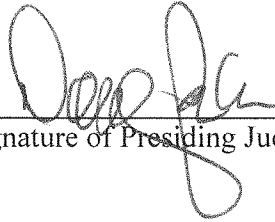


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

3/20/19

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Date

Santa Barbara

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Court

2018-2019 2nd Qtr.

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

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Court

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Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended December  
 Fiscal Year 2018/19

2017/18

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (2,228,854)	\$ 2,395,326	\$ (86,299)				\$ 158,339	\$ 238,513	\$ 236,945
Payroll	\$ 71	\$ 0	\$ 0					\$ 71	\$ (28)
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution							\$ 32,527	\$ 32,527	\$ 54,633
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 181						\$ (92,741)	\$ (92,561)	\$ (95,425)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County	\$ 0							\$ 0	
Cash Outside of the JCC									
Cash Equivalents	\$ 8,578,138						\$ 5,095,519	\$ 13,673,657	\$ 11,974,290
<b>Total Cash and Cash Equivalents</b>	<b>\$ 6,361,666</b>	<b>\$ 2,395,326</b>	<b>\$ (86,299)</b>				<b>\$ 5,193,643</b>	<b>\$ 13,864,337</b>	<b>\$ 12,182,544</b>
Short-Term Investment Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 283,324	\$ 0					\$ 283,324	\$ 283,324
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 128,834					\$ 128,834	\$ 13,464
Trust Due To/From							\$ 774,143	\$ 774,143	\$ 146,440
Distribution Due To/From							\$ 49,574	\$ 49,574	\$ 30,542
Civil Filing Fee Due To/From									
General Due To/From	\$ 4,105	\$ 0						\$ 4,105	\$ 4,090
<b>Total Receivables</b>	<b>\$ 4,105</b>	<b>\$ 283,324</b>	<b>\$ 128,834</b>				<b>\$ 823,717</b>	<b>\$ 1,239,979</b>	<b>\$ 477,859</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 14,057
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 14,057</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 6,365,770</b>	<b>\$ 2,678,650</b>	<b>\$ 42,535</b>				<b>\$ 6,017,360</b>	<b>\$ 15,104,315</b>	<b>\$ 12,674,460</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 14,372	\$ 792	\$ 0				\$ 0	\$ 15,165	\$ 380,050
Due to Other Funds	\$ 303	\$ 11,596	\$ 0				\$ 815,922	\$ 827,822	\$ 181,072
Due to Other Courts									\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 415,836	\$ 415,836	\$ 376,845
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,964,074	\$ 1,964,074	\$ 1,974,155
Due to Other Public Agencies									
Sales and Use Tax	\$ 309							\$ 309	\$ 45
Interest							\$ 9,249	\$ 9,249	\$ 4,286
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 675							\$ 675	\$ 455
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 15,659</b>	<b>\$ 12,389</b>	<b>\$ 0</b>				<b>\$ 3,205,082</b>	<b>\$ 3,233,129</b>	<b>\$ 2,916,908</b>
Civil							\$ 1,560,121	\$ 1,560,121	\$ 1,228,806
Criminal	\$ 0						\$ 950,068	\$ 950,068	\$ 1,191,789
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,654
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 43,047	\$ 43,047	\$ 30,211
Miscellaneous Trust									
<b>Total Trust Deposits</b>	<b>\$ 0</b>						<b>\$ 2,556,965</b>	<b>\$ 2,556,965</b>	<b>\$ 2,454,460</b>
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 59,174	\$ (54)	\$ 0					\$ 59,120	\$ (127,242)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ (295)	\$ 0	\$ (50)					\$ (345)	\$ 47
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 58,879</b>	<b>\$ (54)</b>	<b>\$ (50)</b>					<b>\$ 58,776</b>	<b>\$ (127,194)</b>
Revenue Collected in Advance	\$ 103,000		\$ 161,333					\$ 264,333	
Liabilities For Deposits	\$ 49,617						\$ 251,978	\$ 301,596	\$ 61,700
Jury Fees - Non-Interest							\$ 1,160	\$ 1,160	\$ 1,186
Fees - Partial Payment & Overpayment							\$ 2,175	\$ 2,175	\$ 1,342
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 114,982							\$ 114,982	\$ 114,982
<b>Total Other Liabilities</b>	<b>\$ 267,599</b>		<b>\$ 161,333</b>				<b>\$ 255,313</b>	<b>\$ 684,245</b>	<b>\$ 179,210</b>
<b>Total Liabilities</b>	<b>\$ 342,138</b>	<b>\$ 12,334</b>	<b>\$ 161,283</b>				<b>\$ 6,017,360</b>	<b>\$ 6,533,115</b>	<b>\$ 5,423,384</b>
<b>Total Fund Balance</b>	<b>\$ 6,023,632</b>	<b>\$ 2,666,315</b>	<b>\$ (118,748)</b>					<b>\$ 8,571,200</b>	<b>\$ 7,251,076</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 6,365,770</b>	<b>\$ 2,678,650</b>	<b>\$ 42,535</b>				<b>\$ 6,017,360</b>	<b>\$ 15,104,315</b>	<b>\$ 12,674,460</b>

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended December											
Fiscal Year 2018/19										2017/18	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 14,447,931	\$ 70,547					\$ 14,518,478	\$ 23,772,005	\$ 14,073,104	\$ 22,018,247	
Improvement and Modernization Fund								\$ 57,012	\$ 22,684	\$ 56,713	
Judges' Compensation (0150019)	\$ 99,750						\$ 99,750	\$ 199,500	\$ 99,750	\$ 199,500	
Court Interpreter (0150037)	\$ 951,495						\$ 951,495	\$ 2,167,624	\$ 729,266	\$ 1,908,447	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 210,516						\$ 210,516	\$ 950,454	\$ 216,235	\$ 1,649,425	
Other Miscellaneous	\$ 1,597,661						\$ 1,597,661	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
	<b>\$ 17,307,353</b>	<b>\$ 70,547</b>					<b>\$ 17,377,900</b>	<b>\$ 28,744,257</b>	<b>\$ 16,738,700</b>	<b>\$ 27,429,994</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 129,368				\$ 129,368	\$ 861,134		\$ 840,129	
Other Judicial Council Grants			\$ 95,651				\$ 95,651	\$ 256,984	\$ 35,024	\$ 284,150	
Non-Judicial Council Grants											
			<b>\$ 225,019</b>				<b>\$ 225,019</b>	<b>\$ 1,118,118</b>	<b>\$ 35,024</b>	<b>\$ 1,124,279</b>	
Other Financing Sources											
Interest Income	\$ 44,562	\$ 14,611					\$ 59,174	\$ 103,850	\$ 28,835	\$ 50,000	
Investment Income											
Donations											
Local Fees	\$ 20,640	\$ 35,721					\$ 56,361	\$ 194,855	\$ 92,989	\$ 207,065	
Non-Fee Revenues	\$ 125,000						\$ 125,000	\$ 125,000	\$ 125,000	\$ 125,000	
Enhanced Collections		\$ 315,246					\$ 315,246	\$ 809,678	\$ 179,880	\$ 1,021,755	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 493,456					\$ 493,456	\$ 1,311,020	\$ 256,065	\$ 1,369,132	
Reimbursement Other	\$ 30,690						\$ 30,690	\$ 74,250	\$ 39,161	\$ 93,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 39,695						\$ 39,695	\$ 19,860	\$ 1,092	\$ 9,000	
	<b>\$ 260,587</b>	<b>\$ 859,035</b>					<b>\$ 1,119,622</b>	<b>\$ 2,638,513</b>	<b>\$ 723,022</b>	<b>\$ 2,874,952</b>	
<b>Total Revenues</b>	<b>\$ 17,567,940</b>	<b>\$ 929,582</b>	<b>\$ 225,019</b>				<b>\$ 18,722,540</b>	<b>\$ 32,500,888</b>	<b>\$ 17,496,747</b>	<b>\$ 31,429,225</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 5,814,977	\$ 432,960	\$ 179,965				\$ 6,427,902	\$ 16,707,902	\$ 6,311,832	\$ 15,568,092	
Temp Help	\$ 63,952	\$ 17,740					\$ 81,692	\$ 284,114	\$ 127,208	\$ 699,154	
Overtime	\$ 20,115	\$ 3,292	\$ 439				\$ 23,847	\$ 100,000	\$ 125,068	\$ 3,651	
Staff Benefits	\$ 4,195,801	\$ 317,940	\$ 112,473				\$ 4,626,214	\$ 9,308,411	\$ 4,399,947	\$ 8,310,906	
	<b>\$ 10,094,846</b>	<b>\$ 771,932</b>	<b>\$ 292,877</b>				<b>\$ 11,159,655</b>	<b>\$ 26,400,427</b>	<b>\$ 10,964,055</b>	<b>\$ 24,581,803</b>	
Operating Expenses and Equipment											
General Expense	\$ 245,526	\$ 10,162	\$ 298				\$ 255,985	\$ 832,540	\$ 219,461	\$ 704,379	
Printing	\$ 13,956		\$ 7				\$ 13,963	\$ 102,866	\$ 31,086	\$ 125,560	
Telecommunications	\$ 105,835	\$ 717					\$ 106,551	\$ 245,624	\$ 105,398	\$ 187,400	
Postage	\$ 28,442	\$ 8,153	\$ 129				\$ 36,724	\$ 98,639	\$ 16,964	\$ 86,085	
Insurance	\$ 9,584						\$ 9,584	\$ 12,850	\$ 19,267	\$ 19,267	
In-State Travel	\$ 11,177		\$ 2,730				\$ 13,906	\$ 59,736	\$ 17,596	\$ 41,608	
Out-of-State Travel	\$ 5,936						\$ 5,936	\$ 9,100	\$ 2,024	\$ 7,497	
Training	\$ 18,514		\$ 2,980				\$ 21,494	\$ 14,550	\$ 17,116	\$ 17,239	
Security Services	\$ 218,595		\$ 16,705				\$ 235,301	\$ 730,375	\$ 163,477	\$ 589,006	
Facility Operations	\$ 134,798	\$ 4,116					\$ 138,914	\$ 538,622	\$ 290,704	\$ 663,851	
Utilities											
Contracted Services	\$ 681,168	\$ 185,601	\$ 6,243				\$ 873,012	\$ 2,347,172	\$ 828,784	\$ 3,240,003	
Consulting and Professional Services	\$ 52,251	\$ 2,610	\$ 110				\$ 54,972	\$ 96,409	\$ 42,192	\$ 119,158	
Information Technology	\$ 232,484	\$ 14,837					\$ 247,321	\$ 433,158	\$ 349,085	\$ 614,536	
Major Equipment	\$ 135,630						\$ 135,630	\$ 433,287	\$ 227,279	\$ 63,101	
Other Items of Expense	\$ 14,115		\$ 569				\$ 14,684	\$ 35,950	\$ 10,038	\$ 25,883	
	<b>\$ 1,908,009</b>	<b>\$ 226,196</b>	<b>\$ 29,771</b>				<b>\$ 2,163,977</b>	<b>\$ 5,990,878</b>	<b>\$ 2,321,203</b>	<b>\$ 6,504,573</b>	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 6,000	\$ 940	\$ 12,000	
Jury Costs	\$ 160,282						\$ 160,282	\$ 193,000	\$ 76,253	\$ 181,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (21,118)		\$ 21,118				\$ 0	\$ 1		\$ 0	
Prior Year Expense Adjustment	\$ (6,298)						\$ (6,298)				
	<b>\$ 132,866</b>		<b>\$ 21,118</b>				<b>\$ 153,984</b>	<b>\$ 199,001</b>	<b>\$ 77,193</b>	<b>\$ 193,000</b>	
<b>Total Expenditures</b>	<b>\$ 12,135,721</b>	<b>\$ 998,128</b>	<b>\$ 343,767</b>				<b>\$ 13,477,616</b>	<b>\$ 32,590,306</b>	<b>\$ 13,362,451</b>	<b>\$ 31,279,376</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,432,218	\$ (68,547)	\$ (118,748)				\$ 5,244,924	\$ (89,418)	\$ 4,134,296	\$ 149,849	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 591,414	\$ 2,734,862	\$ 0				\$ 3,326,276	\$ 3,326,276	\$ 3,116,780	\$ 3,116,780	
Ending Balance (Deficit)	\$ 6,023,632	\$ 2,666,315	\$ (118,748)				\$ 8,571,200	\$ 3,236,858	\$ 7,251,076	\$ 3,266,629	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended December  
 Fiscal Year 2018/19

2017/18

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 3,517,949	\$ 283,659				\$ (6,298)	\$ 3,795,310	\$ 8,955,966	\$ 3,723,704	\$ 9,482,900
Traffic & Other Infractions	\$ 475,179	\$ 20,681					\$ 495,860	\$ 945,957	\$ 578,692	\$ 879,717
Other Criminal Cases	\$ 978,220	\$ 113,742					\$ 1,091,961	\$ 2,683,224	\$ 1,116,352	\$ 2,544,913
Civil	\$ 956,321	\$ 144,401	\$ 0				\$ 1,100,722	\$ 2,566,493	\$ 986,164	\$ 2,032,682
Family & Children Services	\$ 600,204	\$ 59,926			\$ 0		\$ 660,130	\$ 1,421,270	\$ 636,593	\$ 1,390,372
Probate, Guardianship & Mental Health Services	\$ 166,067	\$ 18,025					\$ 184,093	\$ 439,508	\$ 175,911	\$ 472,145
Juvenile Dependency Services	\$ 30,606	\$ 511					\$ 31,117	\$ 97,846	\$ 37,565	\$ 73,539
Juvenile Delinquency Services	\$ 152,577	\$ 17,941					\$ 170,518	\$ 345,933	\$ 151,237	\$ 326,014
Other Court Operations	\$ 1,113,190	\$ 143,954					\$ 1,257,144	\$ 3,383,956	\$ 1,417,968	\$ 3,280,339
Court Interpreters	\$ 675,949	\$ 282,248					\$ 958,197	\$ 2,167,624	\$ 851,550	\$ 1,908,447
Jury Services	\$ 217,217	\$ 33,290	\$ 160,282				\$ 410,789	\$ 709,510	\$ 377,792	\$ 763,139
Security		\$ 241,504					\$ 241,504	\$ 826,732	\$ 162,471	\$ 657,546
Trial Court Operations Program	\$ 8,883,480	\$ 1,359,882	\$ 160,282		\$ 0	\$ (6,298)	\$ 10,397,346	\$ 24,544,019	\$ 10,215,999	\$ 23,811,753
Enhanced Collections	\$ 169,525	\$ 168,518					\$ 338,042	\$ 809,678	\$ 337,217	\$ 1,020,467
Other Non-Court Operations	\$ 509,862	\$ 14,944					\$ 524,806	\$ 1,623,333	\$ 454,263	\$ 1,228,101
Non-Court Operations Program	\$ 679,387	\$ 183,462					\$ 862,849	\$ 2,433,011	\$ 791,480	\$ 2,248,568
Executive Office	\$ 173,807	\$ 24,618					\$ 198,425	\$ 743,987	\$ 202,895	\$ 729,498
Fiscal Services	\$ 490,956	\$ 36,614					\$ 527,570	\$ 1,168,567	\$ 464,778	\$ 1,089,815
Human Resources	\$ 218,977	\$ 65,656					\$ 284,633	\$ 670,135	\$ 245,783	\$ 603,593
Business & Facilities Services		\$ (19,278)					\$ (19,278)	\$ 177,624	\$ 102,858	\$ 364,066
Information Technology	\$ 713,048	\$ 513,023					\$ 1,226,071	\$ 2,852,963	\$ 1,338,657	\$ 2,432,083
Court Administration Program	\$ 1,596,788	\$ 620,633					\$ 2,217,422	\$ 5,613,276	\$ 2,354,972	\$ 5,219,055
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 11,159,655	\$ 2,163,977	\$ 160,282		\$ 0	\$ (6,298)	\$ 13,477,616	\$ 32,590,306	\$ 13,362,451	\$ 31,279,376