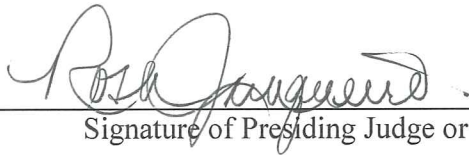


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

02/08/2019

Date

San Joaquin

Court

FY2018-2019 Qtr 2

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

FY2018-2019 Qtr 2

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Joaquin

Court

FY2018-2019 Qtr 2

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	329.64	311.20	311.21		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Balance Sheet
(Unaudited)**

For the month ended December									
Fiscal Year 2018/19									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
Non-Grant		Grant							
ASSETS									
Operations	\$ (3,993,534)	\$ 1,803,142	\$ (33,499)	\$ 2,370,380			\$ 117,830	\$ 264,319	\$ 574,736
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ (3,347)
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 15,000
Other	\$ 10,777							\$ 10,777	\$ 12,868
Distribution							\$ 71,408	\$ 71,408	\$ 114,710
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (5,932)	\$ (5,932)	\$ (45,831)
Credit Card									
Cash on Hand	\$ 9,650							\$ 9,650	\$ 10,000
Cash with County	\$ (9,615)	\$ 106,874	\$ 29,700					\$ 126,959	\$ 125,377
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 11,592,101							\$ 0	\$ 0
Total Cash and Cash Equivalents	\$ 7,624,379	\$ 1,910,016	\$ (3,798)	\$ 2,370,380			\$ 3,859,382	\$ 15,760,359	\$ 17,145,940
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 226		\$ 0					\$ 226	\$ 211
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 1,040	\$ 0	\$ 61,309					\$ 62,349	\$ 84,455
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 176,649	\$ 0	\$ 423,369					\$ 600,018	\$ 527,875
Trust Due To/From							\$ 17,494	\$ 17,494	\$ 46,557
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 22,381	\$ 5,315					\$ 0	\$ 27,696	\$ 18,072
Total Receivables	\$ 200,296	\$ 5,315	\$ 484,678	\$ 0			\$ 17,494	\$ 707,784	\$ 677,170
Prepaid Expenses - General	\$ 176,416							\$ 176,416	\$ 185,253
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 176,416							\$ 176,416	\$ 185,253
Other Assets									
Total Other Assets									
Total Assets	\$ 8,001,091	\$ 1,915,331	\$ 480,880	\$ 2,370,380			\$ 3,876,876	\$ 16,644,558	\$ 18,008,363
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 12,023	\$ 553	\$ 18				\$ 0	\$ 12,594	\$ 132,229
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 45,190	\$ 45,190	\$ 64,629
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 710,744	\$ 710,744	\$ 932,236
Due to Other Governments	\$ 0	\$ 0	\$ 0	\$ 2,370,380				\$ 2,370,380	\$ 2,330,262
AB145 Due to Other Government Agency							\$ 611,974	\$ 611,974	\$ 609,226
Due to Other Public Agencies									
Sales and Use Tax	\$ 17							\$ 17	\$ 2
Interest							\$ 7,236	\$ 7,236	\$ 4,775
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 12,040	\$ 553	\$ 18	\$ 2,370,380			\$ 1,375,144	\$ 3,758,134	\$ 4,073,359
Civil							\$ 1,579,790	\$ 1,579,790	\$ 2,038,211
Criminal							\$ 815,271	\$ 815,271	\$ 1,427,699
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 51,144	\$ 51,144	\$ 27,684
Miscellaneous Trust									
Total Trust Deposits							\$ 2,446,205	\$ 2,446,205	\$ 3,493,595
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 909,540							\$ 909,540	\$ 763,495
Deferred Compensation Payable	\$ 25,909							\$ 25,909	\$ 24,082
Deductions Payable	\$ 0							\$ 0	\$ 310,848
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 935,449	\$ 0	\$ 0					\$ 935,449	\$ 1,098,426
Revenue Collected in Advance								\$ 0	\$ 0
Liabilities For Deposits	\$ 29,878	\$ 763	\$ 0				\$ 42,708	\$ 73,349	\$ 150,717
Jury Fees - Non-Interest							\$ 2,328	\$ 2,328	\$ 3,084
Fees - Partial Payment & Overpayment							\$ 10,492	\$ 10,492	\$ 21,517
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 29,878	\$ 763	\$ 0				\$ 55,528	\$ 86,169	\$ 175,318
Total Liabilities	\$ 977,367	\$ 1,316	\$ 18	\$ 2,370,380			\$ 3,876,876	\$ 7,225,957	\$ 8,840,696
Total Fund Balance	\$ 7,023,725	\$ 1,914,015	\$ 480,861	\$ 0				\$ 9,418,601	\$ 9,167,667
Total Liabilities and Fund Balance	\$ 8,001,091	\$ 1,915,331	\$ 480,880	\$ 2,370,380			\$ 3,876,876	\$ 16,644,558	\$ 18,008,363

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

For the month ended December											
Fiscal Year 2018/19											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 23,221,400	\$ 106,096					\$ 23,327,496	\$ 37,178,548	\$ 22,529,638	\$ 33,615,729	
Improvement and Modernization Fund							\$ 168,524	\$ 0	\$ 0	\$ 89,889	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 516,963						\$ 516,963	\$ 1,797,322	\$ 509,378	\$ 1,490,232	
Civil Coordination Reimbursement (0150091)							\$ 174,983	\$ 916,548	\$ 232,921	\$ 520,259	
MOU Reimbursements (0150010 and General)	\$ 174,983						\$ 1,245,356	\$ 1,245,356	\$ 1,245,357	\$ 1,245,357	
Other Miscellaneous	\$ 1,245,356										
	\$ 25,158,702	\$ 106,096					\$ 25,264,798	\$ 41,306,298	\$ 24,517,294	\$ 36,961,466	
Grants											
AB 1058 Commissioner/Facilitator			\$ 249,116				\$ 249,116	\$ 979,237	\$ 249,053	\$ 1,012,299	
Other Judicial Council Grants			\$ 1,092,380				\$ 1,092,380	\$ 1,178,442	\$ 961,278	\$ 1,234,119	
Non-Judicial Council Grants			\$ 350,231				\$ 350,231	\$ 905,983	\$ 350,796	\$ 1,097,849	
			\$ 1,691,727				\$ 1,691,727	\$ 3,063,662	\$ 1,561,127	\$ 3,344,267	
Other Financing Sources											
Interest Income	\$ 46,631	\$ 11,057					\$ 57,688	\$ 137,675	\$ 35,163	\$ 64,700	
Investment Income											
Donations											
Local Fees	\$ 88,105	\$ 52,436					\$ 140,541	\$ 286,225	\$ 142,595	\$ 267,475	
Non-Fee Revenues											
Enhanced Collections	\$ 0	\$ 88,680					\$ 88,680	\$ 297,929	\$ 130,605	\$ 335,789	
Escheatment	\$ (235)						\$ (235)				
Prior Year Revenue	\$ 0						\$ 0		\$ 13,022		
County Program - Restricted		\$ 455,687					\$ 455,687	\$ 1,248,477	\$ 333,855	\$ 989,936	
Reimbursement Other	\$ 39,804						\$ 39,804	\$ 70,500	\$ 27,213	\$ 71,013	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,729						\$ 1,729	\$ 9,625	\$ 6,908	\$ 7,600	
	\$ 176,034	\$ 607,859					\$ 783,893	\$ 2,050,431	\$ 689,360	\$ 1,736,513	
Total Revenues	\$ 25,334,736	\$ 713,955	\$ 1,691,727				\$ 27,740,418	\$ 46,420,391	\$ 26,767,782	\$ 42,042,246	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 9,307,948	\$ 304,505	\$ 549,360				\$ 10,161,812	\$ 21,891,264	\$ 9,425,773	\$ 20,030,475	
Temp Help	\$ 3,108						\$ 3,108	\$ 34,066	\$ 39,459	\$ 62,721	
Overtime	\$ 18,369						\$ 18,369	\$ 40,000	\$ 72,168	\$ 75,000	
Staff Benefits	\$ 6,570,828	\$ 130,999	\$ 282,831				\$ 6,984,658	\$ 16,091,523	\$ 6,536,261	\$ 14,575,401	
	\$ 15,900,252	\$ 435,504	\$ 832,190				\$ 17,167,947	\$ 38,056,853	\$ 16,073,661	\$ 34,743,597	
Operating Expenses and Equipment											
General Expense	\$ 448,277	\$ 21,225	\$ 33,883				\$ 503,386	\$ 1,373,341	\$ 964,825	\$ 1,882,096	
Printing	\$ 13,407	\$ 538	\$ 1,798				\$ 15,744	\$ 55,580	\$ 27,492	\$ 40,075	
Telecommunications	\$ 59,533	\$ 228	\$ 158				\$ 59,919	\$ 147,449	\$ 116,867	\$ 183,510	
Postage	\$ 81,034		\$ 182				\$ 81,216	\$ 199,150	\$ 94,592	\$ 218,725	
Insurance	\$ 21,229						\$ 21,229	\$ 21,998	\$ 21,185	\$ 77,730	
In-State Travel	\$ 20,862	\$ 10,610	\$ 9,230				\$ 40,702	\$ 92,809	\$ 31,295	\$ 87,874	
Out-of-State Travel	\$ 17,256						\$ 17,256	\$ 28,032	\$ 2,700	\$ 35,141	
Training	\$ 3,885		\$ 0				\$ 3,885	\$ 22,427	\$ 2,719	\$ 15,075	
Security Services	\$ 375,401		\$ 25,675				\$ 401,075	\$ 1,013,888	\$ 358,486	\$ 924,178	
Facility Operations	\$ 342,906		\$ 3,997				\$ 346,903	\$ 942,065	\$ 366,901	\$ 854,977	
Utilities	\$ 31,503						\$ 31,503	\$ 72,000	\$ 33,997	\$ 40,000	
Contracted Services	\$ 945,318	\$ 188,346	\$ 242,779				\$ 1,376,443	\$ 2,841,761	\$ 1,376,939	\$ 3,215,528	
Consulting and Professional Services	\$ 23,571		\$ 28,764				\$ 52,336	\$ 159,213	\$ 54,390	\$ 160,373	
Information Technology	\$ 477,822						\$ 477,822	\$ 1,308,890	\$ 398,644	\$ 1,281,909	
Major Equipment								\$ 165,000	\$ 167,707	\$ 167,323	
Other Items of Expense	\$ 9,924						\$ 9,924	\$ 28,525	\$ 6,705	\$ 31,100	
	\$ 2,871,929	\$ 220,948	\$ 346,466				\$ 3,439,343	\$ 8,472,128	\$ 4,025,444	\$ 9,215,614	
Special Items of Expense											
Grand Jury	\$ 0	\$ 33,156					\$ 33,156	\$ 110,000	\$ 64,472	\$ 120,025	
Jury Costs	\$ 103,624						\$ 103,624	\$ 286,725	\$ 131,506	\$ 264,100	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 83		
Capital Costs											
Internal Cost Recovery	\$ (34,409)	\$ 2,183	\$ 32,226				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (19,902)						\$ (19,902)		\$ (886)		
	\$ 49,313	\$ 35,340	\$ 32,226				\$ 116,879	\$ 396,725	\$ 195,175	\$ 384,125	
Total Expenditures	\$ 18,821,494	\$ 691,792	\$ 1,210,882				\$ 20,724,168	\$ 46,925,706	\$ 20,294,280	\$ 44,343,336	
Excess (Deficit) of Revenues Over Expenditures	\$ 6,513,242	\$ 22,163	\$ 480,845				\$ 7,016,250	\$ (505,315)	\$ 6,473,502	\$ (2,301,090)	
Operating Transfers In (Out)	\$ (17)		\$ 17				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 510,499	\$ 1,891,852	\$ 0	\$ 0			\$ 2,402,351	\$ 2,402,351	\$ 2,694,165	\$ 2,694,165	
Ending Balance (Deficit)	\$ 7,023,725	\$ 1,914,015	\$ 480,861	\$ 0			\$ 9,418,601	\$ 1,897,036	\$ 9,167,667	\$ 393,075	

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended December										
Fiscal Year 2018/19									2017/18	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,249,728	\$ 246,704				\$ (153)	\$ 6,496,280	\$ 14,622,665	\$ 5,892,479	\$ 13,410,969
Traffic & Other Infractions	\$ 1,124,172	\$ 69,962					\$ 1,194,134	\$ 2,884,766	\$ 1,205,856	\$ 3,269,439
Other Criminal Cases	\$ 2,834,115	\$ 453,850					\$ 3,287,965	\$ 7,057,126	\$ 2,956,652	\$ 6,646,360
Civil	\$ 1,212,882	\$ 11,236					\$ 1,224,118	\$ 2,667,587	\$ 1,113,068	\$ 2,487,529
Family & Children Services	\$ 1,614,282	\$ 51,535			\$ 32,226		\$ 1,698,043	\$ 4,103,038	\$ 1,577,537	\$ 3,705,438
Probate, Guardianship & Mental Health Services	\$ 418,592	\$ 593					\$ 419,186	\$ 999,815	\$ 435,748	\$ 975,136
Juvenile Dependency Services	\$ 160,736	\$ 7,970					\$ 168,707	\$ 377,649	\$ 213,215	\$ 398,189
Juvenile Delinquency Services	\$ 197,947	\$ 20,377					\$ 218,324	\$ 500,080	\$ 210,963	\$ 464,176
Other Court Operations	\$ 555,374	\$ 369,631				\$ 1,851	\$ 926,855	\$ 1,622,610	\$ 1,094,747	\$ 1,701,774
Court Interpreters	\$ 431,094	\$ 473,312					\$ 904,407	\$ 1,847,580	\$ 814,033	\$ 1,644,533
Jury Services	\$ 117,297	\$ 81,537	\$ 103,624				\$ 302,457	\$ 832,679	\$ 380,704	\$ 704,960
Security		\$ 411,675				\$ 13,553	\$ 425,228	\$ 1,030,197	\$ 361,822	\$ 294,037
Trial Court Operations Program	\$ 14,916,220	\$ 2,198,382	\$ 103,624		\$ 32,226	\$ 15,251	\$ 17,265,704	\$ 38,545,792	\$ 16,256,825	\$ 35,702,540
Enhanced Collections	\$ 17,200	\$ 140,622			\$ 2,183		\$ 160,005	\$ 297,929	\$ 162,748	\$ 335,789
Other Non-Court Operations	\$ 36,179	\$ 47,736	\$ 33,156				\$ 117,072	\$ 310,826	\$ 110,511	\$ 316,670
Non-Court Operations Program	\$ 53,379	\$ 188,358	\$ 33,156		\$ 2,183		\$ 277,077	\$ 608,755	\$ 273,259	\$ 652,459
Executive Office	\$ 286,717	\$ 31,106			\$ (4,557)	\$ (153)	\$ 313,114	\$ 701,338	\$ 281,018	\$ 662,177
Fiscal Services	\$ 672,824	\$ 69,640			\$ (10,883)	\$ (34,995)	\$ 696,586	\$ 1,675,366	\$ 770,148	\$ 1,782,110
Human Resources	\$ 242,850	\$ 22,622			\$ (3,847)		\$ 261,625	\$ 653,140	\$ 299,076	\$ 585,213
Business & Facilities Services	\$ 327,591	\$ 408,470			\$ (4,855)	\$ (6)	\$ 731,199	\$ 1,792,374	\$ 1,099,711	\$ 1,997,020
Information Technology	\$ 668,365	\$ 520,766			\$ (10,267)		\$ 1,178,864	\$ 2,948,941	\$ 1,314,243	\$ 2,961,817
Court Administration Program	\$ 2,198,347	\$ 1,052,603			\$ (34,409)	\$ (35,153)	\$ 3,181,388	\$ 7,771,159	\$ 3,764,196	\$ 7,988,337
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 17,167,947	\$ 3,439,343	\$ 136,780		\$ 0	\$ (19,902)	\$ 20,724,168	\$ 46,925,706	\$ 20,294,280	\$ 44,343,336