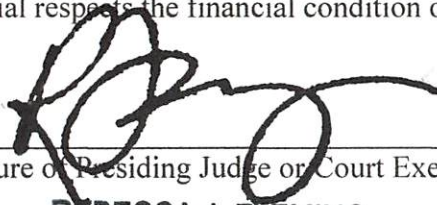


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

REBECCA J. FLEMING
Chief Executive Officer

5-22-2020

Date

Santa Clara

Court

FY 2019-20 3rd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended March							2018/19	
	Fiscal Year 2019/20								
	Governmental Funds					Proprietary Funds	Fiduciary Funds		Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ 3,198,957	\$ (575,910)	\$ (887,954)	\$ (1,554,583)			\$ 166,733	\$ 347,243	\$ 294,444
Payroll	\$ (7,900)						\$ 0	\$ (7,900)	\$ (13,591)
Jury									
Revolving	\$ 10,000							\$ 10,000	
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 67,976	\$ 67,976	\$ 251,066
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (626,746)	\$ (626,746)	\$ (685,591)
Credit Card									
Cash on Hand	\$ 14,865	\$ 150					\$ 0	\$ 15,015	\$ 15,015
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 4,749,461						\$ 32,420,535	\$ 37,169,996	\$ 47,123,882
Total Cash and Cash Equivalents	\$ 7,965,384	\$ (575,760)	\$ (887,954)	\$ (1,554,583)			\$ 32,028,497	\$ 36,975,583	\$ 46,985,225
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 811,018							\$ 811,018	\$ 480
Dishonored Checks									
Due From Employee	\$ 27,165							\$ 27,165	\$ 6,472
Civil Jury Fees									\$ 0
Trust							\$ 9,492	\$ 9,492	\$ 9,654
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 27,360	\$ 128,829	\$ 105,150					\$ 261,339	\$ 167,269
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 37,102	\$ 0	\$ 505,361					\$ 542,463	\$ 581,944
Trust Due To/From							\$ 166,989	\$ 166,989	\$ 1,207,766
Distribution Due To/From							\$ 21,210	\$ 21,210	\$ 245,490
Civil Filing Fee Due To/From									
General Due To/From	\$ 61	\$ 0						\$ 61	\$ 41,120
Total Receivables	\$ 902,705	\$ 128,829	\$ 610,511				\$ 197,691	\$ 1,839,735	\$ 2,260,194
Prepaid Expenses - General	\$ 18,828							\$ 18,828	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 18,828							\$ 18,828	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 8,886,916	\$ (446,931)	\$ (277,443)	\$ (1,554,583)			\$ 32,226,187	\$ 38,834,146	\$ 49,245,419
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 29,131	\$ 0	\$ 0					\$ 29,131	\$ 107,512
Accounts Payable - General	\$ 462,567	\$ 420	\$ 0	\$ 210,917			\$ 0	\$ 673,904	\$ 1,551,618
Due to Other Funds	\$ 1	\$ 0	\$ 0				\$ 188,258	\$ 188,259	\$ 1,494,375
Due to Other Courts	\$ 0	\$ 0						\$ 0	
Due to State	\$ 91,765	\$ 3,655						\$ 95,420	\$ 75,957
TC145 Liability							\$ 1,409,996	\$ 1,409,996	\$ 0
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency	\$ 0						\$ 1,127,732	\$ 1,127,732	\$ 4,903,093
Due to Other Public Agencies									
Sales and Use Tax	\$ 514		\$ (2)					\$ 511	\$ 7,559
Interest							\$ 19,942	\$ 19,942	\$ 85,284
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 583,977	\$ 4,075	\$ (2)	\$ 210,917			\$ 2,745,929	\$ 3,544,896	\$ 8,225,398
Civil							\$ 23,009,856	\$ 23,009,856	\$ 30,809,943
Criminal							\$ 5,582,373	\$ 5,582,373	\$ 8,418,902
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 1,020,261	\$ 1,020,261	\$ 811,742
Miscellaneous Trust									
Total Trust Deposits							\$ 29,612,490	\$ 29,612,490	\$ 40,400,588
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,573,898							\$ 1,573,898	\$ 1,569,034
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (11,629)							\$ (11,629)	\$ (27)
Payroll Clearing	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,562,269	\$ 0	\$ 0					\$ 1,562,269	\$ 1,569,006
Revenue Collected in Advance	\$ 0		\$ 142,980					\$ 142,980	\$ 206,351
Liabilities For Deposits	\$ 75,100	\$ 5,328	\$ 1,162				\$ 7,539	\$ 89,128	\$ 213,427
Jury Fees - Non-Interest							\$ 4,513	\$ 4,513	\$ 1,142
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities							\$ (144,283)	\$ (144,283)	\$ (717,030)
Total Other Liabilities	\$ 75,100	\$ 5,328	\$ 144,142				\$ (132,231)	\$ 92,339	\$ (296,109)
Total Liabilities	\$ 2,221,346	\$ 9,403	\$ 144,140	\$ 210,917			\$ 32,226,187	\$ 34,811,992	\$ 49,538,882
Total Fund Balance	\$ 6,665,571	\$ (456,334)	\$ (421,583)	\$ (1,765,500)				\$ 4,022,154	\$ (293,463)
Total Liabilities and Fund Balance	\$ 8,886,916	\$ (446,931)	\$ (277,443)	\$ (1,554,583)			\$ 32,226,187	\$ 38,834,146	\$ 49,245,419

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

For the month ended March											
Fiscal Year 2019/20											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2018/19		
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 66,610,535	\$ 386,790					\$ 66,997,325	\$ 82,068,881	\$ 59,225,010	\$ 83,492,551	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 245,193		\$ 245,193	
Judges' Compensation (0150019)	\$ 548,622						\$ 548,622	\$ 715,000	\$ 559,710	\$ 712,514	
Court Interpreter (0150037)	\$ 4,311,193						\$ 4,311,193	\$ 7,215,522	\$ 4,661,881	\$ 6,852,814	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 1,527,037						\$ 1,527,037	\$ 1,943,671	\$ 1,391,343	\$ 1,964,171	
Other Miscellaneous	\$ 2,309,466						\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	
	\$ 75,306,851	\$ 386,790					\$ 75,693,641	\$ 94,497,733	\$ 68,147,410	\$ 95,576,709	
Grants											
AB 1058 Commissioner/Facilitator			\$ 1,420,002				\$ 1,420,002	\$ 2,926,643	\$ 997,737	\$ 2,846,373	
Other Judicial Council Grants			\$ 235,305				\$ 235,305	\$ 565,484	\$ 139,097	\$ 469,915	
Non-Judicial Council Grants			\$ 578,002				\$ 578,002	\$ 1,288,149	\$ 523,696	\$ 1,008,133	
			\$ 2,233,308				\$ 2,233,308	\$ 4,780,276	\$ 1,660,529	\$ 4,324,421	
Other Financing Sources											
Interest Income	\$ 274,260	\$ 2,032					\$ 276,292	\$ 425,000	\$ 272,713	\$ 168,700	
Investment Income											
Donations		\$ 3,494					\$ 3,494	\$ 12,280	\$ 6,292	\$ 49,218	
Local Fees	\$ 347,672	\$ 313,575					\$ 661,248	\$ 1,044,912	\$ 392,650	\$ 2,182,068	
Non-Fee Revenues											
Enhanced Collections		\$ 1,697,440					\$ 1,697,440	\$ 2,300,000	\$ 205,602	\$ 750,200	
Escheatment	\$ 9,489	\$ 1,160					\$ 10,649	\$ 25,000	\$ 18,288	\$ 37,000	
Prior Year Revenue											
County Program - Restricted		\$ 64,911					\$ 64,911	\$ 113,028	\$ 60,245	\$ 107,436	
Reimbursement Other	\$ 2,801,210	\$ 1,238,592	\$ 128,102				\$ 4,167,904	\$ 5,372,613	\$ 2,578,153	\$ 2,112,561	
Sale of Fixed Assets											
Other Miscellaneous	\$ 19,337						\$ 19,337	\$ 15,000	\$ 8,616	\$ 13,400	
	\$ 3,451,968	\$ 3,321,206	\$ 128,102				\$ 6,901,275	\$ 9,307,833	\$ 3,542,559	\$ 5,420,583	
Total Revenues	\$ 78,758,819	\$ 3,707,996	\$ 2,361,410				\$ 84,828,224	\$ 108,585,842	\$ 73,350,499	\$ 105,321,713	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 37,566,972	\$ 1,379,597	\$ 1,260,121				\$ 40,206,691	\$ 45,018,053	\$ 38,075,325	\$ 49,639,718	
Temp Help	\$ 216,262						\$ 216,262	\$ 250,000	\$ 289,945	\$ 759,760	
Overtime	\$ 223,490	\$ 3,794	\$ 0				\$ 227,284	\$ 100,000	\$ 331,761	\$ 250,000	
Staff Benefits	\$ 24,040,392	\$ 1,947,975	\$ 667,568				\$ 26,655,935	\$ 38,434,959	\$ 22,061,187	\$ 33,083,854	
	\$ 62,047,117	\$ 3,331,366	\$ 1,927,689				\$ 67,306,172	\$ 83,803,012	\$ 60,758,218	\$ 83,733,332	
Operating Expenses and Equipment											
General Expense	\$ 1,238,573	\$ 12,407	\$ 31,526				\$ 1,282,506	\$ 1,901,850	\$ 1,163,668	\$ 1,304,640	
Printing	\$ 63,579						\$ 63,579	\$ 95,000	\$ 61,323	\$ 129,478	
Telecommunications	\$ 609,246	\$ 174					\$ 609,420	\$ 981,806	\$ 482,661	\$ 815,000	
Postage	\$ 250,216						\$ 250,216	\$ 339,500	\$ 242,145	\$ 369,500	
Insurance	\$ 46,647						\$ 46,647	\$ 42,000	\$ 41,600	\$ 39,500	
In-State Travel	\$ 99,859	\$ 191	\$ 6,884				\$ 106,934	\$ 128,499	\$ 79,771	\$ 120,338	
Out-of-State Travel	\$ 9,387		\$ 2,202				\$ 11,589	\$ 29,999	\$ 8,499	\$ 51,734	
Training	\$ 93,729		\$ 7,650				\$ 101,378	\$ 130,000	\$ 67,044	\$ 112,731	
Security Services	\$ 110,253						\$ 110,253	\$ 563,565	\$ 95,868	\$ 404,164	
Facility Operations	\$ 1,227,203						\$ 1,227,203	\$ 1,879,915	\$ 1,285,530	\$ 1,617,158	
Utilities	\$ 137,716						\$ 137,716	\$ 160,000	\$ 114,653	\$ 165,000	
Contracted Services	\$ 4,942,315	\$ 720,814	\$ 498,640				\$ 6,161,768	\$ 11,771,798	\$ 5,262,202	\$ 9,302,828	
Consulting and Professional Services	\$ 45,767						\$ 45,767	\$ 192,200	\$ 33,628	\$ 454,676	
Information Technology	\$ 814,373	\$ 22,000					\$ 836,373	\$ 2,480,474	\$ 866,498	\$ 2,332,668	
Major Equipment	\$ 180,549						\$ 180,549	\$ 35,500	\$ 512,402		
Other Items of Expense	\$ 41,852						\$ 41,852	\$ 63,825	\$ 36,693	\$ 42,670	
	\$ 9,911,264	\$ 755,585	\$ 546,902				\$ 11,213,751	\$ 20,795,931	\$ 10,354,184	\$ 17,262,085	
Special Items of Expense											
Grand Jury	\$ 45	\$ 2,229					\$ 2,274	\$ 5,500	\$ 2,504	\$ 5,500	
Jury Costs	\$ 462,374	\$ 67,059					\$ 529,433	\$ 826,000	\$ 593,304	\$ 771,000	
Judgements, Settlements and Claims	\$ 114,542						\$ 114,542	\$ 250,000			
Debt Service											
Other									\$ 2,963		
Capital Costs				\$ 1,765,500			\$ 1,765,500	\$ 3,031,000	\$ 2,687,419	\$ 4,031,000	
Internal Cost Recovery							\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ (49,012)	\$ 386,754	\$ 308,508	\$ 1,765,500			\$ 2,411,750	\$ 4,112,500	\$ 3,286,190	\$ 4,807,500	
Total Expenditures	\$ 71,909,369	\$ 4,473,704	\$ 2,783,099	\$ 1,765,500			\$ 80,931,672	\$ 108,711,443	\$ 74,398,592	\$ 105,802,917	
Excess (Deficit) of Revenues Over Expenditures	\$ 6,849,450	\$ (765,708)	\$ (421,689)	\$ (1,765,500)			\$ 3,896,552	\$ (125,601)	\$ (1,048,093)	\$ (481,204)	
Operating Transfers In (Out)	\$ (206,863)	\$ 206,757	\$ 106				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 22,983	\$ 102,618	\$ 0	\$ 0			\$ 125,602	\$ 125,602	\$ 754,629	\$ 754,629	
Ending Balance (Deficit)	\$ 6,665,571	\$ (456,334)	\$ (421,583)	\$ (1,765,500)			\$ 4,022,154	\$ 1	\$ (293,463)	\$ 273,425	

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended March										
Fiscal Year 2019/20								2018/19		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 17,042,308	\$ 1,110,513	\$ 114,542				\$ 18,168,315	\$ 23,123,677	\$ 17,601,417	\$ 24,226,145
Traffic & Other Infractions	\$ 1,462,432	\$ 18,191				\$ (99,048)	\$ 1,480,623	\$ 2,318,835	\$ 2,891,203	\$ 4,008,175
Other Criminal Cases	\$ 10,681,979	\$ 1,204,312				\$ 18,997	\$ 11,905,288	\$ 13,527,533	\$ 9,416,400	\$ 11,521,945
Civil	\$ 5,359,197	\$ 220,152				\$ (16,585)	\$ 5,562,763	\$ 6,859,273	\$ 5,140,276	\$ 8,223,116
Family & Children Services	\$ 8,847,129	\$ 1,021,825				\$ 123,036	\$ 9,991,990	\$ 14,001,486	\$ 9,232,473	\$ 13,451,202
Probate, Guardianship & Mental Health Services	\$ 2,383,416	\$ 15,875					\$ 2,399,291	\$ 3,067,815	\$ 2,349,452	\$ 3,266,903
Juvenile Dependency Services	\$ 299,394	\$ 246,320				\$ 7,328	\$ 553,042	\$ 878,900	\$ 489,488	\$ 869,799
Juvenile Delinquency Services	\$ 599,130	\$ 136,390				\$ 9,900	\$ 745,420	\$ 831,030	\$ 487,987	\$ 804,860
Other Court Operations	\$ 432,395	\$ 10,192					\$ 442,587	\$ 906,808	\$ 960,688	\$ 1,444,762
Court Interpreters	\$ 2,741,717	\$ 2,135,867					\$ 4,877,585	\$ 7,420,378	\$ 5,196,479	\$ 7,081,218
Jury Services	\$ 453,174	\$ 72,598	\$ 462,374				\$ 988,146	\$ 1,234,893	\$ 950,376	\$ 2,009,261
Security		\$ 120,152					\$ 120,152	\$ 330,800	\$ 210,377	\$ 31,500
Trial Court Operations Program	\$ 50,302,272	\$ 6,312,387	\$ 576,916			\$ 43,628	\$ 57,235,202	\$ 74,501,428	\$ 54,926,616	\$ 76,938,886
Enhanced Collections	\$ 1,731,750	\$ 302,925				\$ 0	\$ 2,034,675	\$ 2,300,000		
Other Non-Court Operations	\$ 1,366,135	\$ 61,019	\$ 69,334				\$ 1,496,488	\$ 2,088,724	\$ 1,523,745	\$ 2,545,134
Non-Court Operations Program	\$ 3,097,885	\$ 363,944	\$ 69,334			\$ 0	\$ 3,531,164	\$ 4,388,724	\$ 1,523,745	\$ 2,545,134
Executive Office	\$ 2,307,249	\$ 582,959				\$ (43,628)	\$ 2,846,580	\$ 2,668,206	\$ 1,898,189	\$ 1,651,344
Fiscal Services	\$ 3,099,156	\$ 729,200					\$ 3,828,356	\$ 4,687,309	\$ 3,126,365	\$ 6,466,431
Human Resources	\$ 3,789,990	\$ 40,400					\$ 3,830,391	\$ 4,989,211	\$ 1,607,106	\$ 1,693,239
Business & Facilities Services	\$ 1,642,871	\$ 1,516,049		\$ 1,765,500			\$ 4,924,420	\$ 7,427,860	\$ 5,787,658	\$ 8,301,974
Information Technology	\$ 3,066,749	\$ 1,668,811					\$ 4,735,560	\$ 10,048,705	\$ 5,528,914	\$ 8,205,909
Court Administration Program	\$ 13,906,015	\$ 4,537,420		\$ 1,765,500		\$ (43,628)	\$ 20,165,306	\$ 29,821,291	\$ 17,948,231	\$ 26,318,897
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 67,306,172	\$ 11,213,751	\$ 646,250	\$ 1,765,500	\$ 0		\$ 80,931,672	\$ 108,711,443	\$ 74,398,592	\$ 105,802,917