

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

ALAMEDA

Court

FY 2018-2019 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY 2018-2019 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

FY 2018-2019 Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	737.40	670.89	664.70	675.00	749.10

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2018/19									2017/18
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	Special Revenue			Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
	General	Non-Grant	Grant						
ASSETS									
Operations	\$ (4,354,164)	\$ 1,647,933	\$ 0	\$ 0			\$ 667,244	\$ (2,038,987)	\$ 536,143
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury								\$ 50,000	\$ 50,000
Revolving	\$ 50,000							\$ 0	\$ 0
Other	\$ 0							\$ 220,359	\$ 297,158
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ (2,583,656)	\$ (2,583,656)	\$ (2,416,214)
Trust									
Credit Card									
Cash on Hand	\$ 20,090							\$ 20,090	\$ 22,040
Cash with County	\$ (37,316)							\$ (37,316)	\$ 10,609
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 9,770,617						\$ 25,663,874	\$ 35,434,490	\$ 37,398,462
Total Cash and Cash Equivalents	\$ 5,449,226	\$ 1,647,933	\$ 0	\$ 0			\$ 23,967,821	\$ 31,064,980	\$ 35,898,198
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 78,212	\$ 8,161	\$ 293					\$ 86,667	\$ 99,335
Accounts Receivable - General	\$ 0		\$ 0					\$ 0	\$ 15,146
Dishonored Checks									
Due From Employee	\$ 14,740							\$ 14,740	\$ 18,319
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 1,680,976							\$ 1,680,976	\$ 2,020,324
Due From Other Governments	\$ 28,991	\$ 116,182	\$ 601,699					\$ 746,872	\$ 673,996
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 1,651,014	\$ 110,660	\$ 1,116,330					\$ 2,878,003	\$ 2,725,940
Trust Due To/From							\$ 1,749,389	\$ 1,749,389	\$ 1,086,131
Distribution Due To/From							\$ 212,331	\$ 212,331	\$ 287,457
Civil Filing Fee Due To/From									
General Due To/From	\$ 160,156	\$ 242,012						\$ 402,168	\$ 407,421
Total Receivables	\$ 3,614,090	\$ 477,014	\$ 1,718,322				\$ 2,013,657	\$ 7,823,083	\$ 7,386,007
Prepaid Expenses - General	\$ 0		\$ 0					\$ 0	\$ 0
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0		\$ 0					\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 9,063,316	\$ 2,124,947	\$ 1,718,322	\$ 0			\$ 25,981,478	\$ 38,888,063	\$ 43,284,205
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 621,645	\$ 205,524	\$ 67,019					\$ 894,189	\$ 834,118
Accounts Payable - General	\$ 56,229	\$ 0	\$ 4,346	\$ 0			\$ 9,559	\$ 70,134	\$ 188,494
Due to Other Funds	\$ 57	\$ 156,789	\$ 1,524,188				\$ 2,363,830	\$ 4,044,864	\$ 3,801,333
Due to Other Courts									
Due to State	\$ (215,875)							\$ (215,875)	\$ 218,871
TC145 Liability							\$ 2,109,799	\$ 2,109,799	\$ 2,160,915
Due to Other Governments	\$ 32,046						\$ 0	\$ 32,046	\$ 2,123,825
AB145 Due to Other Government Agency							\$ 1,492,166	\$ 1,492,166	\$ 1,703,815
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,438							\$ 1,438	\$ 2,189
Interest							\$ 3,117	\$ 3,117	\$ 1,995
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 4,375
Total Accounts Payable and Accrued Liab.	\$ 495,541	\$ 362,313	\$ 1,595,552	\$ 0			\$ 5,978,471	\$ 8,431,877	\$ 11,039,929
Civil							\$ 10,846,105	\$ 10,846,105	\$ 10,693,469
Criminal							\$ 6,762,356	\$ 6,762,356	\$ 5,399,799
Unreconciled - Civil and Criminal							\$ 418,574	\$ 418,574	\$ 434,327
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 385,157	\$ 385,157	\$ 185,262
Miscellaneous Trust									
Total Trust Deposits							\$ 18,412,192	\$ 18,412,192	\$ 16,712,858
Accrued Payroll	\$ 1,570,349	\$ 9,070						\$ 1,579,419	\$ 1,373,978
Benefits Payable	\$ 24,794							\$ 24,794	\$ (9,857)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 44,987	\$ (2,827)						\$ 42,160	\$ 39,181
Payroll Clearing	\$ 428							\$ 428	\$ 100
Total Payroll Liabilities	\$ 1,640,558	\$ 6,243						\$ 1,646,801	\$ 1,403,402
Revenue Collected in Advance	\$ 94,365		\$ 122,474					\$ 216,839	\$ 257,554
Liabilities For Deposits	\$ 182,909	\$ 6,692	\$ 295				\$ 640,860	\$ 830,756	\$ 898,529
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 57,828	\$ 57,828	\$ 54,693
Uncleared Collections	\$ 0						\$ 1	\$ 1	\$ (610)
Other Miscellaneous Liabilities							\$ 892,126	\$ 892,126	\$ 2,153,697
Total Other Liabilities	\$ 277,273	\$ 6,692	\$ 122,769				\$ 1,590,814	\$ 1,997,549	\$ 3,363,863
Total Liabilities	\$ 2,413,372	\$ 375,248	\$ 1,718,322	\$ 0			\$ 25,981,478	\$ 30,488,420	\$ 32,520,052
Total Fund Balance	\$ 6,649,944	\$ 1,749,699	\$ 0	\$ 0			\$ 8,399,643	\$ 10,764,153	
Total Liabilities and Fund Balance	\$ 9,063,316	\$ 2,124,947	\$ 1,718,322	\$ 0			\$ 25,981,478	\$ 38,888,063	\$ 43,284,205

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
	Fiscal Year 2018/19								2017/18		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 74,683,481	\$ 599,243		\$ 4,662,500				\$ 79,945,225	\$ 79,111,015	\$ 78,649,916	\$ 78,389,627
Improvement and Modernization Fund	\$ 150,538							\$ 150,538	\$ 389,286	\$ 255,471	\$ 336,341
Judges' Compensation (0150019)	\$ 690,351							\$ 690,351	\$ 712,500	\$ 677,259	\$ 677,259
Court Interpreter (0150037)	\$ 5,331,521							\$ 5,331,521	\$ 5,001,429	\$ 4,383,257	\$ 4,700,000
Civil Coordination Reimbursement (0150091)	\$ 1,476,389							\$ 1,476,389	\$ 1,410,827	\$ 638,155	\$ 622,453
MOU Reimbursements (0150010 and General)	\$ 3,102,046							\$ 3,102,046	\$ 3,102,046	\$ 3,102,047	\$ 3,102,047
Other Miscellaneous											
	\$ 85,434,326	\$ 599,243		\$ 4,662,500				\$ 90,696,070	\$ 89,727,103	\$ 87,706,105	\$ 87,827,727
Grants											
AB 1058 Commissioner/Facilitator			\$ 1,638,091					\$ 1,638,091	\$ 1,693,597	\$ 1,704,557	\$ 1,907,509
Other Judicial Council Grants			\$ 527,266					\$ 527,266	\$ 571,377	\$ 515,503	\$ 492,955
Non-Judicial Council Grants			\$ 1,855,598					\$ 1,855,598	\$ 1,856,825	\$ 1,901,934	\$ 1,889,854
			\$ 4,020,954					\$ 4,020,954	\$ 4,121,799	\$ 4,121,994	\$ 4,290,318
Other Financing Sources											
Interest Income	\$ 774,703	\$ 37,236						\$ 811,940	\$ 727,766	\$ 460,480	\$ 468,211
Investment Income											
Donations											
Local Fees	\$ 2,901,508	\$ 115,455						\$ 3,016,963	\$ 3,075,674	\$ 2,819,882	\$ 2,991,167
Non-Fee Revenues	\$ 98,825							\$ 98,825	\$ 98,825	\$ 98,825	\$ 98,825
Enhanced Collections		\$ 2,831,321						\$ 2,831,321	\$ 2,829,421	\$ 2,616,327	\$ 2,215,103
Escheatment	\$ 43,382							\$ 43,382	\$ 43,382		\$ 0
Prior Year Revenue										\$ (216,117)	
County Program - Restricted		\$ 418,592						\$ 418,592	\$ 491,334	\$ 828,697	\$ 796,534
Reimbursement Other	\$ 170,279							\$ 170,279	\$ 197,559	\$ 225,923	\$ 273,301
Sale of Fixed Assets											
Other Miscellaneous	\$ 75,297							\$ 75,297	\$ 55,768	\$ 151,168	\$ 1,997
	\$ 4,063,994	\$ 3,402,604						\$ 7,466,598	\$ 7,519,729	\$ 6,985,186	\$ 6,845,138
Total Revenues	\$ 89,498,320	\$ 4,001,847	\$ 4,020,954	\$ 4,662,500				\$ 102,183,622	\$ 101,368,631	\$ 98,813,285	\$ 98,963,183
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 49,383,774	\$ 627,213	\$ 1,958,431					\$ 51,969,418	\$ 52,035,302	\$ 48,312,542	\$ 48,696,293
Temp Help	\$ 848,022	\$ 723	\$ 107,972					\$ 956,718	\$ 440,437	\$ 1,034,756	\$ 271,336
Overtime	\$ 33,985	\$ 715						\$ 34,700	\$ 31,585	\$ 51,132	\$ 48,000
Staff Benefits	\$ 26,359,505	\$ 314,288	\$ 844,118					\$ 27,517,911	\$ 30,708,004	\$ 27,076,554	\$ 27,835,044
	\$ 76,625,286	\$ 942,940	\$ 2,910,521					\$ 80,478,747	\$ 83,215,328	\$ 76,474,983	\$ 76,850,673
Operating Expenses and Equipment											
General Expense	\$ 2,391,918		\$ 30,394					\$ 2,422,311	\$ 1,451,858	\$ 3,038,756	\$ 3,822,906
Printing	\$ 436,536							\$ 436,536	\$ 439,753	\$ 349,372	\$ 395,163
Telecommunications	\$ 413,847		\$ 4,691					\$ 418,539	\$ 499,492	\$ 503,747	\$ 493,312
Postage	\$ 533,592		\$ 181					\$ 533,773	\$ 547,205	\$ 512,746	\$ 631,271
Insurance	\$ 32,397							\$ 32,397	\$ 32,397	\$ 26,487	\$ 27,860
In-State Travel	\$ 105,336		\$ 25,697					\$ 131,033	\$ 145,800	\$ 96,493	\$ 111,805
Out-of-State Travel	\$ 1,362		\$ 21,576					\$ 22,938	\$ 41,794	\$ 22,615	\$ 29,567
Training	\$ 98,077		\$ 27,890					\$ 125,967	\$ 176,613	\$ 91,824	\$ 200,286
Security Services	\$ 9,167							\$ 9,167	\$ 24,075	\$ 83,322	\$ 23,865
Facility Operations	\$ 3,607,466							\$ 3,607,466	\$ 529,958	\$ 2,275,355	\$ 3,638,253
Utilities											
Contracted Services	\$ 5,674,704	\$ 2,500,862	\$ 733,848	\$ 0				\$ 8,909,415	\$ 7,758,017	\$ 7,032,016	\$ 8,104,301
Consulting and Professional Services	\$ 697,769							\$ 697,769	\$ 800,000	\$ 819,033	\$ 703,488
Information Technology	\$ 1,438,146							\$ 1,438,146	\$ 1,484,444	\$ 1,472,639	\$ 1,653,646
Major Equipment	\$ 84,892							\$ 84,892	\$ 35,000	\$ 279,150	\$ 293,695
Other Items of Expense	\$ 41,441		\$ 141,991					\$ 183,432	\$ 160,855	\$ 159,171	\$ 157,826
	\$ 15,566,649	\$ 2,500,862	\$ 986,269	\$ 0				\$ 19,053,781	\$ 14,127,261	\$ 16,762,726	\$ 20,287,244
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 569,784							\$ 569,784	\$ 531,075	\$ 549,262	\$ 545,778
Judgements, Settlements and Claims									\$ 0	\$ 25,000	\$ 25,000
Debt Service											
Other										\$ 0	\$ 7,048
Capital Costs				\$ 4,662,500				\$ 4,662,500	\$ 2,162,500	\$ 1,350,000	\$ 1,350,000
Internal Cost Recovery	\$ (359,822)	\$ 87,848	\$ 271,974					\$ 0	\$ 64,990	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (178,302)	\$ (38,378)						\$ (216,680)			\$ 0
	\$ 31,660	\$ 49,470	\$ 271,974	\$ 4,662,500				\$ 5,015,604	\$ 2,758,565	\$ 1,924,262	\$ 1,927,828
Total Expenditures	\$ 92,223,595	\$ 3,493,272	\$ 4,168,765	\$ 4,662,500				\$ 104,548,132	\$ 100,101,154	\$ 95,161,971	\$ 99,065,743
Excess (Deficit) of Revenues Over Expenditures	\$ (2,725,275)	\$ 508,575	\$ (147,811)	\$ 0				\$ (2,364,510)	\$ 1,267,477	\$ 3,651,314	\$ (102,560)
Operating Transfers In (Out)	\$ (149,128)	\$ 1,317	\$ 147,811					\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 9,524,346	\$ 1,239,807	\$ 0	\$ 0				\$ 10,764,153	\$ 10,764,153	\$ 7,112,839	\$ 7,112,839
Ending Balance (Deficit)	\$ 6,649,944	\$ 1,749,699	\$ 0	\$ 0				\$ 8,399,643	\$ 12,031,630	\$ 10,764,153	\$ 7,010,279

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
	Fiscal Year 2018/19							2017/18		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 28,506,997	\$ 1,426,721				\$ (40)	\$ 29,933,677	\$ 31,633,707	\$ 28,732,092	\$ 29,387,265
Traffic & Other Infractions	\$ 3,689,140	\$ 515,190				\$ (19)	\$ 4,204,312	\$ 4,321,467	\$ 4,472,203	\$ 5,059,078
Other Criminal Cases	\$ 7,617,617	\$ 879,980				\$ 63	\$ 8,497,660	\$ 8,666,799	\$ 8,219,242	\$ 8,049,367
Civil	\$ 4,439,275	\$ 21,204					\$ 4,460,479	\$ 4,353,870	\$ 4,015,289	\$ 4,577,294
Family & Children Services	\$ 10,216,559	\$ 752,788					\$ 10,969,347	\$ 11,012,634	\$ 9,261,526	\$ 9,189,119
Probate, Guardianship & Mental Health Services	\$ 2,414,317	\$ 44,536					\$ 2,458,854	\$ 2,779,568	\$ 1,855,364	\$ 1,996,219
Juvenile Dependency Services	\$ 190,382	\$ 240,858					\$ 431,240	\$ 459,346	\$ 402,551	\$ 1,139,697
Juvenile Delinquency Services	\$ 1,106,922	\$ 1,922					\$ 1,108,843	\$ 1,235,121	\$ 770,257	\$ 775,003
Other Court Operations	\$ 1,364,628	\$ 151,024					\$ 1,515,653	\$ 1,284,422	\$ 1,776,147	\$ 2,220,275
Court Interpreters	\$ 4,172,041	\$ 1,353,676					\$ 5,525,717	\$ 5,601,680	\$ 5,044,330	\$ 4,937,831
Jury Services	\$ 665,573	\$ 603,759	\$ 569,784				\$ 1,839,116	\$ 1,869,075	\$ 1,780,792	\$ 1,930,643
Security	\$ 3,113,957	\$ 559,813				\$ (56)	\$ 3,673,714	\$ 3,169,721	\$ 2,954,019	\$ 3,860,757
Trial Court Operations Program	\$ 67,497,408	\$ 6,551,472	\$ 569,784			\$ (52)	\$ 74,618,613	\$ 76,387,410	\$ 69,283,812	\$ 73,122,548
Enhanced Collections	\$ 483,742	\$ 2,266,350			\$ 87,848		\$ 2,837,939	\$ 2,340,000	\$ 2,610,143	\$ 2,215,103
Other Non-Court Operations	\$ 426,104					\$ (38,378)	\$ 387,726	\$ 450,182	\$ 805,180	\$ 758,278
Non-Court Operations Program	\$ 909,846	\$ 2,266,350			\$ 87,848	\$ (38,378)	\$ 3,225,666	\$ 2,790,182	\$ 3,415,323	\$ 2,973,381
Executive Office	\$ 817,590	\$ 123,022			\$ (13,177)		\$ 927,435	\$ 1,141,074	\$ 911,492	\$ 1,142,204
Fiscal Services	\$ 3,106,693	\$ 843,078			\$ (23,719)	\$ (177,822)	\$ 3,748,230	\$ 4,285,267	\$ 3,554,114	\$ 3,561,051
Human Resources	\$ 2,769,931	\$ 1,643,172			\$ (14,056)		\$ 4,399,047	\$ 4,036,480	\$ 4,652,331	\$ 3,851,594
Business & Facilities Services	\$ 715,196	\$ 4,073,024		\$ 4,662,500			\$ 9,450,720	\$ 3,862,833	\$ 4,738,880	\$ 5,498,778
Information Technology	\$ 4,662,082	\$ 3,553,663			\$ (36,896)	\$ (429)	\$ 8,178,421	\$ 7,597,908	\$ 8,606,018	\$ 8,916,187
Court Administration Program	\$ 12,071,493	\$ 10,235,958		\$ 4,662,500	\$ (87,848)	\$ (178,251)	\$ 26,703,853	\$ 20,923,562	\$ 22,462,836	\$ 22,969,814
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 80,478,747	\$ 19,053,781	\$ 569,784	\$ 4,662,500	\$ 0	\$ (216,680)	\$ 104,548,132	\$ 100,101,154	\$ 95,161,971	\$ 99,065,743

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Alameda

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	
Restricted	-	-	-	1,713,820	-	-	-	-	1,713,820	
Committed	5,545,612	-	5,545,612	35,346	-	-	-	-	5,580,958	
Assigned	81,903	-	81,903	532	-	-	-	-	82,435	
Unassigned	-	1,022,430	1,022,430	-	-	-	-	-	1,022,430	
Total	\$ 5,627,515	\$ 1,022,430	\$ 6,649,945	\$ 1,749,698	\$ -	\$ -	\$ -	\$ -	\$ 8,399,643	