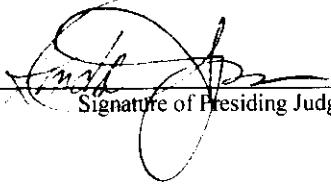


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/19/2019

Date

Modoc

Court

19/20 - 1st

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Modoc

Court

19/20 - 1st

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Modoc

 Court
 19/20 - 1st

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	10	11			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2019/20								2018/19	
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	Special Revenue			Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
	General	Non-Grant	Grant						
ASSETS									
Operations	\$ (10,859)	\$ 11,063	\$ (31,178)				\$ 1,830	\$ (29,144)	\$ 1,211
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 3,544							\$ 3,544	\$ 5,000
Other									
Distribution									
Civil Filing Fees									
Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (8,805)	\$ (8,805)	\$ (4,607)
Cash on Hand	\$ 724							\$ 724	\$ 724
Cash with County								\$ 63,754	\$ 84,294
Cash Outside of the JCC		\$ 63,754						\$ 63,754	\$ 84,294
Cash Equivalents	\$ 262,461						\$ 492,620	\$ 755,082	\$ 363,930
Total Cash and Cash Equivalents	\$ 255,869	\$ 74,817	\$ (31,178)				\$ 485,645	\$ 785,153	\$ 450,551
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 0	\$ 0	\$ 0					\$ 0	\$ 5,098
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 111	\$ 5,863						\$ 5,974	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 1,482	\$ 0	\$ 31,178					\$ 32,660	\$ 27,361
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From								\$ 0	\$ 142
General Due To/From	\$ 0							\$ 0	\$ 142
Total Receivables	\$ 1,593	\$ 5,863	\$ 31,178				\$ 0	\$ 38,634	\$ 32,600
Prepaid Expenses - General									
Salary and Travel Advances	\$ 0		\$ 0					\$ 0	\$ 0
Counties									
Total Prepaid Expenses	\$ 0		\$ 0					\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 257,462	\$ 80,680	\$ 0				\$ 485,645	\$ 823,787	\$ 483,151
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 339	\$ 0	\$ 0				\$ 0	\$ 339	\$ 0
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 142
Due to Other Courts									\$ 0
Due to State							\$ 382,587	\$ 382,587	
TC145 Liability							\$ 16,490	\$ 16,490	\$ 7,059
Due to Other Governments		\$ 63,754					\$ 0	\$ 63,754	\$ 84,294
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0						\$ 806	\$ 806	\$ 272
Interest									
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 339	\$ 63,754	\$ 0				\$ 399,883	\$ 463,975	\$ 91,786
Civil							\$ 35,832	\$ 35,832	\$ 126,661
Criminal							\$ 40,986	\$ 40,986	\$ 31,160
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 2,150	\$ 2,150	\$ 2,619
Miscellaneous Trust									
Total Trust Deposits							\$ 78,968	\$ 78,968	\$ 160,441
Accrued Payroll									
Benefits Payable	\$ 0	\$ 0						\$ 0	\$ 0
Deferred Compensation Payable	\$ 0	\$ 0						\$ 0	\$ (96)
Deductions Payable	\$ 0	\$ 0						\$ 0	\$ (96)
Payroll Clearing	\$ 0	\$ 0						\$ 0	\$ (96)
Total Payroll Liabilities	\$ 0	\$ 0						\$ 0	\$ (96)
Revenue Collected in Advance	\$ 0		\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 686						\$ 2,680	\$ 3,366	\$ 1,896
Jury Fees - Non-Interest							\$ 4,115	\$ 4,115	\$ 3,000
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 686		\$ 0				\$ 6,795	\$ 7,480	\$ 4,896
Total Liabilities	\$ 1,024	\$ 63,754	\$ 0				\$ 485,645	\$ 550,423	\$ 257,006
Total Fund Balance	\$ 256,438	\$ 16,926	\$ 0					\$ 273,364	\$ 226,145
Total Liabilities and Fund Balance	\$ 257,462	\$ 80,680	\$ 0				\$ 485,645	\$ 823,787	\$ 483,151

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2019/20											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 459,792	\$ 588						\$ 460,380	\$ 1,070,215	\$ 391,550	\$ 992,165
Improvement and Modernization Fund	\$ 13,346							\$ 13,346	\$ 463,285		\$ 456,611
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 1,994							\$ 1,994	\$ 6,150	\$ 280	\$ 6,600
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 8,571							\$ 8,571	\$ 94,312	\$ 7,336	\$ 81,315
Other Miscellaneous									\$ 31,967		\$ 31,967
	\$ 483,703	\$ 588						\$ 484,291	\$ 1,665,929	\$ 399,166	\$ 1,568,658
Grants											
AB 1058 Commissioner/Facilitator			\$ 18,047					\$ 18,047	\$ 71,764	\$ 18,047	\$ 71,764
Other Judicial Council Grants									\$ 15,079		\$ 15,079
Non-Judicial Council Grants											
			\$ 18,047					\$ 18,047	\$ 86,843	\$ 18,047	\$ 86,843
Other Financing Sources											
Interest Income	\$ 1,923	\$ 28						\$ 1,951	\$ 4,159	\$ 267	\$ 1,746
Investment Income											
Donations											
Local Fees	\$ 288							\$ 288	\$ 3,324	\$ 306	\$ 3,500
Non-Fee Revenues		\$ 0						\$ 0	\$ 932	\$ 200	\$ 943
Enhanced Collections		\$ 11,498						\$ 11,498	\$ 67,983	\$ 10,144	\$ 54,107
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 0						\$ 0	\$ 125	\$ 0	\$ 127
Reimbursement Other									\$ 976		
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 2,211	\$ 11,525						\$ 13,737	\$ 77,499	\$ 10,917	\$ 60,423
Total Revenues	\$ 485,915	\$ 12,113	\$ 18,047					\$ 516,075	\$ 1,830,271	\$ 428,130	\$ 1,715,924
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 144,238	\$ 10,415						\$ 154,653	\$ 609,297	\$ 126,742	\$ 546,337
Temp Help											
Overtime											
Staff Benefits	\$ 80,865	\$ 5,839						\$ 86,703	\$ 362,858	\$ 65,633	\$ 302,674
	\$ 225,102	\$ 16,254						\$ 241,356	\$ 971,955	\$ 192,375	\$ 849,011
Operating Expenses and Equipment											
General Expense	\$ 10,303		\$ 0					\$ 10,303	\$ 74,478	\$ 1,669	\$ 26,548
Printing	\$ 673							\$ 673	\$ 1,726		\$ 1,580
Telecommunications	\$ 2,310							\$ 2,310	\$ 16,400	\$ 1,262	\$ 15,910
Postage	\$ 739	\$ 387						\$ 1,125	\$ 6,107	\$ 1,125	\$ 4,500
Insurance	\$ 1,600							\$ 1,600	\$ 1,950	\$ 1,300	\$ 1,450
In-State Travel	\$ 807							\$ 807	\$ 15,895	\$ 0	\$ 4,300
Out-of-State Travel											
Training									\$ 13,100		
Security Services											
Facility Operations	\$ 3,287							\$ 3,287	\$ 11,479	\$ 2,572	\$ 11,310
Utilities											
Contracted Services	\$ 56,553	\$ 1,344	\$ 18,047					\$ 75,944	\$ 684,830	\$ 40,588	\$ 659,093
Consulting and Professional Services	\$ 270							\$ 270	\$ 1,485	\$ 475	\$ 1,970
Information Technology	\$ 307							\$ 307	\$ 50,588	\$ 439	\$ 115,608
Major Equipment	\$ 8,830							\$ 8,830	\$ 52,179		
Other Items of Expense											
	\$ 85,679	\$ 1,730	\$ 18,047					\$ 105,457	\$ 930,217	\$ 49,429	\$ 842,269
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 2,296							\$ 2,296	\$ 5,376		\$ 3,100
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery											
Prior Year Expense Adjustment											
	\$ 2,296							\$ 2,296	\$ 5,376		\$ 3,100
Total Expenditures	\$ 313,078	\$ 17,984	\$ 18,047					\$ 349,109	\$ 1,907,548	\$ 241,805	\$ 1,694,380
Excess (Deficit) of Revenues Over Expenditures	\$ 172,837	\$ (5,871)	\$ 0					\$ 166,966	\$ (77,277)	\$ 186,325	\$ 21,544
Operating Transfers In (Out)	\$ (3,528)	\$ 3,528						\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 87,130	\$ 19,268	\$ 0					\$ 106,398	\$ 106,398	\$ 39,820	\$ 39,820
Ending Balance (Deficit)	\$ 256,438	\$ 16,926	\$ 0					\$ 273,364	\$ 29,121	\$ 226,145	\$ 61,364

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2019/20								2018/19	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 50,001	\$ 24,901					\$ 74,902	\$ 213,502	\$ 46,950	\$ 136,335
Traffic & Other Infractions		\$ 819					\$ 819	\$ 7,679	\$ 491	\$ 2,015
Other Criminal Cases	\$ 40,291	\$ 3,222					\$ 43,513	\$ 186,355	\$ 35,818	\$ 163,148
Civil	\$ 33,009	\$ 8,824					\$ 41,833	\$ 152,109	\$ 25,025	\$ 217,850
Family & Children Services	\$ 15,384	\$ 18,308					\$ 33,692	\$ 136,600	\$ 32,142	\$ 140,925
Probate, Guardianship & Mental Health Services	\$ 15,384						\$ 15,384	\$ 63,111	\$ 14,095	\$ 68,997
Juvenile Dependency Services		\$ 2,592					\$ 2,592	\$ 108,222	\$ 4,422	\$ 39,415
Juvenile Delinquency Services								\$ 1,610		\$ 1,445
Other Court Operations										
Court Interpreters		\$ 1,994					\$ 1,994	\$ 6,150	\$ 700	\$ 5,800
Jury Services		\$ 860	\$ 2,296				\$ 3,157	\$ 7,637	\$ 188	\$ 7,175
Security								\$ 500		\$ 135
Trial Court Operations Program	\$ 154,069	\$ 61,519	\$ 2,296				\$ 217,884	\$ 883,475	\$ 159,832	\$ 783,240
Enhanced Collections	\$ 16,254	\$ 980					\$ 17,234	\$ 67,983	\$ 15,221	\$ 54,107
Other Non-Court Operations		\$ 750					\$ 750	\$ 3,000	\$ 750	\$ 13,000
Non-Court Operations Program	\$ 16,254	\$ 1,730					\$ 17,984	\$ 70,983	\$ 15,971	\$ 67,107
Executive Office	\$ 39,790	\$ 178					\$ 39,967	\$ 168,672	\$ 31,366	\$ 148,046
Fiscal Services	\$ 13,090	\$ 2,187					\$ 15,276	\$ 97,790	\$ 10,687	\$ 88,960
Human Resources	\$ 18,155	\$ 80					\$ 18,234	\$ 30,633	\$ 14,020	\$ 27,692
Business & Facilities Services		\$ 4,887					\$ 4,887	\$ 13,529	\$ 3,872	\$ 13,240
Information Technology		\$ 34,876					\$ 34,876	\$ 642,466	\$ 6,058	\$ 566,095
Court Administration Program	\$ 71,034	\$ 42,207					\$ 113,241	\$ 953,090	\$ 66,002	\$ 844,033
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 241,356	\$ 105,457	\$ 2,296				\$ 349,109	\$ 1,907,548	\$ 241,805	\$ 1,694,380