

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Santa Clara

Court

FY 2019-20 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Clara

Court

FY 2019-20 1st Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Clara

Court

FY 2019-20 1st Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	655.84	615.77			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September							2018/19	
	Fiscal Year 2019/20								
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)		Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant						
ASSETS									
Operations	\$ 811,363	\$ (162,747)	\$ (727,868)	\$ (1,007,750)			\$ 344,898	\$ (742,105)	\$ 1,357,683
Payroll	\$ (6,417)						\$ 0	\$ (6,417)	
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 227,959	\$ 227,959	\$ (405,789)
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (500,714)	\$ (500,714)	\$ (760,849)
Credit Card									
Cash on Hand	\$ 14,865	\$ 150						\$ 15,015	\$ 15,015
Cash with County	\$ 0							\$ 0	\$ 1,930,255
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 15,578,418							\$ 0	\$ 0
Total Cash and Cash Equivalents	\$ 16,398,229	\$ (162,597)	\$ (727,868)	\$ (1,007,750)			\$ 36,405,782	\$ 51,984,200	\$ 57,044,015
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 5,738							\$ 5,738	
Dishonored Checks									
Due From Employee	\$ 26,093							\$ 26,093	
Civil Jury Fees									
Trust									\$ 0
Due From Other Funds	\$ 0						\$ 9,492	\$ 9,492	\$ 287,238
Due From Other Governments	\$ 0	\$ 0	\$ 44,161				\$ 0	\$ 0	\$ 0
Due From Other Courts							\$ 44,161	\$ 44,161	\$ 420,366
Due From State	\$ 0	\$ 0	\$ 170,975				\$ 0	\$ 0	\$ 0
Trust Due To/From								\$ 170,975	\$ 388,307
Distribution Due To/From							\$ 523,911	\$ 523,911	\$ 0
Civil Filing Fee Due To/From							\$ 111,177	\$ 111,177	
General Due To/From	\$ 24	\$ 1,653						\$ 1,677	\$ 698,269
Total Receivables	\$ 31,855	\$ 1,653	\$ 215,136				\$ 644,579	\$ 893,224	\$ 1,794,181
Prepaid Expenses - General	\$ 18,828							\$ 18,828	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 18,828							\$ 18,828	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 16,448,913	\$ (160,944)	\$ (512,732)	\$ (1,007,750)			\$ 37,122,505	\$ 51,889,992	\$ 60,974,510
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 286,506	\$ 0	\$ 48,616	\$ 0			\$ 205	\$ 335,326	\$ 142,860
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 636,744	\$ 636,744	\$ 698,269
Due to Other Courts	\$ 0	\$ 0						\$ 0	
Due to State	\$ 61,529	\$ 5,642						\$ 67,171	\$ 0
TC145 Liability									
Due to Other Governments	\$ 0		\$ 0				\$ 1,855,115	\$ 1,855,115	\$ 1,772,537
AB145 Due to Other Government Agency	\$ 0							\$ 0	\$ 0
Due to Other Public Agencies							\$ 1,597,024	\$ 1,597,024	\$ 7,505,766
Sales and Use Tax	\$ 88							\$ 88	\$ 165
Interest									
Miscellaneous Accts. Pay. and Accrued Liab.							\$ 59,661	\$ 59,661	\$ 71,425
Total Accounts Payable and Accrued Liab.	\$ 348,122	\$ 5,642	\$ 48,616	\$ 0			\$ 4,148,749	\$ 4,551,129	\$ 10,191,021
Civil									
Criminal							\$ 24,918,190	\$ 24,918,190	\$ 32,807,582
Unreconciled - Civil and Criminal							\$ 7,038,715	\$ 7,038,715	\$ 2,594,101
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 0	\$ 0	\$ 0
Miscellaneous Trust							\$ 878,819	\$ 878,819	\$ 558,391
Total Trust Deposits							\$ 32,835,725	\$ 32,835,725	\$ 35,960,074
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 2,581,144							\$ 2,581,144	\$ 163,665
Deferred Compensation Payable	\$ 0							\$ 0	
Deductions Payable	\$ (27)							\$ (27)	\$ 0
Payroll Clearing	\$ 0							\$ 0	
Total Payroll Liabilities	\$ 2,581,118	\$ 0	\$ 0					\$ 2,581,118	\$ 163,665
Revenue Collected in Advance	\$ 1,166,666		\$ 155,216					\$ 1,321,882	\$ 1,832,635
Liabilities For Deposits	\$ 94,494	\$ 6,488	\$ 1,162				\$ 129,339	\$ 231,483	\$ 197,958
Jury Fees - Non-Interest							\$ 16,771	\$ 16,771	
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities							\$ (8,079)	\$ (8,079)	
Total Other Liabilities	\$ 1,261,160	\$ 6,488	\$ 156,378				\$ 138,031	\$ 1,562,058	\$ 2,030,593
Total Liabilities	\$ 4,190,400	\$ 12,130	\$ 204,994	\$ 0			\$ 37,122,505	\$ 41,530,029	\$ 48,345,353
Total Fund Balance	\$ 12,258,513	\$ (173,074)	\$ (717,726)	\$ (1,007,750)				\$ 10,359,963	\$ 12,629,157
Total Liabilities and Fund Balance	\$ 16,448,913	\$ (160,944)	\$ (512,732)	\$ (1,007,750)			\$ 37,122,505	\$ 51,889,992	\$ 60,974,510

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2019/20											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 34,259,365	\$ 57,785						\$ 34,317,150	\$ 82,068,881	\$ 35,041,788	\$ 83,492,551
Improvement and Modernization Fund									\$ 245,193		\$ 245,193
Judges' Compensation (0150019)	\$ 182,874							\$ 182,874	\$ 715,000	\$ 187,626	\$ 712,514
Court Interpreter (0150037)	\$ 338,589							\$ 338,589	\$ 7,215,522	\$ 660,170	\$ 6,852,814
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 319,650							\$ 319,650	\$ 1,943,671	\$ 164,877	\$ 1,964,171
Other Miscellaneous									\$ 2,309,466		\$ 2,309,466
	\$ 35,100,477	\$ 57,785						\$ 35,158,262	\$ 94,497,733	\$ 35,954,461	\$ 95,576,709
Grants											
AB 1058 Commissioner/Facilitator									\$ 2,926,643	\$ (271,075)	\$ 2,846,373
Other Judicial Council Grants			\$ 15,401					\$ 15,401	\$ 565,484	\$ 8,867	\$ 469,915
Non-Judicial Council Grants			\$ 146,367					\$ 146,367	\$ 1,288,149	\$ 78,719	\$ 1,008,133
			\$ 161,768					\$ 161,768	\$ 4,780,276	\$ (183,490)	\$ 4,324,421
Other Financing Sources											
Interest Income	\$ 43,141	\$ 100						\$ 43,241	\$ 425,000	\$ 38,606	\$ 168,700
Investment Income											
Donations		\$ 4						\$ 4	\$ 12,280	\$ 5,249	\$ 49,218
Local Fees	\$ 101,232	\$ 90,020						\$ 191,252	\$ 1,044,912	\$ 18,847	\$ 2,182,068
Non-Fee Revenues											
Enhanced Collections		\$ 166,050						\$ 166,050	\$ 2,300,000	\$ 21,701	\$ 750,200
Escheatment	\$ (136,800)							\$ (136,800)	\$ 25,000		\$ 37,000
Prior Year Revenue											
County Program - Restricted		\$ 3,607						\$ 3,607	\$ 113,028	\$ 3,909	\$ 107,436
Reimbursement Other	\$ 5,738	\$ 265,613	\$ 11,192					\$ 282,543	\$ 5,372,613	\$ 270,021	\$ 2,112,561
Sale of Fixed Assets											
Other Miscellaneous	\$ 7,794							\$ 7,794	\$ 15,000	\$ 1,433	\$ 13,400
	\$ 21,106	\$ 525,394	\$ 11,192					\$ 557,692	\$ 9,307,833	\$ 350,766	\$ 5,420,583
Total Revenues	\$ 35,121,582	\$ 683,179	\$ 172,860					\$ 35,877,721	\$ 108,585,842	\$ 36,130,737	\$ 105,321,713
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,742,955	\$ 164,646	\$ 437,827					\$ 12,345,428	\$ 45,018,053	\$ 11,628,298	\$ 49,839,718
Temp Help	\$ 44,076							\$ 44,076	\$ 250,000	\$ 77,955	\$ 759,780
Overtime	\$ 102,806	\$ 358						\$ 102,964	\$ 100,000	\$ 18,879	\$ 250,000
Staff Benefits	\$ 8,042,489	\$ 477,631	\$ 231,565					\$ 8,751,684	\$ 38,434,959	\$ 7,383,682	\$ 33,083,854
	\$ 19,932,126	\$ 642,635	\$ 669,392					\$ 21,244,153	\$ 83,803,012	\$ 19,108,814	\$ 83,733,332
Operating Expenses and Equipment											
General Expense	\$ 427,320	\$ 3,226	\$ 9,919					\$ 440,466	\$ 1,901,850	\$ 299,345	\$ 1,304,640
Printing	\$ 15,644							\$ 15,644	\$ 95,000	\$ 25,430	\$ 129,478
Telecommunications	\$ 138,163	\$ 116						\$ 138,278	\$ 981,806	\$ 97,660	\$ 815,000
Postage	\$ 57,149							\$ 57,149	\$ 339,500	\$ 103,572	\$ 369,500
Insurance	\$ 1,363							\$ 1,363	\$ 42,000	\$ 1,912	\$ 39,500
In-State Travel	\$ 13,835	\$ 124	\$ 4,737					\$ 18,696	\$ 128,499	\$ 19,810	\$ 120,338
Out-of-State Travel	\$ 3,180		\$ 2,202					\$ 5,382	\$ 29,999	\$ 2,078	\$ 51,734
Training	\$ 30,683		\$ 1,375					\$ 32,058	\$ 130,000	\$ 8,093	\$ 112,731
Security Services	\$ 44,726							\$ 44,726	\$ 563,565	\$ 12,056	\$ 404,164
Facility Operations	\$ 412,505							\$ 412,505	\$ 1,879,915	\$ 498,201	\$ 1,617,158
Utilities	\$ 53,578							\$ 53,578	\$ 160,000	\$ 35,753	\$ 165,000
Contracted Services	\$ 1,530,340	\$ 194,657	\$ 129,392					\$ 1,854,389	\$ 11,771,798	\$ 1,454,444	\$ 9,302,828
Consulting and Professional Services	\$ 1,409							\$ 1,409	\$ 192,200	\$ 385	\$ 454,676
Information Technology	\$ 81,506	\$ 0						\$ 81,506	\$ 2,480,474	\$ 244,488	\$ 2,332,668
Major Equipment	\$ 57,589							\$ 57,589	\$ 35,500	\$ 110,043	\$ 0
Other Items of Expense	\$ 13,759							\$ 13,759	\$ 63,825	\$ 10,675	\$ 42,670
	\$ 2,882,749	\$ 198,123	\$ 147,626					\$ 3,228,497	\$ 20,795,931	\$ 2,923,946	\$ 17,262,085
Special Items of Expense											
Grand Jury		\$ 109						\$ 109	\$ 5,500	\$ 2,038	\$ 5,500
Jury Costs	\$ 144,847	\$ 18,004						\$ 162,851	\$ 826,000	\$ 205,782	\$ 771,000
Judgements, Settlements and Claims									\$ 250,000		
Debt Service											
Other										\$ 0	\$ 0
Capital Costs											
Internal Cost Recovery	\$ (73,669)		\$ 73,669	\$ 1,007,750				\$ 1,007,750	\$ 3,031,000	\$ 2,015,629	\$ 4,031,000
Prior Year Expense Adjustment								\$ 0	\$ 0	\$ 0	\$ 0
	\$ 71,178	\$ 18,114	\$ 73,669	\$ 1,007,750				\$ 1,170,710	\$ 4,112,500	\$ 2,223,449	\$ 4,807,500
Total Expenditures	\$ 22,886,053	\$ 858,871	\$ 890,886	\$ 1,007,750				\$ 25,843,361	\$ 108,711,443	\$ 24,256,210	\$ 105,802,917
Excess (Deficit) of Revenues Over Expenditures	\$ 12,235,529	\$ (275,692)	\$ (717,726)	\$ (1,007,750)				\$ 10,234,361	\$ (125,601)	\$ 11,874,528	\$ (481,204)
Operating Transfers In (Out)									\$ 0		\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 22,983	\$ 102,618	\$ 0	\$ 0				\$ 125,602	\$ 125,602	\$ 754,629	\$ 754,629
Ending Balance (Deficit)	\$ 12,258,513	\$ (173,074)	\$ (717,726)	\$ (1,007,750)				\$ 10,359,963	\$ 1	\$ 12,629,157	\$ 273,425

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2019/20								2018/19		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,200,330	\$ 318,920			\$ (23,667)		\$ 5,495,583	\$ 23,123,677	\$ 5,581,825	\$ 24,226,145
Traffic & Other Infractions	\$ 798,819	\$ 6,219					\$ 805,038	\$ 2,318,835	\$ 876,272	\$ 4,008,175
Other Criminal Cases	\$ 3,137,512	\$ 376,412			\$ 4,180		\$ 3,518,103	\$ 13,527,533	\$ 2,431,800	\$ 11,521,945
Civil	\$ 1,583,985	\$ 91,106			\$ (4,540)		\$ 1,670,552	\$ 6,859,273	\$ 1,766,980	\$ 8,223,116
Family & Children Services	\$ 2,771,176	\$ 271,889			\$ 30,350		\$ 3,073,415	\$ 14,001,486	\$ 2,425,080	\$ 13,451,202
Probate, Guardianship & Mental Health Services	\$ 711,784	\$ 4,366					\$ 716,150	\$ 3,067,815	\$ 727,528	\$ 3,266,903
Juvenile Dependency Services	\$ 81,386	\$ 100,640			\$ 1,240		\$ 183,266	\$ 878,900	\$ 154,173	\$ 869,799
Juvenile Delinquency Services	\$ 166,747	\$ 44,073					\$ 210,821	\$ 831,030	\$ 125,656	\$ 804,860
Other Court Operations	\$ 112,887	\$ 13					\$ 112,899	\$ 906,808	\$ 328,937	\$ 1,444,762
Court Interpreters	\$ 874,064	\$ 687,503					\$ 1,561,567	\$ 7,420,378	\$ 1,599,115	\$ 7,081,218
Jury Services	\$ 127,889	\$ 7,242	\$ 144,847				\$ 279,977	\$ 1,234,893	\$ 331,599	\$ 2,009,261
Security		\$ 50,838					\$ 50,838	\$ 330,800	\$ 22,363	\$ 31,500
Trial Court Operations Program	\$ 15,566,578	\$ 1,959,220	\$ 144,847		\$ 7,564		\$ 17,678,209	\$ 74,501,428	\$ 16,371,328	\$ 76,938,886
Enhanced Collections		\$ 62,745					\$ 62,745	\$ 2,300,000		
Other Non-Court Operations	\$ 433,630	\$ 15,032	\$ 18,114				\$ 466,776	\$ 2,088,724	\$ 457,010	\$ 2,545,134
Non-Court Operations Program	\$ 433,630	\$ 77,777	\$ 18,114				\$ 529,521	\$ 4,388,724	\$ 457,010	\$ 2,545,134
Executive Office	\$ 608,116	\$ 206,433			\$ (7,564)		\$ 806,985	\$ 2,668,206	\$ 604,909	\$ 1,651,344
Fiscal Services	\$ 1,399,313	\$ 177,907					\$ 1,577,220	\$ 4,687,309	\$ 1,004,182	\$ 6,466,431
Human Resources	\$ 1,658,970	\$ 14,477					\$ 1,673,447	\$ 4,989,211	\$ 1,199,511	\$ 1,693,239
Business & Facilities Services	\$ 539,729	\$ 494,273		\$ 1,007,750			\$ 2,041,751	\$ 7,427,860	\$ 3,060,645	\$ 8,301,974
Information Technology	\$ 1,037,817	\$ 298,410					\$ 1,336,227	\$ 10,048,705	\$ 1,558,625	\$ 8,205,909
Court Administration Program	\$ 5,243,945	\$ 1,191,500		\$ 1,007,750	\$ (7,564)		\$ 7,435,631	\$ 29,821,291	\$ 7,427,872	\$ 26,318,897
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 21,244,153	\$ 3,228,497	\$ 162,960	\$ 1,007,750	\$ 0		\$ 25,643,361	\$ 108,711,443	\$ 24,256,210	\$ 105,802,917