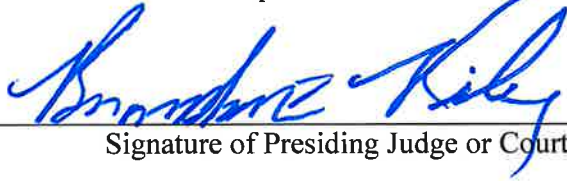


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

08/17/2020

Date

San Joaquin

Court

2019-2020 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

2019-2020 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Joaquin

Court

2019-2020 Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	348	332.65	334.37	328.77	321.08

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended June							2018/19	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2019/20									
	Governmental Funds					Proprietary Funds	Fiduciary Funds			
General	Special Revenue		Capital Project	Debt Service						
		Non-Grant	Grant							
ASSETS										
Operations	\$ (4,468,435)	\$ 1,817,820	\$ 214,621	\$ 2,458,182			\$ 74,608	\$ 96,797	\$ 22,696	
Payroll	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Jury								\$ 25,000	\$ 15,000	
Revolving	\$ 25,000							\$ 14,870	\$ 10,474	
Other	\$ 14,870							\$ 223,460	\$ 20	
Distribution							\$ 0	\$ 0	\$ 0	
Civil Filing Fees							\$ (5,065)	\$ (5,065)	\$ (7,701)	
Trust										
Credit Card								\$ 10,250	\$ 9,650	
Cash on Hand	\$ 10,250							\$ 300,349	\$ 276,667	
Cash with County	\$ 15,228	\$ 218,001	\$ 67,120					\$ 0	\$ 0	
Cash Outside of the JCC								\$ 0	\$ 0	
Cash Equivalents	\$ 7,363,945						\$ 3,785,455	\$ 11,149,400	\$ 9,079,586	
Total Cash and Cash Equivalents	\$ 2,960,859	\$ 2,035,821	\$ 281,741	\$ 2,458,182			\$ 4,078,438	\$ 11,815,041	\$ 9,406,391	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 24,879	\$ 7,152	\$ 0	\$ 8,001				\$ 40,033	\$ 143,018	
Accounts Receivable - General	\$ 795		\$ 0					\$ 795	\$ 226	
Dishonored Checks								\$ 682	\$ 0	
Due From Employee	\$ 682							\$ 0	\$ 7,031	
Civil Jury Fees	\$ 0	\$ 0								
Trust										
Due From Other Funds	\$ 191,395							\$ 191,395	\$ 357,017	
Due From Other Governments	\$ 3,807	\$ 25,065	\$ 117,781					\$ 146,653	\$ 102,007	
Due From Other Courts	\$ 0							\$ 0	\$ 0	
Due From State	\$ 835,405	\$ 46,509	\$ 337,300					\$ 1,219,214	\$ 1,467,263	
Trust Due To/From							\$ 25,472	\$ 25,472	\$ 5,057	
Distribution Due To/From							\$ 6	\$ 6	\$ 0	
Civil Filing Fee Due To/From							\$ 1,132	\$ 1,132	\$ 19,371	
General Due To/From	\$ 35,935	\$ 83,070						\$ 119,005	\$ 14,234	
Total Receivables	\$ 1,092,898	\$ 161,796	\$ 455,081	\$ 8,001			\$ 26,610	\$ 1,744,387	\$ 2,115,226	
Prepaid Expenses - General	\$ 152,801	\$ 60						\$ 152,861	\$ 226,122	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 152,801	\$ 60						\$ 152,861	\$ 226,122	
Other Assets										
Total Other Assets										
Total Assets	\$ 4,206,557	\$ 2,197,677	\$ 736,823	\$ 2,466,183			\$ 4,105,047	\$ 13,712,288	\$ 11,747,739	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 176,691	\$ 30,241	\$ 21,134					\$ 228,065	\$ 393,050	
Accounts Payable - General	\$ 22,052	\$ 86	\$ 0					\$ 22,138	\$ 365,076	
Due to Other Funds	\$ 1,138	\$ 82,718	\$ 108,675				\$ 144,478	\$ 337,010	\$ 395,660	
Due to Other Courts	\$ 4,306							\$ 4,306	\$ 4,306	
Due to State	\$ 2,754							\$ 2,754	\$ 2,664	
TC145 Liability							\$ 376,696	\$ 376,696	\$ 790,672	
Due to Other Governments	\$ 18,072	\$ 4,800	\$ 0	\$ 2,466,183				\$ 2,489,056	\$ 2,419,220	
AB145 Due to Other Government Agency							\$ 784,598	\$ 784,598	\$ 602,834	
Due to Other Public Agencies										
Sales and Use Tax	\$ 3,775		\$ 0					\$ 3,775	\$ 215	
Interest							\$ 17	\$ 17	\$ 1,028	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.	\$ 228,788	\$ 117,845	\$ 129,809	\$ 2,466,183			\$ 1,305,790	\$ 4,248,415	\$ 4,970,439	
Civil							\$ 2,254,385	\$ 2,254,385	\$ 1,698,271	
Criminal							\$ 429,928	\$ 429,928	\$ 906,016	
Unreconciled - Civil and Criminal								\$ 0	\$ 0	
Trust Held Outside of the JCC								\$ 0	\$ 0	
Trust Interest Payable							\$ 92,984	\$ 92,984	\$ 72,864	
Miscellaneous Trust										
Total Trust Deposits							\$ 2,777,298	\$ 2,777,298	\$ 2,677,151	
Accrued Payroll	\$ 957,313	\$ 17,474	\$ 26,414					\$ 1,001,201	\$ 601,212	
Benefits Payable	\$ 1,016,410							\$ 1,016,410	\$ 923,467	
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0	
Deductions Payable	\$ 0							\$ 0	\$ 0	
Payroll Clearing	\$ (2,300)							\$ (2,300)	\$ 812	
Total Payroll Liabilities	\$ 1,971,423	\$ 17,474	\$ 26,414					\$ 2,015,311	\$ 1,525,491	
Revenue Collected in Advance	\$ 0	\$ 280	\$ 580,600					\$ 580,879	\$ 309,723	
Liabilities For Deposits	\$ 29,469	\$ 1,136					\$ 7,420	\$ 38,025	\$ 78,456	
Jury Fees - Non-Interest								\$ 0	\$ 6,407	
Fees - Partial Payment & Overpayment							\$ 14,542	\$ 14,542	\$ 11,170	
Uncleared Collections	\$ 0						\$ (2)	\$ (2)	\$ (356,306)	
Other Miscellaneous Liabilities										
Total Other Liabilities	\$ 29,469	\$ 1,416	\$ 580,600				\$ 21,960	\$ 633,445	\$ 49,449	
Total Liabilities	\$ 2,229,680	\$ 136,735	\$ 736,823	\$ 2,466,183			\$ 4,105,047	\$ 9,574,468	\$ 9,222,531	
Fund Balance - Nonspendable	\$ 226,122							\$ 226,122	\$ 231,726	
Fund Balance - Restricted	\$ 0	\$ 1,922,727						\$ 1,922,727	\$ 1,891,852	
Fund Balance - Committed	\$ 81,129							\$ 81,129	\$ 162,199	
Fund Balance - Assigned	\$ 295,230							\$ 295,230	\$ 116,572	
Fund Balance - Unassigned	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 1,374,396	\$ 138,216	\$ 0	\$ 0				\$ 1,512,612	\$ 122,857	
Total Fund Balance	\$ 1,976,878	\$ 2,060,943	\$ 0	\$ 0				\$ 4,037,820	\$ 2,525,209	
Total Liabilities and Fund Balance	\$ 4,206,557	\$ 2,197,677	\$ 736,823	\$ 2,466,183			\$ 4,105,047	\$ 13,712,288	\$ 11,747,739	

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June										
Fiscal Year 2019/20										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2018/19	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)
Non-Grant		Grant								
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 38,751,878	\$ 231,994					\$ 38,983,872	\$ 38,886,774	\$ 37,198,295	\$ 37,178,548
Improvement and Modernization Fund	\$ 94,413						\$ 94,413	\$ 137,118	\$ 70,234	\$ 168,524
Judges' Compensation (0150019)										
Court Interpreter (0150037)	\$ 1,689,177						\$ 1,689,177	\$ 1,879,164	\$ 1,810,600	\$ 1,797,322
Civil Coordination Reimbursement (0150091)										
MOU Reimbursements (0150010 and General)	\$ 871,161	\$ 2,070					\$ 873,231	\$ 899,424	\$ 899,291	\$ 916,548
Other Miscellaneous	\$ 1,245,356						\$ 1,245,356	\$ 1,245,356	\$ 1,245,356	\$ 1,245,356
	\$ 42,651,985	\$ 234,064					\$ 42,886,049	\$ 43,047,838	\$ 41,223,775	\$ 41,306,298
Grants										
AG 1058 Commissioner/Facilitator			\$ 883,763				\$ 883,763	\$ 1,018,047	\$ 910,096	\$ 979,237
Other Judicial Council Grants			\$ 926,073				\$ 928,073	\$ 1,528,363	\$ 942,910	\$ 1,178,442
Non-Judicial Council Grants			\$ 907,024				\$ 907,024	\$ 1,238,950	\$ 799,423	\$ 905,983
			\$ 2,716,859				\$ 2,716,859	\$ 3,785,360	\$ 2,652,429	\$ 3,063,662
Other Financing Sources										
Interest Income	\$ 185,797	\$ 44,162					\$ 229,959	\$ 239,400	\$ 239,338	\$ 137,675
Investment Income										
Donations										
Local Fees	\$ 220,668	\$ 89,567					\$ 310,234	\$ 312,025	\$ 312,990	\$ 286,225
Non-Fee Revenues										
Enhanced Collections		\$ 498,924					\$ 498,924	\$ 676,769	\$ 483,218	\$ 297,929
Escheatment	\$ 37,999	\$ 389					\$ 38,388		\$ 1,326	
Prior Year Revenue	\$ (7,289)	\$ 771					\$ (6,519)		\$ 1	
County Program - Restricted		\$ 1,186,219					\$ 1,186,219	\$ 1,279,396	\$ 1,198,047	\$ 1,248,477
Reimbursement Other	\$ 1,231,938						\$ 1,231,938	\$ 72,388	\$ 82,315	\$ 70,506
Sale of Fixed Assets										
Other Miscellaneous	\$ 2,553						\$ 2,553	\$ 5,775	\$ 3,829	\$ 9,625
	\$ 1,671,668	\$ 1,820,031					\$ 3,491,697	\$ 2,585,753	\$ 2,321,084	\$ 2,050,431
Total Revenues	\$ 44,323,651	\$ 2,054,095	\$ 2,716,859				\$ 49,086,606	\$ 49,418,949	\$ 46,197,287	\$ 48,420,391
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 20,470,538	\$ 853,679	\$ 1,316,015				\$ 22,640,232	\$ 22,521,485	\$ 21,311,110	\$ 21,891,284
Temp Help	\$ 124,995						\$ 124,995	\$ 174,492	\$ 79,260	\$ 34,066
Overtime	\$ 408,472	\$ 2,042	\$ 958				\$ 409,472	\$ 30,000	\$ 43,428	\$ 40,000
Staff Benefits	\$ 15,375,784	\$ 423,148	\$ 672,167				\$ 16,471,099	\$ 17,935,382	\$ 16,280,997	\$ 16,091,523
	\$ 36,377,788	\$ 1,278,870	\$ 1,989,139				\$ 39,045,797	\$ 40,661,339	\$ 37,714,796	\$ 38,058,853
Operating Expenses and Equipment										
General Expense	\$ 1,083,586	\$ 34,290	\$ 71,716				\$ 1,189,572	\$ 1,351,114	\$ 1,026,705	\$ 1,373,341
Printing	\$ 37,679	\$ 981	\$ 2,989				\$ 41,548	\$ 47,756	\$ 48,393	\$ 55,580
Telecommunications	\$ 139,215	\$ 418	\$ 435				\$ 140,068	\$ 131,308	\$ 130,490	\$ 147,449
Postage	\$ 197,701	\$ 28	\$ 50				\$ 197,778	\$ 202,023	\$ 201,292	\$ 199,150
Insurance	\$ 28,677						\$ 28,677	\$ 27,273	\$ 22,537	\$ 21,998
In-State Travel	\$ 50,062	\$ 8,085	\$ 25,805				\$ 83,953	\$ 103,698	\$ 78,716	\$ 92,809
Out-of-State Travel	\$ 4,158	\$ 3,634	\$ 2,509				\$ 10,301	\$ 24,709	\$ 28,171	\$ 28,032
Training	\$ 4,594	\$ 300	\$ 399				\$ 5,293	\$ 12,733	\$ 14,448	\$ 22,427
Security Services	\$ 974,334		\$ 60,348				\$ 1,034,682	\$ 1,044,298	\$ 985,423	\$ 1,013,888
Facility Operations	\$ 912,890	\$ 1,180	\$ 12,304				\$ 926,174	\$ 1,042,662	\$ 874,242	\$ 942,065
Utilities	\$ 65,659						\$ 65,659	\$ 70,000	\$ 67,902	\$ 72,000
Contracted Services	\$ 2,001,084	\$ 504,923	\$ 427,454				\$ 2,933,461	\$ 3,698,468	\$ 3,184,496	\$ 2,841,761
Consulting and Professional Services	\$ 48,358		\$ 31,703				\$ 80,060	\$ 111,846	\$ 137,490	\$ 159,213
Information Technology	\$ 684,759		\$ 15,040				\$ 699,799	\$ 755,413	\$ 920,520	\$ 1,308,890
Major Equipment	\$ 152,929						\$ 152,929	\$ 10,000	\$ 278,725	\$ 165,000
Other Items of Expense	\$ 30,007						\$ 30,007	\$ 30,725	\$ 30,277	\$ 28,525
	\$ 6,415,373	\$ 553,838	\$ 650,752				\$ 7,619,963	\$ 8,664,016	\$ 8,027,827	\$ 8,472,128
Special Items of Expense										
Grand Jury		\$ 69,913					\$ 69,913	\$ 94,250	\$ 94,238	\$ 110,000
Jury Costs	\$ 228,867						\$ 228,867	\$ 252,575	\$ 252,457	\$ 286,725
Judgments, Settlements and Claims	\$ 25,733						\$ 25,733			
Debt Service										
Other	\$ 0						\$ 0		\$ 0	
Capital Costs										
Internal Cost Recovery	\$ (109,104)	\$ 14,140	\$ 94,963				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (5,457)	\$ (822)					\$ (6,278)		\$ (14,908)	
	\$ 140,039	\$ 83,232	\$ 94,963				\$ 318,234	\$ 348,825	\$ 331,787	\$ 398,725
Total Expenditures	\$ 42,833,200	\$ 1,915,940	\$ 2,734,855				\$ 47,583,994	\$ 49,672,180	\$ 48,074,410	\$ 48,925,706
Excess (Deficit) of Revenues Over Expenditures	\$ 1,390,451	\$ 138,156	\$ (15,995)				\$ 1,512,612	\$ (253,231)	\$ 122,857	\$ (505,315)
Operating Transfers In (Out)	\$ (16,055)	\$ 60	\$ 15,995				\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 602,482	\$ 1,922,727	\$ 0	\$ 0			\$ 2,525,209	\$ 2,525,209	\$ 2,402,351	\$ 2,402,351
Ending Balance (Deficit)	\$ 1,976,878	\$ 2,080,943	\$ 0	\$ 0			\$ 4,037,820	\$ 2,271,978	\$ 2,525,209	\$ 1,897,036

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
	Fiscal Year 2019/20							2018/19		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 14,170,542	\$ 652,686	\$ 25,733			\$ (3,289)	\$ 14,845,672	\$ 15,410,499	\$ 13,825,836	\$ 14,622,665
Traffic & Other Infractions	\$ 2,273,487	\$ 101,500					\$ 2,374,988	\$ 2,556,814	\$ 2,522,073	\$ 2,884,766
Other Criminal Cases	\$ 6,087,887	\$ 945,787					\$ 7,033,674	\$ 7,994,607	\$ 6,738,337	\$ 7,057,126
Civil	\$ 2,735,102	\$ 32,462				\$ (40)	\$ 2,767,524	\$ 2,766,816	\$ 2,622,645	\$ 2,667,587
Family & Children Services	\$ 3,538,604	\$ 157,373			\$ 94,963		\$ 3,790,940	\$ 3,809,229	\$ 3,875,025	\$ 4,103,038
Probate, Guardianship & Mental Health Services	\$ 1,603,654	\$ 13,640					\$ 1,617,293	\$ 1,622,772	\$ 918,491	\$ 999,815
Juvenile Dependency Services	\$ 321,823	\$ 4,064				\$ 2,150	\$ 328,037	\$ 323,300	\$ 321,548	\$ 377,649
Juvenile Delinquency Services	\$ 433,991	\$ 56,123				\$ 1,650	\$ 491,764	\$ 549,880	\$ 471,948	\$ 500,080
Other Court Operations	\$ 1,414,909	\$ 813,175				\$ 449	\$ 2,228,533	\$ 1,209,780	\$ 1,949,712	\$ 1,622,610
Court Interpreters	\$ 916,658	\$ 840,145				\$ 450	\$ 1,757,253	\$ 2,076,653	\$ 1,872,539	\$ 1,847,580
Jury Services	\$ 269,363	\$ 186,563	\$ 228,867			\$ 0	\$ 684,792	\$ 794,044	\$ 714,961	\$ 832,679
Security		\$ 1,069,656				\$ (786)	\$ 1,068,871	\$ 923,181	\$ 1,054,113	\$ 1,030,197
Trial Court Operations Program	\$ 33,766,019	\$ 4,873,175	\$ 254,600			\$ 585	\$ 38,989,341	\$ 40,037,575	\$ 36,887,228	\$ 38,545,792
Enhanced Collections	\$ 106,475	\$ 378,308					\$ 498,924	\$ 676,899	\$ 484,288	\$ 297,929
Other Non-Court Operations	\$ 78,178	\$ 103,117	\$ 69,913			\$ (822)	\$ 250,387	\$ 334,285	\$ 324,814	\$ 310,826
Non-Court Operations Program	\$ 184,654	\$ 481,425	\$ 69,913			\$ (822)	\$ 749,311	\$ 1,011,184	\$ 809,102	\$ 608,755
Executive Office	\$ 704,196	\$ 191,216				\$ (472)	\$ 880,913	\$ 980,448	\$ 726,474	\$ 701,338
Fiscal Services	\$ 2,022,946	\$ 175,838	\$ 0			\$ (1,209)	\$ 2,164,224	\$ 2,538,607	\$ 2,886,167	\$ 1,675,366
Human Resources	\$ 532,747	\$ 67,991				\$ 150	\$ 588,780	\$ 679,512	\$ 553,743	\$ 653,140
Business & Facilities Services	\$ 877,374	\$ 987,424				\$ 0	\$ 1,847,859	\$ 1,959,445	\$ 1,684,441	\$ 1,792,374
Information Technology	\$ 1,557,861	\$ 842,894				\$ (4,510)	\$ 2,363,566	\$ 2,465,409	\$ 2,527,255	\$ 2,948,941
Court Administration Program	\$ 5,695,124	\$ 2,265,363	\$ 0			\$ (6,041)	\$ 7,845,342	\$ 8,623,421	\$ 8,378,079	\$ 7,771,159
Expenditures Not Distributed or Posted to a Program								\$ 0		
Prior Year Adjustments Not Posted to a Program										
Total	\$ 39,645,797	\$ 7,619,963	\$ 324,513			\$ (6,278)	\$ 47,583,994	\$ 49,672,180	\$ 46,074,410	\$ 46,925,706

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - San Joaquin

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	152,801	-	152,801	60	-	-	-	-	152,861	
Restricted	177,021	-	177,021	2,060,883	-	-	-	-	2,237,904	
Committed	327,212	-	327,212	-	-	-	-	-	327,212	
Assigned	1,263,637	56,208	1,319,845	-	-	-	-	-	1,319,845	
Unassigned	-	-	-	-	-	-	-	-	-	
Total	\$ 1,920,670	\$ 56,208	\$ 1,976,878	\$ 2,060,943	\$ -	\$ -	\$ -	\$ -	\$ 4,037,820	