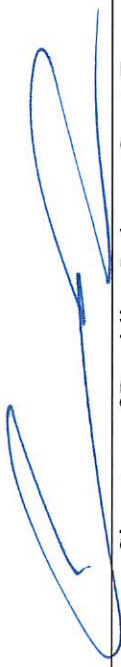


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/4/18

Date

ALAMEDA

Court

FY 2018-2019 Q1

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

ALAMEDA

Court

FY 2018-2019 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	737.40	670.89			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY 2018-2019 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

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Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2018/19									2017/18
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	Special Revenue			Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
	General	Non-Grant	Grant						
ASSETS									
Operations	\$ (598,862)	\$ 1,465,374	\$ (806,568)	\$ 0			\$ 504,872	\$ 564,816	\$ 1,372,996
Payroll	\$ 361	\$ 0						\$ 361	\$ (58,766)
Jury									
Revolving	\$ 100,000							\$ 100,000	\$ 75,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 215,710	\$ 215,710	\$ (1,986,081)
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (2,520,468)	\$ (2,520,468)	\$ (1,285,332)
Credit Card									
Cash on Hand	\$ 21,290							\$ 21,290	\$ 22,165
Cash with County	\$ 23,327							\$ 23,327	\$ 10,192
Cash Outside of the JCC							\$ 0	\$ 0	\$ 209,735
Cash Equivalents	\$ 32,738,910						\$ 26,461,209	\$ 59,200,119	\$ 60,682,229
Total Cash and Cash Equivalents	\$ 32,285,026	\$ 1,465,374	\$ (806,568)	\$ 0			\$ 24,661,323	\$ 57,605,155	\$ 59,042,139
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 0		\$ 0					\$ 0	\$ 16,806
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 70,736	\$ 0					\$ 70,736	\$ 243,845
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 22,586	\$ 0	\$ 540,439					\$ 563,025	\$ 353,316
Trust Due To/From							\$ 602,336	\$ 602,336	\$ 3,522,866
Distribution Due To/From							\$ 217,873	\$ 217,873	\$ 378,975
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ (584)						\$ (584)	\$ 805,253
Total Receivables	\$ 22,586	\$ 70,152	\$ 540,439				\$ 872,146	\$ 1,505,323	\$ 5,372,998
Prepaid Expenses - General	\$ 0		\$ 0					\$ 0	\$ 583
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0		\$ 0					\$ 0	\$ 583
Other Assets									
Total Other Assets									
Total Assets	\$ 32,307,612	\$ 1,535,527	\$ (266,129)	\$ 0			\$ 25,533,469	\$ 59,110,479	\$ 64,415,719
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 350,355	\$ 16,757	\$ 3,027	\$ 0			\$ 104,506	\$ 474,644	\$ 191,456
Due to Other Funds	\$ (6,044)	\$ 0	\$ 6,323				\$ 819,346	\$ 819,625	\$ 4,707,094
Due to Other Courts									
Due to State	\$ 216,200							\$ 216,200	\$ 0
TC145 Liability							\$ 1,979,927	\$ 1,979,927	\$ 2,744,217
Due to Other Governments	\$ 1,836,637						\$ 0	\$ 1,836,637	\$ 5,111,715
AB145 Due to Other Government Agency							\$ 1,321,930	\$ 1,321,930	\$ 1,494,658
Due to Other Public Agencies									
Sales and Use Tax	\$ 3,080							\$ 3,080	\$ 1,193
Interest							\$ 42,166	\$ 42,166	\$ 19,779
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 2,400,227	\$ 16,757	\$ 9,350	\$ 0			\$ 4,267,876	\$ 6,694,210	\$ 14,270,112
Civil							\$ 11,496,417	\$ 11,496,417	\$ 12,586,035
Criminal							\$ 5,862,745	\$ 5,862,745	\$ 6,242,059
Unreconciled - Civil and Criminal							\$ 418,173	\$ 418,173	\$ 477,781
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 192,251	\$ 192,251	\$ 43,768
Miscellaneous Trust									
Total Trust Deposits							\$ 17,969,587	\$ 17,969,587	\$ 19,349,643
Accrued Payroll	\$ 2,729,984	\$ 22,174						\$ 2,752,158	\$ 4,101,441
Benefits Payable	\$ 13,616							\$ 13,616	\$ 505,385
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 65,647	\$ (2,827)						\$ 62,820	\$ 56,601
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 2,809,247	\$ 19,347						\$ 2,828,594	\$ 4,663,426
Revenue Collected in Advance	\$ 88,901		\$ 120,642					\$ 209,543	\$ (129,994)
Liabilities For Deposits	\$ 94,987						\$ 803,542	\$ 898,529	\$ 579,191
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 43,942	\$ 43,942	\$ 30,114
Uncleared Collections							\$ 0	\$ 0	
Other Miscellaneous Liabilities							\$ 2,448,522	\$ 2,448,522	\$ 894,120
Total Other Liabilities	\$ 183,888		\$ 120,642				\$ 3,296,006	\$ 3,600,536	\$ 1,373,432
Total Liabilities	\$ 5,393,362	\$ 36,104	\$ 129,992	\$ 0			\$ 25,533,469	\$ 31,092,927	\$ 39,656,614
Total Fund Balance	\$ 26,914,250	\$ 1,499,423	\$ (396,121)	\$ 0				\$ 28,017,552	\$ 24,759,105
Total Liabilities and Fund Balance	\$ 32,307,612	\$ 1,535,527	\$ (266,129)	\$ 0			\$ 25,533,469	\$ 59,110,479	\$ 64,415,719

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September										
Fiscal Year 2018/19										
2017/18										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 34,618,703	\$ 50,135					\$ 34,668,838	\$ 78,662,436	\$ 37,096,614	\$ 78,389,627
Improvement and Modernization Fund	\$ (18,100)						\$ (18,100)	\$ 400,106	\$ (19)	\$ 336,341
Judges' Compensation (0150019)	\$ 178,125						\$ 178,125	\$ 712,500	\$ 178,125	\$ 677,259
Court Interpreter (0150037)	\$ 1,216,507						\$ 1,216,507	\$ 4,600,000	\$ 1,046,092	\$ 4,700,000
Civil Coordination Reimbursement (0150091)										
MOU Reimbursements (0150010 and General)	\$ 145,757						\$ 145,757	\$ 1,406,937	\$ 50,075	\$ 622,453
Other Miscellaneous								\$ 3,102,046		\$ 3,102,047
	\$ 36,140,992	\$ 50,135					\$ 36,191,127	\$ 88,884,025	\$ 38,370,887	\$ 87,827,727
Grants										
AB 1058 Commissioner/Facilitator			\$ 278,721				\$ 278,721	\$ 1,693,597	\$ (255,767)	\$ 1,907,509
Other Judicial Council Grants			\$ 62,444				\$ 62,444	\$ 639,446	\$ (163,526)	\$ 492,955
Non-Judicial Council Grants			\$ 0				\$ 0	\$ 2,084,991	\$ (216,509)	\$ 1,889,854
			\$ 341,165				\$ 341,165	\$ 4,418,034	\$ (635,802)	\$ 4,290,318
Other Financing Sources										
Interest Income	\$ 42,507	\$ 761					\$ 43,268	\$ 619,897	\$ 20,425	\$ 468,211
Investment Income										
Donations										
Local Fees	\$ 430,771	\$ 21,663					\$ 452,434	\$ 2,857,052	\$ 830,966	\$ 2,991,167
Non-Fee Revenues								\$ 98,825		\$ 98,825
Enhanced Collections		\$ 419,775					\$ 419,775	\$ 2,336,279	\$ 488,042	\$ 2,215,103
Escheatment										\$ 0
Prior Year Revenue									\$ (15,000)	
County Program - Restricted		\$ (60,947)					\$ (60,947)	\$ 801,994	\$ 56,752	\$ 796,534
Reimbursement Other	\$ 28,284						\$ 28,284	\$ 197,559	\$ 8,664	\$ 273,301
Sale of Fixed Assets										
Other Miscellaneous	\$ (23,277)						\$ (23,277)	\$ 54,897	\$ 1,118	\$ 1,997
	\$ 478,285	\$ 381,251					\$ 859,536	\$ 6,966,503	\$ 1,390,967	\$ 6,845,138
Total Revenues	\$ 36,619,277	\$ 431,386	\$ 341,165				\$ 37,391,828	\$ 100,268,562	\$ 39,126,052	\$ 98,963,183
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 11,782,100	\$ 120,092	\$ 369,333				\$ 12,271,525	\$ 50,667,342	\$ 11,949,375	\$ 48,696,293
Temp Help	\$ 288,187	\$ 469	\$ 16,910				\$ 305,566	\$ 336,766	\$ 284,825	\$ 271,336
Overtime	\$ 9,813	\$ 435					\$ 10,248		\$ 994	\$ 48,000
Staff Benefits	\$ 5,569,736	\$ 62,443	\$ 146,546				\$ 5,778,725	\$ 27,826,253	\$ 6,876,823	\$ 27,835,044
	\$ 17,649,836	\$ 183,439	\$ 532,788				\$ 18,366,063	\$ 78,830,361	\$ 19,112,017	\$ 76,850,673
Operating Expenses and Equipment										
General Expense	\$ 330,922		\$ 3,642				\$ 334,565	\$ 1,353,724	\$ 266,070	\$ 3,822,906
Printing	\$ 45,518						\$ 45,518	\$ 379,834	\$ 57,584	\$ 395,163
Telecommunications	\$ 0						\$ 0	\$ 493,312	\$ 65,818	\$ 493,312
Postage	\$ 85,308		\$ 0				\$ 85,308	\$ 638,825	\$ 77,978	\$ 631,271
Insurance	\$ 540						\$ 540	\$ 27,860	\$ 2,730	\$ 27,860
In-State Travel	\$ 12,101		\$ 156				\$ 12,257	\$ 152,053	\$ 15,568	\$ 111,805
Out-of-State Travel			\$ 1,292				\$ 1,292	\$ 22,836	\$ 6,699	\$ 29,567
Training	\$ 12,867		\$ 809				\$ 13,676	\$ 126,432	\$ 9,504	\$ 200,286
Security Services	\$ 2,354						\$ 2,354	\$ 24,075	\$ 6,181	\$ 23,865
Facility Operations	\$ 504,041						\$ 504,041	\$ 2,813,816	\$ 194,464	\$ 3,636,253
Utilities										
Contracted Services	\$ 507,395	\$ 16,757	\$ 148,708				\$ 672,859	\$ 7,873,360	\$ 1,065,872	\$ 8,104,301
Consulting and Professional Services	\$ (199,015)						\$ (199,015)	\$ 800,000	\$ 77,780	\$ 703,488
Information Technology	\$ 201,079						\$ 201,079	\$ 1,756,283	\$ 332,036	\$ 1,653,646
Major Equipment	\$ 8,458						\$ 8,458	\$ 35,000		\$ 293,695
Other Items of Expense	\$ 1,589		\$ 2,802				\$ 4,391	\$ 164,130	\$ 74,843	\$ 157,826
	\$ 1,513,156	\$ 16,757	\$ 157,409				\$ 1,687,321	\$ 16,661,540	\$ 2,253,218	\$ 20,287,244
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 85,045						\$ 85,045	\$ 556,075	\$ 109,551	\$ 545,778
Judgements, Settlements and Claims								\$ 25,000	\$ 5,000	\$ 25,000
Debt Service										
Other								\$ 2,000,000		\$ 7,048
Capital Costs								\$ 2,162,500		\$ 1,350,000
Internal Cost Recovery	\$ (57,041)	\$ 9,953	\$ 47,088				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ 38,378	\$ (38,378)					\$ 0			\$ 0
	\$ 66,381	\$ (26,425)	\$ 47,088				\$ 85,045	\$ 4,743,575	\$ 114,551	\$ 1,927,826
Total Expenditures	\$ 19,229,373	\$ 171,770	\$ 737,285				\$ 20,138,429	\$ 100,235,476	\$ 21,479,786	\$ 99,065,743
Excess (Deficit) of Revenues Over Expenditures	\$ 17,389,903	\$ 259,616	\$ (396,121)				\$ 17,253,399	\$ 33,086	\$ 17,646,266	\$ (102,560)
Operating Transfers In (Out)								\$ 0		\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 9,524,346	\$ 1,239,807	\$ 0	\$ 0			\$ 10,764,153	\$ 10,764,153	\$ 7,112,839	\$ 7,112,839
Ending Balance (Deficit)	\$ 26,914,250	\$ 1,499,423	\$ (396,121)	\$ 0			\$ 28,017,552	\$ 10,797,239	\$ 24,759,105	\$ 7,010,279

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2018/19										
2017/18										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,522,533	\$ 195,938					\$ 6,718,470	\$ 29,561,178	\$ 7,256,530	\$ 29,387,265
Traffic & Other Infractions	\$ 874,684	\$ 57,072					\$ 931,756	\$ 3,996,249	\$ 1,270,394	\$ 5,059,078
Other Criminal Cases	\$ 1,730,813	\$ 151,663					\$ 1,882,476	\$ 8,454,708	\$ 2,083,738	\$ 8,049,367
Civil	\$ 925,519	\$ 481					\$ 926,000	\$ 4,003,524	\$ 1,124,023	\$ 4,577,294
Family & Children Services	\$ 2,301,656	\$ 44,492					\$ 2,346,148	\$ 11,108,615	\$ 2,167,056	\$ 9,189,119
Probate, Guardianship & Mental Health Services	\$ 558,858	\$ 15,816					\$ 574,473	\$ 2,495,663	\$ 445,810	\$ 1,996,219
Juvenile Dependency Services	\$ 29,972	\$ 15,707					\$ 45,679	\$ 520,313	\$ 44,029	\$ 1,139,697
Juvenile Delinquency Services	\$ 262,023	\$ 723					\$ 262,746	\$ 1,214,279	\$ 193,192	\$ 775,003
Other Court Operations	\$ 300,454	\$ 0					\$ 300,454	\$ 1,237,746	\$ 466,467	\$ 2,220,275
Court Interpreters	\$ 930,150	\$ 220,544					\$ 1,150,695	\$ 5,112,064	\$ 1,186,089	\$ 4,937,831
Jury Services	\$ 147,089	\$ 188,636	\$ 85,045				\$ 420,769	\$ 1,937,287	\$ 333,999	\$ 1,930,643
Security	\$ 712,294	\$ 4,645					\$ 716,940	\$ 3,067,095	\$ 715,217	\$ 3,850,757
Trial Court Operations Program	\$ 15,296,045	\$ 895,516	\$ 85,045				\$ 16,276,606	\$ 72,708,721	\$ 17,296,543	\$ 73,122,548
Enhanced Collections	\$ 61,779	\$ 0			\$ 9,953		\$ 71,732	\$ 2,340,000	\$ 28,323	\$ 2,215,103
Other Non-Court Operations	\$ 130,127					\$ (38,378)	\$ 91,749	\$ 764,288	\$ 309,774	\$ 758,278
Non-Court Operations Program	\$ 191,906	\$ 0			\$ 9,953	\$ (38,378)	\$ 163,481	\$ 3,104,288	\$ 338,097	\$ 2,973,381
Executive Office	\$ 194,297	\$ 13,646			\$ (1,493)		\$ 206,450	\$ 2,859,788	\$ 253,865	\$ 1,142,204
Fiscal Services	\$ 717,265	\$ 173,882			\$ (2,687)	\$ 38,378	\$ 926,837	\$ 4,038,245	\$ 784,963	\$ 3,561,051
Human Resources	\$ 666,437	\$ 22,756			\$ (1,592)		\$ 687,600	\$ 3,895,385	\$ 680,942	\$ 3,851,594
Business & Facilities Services	\$ 164,556	\$ 626,696					\$ 791,252	\$ 6,045,639	\$ 479,410	\$ 5,498,778
Information Technology	\$ 1,135,558	\$ (45,174)			\$ (4,180)		\$ 1,086,204	\$ 7,563,410	\$ 1,645,966	\$ 8,916,187
Court Administration Program	\$ 2,878,112	\$ 791,905			\$ (9,953)	\$ 38,378	\$ 3,698,342	\$ 24,422,487	\$ 3,845,146	\$ 22,969,814
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 18,366,063	\$ 1,687,321	\$ 85,045		\$ 0	\$ 0	\$ 20,138,429	\$ 100,235,476	\$ 21,479,786	\$ 99,065,743