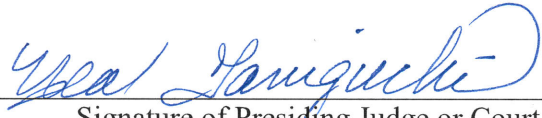


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/06/2019

Date

San Mateo

Court

FY1920 - Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Mateo

Court

FY1920 - Q1

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Mateo

Court
FY1920 - Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	307.13	279.50			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2019/20

2018/19

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,950,408)	\$ 977,392	\$ 862,803			\$ 0	\$ 337,506	\$ 227,293	\$ 364,020
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ (2,881,482)	\$ (2,881,482)	\$ 317,675
Civil Filing Fees							\$ 929,086	\$ 929,086	\$ 0
Trust							\$ (1,094,081)	\$ (1,094,081)	\$ (2,560,825)
Credit Card									
Cash on Hand	\$ 7,028							\$ 7,028	\$ 6,130
Cash with County	\$ 399,179						\$ 0	\$ 399,179	\$ 364,272
Cash Outside of the JCC									
Cash Equivalents	\$ 6,595,240						\$ 23,381,401	\$ 29,976,641	\$ 23,178,189
Total Cash and Cash Equivalents	\$ 5,051,039	\$ 977,392	\$ 862,803			\$ 0	\$ 20,672,430	\$ 27,563,663	\$ 21,669,461
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 264,398	\$ 0				\$ 0		\$ 264,398	\$ 259,998
Due From Other Courts						\$ 0	\$ 0	\$ 0	\$ 0
Due From State	\$ 4,960	\$ 0	\$ 0					\$ 4,960	\$ 0
Trust Due To/From							\$ 319,068	\$ 319,068	\$ 914,030
Distribution Due To/From							\$ 1,218	\$ 1,218	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 41,064	\$ 0						\$ 41,064	\$ 31,638
Total Receivables	\$ 310,423	\$ 0	\$ 0			\$ 0	\$ 320,286	\$ 630,709	\$ 1,205,666
Prepaid Expenses - General	\$ 5,807,488							\$ 5,807,488	\$ 5,675,830
Salary and Travel Advances									\$ 0
Counties									
Total Prepaid Expenses	\$ 5,807,488							\$ 5,807,488	\$ 5,675,830
Other Assets									
Total Other Assets									
Total Assets	\$ 11,168,950	\$ 977,392	\$ 862,803			\$ 0	\$ 20,992,716	\$ 34,001,861	\$ 28,550,956
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 145,132	\$ 1,463	\$ 0			\$ 0	\$ 0	\$ 146,595	\$ 13,129
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 361,350	\$ 361,350	\$ 945,668
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 929,086	\$ 929,086	\$ 980,053
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 3,390,214	\$ 3,390,214	\$ 2,700,097
Due to Other Public Agencies									
Sales and Use Tax	\$ 342							\$ 342	\$ 515
Interest							\$ 39,361	\$ 39,361	\$ 26,655
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 145,474	\$ 1,463	\$ 0			\$ 0	\$ 4,720,013	\$ 4,866,950	\$ 4,666,115
Civil							\$ 7,061,123	\$ 7,061,123	\$ 4,549,118
Criminal							\$ 7,102,970	\$ 7,102,970	\$ 6,281,943
Unreconciled - Civil and Criminal							\$ 1,518,504	\$ 1,518,504	\$ 1,518,504
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 414,745	\$ 414,745	\$ 204,232
Miscellaneous Trust									
Total Trust Deposits							\$ 16,097,344	\$ 16,097,344	\$ 12,553,798
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 0							\$ 0	\$ 0
Revenue Collected in Advance			\$ 0			\$ 0		\$ 0	\$ 0
Liabilities For Deposits	\$ 89,778						\$ 22,760	\$ 112,538	\$ 112,538
Jury Fees - Non-Interest							\$ 147,467	\$ 147,467	\$ 155,935
Fees - Partial Payment & Overpayment							\$ 5,133	\$ 5,133	\$ 4,827
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 8
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 89,778		\$ 0			\$ 0	\$ 175,360	\$ 265,138	\$ 273,308
Total Liabilities	\$ 235,252	\$ 1,463	\$ 0			\$ 0	\$ 20,992,716	\$ 21,229,431	\$ 17,493,221
Total Fund Balance	\$ 10,933,698	\$ 975,928	\$ 862,803			\$ 0		\$ 12,772,430	\$ 11,057,735
Total Liabilities and Fund Balance	\$ 11,168,950	\$ 977,392	\$ 862,803			\$ 0	\$ 20,992,716	\$ 34,001,861	\$ 28,550,956

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2019/20										2018/19	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 16,504,602	\$ 43,018					\$ 16,547,621	\$ 36,989,770	\$ 16,861,801	\$ 37,892,170	
Improvement and Modernization Fund	\$ (43,787)						\$ (43,787)	\$ 141,223	\$ (105,186)	\$ 173,812	
Judges' Compensation (0150019)	\$ 64,125						\$ 64,125	\$ 256,500	\$ 61,749	\$ 243,000	
Court Interpreter (0150037)	\$ 134,786						\$ 134,786	\$ 2,639,591	\$ 32,091	\$ 2,152,969	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 24,225						\$ 24,225	\$ 1,752,961	\$ 16,072	\$ 1,703,091	
Other Miscellaneous								\$ 2,411,113		\$ 2,411,113	
	\$ 16,683,951	\$ 43,018					\$ 16,726,970	\$ 44,191,158	\$ 16,866,527	\$ 44,576,155	
Grants											
AB 1058 Commissioner/Facilitator			\$ (59,655)				\$ (59,655)	\$ 698,448	\$ (124,765)	\$ 731,553	
Other Judicial Council Grants			\$ 938,075				\$ 938,075	\$ 4,326,790	\$ 900,208	\$ 939,841	
Non-Judicial Council Grants			\$ 0				\$ 0	\$ 318,581	\$ (5)	\$ 396,045	
			\$ 878,420				\$ 878,420	\$ 5,343,819	\$ 775,438	\$ 2,067,439	
Other Financing Sources											
Interest Income	\$ 37,126	\$ 1,362					\$ 38,489	\$ 285,483	\$ 41,211	\$ 335,483	
Investment Income											
Donations											
Local Fees	\$ 14,485	\$ 22,743					\$ 37,228	\$ 450,471	\$ 101,442	\$ 416,641	
Non-Fee Revenues		\$ (3,095)					\$ (3,095)	\$ 11,120		\$ 11,120	
Enhanced Collections		\$ 1,675					\$ 1,675	\$ 13,164	\$ 2,203	\$ 13,164	
Escheatment								\$ 9,000			
Prior Year Revenue											
County Program - Restricted		\$ 23,349					\$ 23,349	\$ 136,042	\$ 10,836	\$ 129,849	
Reimbursement Other	\$ (15,064)						\$ (15,064)	\$ 626,493	\$ 127,776	\$ 892,228	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,097						\$ 1,097	\$ 8,311	\$ 4,099	\$ 8,311	
	\$ 37,644	\$ 46,033					\$ 83,678	\$ 1,540,084	\$ 287,566	\$ 1,806,796	
Total Revenues	\$ 16,721,596	\$ 89,052	\$ 878,420				\$ 17,689,067	\$ 51,075,061	\$ 17,929,531	\$ 48,450,390	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,599,142						\$ 5,599,142	\$ 24,871,072	\$ 5,075,827	\$ 23,673,494	
Temp Help	\$ 18,084						\$ 18,084	\$ 36,000	\$ 337	\$ 140,188	
Overtime	\$ 31,540						\$ 31,540	\$ 75,000	\$ 58,201	\$ 200,000	
Staff Benefits	\$ 3,304,449						\$ 3,304,449	\$ 15,070,677	\$ 3,033,449	\$ 15,009,866	
	\$ 8,953,215						\$ 8,953,215	\$ 40,052,749	\$ 8,167,813	\$ 39,023,548	
Operating Expenses and Equipment											
General Expense	\$ 62,833	\$ 19,129	\$ 3,506				\$ 85,467	\$ 868,987	\$ 212,800	\$ 886,802	
Printing	\$ 11,689		\$ 37				\$ 11,726	\$ 68,544	\$ 21,361	\$ 82,060	
Telecommunications	\$ 29,667						\$ 29,667	\$ 255,770	\$ 22,803	\$ 347,255	
Postage	\$ 11,641						\$ 11,641	\$ 212,839	\$ 4,946	\$ 272,450	
Insurance								\$ 8,753		\$ 7,550	
In-State Travel	\$ 6,379		\$ 6,127				\$ 12,506	\$ 77,482	\$ 6,446	\$ 55,452	
Out-of-State Travel	\$ 0		\$ 5,947				\$ 5,947	\$ 1,500		\$ 900	
Training	\$ 2,365						\$ 2,365		\$ 2,334	\$ 32,320	
Security Services									\$ (21,629)	\$ 21,000	
Facility Operations	\$ 12,400						\$ 12,400	\$ 243,956	\$ 7,697	\$ 73,610	
Utilities											
Contracted Services	\$ 477,255	\$ 46,866	\$ 0				\$ 524,121	\$ 5,251,531	\$ 485,558	\$ 4,549,431	
Consulting and Professional Services	\$ 43,562	\$ 4,311					\$ 47,872	\$ 4,216,216	\$ 111,603	\$ 1,345,896	
Information Technology	\$ 193,008	\$ 153,359					\$ 346,367	\$ 1,060,790	\$ 208,016	\$ 1,228,485	
Major Equipment	\$ 4,011						\$ 4,011	\$ 40,000		\$ 180,830	
Other Items of Expense	\$ 0						\$ 0	\$ 7,500	\$ 0	\$ 11,280	
	\$ 854,809	\$ 223,665	\$ 15,616				\$ 1,094,090	\$ 12,313,868	\$ 1,061,936	\$ 9,095,321	
Special Items of Expense											
Grand Jury	\$ 891						\$ 891	\$ 900	\$ 773	\$ 1,000	
Jury Costs	\$ 61,574						\$ 61,574	\$ 215,180	\$ 38,660	\$ 265,000	
Judgements, Settlements and Claims	\$ 78,500						\$ 78,500		\$ (353)		
Debt Service											
Other	\$ 60						\$ 60	\$ 37,500			
Capital Costs											
Internal Cost Recovery											
Prior Year Expense Adjustment											
	\$ 141,024						\$ 141,024	\$ 253,580	\$ 39,080	\$ 266,000	
Total Expenditures	\$ 9,949,048	\$ 223,665	\$ 15,616				\$ 10,188,329	\$ 52,620,197	\$ 9,268,829	\$ 48,384,869	
Excess (Deficit) of Revenues Over Expenditures	\$ 6,772,547	\$ (134,613)	\$ 862,803				\$ 7,500,738	\$ (1,545,136)	\$ 8,660,702	\$ 65,521	
Operating Transfers In (Out)										\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 4,161,151	\$ 1,110,541	\$ 0			\$ 0	\$ 5,271,692	\$ 5,271,692	\$ 2,397,032	\$ 2,397,032	
Ending Balance (Deficit)	\$ 10,933,698	\$ 975,928	\$ 862,803			\$ 0	\$ 12,772,430	\$ 3,726,556	\$ 11,057,735	\$ 2,462,553	

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2019/20

2018/19

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,387,078	\$ 176,347	\$ 78,500				\$ 3,641,925	\$ 17,138,996	\$ 3,335,489	\$ 16,640,707
Traffic & Other Infractions	\$ 274,755	\$ 40,895					\$ 315,649	\$ 505,169	\$ 304,182	\$ 1,726,841
Other Criminal Cases	\$ 840,569	\$ (12,595)					\$ 827,974	\$ 11,906,140	\$ 714,039	\$ 4,288,119
Civil	\$ 841,560	\$ 11,246					\$ 852,806	\$ 2,304,180	\$ 802,580	\$ 4,263,660
Family & Children Services	\$ 719,463	\$ 33,675					\$ 753,137	\$ 1,903,057	\$ 670,123	\$ 3,198,653
Probate, Guardianship & Mental Health Services	\$ 306,605	\$ 3,162					\$ 309,767	\$ 1,080,828	\$ 311,483	\$ 1,556,399
Juvenile Dependency Services		\$ 1,136					\$ 1,136	\$ 11,467	\$ 10,718	\$ 1,122,445
Juvenile Delinquency Services	\$ 110,899	\$ 2,551					\$ 113,450	\$ 14,050	\$ 93,450	\$ 378,344
Other Court Operations										
Court Interpreters	\$ 221,947	\$ 339,746					\$ 561,694	\$ 3,842,672	\$ 552,267	\$ 3,015,463
Jury Services	\$ 133,532	\$ 965	\$ 61,574				\$ 196,071	\$ 525,968	\$ 170,200	\$ 1,188,235
Security		\$ 0					\$ 0		\$ 0	\$ 447,827
Trial Court Operations Program	\$ 6,836,408	\$ 597,127	\$ 140,074				\$ 7,573,609	\$ 39,232,527	\$ 6,964,532	\$ 37,826,693
Enhanced Collections		\$ 3,623					\$ 3,623		\$ 3,579	
Other Non-Court Operations			\$ 891				\$ 891		\$ 773	
Non-Court Operations Program		\$ 3,623	\$ 891				\$ 4,514		\$ 4,352	
Executive Office	\$ 734,398	\$ 69,610					\$ 804,008	\$ 3,911,312	\$ 671,023	\$ 1,992,925
Fiscal Services	\$ 511,551	\$ (32,251)	\$ 60				\$ 479,360	\$ 2,412,398	\$ 475,954	\$ 2,136,416
Human Resources	\$ 164,359	\$ 13,133					\$ 177,492	\$ 1,114,857	\$ 124,514	\$ 741,309
Business & Facilities Services	\$ 160,815	\$ 24,709					\$ 185,525	\$ 1,766,066	\$ 133,673	\$ 1,053,642
Information Technology	\$ 545,684	\$ 418,139					\$ 963,823	\$ 4,183,037	\$ 894,781	\$ 4,633,884
Court Administration Program	\$ 2,116,807	\$ 493,340	\$ 60				\$ 2,610,207	\$ 13,387,670	\$ 2,299,945	\$ 10,558,176
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 8,953,215	\$ 1,094,090	\$ 141,024				\$ 10,188,329	\$ 52,620,197	\$ 9,268,829	\$ 48,384,869