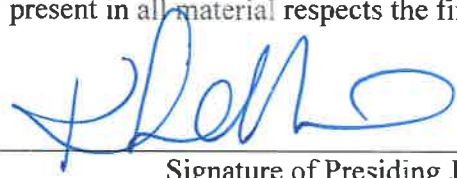


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/20/2018

Date

Lake

Court

FY18/19 - QTR 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Lake

Court
FY18/19 - QTR 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		32.30			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2018/19								
	Governmental Funds					Proprietary Funds	Fiduciary Funds		
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (94,218)	\$ 158,590	\$ (81,026)				\$ 1,888	\$ (14,765)	\$ (13,941)
Payroll	\$ (935)						\$ 0	\$ (935)	\$ (2,190)
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (2,094)	\$ (2,094)	\$ (12,297)
Credit Card								\$ 1,000	\$ 1,000
Cash on Hand	\$ 1,000								
Cash with County									
Cash Outside of the JCC							\$ 104,653	\$ 104,653	\$ 183,771
Cash Equivalents	\$ 1,142,565						\$ 112,868	\$ 1,255,434	\$ 1,213,774
Total Cash and Cash Equivalents	\$ 1,048,412	\$ 158,590	\$ (81,026)				\$ 217,315	\$ 1,343,292	\$ 1,370,118
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 0	\$ 94						\$ 94	\$ 120
Dishonored Checks								\$ 0	\$ 0
Due From Employee	\$ 0								
Civil Jury Fees									
Trust								\$ 0	\$ 0
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0							\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 3,712	\$ 0	\$ 53,917					\$ 57,629	\$ 73,948
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From								\$ 22	\$ 71
General Due To/From	\$ 22								
Total Receivables	\$ 3,734	\$ 94	\$ 53,917				\$ 0	\$ 57,745	\$ 74,139
Prepaid Expenses - General								\$ 1,019	
Salary and Travel Advances	\$ 1,019								
Counties									
Total Prepaid Expenses	\$ 1,019							\$ 1,019	
Other Assets									
Total Other Assets									
Total Assets	\$ 1,053,165	\$ 158,684	\$ (27,109)				\$ 217,315	\$ 1,402,056	\$ 1,444,257
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 50,213		\$ 0				\$ 0	\$ 50,213	\$ 74,580
Due to Other Funds	\$ 0		\$ 0				\$ 22	\$ 22	\$ 71
Due to Other Courts			\$ 0					\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 66,788	\$ 66,788	\$ 0
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies								\$ 198	\$ 98
Sales and Use Tax	\$ 198							\$ 145	\$ 76
Interest									
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 50,411		\$ 0				\$ 66,955	\$ 117,366	\$ 74,824
Civil							\$ 30,361	\$ 30,361	\$ 31,130
Criminal							\$ 4,034	\$ 4,034	\$ 22,997
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 104,653	\$ 104,653	\$ 183,771
Trust Interest Payable							\$ 6,970	\$ 6,970	\$ 6,506
Miscellaneous Trust									
Total Trust Deposits							\$ 146,018	\$ 146,018	\$ 244,404
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ (2,343)							\$ (2,343)	\$ 3,463
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (6,887)							\$ (6,887)	\$ (522)
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ (9,230)							\$ (9,230)	\$ 2,941
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 3,324						\$ 2,043	\$ 5,366	\$ 4,446
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 5,692
Fees - Partial Payment & Overpayment							\$ 2,299	\$ 2,299	\$ 244
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 3,324						\$ 4,342	\$ 7,666	\$ 10,382
Total Liabilities	\$ 44,505		\$ 0				\$ 217,315	\$ 261,820	\$ 332,550
Total Fund Balance	\$ 1,008,661	\$ 158,684	\$ (27,109)					\$ 1,140,236	\$ 1,111,706
Total Liabilities and Fund Balance	\$ 1,053,165	\$ 158,684	\$ (27,109)				\$ 217,315	\$ 1,402,056	\$ 1,444,257

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended September										
	Fiscal Year 2018/19							2017/18			
	Governmental Funds			Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue										
	Non-Grant	Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 1,842,194	\$ 1,744					\$ 1,843,938	\$ 4,029,591	\$ 1,715,734	\$ 3,431,625	
Improvement and Modernization Fund								\$ 58,216		\$ 38,016	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 5,717						\$ 5,717	\$ 121,000	\$ 5,496	\$ 127,500	
Civil Coordination Reimbursement (0150091)	\$ 5,883						\$ 5,883	\$ 108,832	\$ 14,507	\$ 164,442	
MOU Reimbursements (0150010 and General)								\$ 9,123		\$ 9,123	
Other Miscellaneous											
	\$ 1,853,794	\$ 1,744					\$ 1,855,538	\$ 4,326,762	\$ 1,735,737	\$ 3,770,705	
Grants											
AB 1058 Commissioner/Facilitator			\$ 32,862				\$ 32,862	\$ 231,376	\$ 55,598	\$ 240,434	
Other Judicial Council Grants								\$ 15,121	\$ 7,671	\$ 94,210	
Non-Judicial Council Grants											
			\$ 32,862				\$ 32,862	\$ 246,497	\$ 63,270	\$ 394,644	
Other Financing Sources											
Interest Income	\$ 345	\$ 134					\$ 479	\$ 5,000	\$ 348	\$ 3,000	
Investment Income											
Donations											
Local Fees		\$ 2,642					\$ 2,642	\$ 9,500	\$ 1,775	\$ 9,500	
Non-Fee Revenues	\$ (506)	\$ 797					\$ 291	\$ 2,500	\$ 1,212	\$ 2,500	
Enhanced Collections											
Escheatment									\$ 26		
Prior Year Revenue							\$ 94	\$ 1,000	\$ 423	\$ 1,000	
County Program - Restricted		\$ 94									
Reimbursement Other	\$ 8,078						\$ 8,078	\$ 44,500	\$ 3,651	\$ 41,500	
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 7,917	\$ 3,667					\$ 11,584	\$ 62,500	\$ 7,435	\$ 57,500	
Total Revenues	\$ 1,861,711	\$ 5,411	\$ 32,862				\$ 1,899,984	\$ 4,835,759	\$ 1,808,442	\$ 4,162,850	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 410,877		\$ 26,496				\$ 437,372	\$ 1,985,328	\$ 423,151	\$ 1,810,112	
Temp Help	\$ 3,001						\$ 3,001	\$ 17,500	\$ 9,033	\$ 25,220	
Overtime	\$ 541						\$ 541	\$ 5,000	\$ 186	\$ 5,000	
Staff Benefits	\$ 173,167		\$ 14,008				\$ 187,174	\$ 876,332	\$ 179,388	\$ 767,062	
	\$ 587,586		\$ 40,502				\$ 628,088	\$ 2,884,160	\$ 611,759	\$ 2,607,394	
Operating Expenses and Equipment											
General Expense	\$ 15,045		\$ 404				\$ 15,449	\$ 233,809	\$ 16,844	\$ 113,454	
Printing	\$ 2,224						\$ 2,224	\$ 10,500	\$ 2,169	\$ 10,926	
Telecommunications	\$ 2,818		\$ 82				\$ 2,901	\$ 14,800	\$ 2,407	\$ 12,700	
Postage	\$ 5,749						\$ 5,749	\$ 27,000	\$ 6,131	\$ 27,500	
Insurance	\$ 1,339						\$ 1,339	\$ 3,642	\$ 2,500	\$ 3,597	
In-State Travel	\$ 1,158						\$ 1,158	\$ 15,800	\$ 2,671	\$ 10,500	
Out-of-State Travel											
Training	\$ 154		\$ 440				\$ 594	\$ 6,000	\$ 380	\$ 2,500	
Security Services	\$ 35,105		\$ 2,515				\$ 37,620	\$ 180,600	\$ 34,088	\$ 165,600	
Facility Operations	\$ 8,042						\$ 8,042	\$ 75,337	\$ 9,154	\$ 68,426	
Utilities	\$ 69						\$ 69	\$ 3,500	\$ (250)	\$ 4,000	
Contracted Services	\$ 253,391	\$ 30	\$ 8,793				\$ 262,214	\$ 1,024,693	\$ 122,832	\$ 993,799	
Consulting and Professional Services	\$ 0						\$ 0	\$ 14,000	\$ 195	\$ 14,000	
Information Technology	\$ 16,548						\$ 16,548	\$ 169,636	\$ 31,485	\$ 311,200	
Major Equipment								\$ 70,000	\$ 100,467	\$ 0	
Other Items of Expense	\$ 1						\$ 1	\$ 1,000	\$ 37	\$ 2,000	
	\$ 341,644	\$ 30	\$ 12,234				\$ 353,907	\$ 1,850,317	\$ 328,610	\$ 1,740,202	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 2,943						\$ 2,943	\$ 30,000	\$ 15,345	\$ 28,500	
Judgements, Settlements and Claims											
Debt Service								\$ 55,000			
Other											
Capital Costs											
Internal Cost Recovery	\$ (7,235)		\$ 7,235				\$ 0		\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ 168		
	\$ (4,292)		\$ 7,235				\$ 2,943	\$ 85,000	\$ 15,513	\$ 28,500	
Total Expenditures	\$ 924,937	\$ 30	\$ 59,971				\$ 984,938	\$ 4,819,477	\$ 955,881	\$ 4,376,096	
Excess (Deficit) of Revenues Over Expenditures	\$ 936,774	\$ 5,381	\$ (27,109)				\$ 915,046	\$ (183,718)	\$ 850,561	\$ (213,246)	
Operating Transfers In (Out)	\$ 0						\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 71,887	\$ 153,303	\$ 0				\$ 225,190	\$ 225,190	\$ 261,146	\$ 261,146	
Ending Balance (Deficit)	\$ 1,008,661	\$ 158,684	\$ (27,109)				\$ 1,140,236	\$ 41,472	\$ 1,111,705	\$ 47,900	

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2018/19							2017/18		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 25,967	\$ 21,692					\$ 47,659	\$ 245,924	\$ 48,370	\$ 259,640
Traffic & Other Infractions	\$ (246)						\$ (246)		\$ 4,180	
Other Criminal Cases	\$ 3,689	\$ 10,630					\$ 14,319	\$ 85,919	\$ 22,007	\$ 151,790
Civil		\$ 15,823					\$ 15,823	\$ 71,604	\$ 18,120	\$ 78,000
Family & Children Services	\$ 39,381	\$ 32,819			\$ 7,235		\$ 79,434	\$ 412,589	\$ 90,692	\$ 337,694
Probate, Guardianship & Mental Health Services		\$ 11,622					\$ 11,622	\$ 80,000	\$ 16,639	\$ 76,000
Juvenile Dependency Services		\$ 2,465					\$ 2,465	\$ 39,210	\$ 4,477	\$ 3,210
Juvenile Delinquency Services	\$ 4,326	\$ 1,925					\$ 6,251	\$ 14,911	\$ (2,762)	\$ 12,000
Other Court Operations	\$ 409,381						\$ 409,381	\$ 1,974,861	\$ 382,356	\$ 1,795,007
Court Interpreters	\$ 28	\$ 26,709					\$ 26,737	\$ 82,000	\$ 15,322	\$ 127,500
Jury Services			\$ 2,943				\$ 2,943	\$ 30,000	\$ 17,495	\$ 46,177
Security		\$ 38,224					\$ 38,224	\$ 185,409	\$ 34,328	\$ 169,925
Trial Court Operations Program	\$ 482,526	\$ 161,908	\$ 2,943		\$ 7,235		\$ 654,612	\$ 3,222,427	\$ 651,223	\$ 3,056,943
Enhanced Collections										
Other Non-Court Operations		\$ (403)					\$ (403)	\$ 2,000	\$ 282	\$ 3,000
Non-Court Operations Program		\$ (403)					\$ (403)	\$ 2,000	\$ 282	\$ 3,000
Executive Office	\$ 62,591				\$ (1,102)		\$ 61,489	\$ 268,442	\$ 69,025	\$ 241,335
Fiscal Services	\$ 28,113	\$ 1,380			\$ (869)		\$ 28,623	\$ 145,384	\$ 21,892	\$ 123,454
Human Resources	\$ 24,267	\$ 180			\$ (263)		\$ 24,184	\$ 120,375	\$ 26,216	\$ 70,935
Business & Facilities Services		\$ 31,511			\$ (1,355)		\$ 30,156	\$ 427,634	\$ 31,889	\$ 225,323
Information Technology	\$ 30,591	\$ 159,332			\$ (3,647)		\$ 186,276	\$ 633,215	\$ 155,354	\$ 655,106
Court Administration Program	\$ 145,561	\$ 192,403			\$ (7,235)		\$ 330,729	\$ 1,595,050	\$ 304,376	\$ 1,316,153
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 628,088	\$ 353,907	\$ 2,943		\$ 0		\$ 984,938	\$ 4,819,477	\$ 955,881	\$ 4,376,096