

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

2/15/19

Date

Santa Clara

Court

FY 2018-19 2nd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Clara

Court

FY 2018-19 2nd Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Clara

Court

FY 2018-19 2nd Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	627.90	594.80	613.74		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2018/19											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 48,135,425	\$ 216,809					\$ 48,352,234	\$ 83,492,551	\$ 50,000,433	\$ 77,479,174	
Improvement and Modernization Fund								\$ 245,193		\$ 238,521	
Judges' Compensation (0150019)	\$ 375,252						\$ 375,252	\$ 712,514	\$ 375,252	\$ 712,514	
Court Interpreter (0150037)	\$ 2,404,352						\$ 2,404,352	\$ 6,852,814	\$ 2,452,479	\$ 5,852,814	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 781,490						\$ 781,490	\$ 1,964,171	\$ 549,625	\$ 1,021,893	
Other Miscellaneous	\$ 2,309,466						\$ 2,309,466	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	
	\$ 53,985,985	\$ 216,809					\$ 54,202,794	\$ 95,576,709	\$ 55,687,256	\$ 87,614,383	
Grants											
AB 1058 Commissioner/Facilitator			\$ 559,400				\$ 559,400	\$ 2,846,373	\$ 625,263	\$ 2,764,502	
Other Judicial Council Grants			\$ 41,770				\$ 41,770	\$ 489,915	\$ 273,401	\$ 900,917	
Non-Judicial Council Grants			\$ 338,726				\$ 338,726	\$ 1,008,133	\$ 374,892	\$ 1,137,739	
			\$ 939,896				\$ 939,896	\$ 4,324,421	\$ 1,273,556	\$ 4,803,158	
Other Financing Sources											
Interest Income	\$ 137,511	\$ 2,724		\$ 1,409			\$ 141,643	\$ 168,700	\$ 83,936	\$ 168,700	
Investment Income											
Donations		\$ 6,289					\$ 6,289	\$ 49,218	\$ 12,059	\$ 44,300	
Local Fees	\$ 131,682	\$ 222,329					\$ 354,011	\$ 2,182,068	\$ 672,915	\$ 2,016,400	
Non-Fee Revenues											
Enhanced Collections		\$ 98,440					\$ 98,440	\$ 750,200	\$ 423,035	\$ 750,200	
Escheatment	\$ 3,197						\$ 3,197	\$ 37,000	\$ 5,144	\$ 37,000	
Prior Year Revenue											
County Program - Restricted		\$ 48,823					\$ 48,823	\$ 107,436	\$ 48,086	\$ 109,383	
Reimbursement Other	\$ 99,155	\$ 640,330	\$ 29,347				\$ 768,832	\$ 2,112,561	\$ 145,316	\$ 720,594	
Sale of Fixed Assets											
Other Miscellaneous	\$ 2,779						\$ 2,779	\$ 13,400	\$ 656,879	\$ 1,611,653	
	\$ 374,323	\$ 1,018,936	\$ 29,347	\$ 1,409			\$ 1,424,016	\$ 5,420,583	\$ 2,047,370	\$ 5,458,230	
Total Revenues	\$ 54,380,308	\$ 1,235,745	\$ 969,243	\$ 1,409			\$ 56,566,705	\$ 105,321,713	\$ 59,008,183	\$ 97,875,771	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 22,581,261	\$ 280,780	\$ 686,654				\$ 23,548,694	\$ 49,639,718	\$ 22,978,200	\$ 48,300,972	
Temp Help	\$ 159,913						\$ 159,913	\$ 759,760	\$ 200,233	\$ 443,065	
Overtime	\$ 187,646	\$ 167					\$ 187,813	\$ 250,000	\$ 67,154	\$ 170,000	
Staff Benefits	\$ 13,741,431	\$ 886,304	\$ 355,298				\$ 14,983,033	\$ 33,083,854	\$ 14,744,582	\$ 27,428,663	
	\$ 36,670,250	\$ 1,167,251	\$ 1,041,952				\$ 38,879,453	\$ 83,733,332	\$ 37,990,170	\$ 76,342,700	
Operating Expenses and Equipment											
General Expense	\$ 546,549	\$ 9,625	\$ 39,072				\$ 595,246	\$ 1,304,640	\$ 626,158	\$ 1,626,735	
Printing	\$ 39,405						\$ 39,405	\$ 129,478	\$ 44,736	\$ 117,000	
Telecommunications	\$ 315,458	\$ 271	\$ 491				\$ 316,220	\$ 815,000	\$ 298,411	\$ 1,020,000	
Postage	\$ 159,090						\$ 159,090	\$ 369,500	\$ 181,167	\$ 370,000	
Insurance	\$ 36,057						\$ 36,057	\$ 39,500	\$ 5,875	\$ 38,000	
In-State Travel	\$ 60,392	\$ 229	\$ 6,168				\$ 66,788	\$ 120,338	\$ 39,612	\$ 104,500	
Out-of-State Travel	\$ 5,125		\$ 1,722				\$ 6,846	\$ 51,734	\$ 20,527	\$ 28,858	
Training	\$ 31,073		\$ 2,614				\$ 33,687	\$ 112,731	\$ 52,974	\$ 115,250	
Security Services	\$ 46,125						\$ 46,125	\$ 404,164	\$ 50,692	\$ 504,844	
Facility Operations	\$ 898,957						\$ 898,957	\$ 1,617,158	\$ 766,084	\$ 1,579,826	
Utilities	\$ 82,064						\$ 82,064	\$ 165,000	\$ 86,925	\$ 169,674	
Contracted Services	\$ 2,712,000	\$ 263,464	\$ 299,993				\$ 3,275,458	\$ 9,302,828	\$ 4,690,976	\$ 10,495,657	
Consulting and Professional Services	\$ 18,570						\$ 18,570	\$ 454,676	\$ 184,353	\$ 752,200	
Information Technology	\$ 581,748	\$ 649					\$ 582,397	\$ 2,332,668	\$ 251,533	\$ 2,186,866	
Major Equipment	\$ 110,043						\$ 110,043	\$ 8,164	\$ 8,164	\$ 8,164	
Other Items of Expense	\$ 24,184						\$ 24,184	\$ 42,670	\$ 19,538	\$ 51,570	
	\$ 5,666,840	\$ 274,238	\$ 350,059				\$ 6,291,137	\$ 17,262,085	\$ 7,327,723	\$ 19,169,144	
Special Items of Expense											
Grand Jury		\$ 2,504					\$ 2,504	\$ 5,500	\$ 3,588	\$ 3,800	
Jury Costs	\$ 365,129	\$ 39,052					\$ 404,181	\$ 771,000	\$ 398,474	\$ 846,200	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0			\$ 250,000	
Capital Costs											
Internal Cost Recovery	\$ (171,921)		\$ 171,921	\$ 2,687,419			\$ 2,687,419	\$ 4,031,000	\$ 2,015,629	\$ 4,031,257	
Prior Year Expense Adjustment											
	\$ 193,208	\$ 41,556	\$ 171,921	\$ 2,687,419			\$ 3,094,104	\$ 4,807,500	\$ 2,417,690	\$ 5,131,257	
Total Expenditures	\$ 42,530,298	\$ 1,483,045	\$ 1,563,931	\$ 2,687,419			\$ 48,264,693	\$ 105,802,917	\$ 47,735,583	\$ 100,643,101	
Excess (Deficit) of Revenues Over Expenditures	\$ 11,830,010	\$ (247,300)	\$ (594,688)	\$ (2,686,010)			\$ 8,302,012	\$ (481,204)	\$ 11,272,600	\$ (2,767,330)	
Operating Transfers In (Out)	\$ (44,700)	\$ 44,700					\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 150,205	\$ 604,424	\$ 0	\$ 0			\$ 754,629	\$ 754,629	\$ 2,767,330	\$ 2,767,330	
Ending Balance (Deficit)	\$ 11,935,515	\$ 401,825	\$ (594,688)	\$ (2,686,010)			\$ 9,056,641	\$ 273,425	\$ 14,039,930	\$ 0	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2018/19									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 5,255,753	\$ (890,546)	\$ (1,675,954)	\$ (2,686,010)			\$ 432,729	\$ 435,973	\$ 632,273
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 291,759	\$ 291,759	\$ 463,170
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (831,208)	\$ (831,208)	\$ (112,312)
Credit Card									
Cash on Hand	\$ 14,865	\$ 150					\$ 0	\$ 15,015	\$ 15,010
Cash with County	\$ 1,063,666							\$ 1,063,666	\$ 5,156,818
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 5,982,363						\$ 40,627,661	\$ 46,610,024	\$ 49,253,466
Total Cash and Cash Equivalents	\$ 12,316,647	\$ (890,396)	\$ (1,675,954)	\$ (2,686,010)			\$ 40,520,942	\$ 47,585,229	\$ 55,408,426
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General									\$ 16,474
Dishonored Checks								\$ 584	
Due From Employee	\$ 584							\$ 584	
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust							\$ 287,238	\$ 287,238	
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 139,957
Due From Other Governments	\$ 100,000	\$ 635,446	\$ 128,589					\$ 864,035	\$ 182,537
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 16,985	\$ 0	\$ 1,179,084					\$ 1,196,069	\$ 977,393
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 34,978	\$ 658,246						\$ 693,225	\$ 12,515
Total Receivables	\$ 152,548	\$ 1,293,693	\$ 1,307,673				\$ 287,238	\$ 3,041,151	\$ 1,328,875
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 12,469,195	\$ 403,297	\$ (368,281)	\$ (2,686,010)			\$ 40,808,180	\$ 50,626,381	\$ 56,737,300
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 247,056	\$ 0	\$ 0					\$ 247,056	\$ 0
Accounts Payable - General	\$ 50,550	\$ 0	\$ 63,563	\$ 0			\$ 0	\$ 114,113	\$ 718,779
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 693,225	\$ 693,225	\$ 152,471
Due to Other Courts									\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 1,485,168	\$ 1,485,168	\$ 1,595,118
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency	\$ 0						\$ 4,502,139	\$ 4,502,139	\$ 5,380,089
Due to Other Public Agencies									
Sales and Use Tax	\$ 619							\$ 619	\$ 489
Interest							\$ 77,816	\$ 77,816	\$ 37,980
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 298,225	\$ 0	\$ 63,563	\$ 0			\$ 6,758,348	\$ 7,120,136	\$ 7,884,927
Civil							\$ 29,594,757	\$ 29,594,757	\$ 31,560,625
Criminal							\$ 3,663,365	\$ 3,663,365	\$ 2,401,168
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 662,371	\$ 662,371	\$ 219,793
Miscellaneous Trust									
Total Trust Deposits							\$ 33,920,494	\$ 33,920,494	\$ 34,181,585
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ 157,813							\$ 157,813	\$ 93,720
Deferred Compensation Payable									
Deductions Payable	\$ 0							\$ 0	\$ 772
Payroll Clearing									
Total Payroll Liabilities	\$ 157,813							\$ 157,813	\$ 94,492
Revenue Collected in Advance	\$ 0		\$ 161,682					\$ 161,682	\$ 331,100
Liabilities For Deposits	\$ 77,642	\$ 1,472	\$ 1,162				\$ 129,339	\$ 209,615	\$ 205,266
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 77,642	\$ 1,472	\$ 162,844				\$ 129,339	\$ 371,297	\$ 536,366
Total Liabilities	\$ 533,680	\$ 1,472	\$ 226,407	\$ 0			\$ 40,808,180	\$ 41,569,740	\$ 42,697,370
Total Fund Balance	\$ 11,935,515	\$ 401,825	\$ (594,688)	\$ (2,686,010)				\$ 9,056,641	\$ 14,039,930
Total Liabilities and Fund Balance	\$ 12,469,195	\$ 403,297	\$ (368,281)	\$ (2,686,010)			\$ 40,808,180	\$ 50,626,381	\$ 56,737,300

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
Fiscal Year 2018/19								2017/18		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 10,371,592	\$ 560,718	\$ 0		\$ (79,466)		\$ 10,852,844	\$ 24,226,145	\$ 12,081,864	\$ 23,425,916
Traffic & Other Infractions	\$ 1,731,579	\$ 11,099					\$ 1,742,678	\$ 4,008,175	\$ 1,714,072	\$ 3,731,232
Other Criminal Cases	\$ 4,636,922	\$ 431,897			\$ 10,795		\$ 5,079,614	\$ 11,521,945	\$ 4,577,655	\$ 10,547,717
Civil	\$ 3,495,377	\$ 52,231					\$ 3,547,608	\$ 8,223,116	\$ 3,802,171	\$ 7,331,542
Family & Children Services	\$ 5,081,149	\$ 429,342			\$ 80,988		\$ 5,591,479	\$ 13,451,202	\$ 5,681,247	\$ 13,493,403
Probate, Guardianship & Mental Health Services	\$ 1,429,254	\$ 11,641					\$ 1,440,894	\$ 3,266,903	\$ 1,454,607	\$ 2,959,427
Juvenile Dependency Services	\$ 155,821	\$ 151,040			\$ 2,218		\$ 309,079	\$ 869,799	\$ 219,734	\$ 282,356
Juvenile Delinquency Services	\$ 225,704	\$ 46,241			\$ (739)		\$ 271,205	\$ 804,860	\$ 458,854	\$ 885,352
Other Court Operations	\$ 656,630	\$ 2,083					\$ 658,713	\$ 1,444,762	\$ 199,208	\$ 450,766
Court Interpreters	\$ 1,491,054	\$ 1,797,092					\$ 3,288,146	\$ 7,081,218	\$ 3,353,631	\$ 6,255,602
Jury Services	\$ 205,997	\$ 45,026	\$ 365,129				\$ 616,152	\$ 2,009,261	\$ 637,315	\$ 1,585,069
Security		\$ 66,150					\$ 66,150	\$ 31,500	\$ 66,180	\$ 120,864
Trial Court Operations Program	\$ 29,481,078	\$ 3,604,559	\$ 365,129		\$ 13,797		\$ 33,464,563	\$ 76,938,886	\$ 34,246,537	\$ 71,069,246
Enhanced Collections										
Other Non-Court Operations	\$ 857,622	\$ 50,109	\$ 41,556				\$ 949,288	\$ 2,545,134	\$ 216,380	\$ 231,835
Non-Court Operations Program	\$ 857,622	\$ 50,109	\$ 41,556				\$ 949,288	\$ 2,545,134	\$ 216,380	\$ 231,835
Executive Office	\$ 1,061,506	\$ 253,249			\$ (13,797)		\$ 1,300,958	\$ 1,651,344	\$ 844,828	\$ 1,864,827
Fiscal Services	\$ 1,732,209	\$ 344,636					\$ 2,076,845	\$ 6,466,431	\$ 2,390,957	\$ 6,157,687
Human Resources	\$ 2,415,835	\$ 32,073					\$ 2,447,908	\$ 1,693,239	\$ 2,150,925	\$ 1,614,761
Business & Facilities Services	\$ 1,026,859	\$ 1,002,894		\$ 2,687,419			\$ 4,717,171	\$ 8,301,974	\$ 4,005,525	\$ 9,677,217
Information Technology	\$ 2,304,344	\$ 1,003,617					\$ 3,307,961	\$ 8,205,909	\$ 3,880,432	\$ 10,027,529
Court Administration Program	\$ 8,540,752	\$ 2,636,468		\$ 2,687,419	\$ (13,797)		\$ 13,850,843	\$ 26,318,897	\$ 13,272,666	\$ 29,342,020
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 38,879,453	\$ 6,291,137	\$ 406,685	\$ 2,687,419	\$ 0		\$ 48,264,693	\$ 105,802,917	\$ 47,735,583	\$ 100,643,101