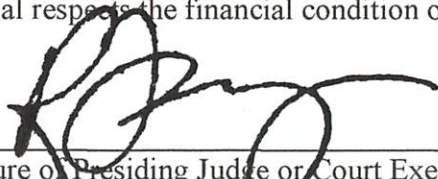


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

**REBECCA J. FLEMING**

**Chief Executive Officer**

8-12-2020

\_\_\_\_\_  
Date

Santa Clara

\_\_\_\_\_  
Court

FY 2019-20 4th Quarter

\_\_\_\_\_  
Fiscal Year and Ending Quarter

## QUARTERLY FINANCIAL STATEMENT FOOTNOTES

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Court

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Fiscal Year and Ending Quarter

### FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

|   |  | Positions (FTEs) Filled |             |             |             |
|---|--|-------------------------|-------------|-------------|-------------|
| Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL) |  | 1st Quarter             | 2nd Quarter | 3rd Quarter | 4th Quarter |
| Court Employee Positions (FTEs)                                 |  |                         |             |             |             |

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

| For the month ended June                        |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
|---|----------------------|---------------------|---------------------|-----------------|--------------|----------------------|----------------------|--------------------------------------|--------------------------------------|
| Fiscal Year 2019/20                             |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
|   | Governmental Funds   |                     |                     |                 |              | Proprietary Funds    | Fiduciary Funds      | Total Funds<br>(Info. Purposes Only) | Total Funds<br>(Info. Purposes Only) |
|   | Special Revenue      |                     |                     | Capital Project | Debt Service |                      |                      |                                      |                                      |
|   | General              | Non-Grant           | Grant               |                 |              |                      |                      |                                      |                                      |
| <b>ASSETS</b>                                   |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Operations                                      | \$ (164,972)         | \$ 245,995          | \$ 0                | \$ 0            |              | \$ 263,054           | \$ 344,076           | \$ 520,385                           |                                      |
| Payroll   | \$ (576)             |                     |                     |                 |              | \$ 0                 | \$ (576)             | \$ (3,981)                           |                                      |
| Jury  |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Revolving                                       | \$ 10,000            |                     |                     |                 |              |                      | \$ 10,000            |                                      |                                      |
| Other   | \$ 0                 |                     |                     |                 |              |                      | \$ 0                 | \$ 0                                 |                                      |
| Distribution                                    |                      |                     |                     |                 |              | \$ 162,652           | \$ 162,652           | \$ 372,984                           |                                      |
| Civil Filing Fees                               |                      |                     |                     |                 |              | \$ 1,195,212         | \$ 1,195,212         | \$ 1,601,772                         |                                      |
| Trust   |                      |                     |                     |                 |              | \$ (275,472)         | \$ (275,472)         | \$ (1,807,894)                       |                                      |
| Credit Card                                     |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Cash on Hand                                    | \$ 14,865            | \$ 150              |                     |                 |              | \$ 1,220             | \$ 16,235            | \$ 17,275                            |                                      |
| Cash with County                                | \$ 0                 |                     |                     |                 |              |                      | \$ 0                 | \$ 0                                 |                                      |
| Cash Outside of the JCC                         |                      |                     |                     |                 |              | \$ 0                 | \$ 0                 | \$ 0                                 |                                      |
| Cash Equivalents                                | \$ 7,333,499         |                     |                     |                 |              | \$ 30,882,152        | \$ 38,215,651        | \$ 40,992,367                        |                                      |
| <b>Total Cash and Cash Equivalents</b>          | <b>\$ 7,192,816</b>  | <b>\$ 246,145</b>   | <b>\$ 0</b>         | <b>\$ 0</b>     |              | <b>\$ 32,228,818</b> | <b>\$ 39,667,778</b> | <b>\$ 41,692,908</b>                 |                                      |
| Short-Term Investment                           |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Investments                                     |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| <b>Total Investments</b>                        |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Accrued Revenue                                 | \$ 20,670            | \$ 904              | \$ 0                |                 |              | \$ 0                 | \$ 21,573            | \$ 54,583                            |                                      |
| Accounts Receivable - General                   | \$ 140,703           |                     |                     |                 |              | \$ 17                | \$ 140,720           | \$ 859,161                           |                                      |
| Dishonored Checks                               |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Due From Employee                               | \$ 7,994             |                     |                     |                 |              |                      | \$ 7,994             | \$ 20,713                            |                                      |
| Civil Jury Fees                                 |                      |                     |                     |                 |              |                      |                      | \$ 0                                 |                                      |
| Trust   |                      |                     |                     |                 |              | \$ 9,492             | \$ 9,492             | \$ 9,492                             |                                      |
| Due From Other Funds                            | \$ 1,345,018         |                     |                     |                 |              | \$ 0                 | \$ 1,345,018         | \$ 1,992,981                         |                                      |
| Due From Other Governments                      | \$ 108,305           | \$ 147,669          | \$ 252,284          |                 |              |                      | \$ 508,258           | \$ 562,824                           |                                      |
| Due From Other Courts                           |                      |                     |                     |                 |              | \$ 0                 | \$ 0                 | \$ 0                                 |                                      |
| Due From State                                  | \$ 1,485,044         | \$ 106,086          | \$ 1,135,154        |                 |              | \$ 180,000           | \$ 2,906,284         | \$ 3,889,724                         |                                      |
| Trust Due To/From                               |                      |                     |                     |                 |              | \$ 284,124           | \$ 284,124           | \$ 662,591                           |                                      |
| Distribution Due To/From                        |                      |                     |                     |                 |              | \$ 19,549            | \$ 19,549            | \$ 111,645                           |                                      |
| Civil Filing Fee Due To/From                    |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| General Due To/From                             | \$ 14,899            | \$ 679,595          |                     |                 |              |                      | \$ 694,493           | \$ 503,612                           |                                      |
| <b>Total Receivables</b>                        | <b>\$ 3,122,633</b>  | <b>\$ 934,253</b>   | <b>\$ 1,387,438</b> | <b>\$ 0</b>     |              | <b>\$ 493,182</b>    | <b>\$ 5,937,506</b>  | <b>\$ 8,667,326</b>                  |                                      |
| Prepaid Expenses - General                      | \$ 172               |                     |                     |                 |              |                      | \$ 172               | \$ 18,828                            |                                      |
| Salary and Travel Advances                      |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Counties  |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| <b>Total Prepaid Expenses</b>                   | <b>\$ 172</b>        |                     |                     |                 |              |                      | <b>\$ 172</b>        | <b>\$ 18,828</b>                     |                                      |
| Other Assets                                    |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| <b>Total Other Assets</b>                       |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| <b>Total Assets</b>                             | <b>\$ 10,315,621</b> | <b>\$ 1,180,397</b> | <b>\$ 1,387,438</b> | <b>\$ 0</b>     |              | <b>\$ 32,722,000</b> | <b>\$ 45,605,456</b> | <b>\$ 50,379,061</b>                 |                                      |
| <b>LIABILITIES AND FUND BALANCES</b>            |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Accrued Liabilities                             | \$ 334,790           | \$ 40,007           | \$ 19,580           |                 |              |                      | \$ 394,376           | \$ 521,485                           |                                      |
| Accounts Payable - General                      | \$ 276,015           | \$ 18,530           | \$ 31,090           | \$ 0            |              | \$ 0                 | \$ 325,635           | \$ 252,315                           |                                      |
| Due to Other Funds                              | \$ 182               | \$ 342,246          | \$ 1,002,772        |                 |              | \$ 997,985           | \$ 2,343,185         | \$ 3,270,828                         |                                      |
| Due to Other Courts                             | \$ 9,799             | \$ 60,585           |                     |                 |              |                      | \$ 70,384            | \$ 28,722                            |                                      |
| Due to State                                    | \$ 17,105            | \$ 0                |                     |                 |              | \$ 180,000           | \$ 197,105           | \$ 40,358                            |                                      |
| TC145 Liability                                 |                      |                     |                     |                 |              | \$ 2,376,249         | \$ 2,376,249         | \$ 3,434,669                         |                                      |
| Due to Other Governments                        | \$ 11,437            |                     | \$ 58,540           |                 |              |                      | \$ 69,977            | \$ 55,086                            |                                      |
| AB145 Due to Other Government Agency            | \$ 0                 |                     |                     |                 |              | \$ 1,142,956         | \$ 1,142,956         | \$ 1,412,564                         |                                      |
| Due to Other Public Agencies                    |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Sales and Use Tax                               | \$ 1,485             |                     | \$ 0                |                 |              |                      | \$ 1,485             | \$ 236                               |                                      |
| Interest  |                      |                     |                     |                 |              | \$ 42                | \$ 42                | \$ 2,250                             |                                      |
| Miscellaneous Accts. Pay. and Accrued Liab.     | \$ 0                 |                     |                     |                 |              |                      | \$ 0                 | \$ 0                                 |                                      |
| <b>Total Accounts Payable and Accrued Liab.</b> | <b>\$ 650,812</b>    | <b>\$ 461,368</b>   | <b>\$ 1,111,982</b> | <b>\$ 0</b>     |              | <b>\$ 4,697,233</b>  | <b>\$ 6,921,395</b>  | <b>\$ 9,018,514</b>                  |                                      |
| Civil   |                      |                     |                     |                 |              | \$ 22,784,801        | \$ 22,784,801        | \$ 24,382,338                        |                                      |
| Criminal  |                      |                     |                     |                 |              | \$ 4,433,215         | \$ 4,433,215         | \$ 7,527,030                         |                                      |
| Unreconciled - Civil and Criminal               |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Trust Held Outside of the JCC                   |                      |                     |                     |                 |              | \$ 0                 | \$ 0                 | \$ 0                                 |                                      |
| Trust Interest Payable                          |                      |                     |                     |                 |              | \$ 997,724           | \$ 997,724           | \$ 804,943                           |                                      |
| Miscellaneous Trust                             |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| <b>Total Trust Deposits</b>                     |                      |                     |                     |                 |              | <b>\$ 28,215,740</b> | <b>\$ 28,215,740</b> | <b>\$ 32,714,311</b>                 |                                      |
| Accrued Payroll                                 | \$ 2,739,698         | \$ 478,386          | \$ 64,460           |                 |              |                      | \$ 3,282,544         | \$ 3,195,467                         |                                      |
| Benefits Payable                                | \$ 532,992           |                     |                     |                 |              |                      | \$ 532,992           | \$ 1,615,346                         |                                      |
| Deferred Compensation Payable                   | \$ 0                 |                     |                     |                 |              |                      | \$ 0                 | \$ 0                                 |                                      |
| Deductions Payable                              | \$ 0                 |                     |                     |                 |              |                      | \$ 0                 | \$ (27)                              |                                      |
| Payroll Clearing                                | \$ 0                 | \$ 0                | \$ 0                |                 |              |                      | \$ 0                 | \$ 0                                 |                                      |
| <b>Total Payroll Liabilities</b>                | <b>\$ 3,272,690</b>  | <b>\$ 478,386</b>   | <b>\$ 64,460</b>    |                 |              |                      | <b>\$ 3,815,536</b>  | <b>\$ 4,810,786</b>                  |                                      |
| Revenue Collected in Advance                    | \$ 6,000,000         |                     | \$ 209,834          |                 |              |                      | \$ 6,209,834         | \$ 3,670,618                         |                                      |
| Liabilities For Deposits                        | \$ 93,785            | \$ 5,528            | \$ 1,162            |                 |              | \$ 22,978            | \$ 123,454           | \$ 94,552                            |                                      |
| Jury Fees - Non-Interest                        |                      |                     |                     |                 |              | \$ 0                 | \$ 0                 | \$ 2,397                             |                                      |
| Fees - Partial Payment & Overpayment            |                      |                     |                     |                 |              |                      |                      |                                      |                                      |
| Uncleared Collections                           | \$ 0                 |                     |                     |                 |              | \$ 0                 | \$ 0                 | \$ (8,768)                           |                                      |
| Other Miscellaneous Liabilities                 |                      |                     |                     |                 |              | \$ (213,951)         | \$ (213,951)         | \$ (48,950)                          |                                      |
| <b>Total Other Liabilities</b>                  | <b>\$ 6,093,785</b>  | <b>\$ 5,528</b>     | <b>\$ 210,996</b>   |                 |              | <b>\$ (190,973)</b>  | <b>\$ 6,119,336</b>  | <b>\$ 3,709,850</b>                  |                                      |
| <b>Total Liabilities</b>                        | <b>\$ 10,017,288</b> | <b>\$ 945,282</b>   | <b>\$ 1,387,438</b> | <b>\$ 0</b>     |              | <b>\$ 32,722,000</b> | <b>\$ 45,072,007</b> | <b>\$ 50,253,460</b>                 |                                      |
| <b>Total Fund Balance</b>                       | <b>\$ 298,333</b>    | <b>\$ 235,115</b>   | <b>\$ 0</b>         | <b>\$ 0</b>     |              |                      | <b>\$ 533,449</b>    | <b>\$ 125,602</b>                    |                                      |
| <b>Total Liabilities and Fund Balance</b>       | <b>\$ 10,315,621</b> | <b>\$ 1,180,397</b> | <b>\$ 1,387,438</b> | <b>\$ 0</b>     |              | <b>\$ 32,722,000</b> | <b>\$ 45,605,456</b> | <b>\$ 50,379,061</b>                 |                                      |

**Superior Court of California, County of Santa Clara  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)**

| For the month ended June                              |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
|---|-----------------------|---------------------|---------------------|-----------------------|--------------|-------------------|-----------------------|--------------------------------------|----------------------------|--------------------------------------|--------------------------|
|   | Fiscal Year 2019/20   |                     |                     |                       |              |                   |                       | 2018/19                              |                            |                                      |                          |
|   | Governmental Funds    |                     |                     |                       |              | Proprietary Funds | Fiduciary Funds       | Total Funds<br>(Info. Purposes Only) | Current Budget<br>(Annual) | Total Funds<br>(Info. Purposes Only) | Final Budget<br>(Annual) |
|   | General               | Special Revenue     |                     | Capital Projects      | Debt Service |                   |                       |                                      |                            |                                      |                          |
|   |                       | Non-Grant           | Grant               |                       |              |                   |                       |                                      |                            |                                      |                          |
| <b>REVENUES</b>                                       |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| <b>State Financing Sources</b>                        |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Trial Court Trust Fund                                | \$ 79,964,821         | \$ 633,019          |                     |                       |              |                   |                       | \$ 80,597,840                        | \$ 82,068,881              | \$ 77,766,837                        | \$ 83,492,551            |
| Improvement and Modernization Fund                    | \$ 626,443            |                     |                     |                       |              |                   | \$ 626,443            | \$ 245,193                           | \$ 245,193                 | \$ 245,193                           | \$ 245,193               |
| Judges' Compensation (0150019)                        | \$ 700,048            |                     |                     |                       |              |                   | \$ 700,048            | \$ 715,000                           | \$ 715,207                 | \$ 715,207                           | \$ 712,514               |
| Court Interpreter (0150037)                           | \$ 5,846,426          |                     |                     |                       |              |                   | \$ 5,846,426          | \$ 7,215,522                         | \$ 6,945,249               | \$ 6,945,249                         | \$ 6,852,814             |
| Civil Coordination Reimbursement (0150091)            |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| MOU Reimbursements (0150010 and General)              | \$ 1,651,336          |                     |                     |                       |              |                   | \$ 1,651,336          | \$ 1,943,671                         | \$ 1,930,744               | \$ 1,930,744                         | \$ 1,964,171             |
| Other Miscellaneous                                   | \$ 2,309,466          |                     |                     |                       |              |                   | \$ 2,309,466          | \$ 2,309,466                         | \$ 2,309,466               | \$ 2,309,466                         | \$ 2,309,466             |
|   | \$ 91,098,539         | \$ 633,019          |                     |                       |              |                   | \$ 91,731,558         | \$ 94,497,733                        | \$ 89,912,696              | \$ 95,576,709                        |                          |
| <b>Grants</b>   |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| AB 1058 Commissioner/Facilitator                      |                       |                     | \$ 2,589,026        |                       |              |                   | \$ 2,589,026          | \$ 2,926,643                         | \$ 2,498,280               | \$ 2,498,280                         | \$ 2,846,373             |
| Other Judicial Council Grants                         |                       |                     | \$ 433,319          |                       |              |                   | \$ 433,319            | \$ 565,484                           | \$ 398,991                 | \$ 398,991                           | \$ 469,915               |
| Non-Judicial Council Grants                           |                       |                     | \$ 1,211,118        |                       |              |                   | \$ 1,211,118          | \$ 1,288,149                         | \$ 1,029,367               | \$ 1,029,367                         | \$ 1,008,133             |
|   |                       |                     | \$ 4,233,463        |                       |              |                   | \$ 4,233,463          | \$ 4,780,276                         | \$ 3,926,638               | \$ 4,324,421                         |                          |
| <b>Other Financing Sources</b>                        |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Interest Income                                       | \$ 337,193            | \$ 4,128            |                     | \$ 3                  |              |                   | \$ 341,323            | \$ 425,000                           | \$ 437,684                 | \$ 437,684                           | \$ 168,700               |
| Investment Income                                     |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Donations   |                       | \$ 3,494            |                     |                       |              |                   | \$ 3,494              | \$ 12,280                            | \$ 9,779                   | \$ 9,779                             | \$ 49,218                |
| Local Fees  | \$ 424,673            | \$ 383,215          |                     |                       |              |                   | \$ 807,888            | \$ 1,044,912                         | \$ 773,477                 | \$ 773,477                           | \$ 2,182,068             |
| Non-Fee Revenues                                      |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Enhanced Collections                                  |                       | \$ 4,322,418        |                     |                       |              |                   | \$ 4,322,418          | \$ 2,300,000                         | \$ 2,224,702               | \$ 2,224,702                         | \$ 750,200               |
| Escheatment   | \$ 28,339             | \$ 1,160            |                     |                       |              |                   | \$ 29,500             | \$ 25,000                            | \$ 177,028                 | \$ 177,028                           | \$ 37,000                |
| Prior Year Revenue                                    | \$ (18,971)           |                     |                     |                       |              |                   | \$ (18,971)           |                                      |                            |                                      |                          |
| County Program - Restricted                           |                       | \$ 114,315          |                     |                       |              |                   | \$ 114,315            | \$ 113,028                           | \$ 111,845                 | \$ 111,845                           | \$ 107,436               |
| Reimbursement Other                                   | \$ 3,537,669          | \$ 1,627,554        | \$ 0                |                       |              |                   | \$ 5,165,223          | \$ 5,372,613                         | \$ 6,318,600               | \$ 6,318,600                         | \$ 2,112,561             |
| Sale of Fixed Assets                                  |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Other Miscellaneous                                   | \$ 190,193            |                     |                     |                       |              |                   | \$ 190,193            | \$ 15,000                            | \$ 15,728                  | \$ 15,728                            | \$ 13,400                |
|   | \$ 4,499,095          | \$ 6,456,285        | \$ 0                | \$ 3                  |              |                   | \$ 10,955,383         | \$ 9,307,833                         | \$ 10,068,842              | \$ 10,068,842                        | \$ 5,420,583             |
| <b>Total Revenues</b>                                 | <b>\$ 95,597,634</b>  | <b>\$ 7,089,304</b> | <b>\$ 4,233,463</b> | <b>\$ 3</b>           |              |                   | <b>\$ 106,920,403</b> | <b>\$ 108,585,842</b>                | <b>\$ 103,908,176</b>      | <b>\$ 105,321,713</b>                |                          |
| <b>EXPENDITURES</b>                                   |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| <b>Personal Services</b>                              |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Salaries - Permanent                                  | \$ 50,906,265         | \$ 2,405,877        | \$ 1,741,955        |                       |              |                   | \$ 55,054,097         | \$ 45,018,053                        | \$ 52,430,344              | \$ 49,639,718                        | \$ 49,639,718            |
| Temp Help   | \$ 242,692            |                     |                     |                       |              |                   | \$ 242,692            | \$ 250,000                           | \$ 324,892                 | \$ 324,892                           | \$ 759,760               |
| Overtime  | \$ 219,391            | \$ 8,988            | \$ 0                |                       |              |                   | \$ 228,379            | \$ 100,000                           | \$ 427,387                 | \$ 427,387                           | \$ 250,000               |
| Staff Benefits  | \$ 28,362,400         | \$ 2,779,962        | \$ 920,602          |                       |              |                   | \$ 32,062,964         | \$ 38,434,959                        | \$ 31,136,626              | \$ 31,136,626                        | \$ 33,083,854            |
|   | \$ 79,730,747         | \$ 5,194,828        | \$ 2,662,557        |                       |              |                   | \$ 87,588,132         | \$ 83,803,012                        | \$ 84,319,249              | \$ 84,319,249                        | \$ 83,733,332            |
| <b>Operating Expenses and Equipment</b>               |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| General Expense                                       | \$ 1,676,326          | \$ 16,904           | \$ 55,044           |                       |              |                   | \$ 1,748,273          | \$ 1,901,850                         | \$ 1,671,426               | \$ 1,671,426                         | \$ 1,304,640             |
| Printing  | \$ 75,404             |                     |                     |                       |              |                   | \$ 75,404             | \$ 95,000                            | \$ 93,453                  | \$ 93,453                            | \$ 129,478               |
| Telecommunications                                    | \$ 765,390            | \$ 174              |                     |                       |              |                   | \$ 765,564            | \$ 981,806                           | \$ 667,805                 | \$ 667,805                           | \$ 815,000               |
| Postage   | \$ 284,435            |                     |                     |                       |              |                   | \$ 284,435            | \$ 339,500                           | \$ 329,818                 | \$ 329,818                           | \$ 369,500               |
| Insurance   | \$ 46,689             |                     |                     |                       |              |                   | \$ 46,689             | \$ 42,000                            | \$ 41,600                  | \$ 41,600                            | \$ 39,500                |
| In-State Travel                                       | \$ 102,888            | \$ 318              | \$ 7,468            |                       |              |                   | \$ 110,673            | \$ 128,499                           | \$ 138,112                 | \$ 138,112                           | \$ 120,338               |
| Out-of-State Travel                                   | \$ 9,387              |                     | \$ 2,202            |                       |              |                   | \$ 11,589             | \$ 29,999                            | \$ 17,827                  | \$ 17,827                            | \$ 51,734                |
| Training  | \$ 95,408             |                     | \$ 7,650            |                       |              |                   | \$ 103,058            | \$ 130,000                           | \$ 116,690                 | \$ 116,690                           | \$ 112,731               |
| Security Services                                     | \$ 173,561            |                     | \$ 416,065          |                       |              |                   | \$ 589,626            | \$ 563,565                           | \$ 537,510                 | \$ 537,510                           | \$ 404,164               |
| Facility Operations                                   | \$ 1,855,487          |                     |                     |                       |              |                   | \$ 1,855,487          | \$ 1,879,915                         | \$ 1,712,994               | \$ 1,712,994                         | \$ 1,617,158             |
| Utilities   | \$ 176,527            |                     |                     |                       |              |                   | \$ 176,527            | \$ 160,000                           | \$ 153,340                 | \$ 153,340                           | \$ 165,000               |
| Contracted Services                                   | \$ 6,515,323          | \$ 1,639,601        | \$ 840,107          |                       |              |                   | \$ 8,995,031          | \$ 11,771,798                        | \$ 9,052,872               | \$ 9,052,872                         | \$ 9,302,828             |
| Consulting and Professional Services                  | \$ 75,698             |                     |                     |                       |              |                   | \$ 75,698             | \$ 192,200                           | \$ 259,090                 | \$ 259,090                           | \$ 454,676               |
| Information Technology                                | \$ 1,325,585          | \$ 1,488            | \$ 0                |                       |              |                   | \$ 1,327,073          | \$ 2,480,474                         | \$ 1,316,934               | \$ 1,316,934                         | \$ 2,332,668             |
| Major Equipment                                       | \$ 251,829            |                     |                     |                       |              |                   | \$ 251,829            | \$ 35,500                            | \$ 477,043                 | \$ 477,043                           | \$ 477,043               |
| Other Items of Expense                                | \$ 51,425             |                     |                     |                       |              |                   | \$ 51,425             | \$ 63,825                            | \$ 58,120                  | \$ 58,120                            | \$ 42,670                |
|   | \$ 13,481,361         | \$ 1,658,484        | \$ 1,328,535        |                       |              |                   | \$ 16,468,379         | \$ 20,795,931                        | \$ 16,644,634              | \$ 16,644,634                        | \$ 17,262,085            |
| <b>Special Items of Expense</b>                       |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Grand Jury  | \$ 45                 | \$ 2,229            |                     |                       |              |                   | \$ 2,274              | \$ 5,500                             | \$ 2,668                   | \$ 2,668                             | \$ 5,500                 |
| Jury Costs  | \$ 464,744            | \$ 86,422           |                     |                       |              |                   | \$ 551,166            | \$ 826,000                           | \$ 879,141                 | \$ 879,141                           | \$ 771,000               |
| Judgements, Settlements and Claims                    | \$ 114,542            |                     |                     |                       |              |                   | \$ 114,542            | \$ 250,000                           | \$ 1,130                   | \$ 1,130                             | \$ 1,130                 |
| Debt Service  |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Other   |                       |                     |                     |                       |              |                   |                       |                                      | \$ 2,963                   | \$ 2,963                             | \$ 2,963                 |
| <b>Capital Costs</b>                                  |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Internal Cost Recovery                                | \$ (1,073,079)        | \$ 608,712          | \$ 464,367          | \$ 1,765,500          |              |                   | \$ 1,765,500          | \$ 3,031,000                         | \$ 2,687,419               | \$ 2,687,419                         | \$ 4,031,000             |
| Prior Year Expense Adjustment                         | \$ 22,562             |                     |                     |                       |              |                   | \$ 22,562             | \$ 0                                 | \$ 0                       | \$ 0                                 | \$ 0                     |
|   | \$ (471,186)          | \$ 697,364          | \$ 464,367          | \$ 1,765,500          |              |                   | \$ 2,456,045          | \$ 4,112,500                         | \$ 3,573,321               | \$ 3,573,321                         | \$ 4,807,500             |
| <b>Total Expenditures</b>                             | <b>\$ 92,740,922</b>  | <b>\$ 7,550,676</b> | <b>\$ 4,455,458</b> | <b>\$ 1,765,500</b>   |              |                   | <b>\$ 106,512,556</b> | <b>\$ 108,711,443</b>                | <b>\$ 104,537,204</b>      | <b>\$ 105,802,917</b>                |                          |
| <b>Excess (Deficit) of Revenues Over Expenditures</b> | <b>\$ 2,856,712</b>   | <b>\$ (461,372)</b> | <b>\$ (221,996)</b> | <b>\$ (1,765,497)</b> |              |                   | <b>\$ 407,847</b>     | <b>\$ (125,601)</b>                  | <b>\$ (629,028)</b>        | <b>\$ (481,204)</b>                  |                          |
| <b>Operating Transfers In (Out)</b>                   | <b>\$ (2,581,362)</b> | <b>\$ 593,869</b>   | <b>\$ 221,996</b>   | <b>\$ 1,765,497</b>   |              |                   | <b>\$ 0</b>           | <b>\$ 0</b>                          | <b>\$ 0</b>                | <b>\$ 0</b>                          |                          |
| <b>Fund Balance (Deficit)</b>                         |                       |                     |                     |                       |              |                   |                       |                                      |                            |                                      |                          |
| Beginning Balance (Deficit)                           | \$ 22,983             | \$ 102,618          | \$ 0                | \$ 0                  |              |                   | \$ 125,602            | \$ 125,602                           | \$ 754,629                 | \$ 754,629                           | \$ 754,629               |
| Ending Balance (Deficit)                              | \$ 298,333            | \$ 235,115          | \$ 0                | \$ 0                  |              |                   | \$ 533,449            | \$ 1                                 | \$ 125,602                 | \$ 125,602                           | \$ 273,425               |

**Superior Court of California, County of Santa Clara  
Trial Court Operations Fund  
Statement of Program Expenditures  
(Unaudited)**

| For the month ended June                            |                      |                                  |                          |                     |                        |                               |                       |                         |                       |                       |
|---|----------------------|----------------------------------|--------------------------|---------------------|------------------------|-------------------------------|-----------------------|-------------------------|-----------------------|-----------------------|
| Fiscal Year 2019/20                                 |                      |                                  |                          |                     |                        |                               |                       |                         | 2018/19               |                       |
|   | Personal Services    | Operating Expenses and Equipment | Special Items of Expense | Capital Costs       | Internal Cost Recovery | Prior Year Expense Adjustment | Total Actual Expense  | Current Budget (Annual) | Total Actual Expense  | Final Budget (Annual) |
| <b>PROGRAM EXPENDITURES:</b>                        |                      |                                  |                          |                     |                        |                               |                       |                         |                       |                       |
| Judges & Courtroom Support                          | \$ 23,304,656        | \$ 1,399,945                     | \$ 114,542               |                     | \$ (114,814)           |                               | \$ 24,704,329         | \$ 23,123,677           | \$ 23,883,400         | \$ 24,226,145         |
| Traffic & Other Infractions                         | \$ 1,731,348         | \$ 25,005                        |                          |                     |                        |                               | \$ 1,756,352          | \$ 2,318,835            | \$ 3,902,127          | \$ 4,008,175          |
| Other Criminal Cases                                | \$ 14,563,158        | \$ 2,044,903                     |                          |                     | \$ 30,427              |                               | \$ 16,638,487         | \$ 13,527,533           | \$ 13,752,332         | \$ 11,521,945         |
| Civil   | \$ 7,312,627         | \$ 258,658                       |                          |                     | \$ (19,617)            |                               | \$ 7,551,667          | \$ 6,859,273            | \$ 6,973,747          | \$ 8,223,116          |
| Family & Children Services                          | \$ 11,810,032        | \$ 1,723,658                     |                          |                     | \$ 146,235             |                               | \$ 13,679,925         | \$ 14,001,486           | \$ 13,485,448         | \$ 13,451,202         |
| Probate, Guardianship & Mental Health Services      | \$ 3,211,725         | \$ 18,960                        |                          |                     |                        |                               | \$ 3,230,685          | \$ 3,067,815            | \$ 3,163,992          | \$ 3,266,903          |
| Juvenile Dependency Services                        | \$ 402,082           | \$ 371,806                       |                          |                     | \$ 10,058              |                               | \$ 783,945            | \$ 878,900              | \$ 762,725            | \$ 869,799            |
| Juvenile Delinquency Services                       | \$ 829,937           | \$ 197,107                       |                          |                     | \$ 19,800              |                               | \$ 1,046,844          | \$ 831,030              | \$ 735,700            | \$ 804,860            |
| Other Court Operations                              | \$ 552,249           | \$ 16,470                        |                          |                     |                        |                               | \$ 568,719            | \$ 906,808              | \$ 1,261,520          | \$ 1,444,762          |
| Court Interpreters                                  | \$ 3,741,101         | \$ 2,432,121                     |                          |                     |                        |                               | \$ 6,173,222          | \$ 7,420,378            | \$ 7,299,868          | \$ 7,081,218          |
| Jury Services                                       | \$ 631,990           | \$ 102,725                       | \$ 464,744               |                     |                        |                               | \$ 1,199,459          | \$ 1,234,893            | \$ 1,358,389          | \$ 2,009,261          |
| Security  | \$ 203,493           | \$ 203,493                       |                          |                     |                        |                               | \$ 203,493            | \$ 330,800              | \$ 261,257            | \$ 31,500             |
| <b>Trial Court Operations Program</b>               | <b>\$ 68,090,903</b> | <b>\$ 8,794,850</b>              | <b>\$ 579,286</b>        |                     | <b>\$ 72,090</b>       |                               | <b>\$ 77,537,129</b>  | <b>\$ 74,501,428</b>    | <b>\$ 76,840,505</b>  | <b>\$ 76,938,886</b>  |
| Enhanced Collections                                | \$ 3,029,804         | \$ 683,867                       |                          |                     | \$ 0                   |                               | \$ 3,713,671          | \$ 2,300,000            |                       |                       |
| Other Non-Court Operations                          | \$ 1,800,757         | \$ 84,893                        | \$ 88,697                |                     |                        |                               | \$ 1,974,346          | \$ 2,088,724            | \$ 2,120,593          | \$ 2,545,134          |
| <b>Non-Court Operations Program</b>                 | <b>\$ 4,830,561</b>  | <b>\$ 768,759</b>                | <b>\$ 88,697</b>         |                     | <b>\$ 0</b>            |                               | <b>\$ 5,688,017</b>   | <b>\$ 4,388,724</b>     | <b>\$ 2,120,593</b>   | <b>\$ 2,545,134</b>   |
| Executive Office                                    | \$ 3,310,753         | \$ 639,241                       |                          |                     | \$ (72,090)            |                               | \$ 3,877,905          | \$ 2,668,206            | \$ 2,581,788          | \$ 1,651,344          |
| Fiscal Services                                     | \$ 3,402,863         | \$ 830,844                       |                          |                     |                        |                               | \$ 4,233,707          | \$ 4,687,309            | \$ 5,278,835          | \$ 6,466,431          |
| Human Resources                                     | \$ 1,424,191         | \$ 68,110                        |                          |                     |                        | \$ 3,734                      | \$ 1,496,035          | \$ 4,989,211            | \$ 2,924,946          | \$ 1,693,239          |
| Business & Facilities Services                      | \$ 2,263,817         | \$ 2,399,475                     |                          | \$ 1,765,500        |                        | \$ 18,828                     | \$ 6,447,620          | \$ 7,427,860            | \$ 6,955,875          | \$ 8,301,974          |
| Information Technology                              | \$ 4,265,044         | \$ 2,967,100                     |                          |                     |                        |                               | \$ 7,232,145          | \$ 10,048,705           | \$ 7,834,663          | \$ 8,205,909          |
| <b>Court Administration Program</b>                 | <b>\$ 14,666,668</b> | <b>\$ 6,904,770</b>              |                          | <b>\$ 1,765,500</b> | <b>\$ (72,090)</b>     | <b>\$ 22,562</b>              | <b>\$ 23,287,411</b>  | <b>\$ 29,821,291</b>    | <b>\$ 25,576,106</b>  | <b>\$ 26,318,897</b>  |
| Expenditures Not Distributed or Posted to a Program |                      |                                  |                          |                     |                        |                               |                       |                         |                       |                       |
| Prior Year Adjustments Not Posted to a Program      |                      |                                  |                          |                     |                        |                               |                       |                         |                       |                       |
| <b>Total</b>  | <b>\$ 87,588,132</b> | <b>\$ 16,468,379</b>             | <b>\$ 667,983</b>        | <b>\$ 1,765,500</b> | <b>\$ 0</b>            | <b>\$ 22,562</b>              | <b>\$ 106,512,556</b> | <b>\$ 108,711,443</b>   | <b>\$ 104,537,204</b> | <b>\$ 105,802,917</b> |

### Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Clara

| Classification | Info Only         | Info Only        | Governmental Funds |                   |             |                 | Proprietary Funds | Fiduciary Funds | Total Funds<br>(Info. Purposes Only) |              |
|----------------|-------------------|------------------|--------------------|-------------------|-------------|-----------------|-------------------|-----------------|--------------------------------------|--------------|
|                | General TCTF      | General Non-TCTF | General            | Special Revenue   |             | Capital Project |                   |                 |                                      | Debt Service |
|                |                   |                  |                    | Non-Grant         | Grant       |                 |                   |                 |                                      |              |
| Nonspendable   | 172               | -                | 172                | -                 | -           | -               | -                 | -               | 172                                  |              |
| Restricted     | 80,589            | -                | 80,589             | 235,115           | -           | -               | -                 | -               | 315,704                              |              |
| Committed      | 121,824           | -                | 121,824            | -                 | -           | -               | -                 | -               | 121,824                              |              |
| Assigned       | 95,748            | -                | 95,748             | -                 | -           | -               | -                 | -               | 95,748                               |              |
| Unassigned     | -                 | -                | -                  | -                 | -           | -               | -                 | -               | -                                    |              |
| <b>Total</b>   | <b>\$ 298,333</b> | <b>\$ -</b>      | <b>\$ 298,333</b>  | <b>\$ 235,115</b> | <b>\$ -</b> | <b>\$ -</b>     | <b>\$ -</b>       | <b>\$ -</b>     | <b>\$ 533,449</b>                    |              |