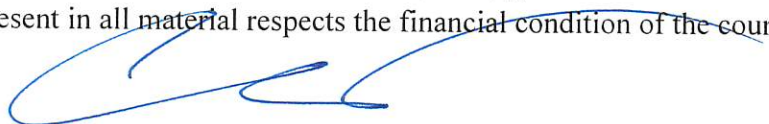


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

10/26/2020

Date

ALAMEDA

Court

FY 2020-2021 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY 2020-2021 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

FY 2020-2021 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		677.6			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2020/21								
	Governmental Funds					Proprietary Funds	Fiduciary Funds		
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (5,767,949)	\$ 7,283,626	\$ (1,120,442)	\$ 0			\$ 501,683	\$ 896,916	\$ 280,439
Payroll	\$ 248,783	\$ 0						\$ 248,783	\$ (17,892)
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 200,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 96,007	\$ 96,007	\$ 142,256
Civil Filing Fees							\$ 1,392,212	\$ 1,392,212	\$ 0
Trust	\$ 0						\$ (499,796)	\$ (499,796)	\$ (4,013,748)
Credit Card									
Cash on Hand	\$ 20,090							\$ 20,090	\$ 20,090
Cash with County	\$ 52,596			\$ 0				\$ 52,596	\$ (6,017)
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 26,643,420						\$ 26,126,760	\$ 52,770,179	\$ 52,883,483
Total Cash and Cash Equivalents	\$ 21,221,940	\$ 7,283,626	\$ (1,120,442)	\$ 0			\$ 27,616,865	\$ 55,001,989	\$ 49,488,612
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 10,048		\$ 0					\$ 10,048	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 124,593	\$ 0					\$ 124,593	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 76,190	\$ 0	\$ 589,322					\$ 665,512	\$ 582,706
Trust Due To/From							\$ 714,740	\$ 714,740	\$ 649,815
Distribution Due To/From							\$ 273,557	\$ 273,557	\$ 281,785
Civil Filing Fee Due To/From									
General Due To/From	\$ 473	\$ 0						\$ 473	\$ 20,761
Total Receivables	\$ 86,711	\$ 124,593	\$ 589,322				\$ 1,040,233	\$ 1,840,859	\$ 1,587,003
Prepaid Expenses - General	\$ 0		\$ 0					\$ 0	\$ 0
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0		\$ 0					\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 21,308,651	\$ 7,408,219	\$ (531,121)	\$ 0			\$ 28,657,099	\$ 56,842,848	\$ 51,075,615
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 150,546	\$ 31,919	\$ 0	\$ 0			\$ 0	\$ 182,465	\$ 73,789
Due to Other Funds	\$ 15	\$ 0	\$ 0				\$ 988,755	\$ 988,770	\$ 952,361
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ (216,200)
TC145 Liability							\$ 1,392,212	\$ 1,392,212	\$ 2,339,203
Due to Other Governments	\$ 0						\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 2,705,504	\$ 2,705,504	\$ 1,638,142
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,339							\$ 1,339	\$ 1,458
Interest							\$ 1,008	\$ 1,008	\$ 43,427
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 151,900	\$ 31,919	\$ 0	\$ 0			\$ 5,087,479	\$ 5,271,298	\$ 4,832,179
Civil							\$ 12,479,515	\$ 12,479,515	\$ 10,629,311
Criminal							\$ 8,113,080	\$ 8,113,080	\$ 7,545,816
Unreconciled - Civil and Criminal							\$ 372,887	\$ 372,887	\$ 472,815
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 450,269	\$ 450,269	\$ 417,210
Miscellaneous Trust									
Total Trust Deposits							\$ 21,415,751	\$ 21,415,751	\$ 19,065,151
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 3,504,353
Benefits Payable	\$ (175,718)	\$ 43,849						\$ (131,869)	\$ 152,691
Deferred Compensation Payable	\$ 0	\$ 0						\$ 0	\$ 0
Deductions Payable	\$ 1,222,813	\$ (2,071)						\$ 1,220,742	\$ 71,706
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,047,094	\$ 41,778						\$ 1,088,873	\$ 3,728,750
Revenue Collected in Advance	\$ 111,946	\$ 4,217,552	\$ 51,471					\$ 4,380,969	\$ 219,890
Liabilities For Deposits	\$ 30,276	\$ 6,692					\$ 491,499	\$ 528,467	\$ 715,085
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 61,073	\$ 61,073	\$ 55,748
Uncleared Collections									\$ 1,532
Other Miscellaneous Liabilities							\$ 1,601,297	\$ 1,601,297	\$ 1,011,280
Total Other Liabilities	\$ 142,222	\$ 4,224,244	\$ 51,471				\$ 2,153,869	\$ 6,571,806	\$ 2,003,534
Total Liabilities	\$ 1,341,216	\$ 4,297,942	\$ 51,471	\$ 0			\$ 28,657,099	\$ 34,347,728	\$ 29,629,615
Total Fund Balance	\$ 19,967,435	\$ 3,110,277	\$ (582,592)	\$ 0				\$ 22,495,120	\$ 21,446,000
Total Liabilities and Fund Balance	\$ 21,308,651	\$ 7,408,219	\$ (531,121)	\$ 0			\$ 28,657,099	\$ 56,842,848	\$ 51,075,615

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2020/21										2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 32,892,254	\$ 47,143					\$ 32,939,396	\$ 77,738,626	\$ 34,483,660	\$ 82,245,021	
Improvement and Modernization Fund	\$ 208,149						\$ 208,149	\$ 424,149	\$ 175,456	\$ 418,149	
Judges' Compensation (0150019)	\$ 173,376						\$ 173,376	\$ 712,500	\$ 173,376	\$ 712,500	
Court Interpreter (0150037)	\$ 1,023,420						\$ 1,023,420	\$ 5,000,000	\$ 1,150,291	\$ 5,000,000	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ (128,720)						\$ (128,720)	\$ 2,257,166	\$ 85,725	\$ 1,379,224	
Other Miscellaneous								\$ 3,102,046		\$ 3,102,046	
	\$ 34,168,478	\$ 47,143					\$ 34,215,620	\$ 89,234,487	\$ 36,068,508	\$ 92,856,940	
Grants											
AB 1058 Commissioner/Facilitator								\$ 1,770,416	\$ 359,866	\$ 1,991,247	
Other Judicial Council Grants			\$ (34,695)				\$ (34,695)	\$ 653,165	\$ 972	\$ 497,309	
Non-Judicial Council Grants			\$ (20,414)				\$ (20,414)	\$ 1,951,009		\$ 2,083,837	
			\$ (55,109)				\$ (55,109)	\$ 4,374,590	\$ 360,838	\$ 4,572,393	
Other Financing Sources											
Interest Income	\$ 2,072	\$ 92					\$ 2,164	\$ 805,383	\$ 50,352	\$ 656,898	
Investment Income											
Donations											
Local Fees	\$ 258,591	\$ 4,363					\$ 262,954	\$ 1,743,139	\$ 467,398	\$ 2,123,861	
Non-Fee Revenues										\$ 98,825	
Enhanced Collections		\$ 98,882					\$ 98,882	\$ 2,575,628	\$ 521,566	\$ 2,686,324	
Escheatment	\$ 650,786						\$ 650,786	\$ 1,323,333		\$ 136,504	
Prior Year Revenue	\$ 781,456						\$ 781,456				
County Program - Restricted								\$ 7,683,324	\$ 0	\$ 7,852,049	
Reimbursement Other	\$ 802						\$ 802	\$ 40,399	\$ 28,254	\$ 143,313	
Sale of Fixed Assets											
Other Miscellaneous	\$ (37,742)						\$ (37,742)	\$ 81,459	\$ (10,128)	\$ 32,070	
	\$ 1,655,965	\$ 103,336					\$ 1,759,301	\$ 14,252,665	\$ 1,057,443	\$ 13,729,844	
Total Revenues	\$ 35,824,443	\$ 150,479	\$ (55,109)				\$ 35,919,813	\$ 107,861,742	\$ 37,486,789	\$ 111,159,177	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 10,885,592	\$ 146,382	\$ 272,324				\$ 11,304,298	\$ 50,590,511	\$ 13,745,856	\$ 55,237,872	
Temp Help	\$ 22,099						\$ 22,099	\$ 315,193	\$ 183,983	\$ 190,329	
Overtime	\$ 2,319						\$ 2,319		\$ 2,655	\$ 29,790	
Staff Benefits	\$ 6,701,029	\$ 80,683	\$ 136,163				\$ 6,917,875	\$ 34,280,721	\$ 7,263,151	\$ 32,350,463	
	\$ 17,611,039	\$ 227,065	\$ 408,487				\$ 18,246,591	\$ 85,186,425	\$ 21,195,645	\$ 87,808,454	
Operating Expenses and Equipment											
General Expense	\$ 302,282	\$ 822	\$ 718				\$ 303,822	\$ 1,645,278	\$ 278,156	\$ 1,914,719	
Printing	\$ 21,743						\$ 21,743	\$ 469,660	\$ 34,282	\$ 384,190	
Telecommunications	\$ 44,648		\$ 1,263				\$ 45,911	\$ 416,027	\$ 70,599	\$ 468,342	
Postage	\$ 17,618						\$ 17,618	\$ 516,675	\$ 141,835	\$ 541,894	
Insurance	\$ 3,315						\$ 3,315	\$ 45,315	\$ 500	\$ 40,656	
In-State Travel	\$ 3,113		\$ 185				\$ 3,298	\$ 80,980	\$ 14,112	\$ 112,019	
Out-of-State Travel								\$ 17,781	\$ 2,554	\$ 4,424	
Training	\$ 5,169		\$ 3,897				\$ 9,066	\$ 108,893	\$ 18,878	\$ 158,800	
Security Services								\$ 24,075	\$ 267	\$ 41,820	
Facility Operations	\$ 600,294						\$ 600,294	\$ 2,741,496	\$ 683,886	\$ 486,525	
Utilities											
Contracted Services	\$ 354,392	\$ 174,406	\$ 91,445				\$ 620,243	\$ 16,560,789	\$ 1,508,888	\$ 7,723,334	
Consulting and Professional Services	\$ 54,587	\$ 538,712					\$ 593,299	\$ 670,000	\$ 21,906	\$ 4,901,150	
Information Technology	\$ 542,485	\$ 3,808					\$ 546,293	\$ 2,834,331	\$ 293,907	\$ 2,513,030	
Major Equipment	\$ 24,770	\$ 926					\$ 25,696	\$ 85,848		\$ 553,931	
Other Items of Expense	\$ 3,834		\$ 64				\$ 3,897	\$ 221,206	\$ 25,698	\$ 118,275	
	\$ 1,978,249	\$ 718,674	\$ 97,571				\$ 2,794,494	\$ 26,438,354	\$ 3,095,170	\$ 19,963,109	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 45,234						\$ 45,234	\$ 376,954	\$ 149,617	\$ 461,967	
Judgements, Settlements and Claims										\$ 5,977	
Debt Service											
Other	\$ 0						\$ 0			\$ 440,332	
Capital Costs										\$ 2,162,500	
Internal Cost Recovery	\$ (45,533)	\$ 22,181	\$ 23,352				\$ 0	\$ 0	\$ 0	\$ 277,222	
Prior Year Expense Adjustment			\$ (1,928)				\$ (1,928)				
	\$ (299)	\$ 22,181	\$ 21,425				\$ 43,307	\$ 376,954	\$ 149,617	\$ 3,347,998	
Total Expenditures	\$ 19,588,989	\$ 967,919	\$ 527,483				\$ 21,084,391	\$ 112,001,733	\$ 24,440,432	\$ 111,119,561	
Excess (Deficit) of Revenues Over Expenditures	\$ 16,235,454	\$ (817,441)	\$ (582,592)				\$ 14,835,421	\$ (4,139,991)	\$ 13,046,357	\$ 39,616	
Operating Transfers In (Out)								\$ 0		\$ 43,972	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,731,981	\$ 3,927,718	\$ 0	\$ 0			\$ 7,659,699	\$ 7,659,699	\$ 8,399,643	\$ 8,399,643	
Ending Balance (Deficit)	\$ 19,967,435	\$ 3,110,277	\$ (582,592)	\$ 0			\$ 22,495,120	\$ 3,519,708	\$ 21,446,000	\$ 8,483,231	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2020/21									2019/20	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,674,289	\$ 225,229	\$ 0				\$ 5,899,517	\$ 31,437,837	\$ 7,618,910	\$ 32,585,496
Traffic & Other Infractions	\$ 732,630	\$ 56,436					\$ 789,066	\$ 4,205,667	\$ 1,005,874	\$ 4,496,754
Other Criminal Cases	\$ 1,782,561	\$ 552,224				\$ (214)	\$ 2,334,570	\$ 18,368,966	\$ 2,244,930	\$ 13,898,426
Civil	\$ 1,286,464	\$ (166)					\$ 1,286,298	\$ 4,621,359	\$ 1,241,521	\$ 4,810,551
Family & Children Services	\$ 2,033,221	\$ 90,496					\$ 2,123,717	\$ 12,131,187	\$ 2,829,582	\$ 12,046,531
Probate, Guardianship & Mental Health Services	\$ 509,473	\$ 0					\$ 509,473	\$ 2,470,012	\$ 591,473	\$ 2,575,967
Juvenile Dependency Services	\$ 52,696	\$ 43,551				\$ (1,714)	\$ 94,533	\$ 704,465	\$ 107,398	\$ 1,043,443
Juvenile Delinquency Services	\$ 237,952	\$ 389					\$ 238,341	\$ 887,355	\$ 263,644	\$ 620,926
Other Court Operations	\$ 308,259	\$ 36,136					\$ 344,394	\$ 1,283,025	\$ 356,252	\$ 1,235,223
Court Interpreters	\$ 833,288	\$ 101,848					\$ 935,136	\$ 5,469,213	\$ 1,369,344	\$ 4,716,982
Jury Services	\$ 114,778	\$ 193,850	\$ 45,234				\$ 353,863	\$ 1,566,347	\$ 602,951	\$ 1,781,564
Security	\$ 600,314	\$ 1,965					\$ 602,278	\$ 3,168,252	\$ 885,426	\$ 3,684,505
Trial Court Operations Program	\$ 14,165,923	\$ 1,301,955	\$ 45,234			\$ (1,928)	\$ 15,511,185	\$ 86,313,685	\$ 19,117,304	\$ 83,496,368
Enhanced Collections	\$ 76,636	\$ 124,998			\$ 13,634		\$ 215,267	\$ 2,305,827	\$ 520,411	\$ 2,783,887
Other Non-Court Operations	\$ 100,672						\$ 100,672	\$ 514,077	\$ 120,039	\$ 569,730
Non-Court Operations Program	\$ 177,307	\$ 124,998			\$ 13,634		\$ 315,939	\$ 2,819,904	\$ 640,450	\$ 3,353,617
Executive Office	\$ 226,358	\$ 527			\$ (954)		\$ 225,930	\$ 1,762,406	\$ 229,473	\$ 1,787,683
Fiscal Services	\$ 875,472	\$ 113,721			\$ (4,090)		\$ 985,103	\$ 5,027,893	\$ 1,058,726	\$ 4,909,114
Human Resources	\$ 1,291,249	\$ 49,467			\$ (2,045)		\$ 1,338,670	\$ 2,422,965	\$ 949,839	\$ 3,596,612
Business & Facilities Services	\$ 162,034	\$ 596,449					\$ 758,483	\$ 4,002,835	\$ 794,521	\$ 4,107,435
Information Technology	\$ 1,348,247	\$ 607,377			\$ (6,544)		\$ 1,949,080	\$ 9,652,045	\$ 1,650,119	\$ 9,868,732
Court Administration Program	\$ 3,903,360	\$ 1,367,540			\$ (13,634)		\$ 5,257,267	\$ 22,868,144	\$ 4,682,678	\$ 24,269,576
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 18,246,591	\$ 2,794,494	\$ 45,234		\$ 0	\$ (1,928)	\$ 21,084,391	\$ 112,001,733	\$ 24,440,432	\$ 111,119,561