


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

8/20/18

Date

Santa Clara

Court

FY 2017-18 4th Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Clara

Court

FY 2017-18 4th Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Clara

Court

FY 2017-18 4th Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	616.47	602.07	595.25	595.47	627.90

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Balance Sheet
(Unaudited)**

For the month ended June									
Fiscal Year 2017/18									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (965,007)	\$ 597,576	\$ 0	\$ 0			\$ 418,836	\$ 51,406	\$ 80,030
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 235,033	\$ 235,033	\$ (387,745)
Civil Filing Fees							\$ 0	\$ 0	\$ 1,808,601
Trust							\$ (516,099)	\$ (516,099)	\$ 250,917
Credit Card									
Cash on Hand	\$ 14,865						\$ 1,264	\$ 16,279	\$ 15,010
Cash with County	\$ 319,692	\$ 150						\$ 319,692	\$ 2,200,701
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 4,224,712						\$ 44,098,949	\$ 48,323,660	\$ 38,906,974
Total Cash and Cash Equivalents	\$ 3,594,261	\$ 597,726	\$ 0	\$ 0			\$ 44,237,983	\$ 48,429,971	\$ 42,874,488
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 23,340	\$ 14,742	\$ 0	\$ 0				\$ 38,082	\$ 115,480
Accounts Receivable - General			\$ 0					\$ 0	\$ 13,174
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 3,922							\$ 3,922	\$ 8,630
Trust							\$ 287,238	\$ 287,238	
Due From Other Funds	\$ 1,691,665						\$ 0	\$ 1,691,665	\$ 2,064,945
Due From Other Governments	\$ 8,028	\$ 238,552	\$ 375,265					\$ 621,845	\$ 1,020,244
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 2,575,893	\$ 114,695	\$ 843,416					\$ 3,534,004	\$ 3,027,847
Trust Due To/From								\$ 0	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 30,987	\$ 659,332						\$ 690,319	\$ 51,708
Total Receivables	\$ 4,333,834	\$ 1,027,321	\$ 1,218,681	\$ 0			\$ 287,238	\$ 6,867,075	\$ 6,302,028
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 7,928,095	\$ 1,625,048	\$ 1,218,681	\$ 0			\$ 44,525,222	\$ 55,297,045	\$ 49,176,515
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 643,678	\$ 55,688	\$ 139,815					\$ 839,181	\$ 859,349
Accounts Payable - General	\$ 401,863	\$ 9,970	\$ 182,778	\$ 0			\$ 0	\$ 594,611	\$ 451,223
Due to Other Funds	\$ 0	\$ 951,214	\$ 740,450				\$ 690,319	\$ 2,381,984	\$ 2,116,653
Due to Other Courts	\$ 0							\$ 0	\$ 37,797
Due to State	\$ 68,095	\$ 2,403						\$ 70,498	\$ 32,162
TC145 Liability							\$ 1,758,865	\$ 1,758,865	\$ 3,874,222
Due to Other Governments	\$ 62,118	\$ 0	\$ 28,326					\$ 90,444	\$ 713,460
AB145 Due to Other Government Agency	\$ 0						\$ 4,310,318	\$ 4,310,318	\$ 4,703,978
Due to Other Public Agencies									
Sales and Use Tax	\$ 192		\$ 0					\$ 192	\$ 142
Interest							\$ 1,531	\$ 1,531	\$ 812
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 1,175,945	\$ 1,019,274	\$ 1,091,370	\$ 0			\$ 6,761,032	\$ 10,047,622	\$ 12,789,797
Civil							\$ 33,695,829	\$ 33,695,829	\$ 26,814,188
Criminal							\$ 3,481,129	\$ 3,481,129	\$ 3,396,151
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 466,478	\$ 466,478	\$ 109,395
Miscellaneous Trust									
Total Trust Deposits							\$ 37,643,436	\$ 37,643,436	\$ 30,319,734
Accrued Payroll	\$ 3,029,613							\$ 3,029,613	\$ 2,900,019
Benefits Payable	\$ 92,117							\$ 92,117	\$ (120)
Deferred Compensation Payable									
Deductions Payable	\$ 580							\$ 580	\$ 169
Payroll Clearing									
Total Payroll Liabilities	\$ 3,122,311							\$ 3,122,311	\$ 2,900,068
Revenue Collected in Advance	\$ 3,412,970		\$ 126,149					\$ 3,539,119	\$ 245,571
Liabilities For Deposits	\$ 66,664	\$ 1,349	\$ 1,162				\$ 124,506	\$ 193,680	\$ 154,016
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ (3,752)	\$ (3,752)	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 3,479,634	\$ 1,349	\$ 127,310				\$ 120,754	\$ 3,729,047	\$ 399,587
Total Liabilities	\$ 7,777,891	\$ 1,020,623	\$ 1,218,681	\$ 0			\$ 44,525,222	\$ 54,542,416	\$ 46,409,185
Total Fund Balance	\$ 150,205	\$ 604,424	\$ 0	\$ 0				\$ 754,629	\$ 2,767,330
Total Liabilities and Fund Balance	\$ 7,928,095	\$ 1,625,048	\$ 1,218,681	\$ 0			\$ 44,525,222	\$ 55,297,045	\$ 49,176,515

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended June										
	Fiscal Year 2017/18							2016/17		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 22,866,341	\$ 1,475,768			\$ (189,950)		\$ 24,152,159	\$ 23,425,916	\$ 24,006,701	\$ 23,663,411
Traffic & Other Infractions	\$ 3,607,275	\$ 36,897					\$ 3,644,172	\$ 3,731,232	\$ 3,775,617	\$ 4,164,522
Other Criminal Cases	\$ 9,018,523	\$ 1,287,793			\$ 43,204		\$ 10,349,520	\$ 10,547,717	\$ 10,681,651	\$ 10,831,231
Civil	\$ 7,897,714	\$ 297,245					\$ 8,194,959	\$ 7,331,542	\$ 8,032,455	\$ 8,025,529
Family & Children Services	\$ 11,061,571	\$ 1,760,363			\$ 190,316		\$ 13,012,250	\$ 13,493,403	\$ 13,012,432	\$ 12,600,847
Probate, Guardianship & Mental Health Services	\$ 3,084,987	\$ 27,853					\$ 3,112,840	\$ 2,959,427	\$ 2,941,153	\$ 2,714,540
Juvenile Dependency Services	\$ 404,727	\$ 128,228			\$ 6,895		\$ 539,850	\$ 282,356	\$ 506,587	\$ 529,702
Juvenile Delinquency Services	\$ 715,904	\$ 194,407					\$ 910,310	\$ 885,352	\$ 1,037,361	\$ 764,595
Other Court Operations	\$ 662,513	\$ 5,504					\$ 668,017	\$ 450,766	\$ 266,822	\$ 273,274
Court Interpreters	\$ 3,150,244	\$ 4,246,189	\$ 428				\$ 7,396,861	\$ 6,255,602	\$ 6,041,389	\$ 4,178,487
Jury Services	\$ 476,573	\$ 135,364	\$ 800,135				\$ 1,412,072	\$ 1,585,069	\$ 1,605,200	\$ 1,297,363
Security		\$ 401,183					\$ 401,183	\$ 120,864	\$ 393,338	\$ 116,175
Trial Court Operations Program	\$ 62,946,372	\$ 9,996,793	\$ 800,564		\$ 50,465		\$ 73,794,193	\$ 71,069,246	\$ 72,300,706	\$ 69,159,676
Enhanced Collections										
Other Non-Court Operations	\$ 1,865,917	\$ 108,871	\$ 114,179				\$ 2,088,966	\$ 231,835	\$ 2,073,419	\$ 1,961,560
Non-Court Operations Program	\$ 1,865,917	\$ 108,871	\$ 114,179				\$ 2,088,966	\$ 231,835	\$ 2,073,419	\$ 1,961,560
Executive Office	\$ 1,606,586	\$ 456,494			\$ (50,465)		\$ 2,012,615	\$ 1,864,827	\$ 2,005,410	\$ 2,044,424
Fiscal Services	\$ 3,623,549	\$ 2,038,452					\$ 5,662,001	\$ 6,157,687	\$ 6,015,349	\$ 5,630,869
Human Resources	\$ 652,766	\$ 104,676					\$ 757,442	\$ 1,614,761	\$ 3,581,977	\$ 6,422,589
Business & Facilities Services	\$ 2,303,758	\$ 1,789,747		\$ 4,031,257			\$ 8,124,762	\$ 9,677,217	\$ 9,269,212	\$ 10,390,782
Information Technology	\$ 5,024,005	\$ 2,895,844	\$ 0				\$ 7,919,849	\$ 10,027,529	\$ 7,866,180	\$ 9,610,406
Court Administration Program	\$ 13,210,665	\$ 7,285,212	\$ 0	\$ 4,031,257	\$ (50,465)		\$ 24,476,669	\$ 29,342,020	\$ 28,738,128	\$ 34,099,070
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 78,022,954	\$ 17,390,875	\$ 914,742	\$ 4,031,257	\$ 0		\$ 100,359,829	\$ 100,643,101	\$ 103,112,254	\$ 105,220,307

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June										
Fiscal Year 2017/18										
2016/17										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
Non-Grant		Grant								
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 74,883,387	\$ 638,879		\$ 0			\$ 75,522,266	\$ 77,479,174	\$ 78,614,716	\$ 79,535,904
Improvement and Modernization Fund	\$ 238,521						\$ 238,521	\$ 238,521	\$ 238,521	\$ 238,520
Judges' Compensation (0150019)	\$ 705,395						\$ 705,395	\$ 712,514	\$ 717,393	\$ 726,112
Court Interpreter (0150037)	\$ 7,058,913						\$ 7,058,913	\$ 5,852,814	\$ 5,858,854	\$ 4,039,896
Civil Coordination Reimbursement (0150091)	\$ 1,039,904						\$ 1,039,904	\$ 1,021,893	\$ 1,022,134	\$ 870,193
MOU Reimbursements (0150010 and General)	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467
Other Miscellaneous										
	\$ 86,235,587	\$ 638,879		\$ 0			\$ 86,874,466	\$ 87,614,388	\$ 88,561,085	\$ 87,720,092
Grants										
AB 1058 Commissioner/Facilitator			\$ 2,518,175				\$ 2,518,175	\$ 2,764,502	\$ 2,550,643	\$ 2,747,162
Other Judicial Council Grants			\$ 790,550				\$ 790,550	\$ 900,917	\$ 594,069	\$ 887,333
Non-Judicial Council Grants			\$ 1,181,013				\$ 1,181,013	\$ 1,137,739	\$ 1,098,463	\$ 1,459,387
			\$ 4,489,739				\$ 4,489,739	\$ 4,803,158	\$ 4,243,174	\$ 5,093,882
Other Financing Sources										
Interest Income	\$ 277,596	\$ 13,841		\$ 3,203			\$ 294,639	\$ 168,700	\$ 162,178	\$ 96,500
Investment Income										
Donations		\$ 39,550					\$ 39,550	\$ 44,300	\$ 44,293	\$ 22,000
Local Fees	\$ 384,583	\$ 1,224,705					\$ 1,609,288	\$ 2,016,400	\$ 2,056,861	\$ 1,705,350
Non-Fee Revenues										
Enhanced Collections		\$ 1,439,852					\$ 1,439,852	\$ 750,200	\$ 750,194	\$ 750,000
Escheatment	\$ 34,583	\$ 95					\$ 34,678	\$ 37,000	\$ 37,194	\$ 7,000
Prior Year Revenue									\$ 0	
County Program - Restricted		\$ 109,532					\$ 109,532	\$ 109,383	\$ 267,494	\$ 394,700
Reimbursement Other	\$ 256,941	\$ 1,603,610	\$ 226,972				\$ 2,087,523	\$ 720,594	\$ 3,282,287	\$ 3,728,286
Sale of Fixed Assets										
Other Miscellaneous	\$ 792			\$ 1,367,068			\$ 1,367,860	\$ 1,611,653	\$ 1,544,140	\$ 1,808,553
	\$ 954,495	\$ 4,431,185	\$ 226,972	\$ 1,370,271			\$ 6,982,923	\$ 5,458,230	\$ 6,144,642	\$ 8,512,389
Total Revenues	\$ 87,190,082	\$ 5,070,064	\$ 4,716,711	\$ 1,370,271			\$ 98,347,128	\$ 97,875,771	\$ 100,948,901	\$ 101,326,383
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 47,373,867	\$ 1,421,184	\$ 1,877,305				\$ 50,672,356	\$ 48,300,972	\$ 48,536,903	\$ 44,276,114
Temp Help	\$ 392,670		\$ 6,540				\$ 399,209	\$ 443,065	\$ 597,964	\$ 561,815
Overtime	\$ 174,287						\$ 174,287	\$ 170,000	\$ 134,660	\$ 170,000
Staff Benefits	\$ 23,477,018	\$ 2,381,158	\$ 918,925				\$ 26,777,101	\$ 27,428,663	\$ 29,319,810	\$ 35,795,726
	\$ 71,417,842	\$ 3,802,342	\$ 2,802,770				\$ 78,022,964	\$ 76,342,700	\$ 78,589,337	\$ 80,803,655
Operating Expenses and Equipment										
General Expense	\$ 1,506,010	\$ 60,522	\$ 67,018				\$ 1,633,550	\$ 1,626,735	\$ 2,076,587	\$ 1,747,171
Printing	\$ 120,668	\$ 149	\$ 198				\$ 121,014	\$ 117,000	\$ 117,349	\$ 125,000
Telecommunications	\$ 691,732	\$ 123,553	\$ 1,610				\$ 816,895	\$ 1,020,000	\$ 920,806	\$ 880,000
Postage	\$ 317,924						\$ 317,924	\$ 370,000	\$ 366,004	\$ 369,500
Insurance	\$ 39,554						\$ 39,554	\$ 38,000	\$ 38,058	\$ 35,000
In-State Travel	\$ 84,901	\$ 281	\$ 8,065				\$ 93,247	\$ 104,500	\$ 96,320	\$ 126,000
Out-of-State Travel	\$ 8,090		\$ 33,645				\$ 41,736	\$ 28,858	\$ 17,708	\$ 35,000
Training	\$ 99,474	\$ 426	\$ 9,974				\$ 109,874	\$ 115,250	\$ 82,228	\$ 94,500
Security Services	\$ 125,931		\$ 383,980				\$ 509,911	\$ 504,844	\$ 495,251	\$ 497,143
Facility Operations	\$ 1,514,420						\$ 1,514,420	\$ 1,579,826	\$ 1,517,695	\$ 1,386,623
Utilities	\$ 179,910						\$ 179,910	\$ 169,674	\$ 177,333	\$ 180,000
Contracted Services	\$ 6,520,610	\$ 2,500,906	\$ 1,103,588				\$ 10,125,105	\$ 10,495,657	\$ 8,705,257	\$ 9,430,842
Consulting and Professional Services	\$ 741,148						\$ 741,148	\$ 752,200	\$ 1,492,218	\$ 1,491,527
Information Technology	\$ 509,048	\$ 101,332	\$ 24				\$ 610,403	\$ 2,186,866	\$ 1,354,192	\$ 1,063,334
Major Equipment	\$ 485,364						\$ 485,364	\$ 8,164	\$ 121,827	\$ 53,223
Other Items of Expense	\$ 50,820						\$ 50,820	\$ 51,570	\$ 51,772	\$ 55,500
	\$ 12,995,604	\$ 2,787,169	\$ 1,608,102				\$ 17,390,875	\$ 19,169,144	\$ 17,630,606	\$ 17,370,363
Special Items of Expense										
Grand Jury	\$ 0	\$ 3,798					\$ 3,798	\$ 3,800	\$ 3,935	\$ 3,600
Jury Costs	\$ 800,135	\$ 110,380					\$ 910,515	\$ 846,200	\$ 839,875	\$ 810,550
Judgements, Settlements and Claims	\$ 428						\$ 428			
Debt Service										
Other								\$ 250,000	\$ 1,017,244	\$ 1,200,881
Capital Costs				\$ 4,031,257			\$ 4,031,257	\$ 4,031,257	\$ 5,031,257	\$ 5,031,257
Internal Cost Recovery	\$ (454,723)		\$ 454,723				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment										
	\$ 345,840	\$ 114,179	\$ 454,723	\$ 4,031,257			\$ 4,945,999	\$ 5,131,257	\$ 6,892,311	\$ 7,046,288
Total Expenditures	\$ 84,759,286	\$ 6,703,690	\$ 4,865,596	\$ 4,031,257			\$ 100,359,829	\$ 100,643,101	\$ 103,112,254	\$ 105,220,307
Excess (Deficit) of Revenues Over Expenditures	\$ 2,430,796	\$ (1,633,626)	\$ (148,884)	\$ (2,660,986)			\$ (2,012,701)	\$ (2,767,330)	\$ (2,163,353)	\$ (3,893,944)
Operating Transfers In (Out)	\$ (2,574,790)	\$ 824,919	\$ 148,884	\$ 1,600,986			\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 294,199	\$ 1,413,131	\$ 0	\$ 1,060,000			\$ 2,767,330	\$ 2,767,330	\$ 4,930,683	\$ 4,930,683
Ending Balance (Deficit)	\$ 150,205	\$ 604,424	\$ 0	\$ 0			\$ 754,629	\$ 0	\$ 2,787,330	\$ 1,036,740

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Clara

Classification	Info Only	Info Only	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project	Debt Service			
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	-
Restricted	-	-	-	604,424	-	-	-	-	-	604,424
Committed	-	-	-	-	-	-	-	-	-	-
Assigned	150,205	-	150,205	-	-	-	-	-	-	150,205
Unassigned	-	-	-	-	-	-	-	-	-	-
Total	\$ 150,205	\$ -	\$ 150,205	\$ 604,424	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 754,629