	\$ 429,652				\$ 426,863	\$ 3,769,724	\$ 1,356,063	\$ 4,555,619	
Other Financing Sources											
Interest Income	\$ 15,405	\$ 77					\$ 15,482	\$ 700,000	\$ 49,209	\$ 800,000	
Investment Income											
Donations											
Local Fees	\$ 584,856	\$ 83,246					\$ 668,102	\$ 3,958,500	\$ 914,332	\$ 4,008,500	
Non-Fee Revenues	\$ 47,483						\$ 47,483	\$ 1,320,000	\$ 261,697	\$ 1,386,500	
Enhanced Collections		\$ 634,431					\$ 634,431	\$ 5,940,403	\$ 490,633	\$ 5,762,971	
Escheatment								\$ 800,000		\$ 200,000	
Prior Year Revenue	\$ 8,484	\$ 4	\$ 78,042				\$ 86,530		\$ (470)		
County Program - Restricted	\$ 45,607	\$ 706,262					\$ 751,869	\$ 8,240,751	\$ 748,569	\$ 8,249,573	
Reimbursement Other	\$ 41,781	\$ (19,064)	\$ 779				\$ 23,495	\$ 3,633,571	\$ 298,202	\$ 3,459,571	
Sale of Fixed Assets											
Other Miscellaneous	\$ 3,004						\$ 3,004	\$ 90,500	\$ 16,194	\$ 120,500	
	\$ 746,619	\$ 1,404,956	\$ 78,821				\$ 2,230,396	\$ 24,683,725	\$ 2,778,365	\$ 23,987,615	
Total Revenues	\$ 62,427,963	\$ 1,521,275	\$ 508,473				\$ 64,457,711	\$ 197,559,444	\$ 67,489,225	\$ 207,204,422	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 20,704,520	\$ 1,152,601	\$ 87,948				\$ 21,945,069	\$ 101,267,175	\$ 22,006,879	\$ 108,812,799	
Temp Help	\$ 162,186	\$ 7,580					\$ 169,766	\$ 1,143,064	\$ 205,268	\$ 1,306,631	
Overtime	\$ 67,399	\$ 30,962					\$ 98,361	\$ 878,787	\$ 94,730	\$ 1,067,561	
Staff Benefits	\$ 12,781,263	\$ 641,463	\$ 47,358				\$ 13,470,084	\$ 64,793,607	\$ 11,577,148	\$ 61,307,121	
	\$ 33,715,369	\$ 1,832,605	\$ 135,306				\$ 35,683,280	\$ 168,082,633	\$ 33,884,025	\$ 172,494,112	
Operating Expenses and Equipment											
General Expense	\$ 582,348	\$ 3,324	\$ 5				\$ 585,677	\$ 3,359,829	\$ 1,532,160	\$ 5,252,228	
Printing	\$ 24,639	\$ 5,863					\$ 30,502	\$ 370,418	\$ 54,248	\$ 315,440	
Telecommunications	\$ 132,245	\$ 1,066					\$ 133,311	\$ 1,012,176	\$ 132,359	\$ 989,826	
Postage	\$ 128,021	\$ 2,999					\$ 131,020	\$ 808,400	\$ 183,008	\$ 1,002,856	
Insurance	\$ 924						\$ 924	\$ 89,750	\$ 772	\$ 60,045	
In-State Travel	\$ 2,697	\$ 1,693					\$ 4,390	\$ 138,482	\$ 32,598	\$ 240,639	
Out-of-State Travel								\$ 39,270	\$ 39,630	\$ 58,795	
Training	\$ 40,797	\$ 2,481					\$ 43,278	\$ 143,185	\$ 103,109	\$ 390,714	
Security Services	\$ 4,189	\$ 2,286					\$ 6,474	\$ 110,055	\$ 6,112	\$ 130,055	
Facility Operations	\$ 528,140	\$ 426,039					\$ 954,180	\$ 3,247,796	\$ 829,024	\$ 3,458,698	
Utilities											
Contracted Services	\$ 1,841,005	\$ 889,717	\$ 40,338				\$ 2,771,060	\$ 17,526,270	\$ 3,097,690	\$ 18,573,154	
Consulting and Professional Services	\$ 286,235						\$ 286,235	\$ 1,253,689	\$ 312,271	\$ 1,045,950	
Information Technology	\$ 1,875,086	\$ 13,549	\$ 129,455				\$ 2,018,090	\$ 6,584,954	\$ 1,471,290	\$ 8,245,400	
Major Equipment	\$ 615,630	\$ 2,407	\$ 33,413				\$ 651,450	\$ 702,219	\$ 423,595	\$ 1,883,180	
Other Items of Expense	\$ 1,548						\$ 1,548	\$ 21,200	\$ 4,402	\$ 24,700	
	\$ 6,063,503	\$ 1,351,426	\$ 203,211				\$ 7,618,140	\$ 35,407,693	\$ 8,222,269	\$ 41,671,680	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 62,510						\$ 62,510	\$ 891,000	\$ 171,213	\$ 960,000	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 0		
Capital Costs											
Internal Cost Recovery	\$ (22,833)		\$ 22,833				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 4,747	\$ 221	\$ 10,299				\$ 15,267		\$ 1,095		
	\$ 44,424	\$ 221	\$ 33,132				\$ 77,777	\$ 891,000	\$ 172,308	\$ 960,000	
Total Expenditures	\$ 39,823,296	\$ 3,184,251	\$ 371,649				\$ 43,379,196	\$ 204,381,326	\$ 42,278,602	\$ 215,125,792	
Excess (Deficit) of Revenues Over Expenditures	\$ 22,604,668	\$ (1,662,976)	\$ 136,823				\$ 21,078,515	\$ (6,821,882)	\$ 25,210,623	\$ (7,921,370)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 4,069,211	\$ 4,644,335	\$ 0			\$ 0	\$ 8,713,546	\$ 8,713,546	\$ 13,602,320	\$ 13,602,320	
Ending Balance (Deficit)	\$ 26,673,879	\$ 2,981,359	\$ 136,823			\$ 0	\$ 29,792,061	\$ 1,891,664	\$ 38,812,943	\$ 5,680,950	

Superior Court of California, County of Orange
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2020/21									2019/20	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 13,124,086	\$ 221,869			\$ 13,940	\$ 18,332	\$ 13,378,227	\$ 72,090,341	\$ 13,541,267	\$ 70,513,449
Traffic & Other Infractions	\$ 978,165	\$ 143,613					\$ 1,121,778	\$ 5,209,672	\$ 1,149,845	\$ 5,347,862
Other Criminal Cases	\$ 1,806,469	\$ 609,916					\$ 2,416,385	\$ 14,212,308	\$ 2,420,409	\$ 13,564,128
Civil	\$ 1,269,490	\$ 212,973				\$ 0	\$ 1,482,462	\$ 9,211,739	\$ 1,616,333	\$ 9,262,519
Family & Children Services	\$ 1,836,416	\$ 82,161			\$ 8,893	\$ 7	\$ 1,927,476	\$ 10,325,375	\$ 1,985,220	\$ 9,725,685
Probate, Guardianship & Mental Health Services	\$ 856,665	\$ 53,930				\$ (4)	\$ 910,592	\$ 4,735,544	\$ 910,007	\$ 4,921,947
Juvenile Dependency Services	\$ 394,256	\$ 1,316,064					\$ 1,710,320	\$ 9,094,015	\$ 1,423,851	\$ 9,404,842
Juvenile Delinquency Services	\$ 242,843	\$ 349,345					\$ 592,188	\$ 2,731,993	\$ 427,447	\$ 3,217,008
Other Court Operations	\$ 4,264,673	\$ 73,778				\$ (1,084)	\$ 4,337,367	\$ 23,870,078	\$ 4,484,886	\$ 24,854,257
Court Interpreters	\$ 1,896,881	\$ 147,512					\$ 2,044,392	\$ 13,029,825	\$ 2,267,175	\$ 12,265,544
Jury Services	\$ 340,621	\$ 55,158	\$ 62,510				\$ 458,289	\$ 3,038,279	\$ 626,080	\$ 3,188,836
Security	\$ 441,391	\$ 31,453					\$ 472,844	\$ 2,381,692	\$ 558,629	\$ 2,855,819
Trial Court Operations Program	\$ 27,451,954	\$ 3,297,771	\$ 62,510		\$ 22,833	\$ 17,251	\$ 30,852,320	\$ 169,930,861	\$ 31,411,149	\$ 169,121,896
Enhanced Collections	\$ 873,973	\$ 99,118					\$ 973,092	\$ 6,640,403	\$ 837,136	\$ 5,762,971
Other Non-Court Operations	\$ 427,795	\$ 6,500				\$ 0	\$ 434,295	\$ 1,548,496	\$ 430,936	\$ 2,238,387
Non-Court Operations Program	\$ 1,301,768	\$ 105,619				\$ 0	\$ 1,407,386	\$ 8,188,899	\$ 1,268,072	\$ 8,001,358
Executive Office	\$ 96,607	\$ 1,287					\$ 97,894	\$ 511,990	\$ 102,627	\$ 508,631
Fiscal Services	\$ 1,269,482	\$ 360,219			\$ (22,833)		\$ 1,606,868	\$ (8,328,486)	\$ 1,429,500	\$ (576,918)
Human Resources	\$ 2,839,790	\$ 144,144					\$ 2,983,933	\$ 8,860,136	\$ 1,417,045	\$ 9,209,437
Business & Facilities Services	\$ 1,461,606	\$ 1,378,254				\$ (1,977)	\$ 2,837,883	\$ 13,114,368	\$ 3,207,390	\$ 15,731,413
Information Technology	\$ 1,262,074	\$ 2,330,846				\$ (8)	\$ 3,592,911	\$ 12,103,558	\$ 3,442,818	\$ 13,129,975
Court Administration Program	\$ 6,929,557	\$ 4,214,750			\$ (22,833)	\$ (1,985)	\$ 11,119,490	\$ 26,261,566	\$ 9,599,381	\$ 38,002,538
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 35,683,280	\$ 7,618,140	\$ 62,510		\$ 0	\$ 15,267	\$ 43,379,196	\$ 204,381,326	\$ 42,278,602	\$ 215,125,792