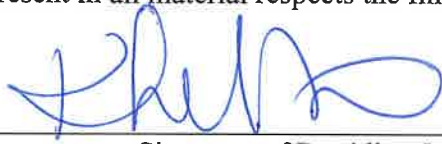


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of ~~Presiding Judge~~ or Court Executive

11/02/2020

Date

Lake

Court

FY2020/21 -QTR 1

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Lake

Court

FY2020/21 -QTR 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		31.9			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2020/21								2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (88,365)	\$ 209,594	\$ (94,134)				\$ 6,029	\$ 33,124	\$ (34,567)
Payroll	\$ 0						\$ 0	\$ 0	\$ (807)
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (2,023)	\$ (2,023)	\$ (5,541)
Credit Card									
Cash on Hand	\$ 1,000							\$ 1,000	\$ 1,000
Cash with County									
Cash Outside of the JCC							\$ 116,601	\$ 116,601	\$ 136,899
Cash Equivalents	\$ 1,437,629						\$ 615,037	\$ 2,052,666	\$ 1,492,125
Total Cash and Cash Equivalents	\$ 1,350,264	\$ 209,594	\$ (94,134)				\$ 735,645	\$ 2,201,369	\$ 1,589,109
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General		\$ 0						\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 3							\$ 3	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 64
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 19,549	\$ 0	\$ 72,116					\$ 91,665	\$ 63,447
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 36							\$ 36	\$ 0
Total Receivables	\$ 19,588	\$ 0	\$ 72,116				\$ 0	\$ 91,704	\$ 63,511
Prepaid Expenses - General	\$ 97,726							\$ 97,726	
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
Total Prepaid Expenses	\$ 97,726							\$ 97,726	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,467,577	\$ 209,594	\$ (22,018)				\$ 735,645	\$ 2,390,799	\$ 1,652,621
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 69,215		\$ 0				\$ 0	\$ 69,215	\$ 50,590
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 36	\$ 36	\$ 0
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 150,000	\$ 150,000	\$ 0
Due to Other Governments	\$ 0						\$ 63,686	\$ 63,686	\$ 75,602
AB145 Due to Other Government Agency								\$ 0	\$ 0
Due to Other Public Agencies									
Sales and Use Tax	\$ 113							\$ 113	\$ 776
Interest							\$ 24	\$ 24	\$ 183
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 69,328	\$ 0	\$ 0				\$ 213,745	\$ 283,074	\$ 127,151
Civil							\$ 374,521	\$ 374,521	\$ 30,113
Criminal							\$ 17,806	\$ 17,806	\$ 9,300
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 116,601	\$ 116,601	\$ 136,899
Trust Interest Payable							\$ 8,374	\$ 8,374	\$ 7,902
Miscellaneous Trust									
Total Trust Deposits							\$ 517,303	\$ 517,303	\$ 184,215
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ 1,676							\$ 1,676	\$ 1,959
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 19,647							\$ 19,647	\$ 12,198
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 21,323							\$ 21,323	\$ 14,157
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 3,972						\$ 4,145	\$ 8,117	\$ 7,588
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 452	\$ 452	\$ 632
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 3,972						\$ 4,597	\$ 8,589	\$ 8,220
Total Liabilities	\$ 94,623	\$ 0	\$ 0				\$ 735,645	\$ 830,268	\$ 333,742
Total Fund Balance	\$ 1,372,954	\$ 209,594	\$ (22,018)					\$ 1,560,531	\$ 1,318,878
Total Liabilities and Fund Balance	\$ 1,467,577	\$ 209,594	\$ (22,018)				\$ 735,645	\$ 2,390,799	\$ 1,652,621

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended September										
	Fiscal Year 2020/21								2019/20		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue		Capital Projects	Debt Service							
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 1,036,795	\$ 1,844					\$ 1,838,639	\$ 4,052,542	\$ 1,838,010	\$ 4,202,218	
Improvement and Modernization Fund								\$ 315,417		\$ 514,331	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 1,884						\$ 1,884	\$ 121,998	\$ 26,500	\$ 130,000	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 23,561						\$ 23,561	\$ 107,332	\$ 12,223	\$ 107,332	
Other Miscellaneous								\$ 9,123		\$ 9,123	
	\$ 1,862,240	\$ 1,844					\$ 1,864,084	\$ 4,606,412	\$ 1,878,733	\$ 4,963,004	
Grants											
AB 1058 Commissioner/Facilitator			\$ 42,345				\$ 42,345	\$ 211,980	\$ 42,780	\$ 225,000	
Other Judicial Council Grants								\$ 12,210		\$ 12,210	
Non-Judicial Council Grants											
			\$ 42,345				\$ 42,345	\$ 224,190	\$ 42,780	\$ 237,210	
Other Financing Sources											
Interest Income	\$ 28	\$ 8					\$ 36	\$ 10,000	\$ 642	\$ 10,000	
Investment Income											
Donations											
Local Fees		\$ 1,860					\$ 1,860	\$ 9,500	\$ 2,578	\$ 9,500	
Non-Fee Revenues	\$ 15						\$ 15	\$ 1,500	\$ 404	\$ 2,500	
Enhanced Collections											
Escheatment											
Prior Year Revenue	\$ 252						\$ 252				
County Program - Restricted											
Reimbursement Other	\$ 10,409						\$ 10,409	\$ 1,000		\$ 1,000	
Sale of Fixed Assets								\$ 31,500		\$ 23,500	
Other Miscellaneous											
	\$ 10,704	\$ 1,804					\$ 12,508	\$ 63,500	\$ 1,062	\$ 46,500	
Total Revenues	\$ 1,872,944	\$ 3,648	\$ 42,345				\$ 1,918,937	\$ 4,884,102	\$ 1,920,574	\$ 5,246,714	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 423,113	\$ 1,518	\$ 34,704				\$ 459,334	\$ 2,163,228	\$ 478,636	\$ 2,225,751	
Temp Help	\$ 3,557						\$ 3,557	\$ 14,869	\$ 3,588	\$ 15,000	
Overtime	\$ 270						\$ 270	\$ 5,000	\$ 191	\$ 5,000	
Staff Benefits	\$ 239,877	\$ 342	\$ 15,782				\$ 256,001	\$ 1,054,462	\$ 229,164	\$ 1,038,588	
	\$ 666,817	\$ 1,860	\$ 50,486				\$ 719,162	\$ 3,237,557	\$ 711,579	\$ 3,284,339	
Operating Expenses and Equipment											
General Expense	\$ 53,364		\$ 201				\$ 53,565	\$ 263,502	\$ 41,564	\$ 291,444	
Printing	\$ 1,682						\$ 1,682	\$ 10,500	\$ 1,593	\$ 10,000	
Telecommunications	\$ 2,252		\$ 121				\$ 2,373	\$ 15,500	\$ 2,559	\$ 16,080	
Postage	\$ 4,127						\$ 4,127	\$ 29,500	\$ 6,787	\$ 29,000	
Insurance	\$ 5,173						\$ 5,173	\$ 8,800	\$ 4,180	\$ 7,000	
In-State Travel	\$ 41						\$ 41	\$ 14,000	\$ 6,470	\$ 20,000	
Out-of-State Travel											
Training								\$ 10,000	\$ 1,495	\$ 10,000	
Security Services	\$ 42,528		\$ 2,333				\$ 44,860	\$ 208,465	\$ 39,790	\$ 180,600	
Facility Operations	\$ 12,674						\$ 12,674	\$ 134,499	\$ 9,842	\$ 68,101	
Utilities	\$ 48						\$ 48	\$ 4,500	\$ 56	\$ 4,500	
Contracted Services	\$ 209,251	\$ 90	\$ 7,132				\$ 216,473	\$ 1,115,488	\$ 225,044	\$ 1,049,594	
Consulting and Professional Services	\$ 0						\$ 0	\$ 9,000	\$ 139	\$ 9,000	
Information Technology	\$ 15,769						\$ 15,769	\$ 383,803	\$ 13,509	\$ 473,750	
Major Equipment	\$ 12,996						\$ 12,996	\$ 12,996	\$ 0	\$ 94,119	
Other Items of Expense								\$ 2,000	\$ 186	\$ 2,000	
	\$ 359,907	\$ 90	\$ 0,766				\$ 360,763	\$ 2,222,363	\$ 353,013	\$ 2,265,188	
Special Items of Expense											
Grand Jury											
Jury Costs								\$ 28,500	\$ 3,577	\$ 28,500	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (6,502)		\$ 6,502				\$ 0		\$ 0		
Prior Year Expense Adjustment	\$ 262						\$ 262				
	\$ (6,240)		\$ 6,502				\$ 262	\$ 28,500	\$ 3,577	\$ 28,500	
Total Expenditures	\$ 1,020,483	\$ 1,950	\$ 66,773				\$ 1,089,208	\$ 5,488,410	\$ 1,088,189	\$ 5,578,027	
Excess (Deficit) of Revenues Over Expenditures	\$ 852,461	\$ 1,698	\$ (24,428)				\$ 829,731	\$ (604,308)	\$ 852,406	\$ (331,313)	
Operating Transfers In (Out)	\$ (2,411)		\$ 2,411				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 522,904	\$ 207,896	\$ 0				\$ 730,800	\$ 730,800	\$ 466,473	\$ 466,473	
Ending Balance (Deficit)	\$ 1,372,954	\$ 209,594	\$ (22,018)				\$ 1,560,631	\$ 126,492	\$ 1,318,878	\$ 135,160	

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2020/21							2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 50,921	\$ 11,754					\$ 62,675	\$ 340,789	\$ 76,903	\$ 347,889
Traffic & Other Infractions								\$ 59,790		
Other Criminal Cases	\$ 6,297	\$ 13,800					\$ 20,097	\$ 21,500	\$ 19,166	\$ 72,790
Civil		\$ 21,000					\$ 21,000	\$ 62,234	\$ 21,290	\$ 67,259
Family & Children Services	\$ 66,689	\$ 20,852			\$ 6,502		\$ 94,042	\$ 358,077	\$ 99,737	\$ 390,974
Probate, Guardianship & Mental Health Services		\$ 17,201					\$ 17,201	\$ 70,000	\$ 19,242	\$ 70,000
Juvenile Dependency Services		\$ 218					\$ 218	\$ 14,234		\$ 14,444
Juvenile Delinquency Services								\$ 210	\$ 0	
Other Court Operations	\$ 416,237						\$ 416,237	\$ 2,168,178	\$ 442,770	\$ 2,246,339
Court Interpreters		\$ 21,745					\$ 21,745	\$ 226,464	\$ 26,394	\$ 133,698
Jury Services								\$ 31,300	\$ 3,577	\$ 28,500
Security		\$ 50,711					\$ 50,711	\$ 224,650	\$ 44,735	\$ 184,885
Trial Court Operations Program	\$ 540,143	\$ 157,281			\$ 6,502		\$ 703,926	\$ 3,577,426	\$ 753,813	\$ 3,556,778
Enhanced Collections										
Other Non-Court Operations		\$ 410					\$ 410	\$ 1,000	\$ (1,491)	\$ 3,000
Non-Court Operations Program		\$ 410					\$ 410	\$ 1,000	\$ (1,491)	\$ 3,000
Executive Office	\$ 69,427				\$ (1,820)		\$ 67,607	\$ 290,884	\$ 64,924	\$ 278,502
Fiscal Services	\$ 44,172	\$ 383			\$ (2,015)	\$ 239	\$ 42,779	\$ 192,720	\$ 33,008	\$ 153,198
Human Resources	\$ 47,476	\$ 180			\$ (1,625)		\$ 46,031	\$ 106,302	\$ 22,637	\$ 85,297
Business & Facilities Services		\$ 40,922				\$ 23	\$ 40,945	\$ 407,831	\$ 40,759	\$ 437,420
Information Technology	\$ 17,943	\$ 170,606			\$ (1,040)		\$ 187,508	\$ 912,247	\$ 154,520	\$ 1,063,832
Court Administration Program	\$ 179,019	\$ 212,091			\$ (6,502)	\$ 262	\$ 384,870	\$ 1,909,984	\$ 315,847	\$ 2,018,249
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 719,162	\$ 369,782			\$ 0	\$ 262	\$ 1,089,206	\$ 5,488,410	\$ 1,068,169	\$ 5,578,027