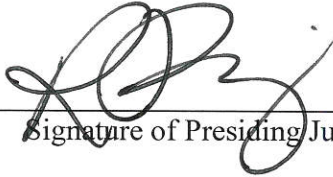


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

5/8/18
Date

Santa Clara

Court

FY 2017-18 3rd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Clara

Court

FY 2017-18 3rd Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Clara

Court

FY 2017-18 3rd Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	616.47	602.07	595.25	595.47	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March													
Fiscal Year 2017/18													
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2016/17			
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant										
REVENUES													
State Financing Sources													
Trial Court Trust Fund	\$ 57,800,533	\$ 370,279					\$ 58,170,812	\$ 77,479,174	\$ 60,121,163	\$ 79,535,904			
Improvement and Modernization Fund	\$ 238,521						\$ 238,521	\$ 238,521	\$ 238,521	\$ 238,520			
Judges' Compensation (0150019)	\$ 562,878						\$ 562,878	\$ 712,514	\$ 562,878	\$ 726,112			
Court Interpreter (0150037)	\$ 3,833,668						\$ 3,833,668	\$ 5,852,814	\$ 3,429,617	\$ 4,039,896			
Civil Coordination Reimbursement (0150091)													
MOU Reimbursements (0150010 and General)	\$ 681,995						\$ 681,995	\$ 1,021,893	\$ 704,603	\$ 870,193			
Other Miscellaneous	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467			
	\$ 65,427,062	\$ 370,279					\$ 65,797,341	\$ 97,614,383	\$ 67,366,249	\$ 87,720,092			
Grants													
AB 1058 Commissioner/Facilitator			\$ 1,695,784				\$ 1,695,784	\$ 2,764,502	\$ 1,589,602	\$ 2,747,162			
Other Judicial Council Grants			\$ 446,737				\$ 446,737	\$ 900,917	\$ 375,948	\$ 887,333			
Non-Judicial Council Grants			\$ 576,798				\$ 576,798	\$ 1,137,739	\$ 545,239	\$ 1,459,387			
			\$ 2,719,319				\$ 2,719,319	\$ 4,803,158	\$ 2,510,789	\$ 5,093,882			
Other Financing Sources													
Interest Income	\$ 160,515	\$ 10,097		\$ 3,203			\$ 173,814	\$ 168,700	\$ 102,795	\$ 96,500			
Investment Income													
Donations		\$ 19,249					\$ 19,249	\$ 44,300	\$ 39,228	\$ 22,000			
Local Fees	\$ 260,315	\$ 858,135					\$ 1,118,450	\$ 2,016,400	\$ 1,308,270	\$ 1,705,350			
Non-Fee Revenues													
Enhanced Collections		\$ 595,939					\$ 595,939	\$ 750,200	\$ 475,065	\$ 750,000			
Escheatment	\$ 18,240						\$ 18,240	\$ 37,000	\$ 17,694	\$ 7,000			
Prior Year Revenue									\$ 0				
County Program - Restricted		\$ 59,415					\$ 59,415	\$ 109,383	\$ 131,449	\$ 394,700			
Reimbursement Other	\$ 250,968	\$ 1,052,967	\$ 86,395				\$ 1,300,330	\$ 720,594	\$ 919,470	\$ 3,728,286			
Sale of Fixed Assets													
Other Miscellaneous	\$ 1,052,922						\$ 1,052,922	\$ 1,611,653	\$ 1,174,645	\$ 1,808,553			
	\$ 1,742,959	\$ 2,595,801	\$ 86,395	\$ 3,203			\$ 4,428,358	\$ 5,468,230	\$ 4,168,616	\$ 8,512,389			
Total Revenues	\$ 67,170,021	\$ 2,986,080	\$ 2,805,715	\$ 3,203			\$ 72,945,015	\$ 97,875,771	\$ 74,045,653	\$ 101,326,363			
EXPENDITURES													
Personal Services													
Salaries - Permanent	\$ 34,441,865	\$ 761,092	\$ 1,432,312				\$ 36,635,269	\$ 48,300,972	\$ 35,293,590	\$ 44,276,114			
Temp Help	\$ 305,781						\$ 305,781	\$ 443,065	\$ 446,742	\$ 561,815			
Overtime	\$ 128,282						\$ 128,282	\$ 170,000	\$ 82,411	\$ 170,000			
Staff Benefits	\$ 18,098,512	\$ 1,603,883	\$ 698,096				\$ 20,400,492	\$ 27,428,663	\$ 21,836,065	\$ 35,795,726			
	\$ 52,974,440	\$ 2,364,976	\$ 2,130,408				\$ 57,469,824	\$ 76,342,700	\$ 57,658,807	\$ 80,803,655			
Operating Expenses and Equipment													
General Expense	\$ 924,343	\$ 28,327	\$ 38,507				\$ 991,176	\$ 1,626,735	\$ 1,424,405	\$ 1,747,171			
Printing	\$ 90,390	\$ 111	\$ 111				\$ 90,612	\$ 117,000	\$ 77,118	\$ 125,000			
Telecommunications	\$ 422,467		\$ 1,146				\$ 423,613	\$ 1,020,000	\$ 679,547	\$ 680,000			
Postage	\$ 258,874						\$ 258,874	\$ 370,000	\$ 303,395	\$ 369,500			
Insurance	\$ 36,820						\$ 36,820	\$ 36,000	\$ 36,398	\$ 35,000			
In-State Travel	\$ 62,073	\$ 0	\$ 6,876				\$ 68,949	\$ 104,500	\$ 69,903	\$ 126,000			
Out-of-State Travel	\$ 4,728		\$ 26,252				\$ 30,980	\$ 28,858	\$ 3,769	\$ 35,000			
Training	\$ 77,221		\$ 3,649				\$ 80,871	\$ 115,250	\$ 54,803	\$ 94,500			
Security Services	\$ 73,233		\$ 255,987				\$ 329,219	\$ 504,844	\$ 322,864	\$ 497,143			
Facility Operations	\$ 1,215,006						\$ 1,215,006	\$ 1,579,826	\$ 1,096,121	\$ 1,386,623			
Utilities	\$ 121,702						\$ 121,702	\$ 169,674	\$ 115,610	\$ 180,000			
Contracted Services	\$ 4,258,308	\$ 1,899,875	\$ 426,062				\$ 6,584,245	\$ 10,495,657	\$ 5,481,412	\$ 9,430,842			
Consulting and Professional Services	\$ 371,302						\$ 371,302	\$ 752,200	\$ 1,175,286	\$ 1,491,527			
Information Technology	\$ 253,701	\$ 8,009	\$ 24				\$ 261,734	\$ 2,186,866	\$ 1,261,950	\$ 1,063,334			
Major Equipment	\$ 299,620						\$ 299,620	\$ 8,164	\$ 68,517	\$ 53,223			
Other Items of Expense	\$ 28,478						\$ 28,478	\$ 51,570	\$ 40,545	\$ 55,500			
	\$ 8,498,264	\$ 1,936,323	\$ 758,614				\$ 11,193,201	\$ 19,169,144	\$ 12,211,641	\$ 17,370,963			
Special Items of Expense													
Grand Jury	\$ 0	\$ 3,798					\$ 3,798	\$ 3,800	\$ 3,394	\$ 3,600			
Jury Costs	\$ 515,086	\$ 67,970					\$ 583,056	\$ 846,200	\$ 582,159	\$ 810,550			
Judgements, Settlements and Claims	\$ 428						\$ 428						
Debt Service													
Other								\$ 250,000	\$ 0	\$ 1,200,881			
Capital Costs				\$ 2,015,629			\$ 2,015,629	\$ 4,031,257	\$ 5,031,257	\$ 5,031,257			
Internal Cost Recovery							\$ 0	\$ 0	\$ 0	\$ 0			
Prior Year Expense Adjustment													
	\$ 182,343	\$ 71,768	\$ 333,172	\$ 2,015,629			\$ 2,602,911	\$ 5,131,257	\$ 5,616,810	\$ 7,046,288			
Total Expenditures	\$ 61,655,046	\$ 4,373,067	\$ 3,222,194	\$ 2,015,629			\$ 71,285,935	\$ 100,643,101	\$ 75,487,258	\$ 105,220,307			
Excess (Deficit) of Revenues Over Expenditures	\$ 5,514,975	\$ (1,406,987)	\$ (416,479)	\$ (2,012,426)			\$ 1,679,083	\$ (2,767,330)	\$ (1,441,606)	\$ (3,693,944)			
Operating Transfers In (Out)	\$ (90,790)	\$ 90,790					\$ 0	\$ 0	\$ 0	\$ 0			
Fund Balance (Deficit)													
Beginning Balance (Deficit)	\$ 294,199	\$ 1,413,131	\$ 0	\$ 1,060,000			\$ 2,767,330	\$ 2,767,330	\$ 4,930,683	\$ 4,930,683			
Ending Balance (Deficit)	\$ 5,718,384	\$ 96,935	\$ (416,479)	\$ (952,426)			\$ 4,446,413	\$ 0	\$ 3,489,078	\$ 1,036,740			

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2017/18									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 2,676,794	\$ (9,383)	\$ (1,328,535)	\$ (952,426)			\$ 2,193,185	\$ 2,579,635	\$ 467,128
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 149,173	\$ 149,173	\$ 187,027
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (240,594)	\$ (240,594)	\$ (326,970)
Credit Card									
Cash on Hand	\$ 14,974							\$ 14,974	\$ 15,010
Cash with County	\$ 1,330,682							\$ 1,330,682	\$ 5,237,316
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 1,861,089						\$ 41,035,804	\$ 42,896,893	\$ 31,126,252
Total Cash and Cash Equivalents	\$ 5,883,539	\$ (9,383)	\$ (1,328,535)	\$ (952,426)			\$ 43,137,568	\$ 46,730,763	\$ 36,705,762
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 12,696
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 139,957						\$ 0	\$ 139,957	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 115,839					\$ 115,839	\$ 80,706
Due From Other Courts							\$ 1,025	\$ 1,025	\$ 0
Due From State	\$ 0	\$ 247,996	\$ 1,092,283					\$ 1,340,278	\$ 813,512
Trust Due To/From									\$ 176
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									\$ 0
General Due To/From	\$ 36,733	\$ 0						\$ 36,733	\$ 6,517
Total Receivables	\$ 176,690	\$ 247,996	\$ 1,208,122	\$ 0			\$ 1,025	\$ 1,633,832	\$ 913,606
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 6,060,228	\$ 238,613	\$ (120,413)	\$ (952,426)			\$ 43,138,592	\$ 48,364,594	\$ 37,619,368
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 104,764	\$ 443	\$ 1,468	\$ 0			\$ 668	\$ 107,343	\$ 2,697,052
Due to Other Funds	\$ 0	\$ 139,957	\$ 0				\$ 36,733	\$ 176,690	\$ 6,693
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 1,511,940	\$ 1,511,940	\$ 1,726,628
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 646,264
AB145 Due to Other Government Agency	\$ 0						\$ 5,977,962	\$ 5,977,962	\$ 4,477,051
Due to Other Public Agencies									
Sales and Use Tax	\$ 851		\$ 0					\$ 851	\$ 390
Interest							\$ 49,841	\$ 49,841	\$ 13,337
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 105,615	\$ 140,400	\$ 1,468	\$ 0			\$ 7,577,144	\$ 7,824,627	\$ 9,567,415
Civil							\$ 32,082,400	\$ 32,082,400	\$ 20,952,007
Criminal							\$ 3,049,290	\$ 3,049,290	\$ 3,050,203
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 308,189	\$ 308,189	\$ 58,034
Miscellaneous Trust									
Total Trust Deposits							\$ 35,439,880	\$ 35,439,880	\$ 24,060,245
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ 181,080							\$ 181,080	
Deferred Compensation Payable									
Deductions Payable	\$ 491							\$ 491	
Payroll Clearing									
Total Payroll Liabilities	\$ 181,571							\$ 181,571	\$ 0
Revenue Collected in Advance			\$ 293,437					\$ 293,437	\$ 332,451
Liabilities For Deposits	\$ 54,659	\$ 1,278	\$ 1,162				\$ 121,568	\$ 178,667	\$ 170,180
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 54,659	\$ 1,278	\$ 294,599				\$ 121,568	\$ 472,103	\$ 502,631
Total Liabilities	\$ 341,845	\$ 141,678	\$ 296,066	\$ 0			\$ 43,138,592	\$ 43,918,181	\$ 34,130,290
Total Fund Balance	\$ 5,718,384	\$ 96,935	\$ (416,479)	\$ (952,426)				\$ 4,446,413	\$ 3,489,078
Total Liabilities and Fund Balance	\$ 6,060,228	\$ 238,613	\$ (120,413)	\$ (952,426)			\$ 43,138,592	\$ 48,364,594	\$ 37,619,368

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

	For the month ended March									
	Fiscal Year 2017/18								2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 16,637,737	\$ 1,028,782			\$ (142,092)		\$ 17,524,427	\$ 23,425,916	\$ 17,823,002	\$ 23,663,411
Traffic & Other Infractions	\$ 2,609,018	\$ 21,644					\$ 2,630,663	\$ 3,731,232	\$ 2,787,940	\$ 4,164,522
Other Criminal Cases	\$ 6,498,513	\$ 604,741			\$ 30,695		\$ 7,133,950	\$ 10,547,717	\$ 7,783,234	\$ 10,831,231
Civil	\$ 5,771,365	\$ 214,206					\$ 5,985,571	\$ 7,331,542	\$ 5,971,268	\$ 8,025,529
Family & Children Services	\$ 8,074,104	\$ 939,421			\$ 142,092		\$ 9,155,616	\$ 13,493,403	\$ 9,239,982	\$ 12,600,847
Probate, Guardianship & Mental Health Services	\$ 2,250,694	\$ 18,831					\$ 2,269,526	\$ 2,959,427	\$ 2,138,046	\$ 2,714,540
Juvenile Dependency Services	\$ 313,131	\$ 36,240			\$ 2,726		\$ 352,098	\$ 282,356	\$ 367,892	\$ 529,702
Juvenile Delinquency Services	\$ 553,338	\$ 137,829					\$ 691,166	\$ 885,352	\$ 685,388	\$ 764,595
Other Court Operations	\$ 348,050	\$ 4,290					\$ 352,340	\$ 450,766	\$ 230,813	\$ 273,274
Court Interpreters	\$ 2,336,906	\$ 2,827,189	\$ 428				\$ 5,164,524	\$ 6,255,602	\$ 3,982,561	\$ 4,178,487
Jury Services	\$ 339,357	\$ 95,378	\$ 515,086				\$ 949,821	\$ 1,585,069	\$ 1,182,409	\$ 1,297,363
Security		\$ 96,107					\$ 96,107	\$ 120,864	\$ 165,773	\$ 116,175
Trial Court Operations Program	\$ 45,732,215	\$ 6,024,657	\$ 515,514		\$ 33,422		\$ 52,305,808	\$ 71,069,246	\$ 52,358,308	\$ 69,159,676
Enhanced Collections										
Other Non-Court Operations	\$ 1,383,433	\$ 63,058	\$ 71,768				\$ 1,518,260	\$ 231,835	\$ 1,504,751	\$ 1,961,560
Non-Court Operations Program	\$ 1,383,433	\$ 63,058	\$ 71,768				\$ 1,518,260	\$ 231,835	\$ 1,504,751	\$ 1,961,560
Executive Office	\$ 1,080,663	\$ 326,592			\$ (33,422)		\$ 1,373,834	\$ 1,864,827	\$ 1,485,533	\$ 2,044,424
Fiscal Services	\$ 2,600,294	\$ 1,253,734					\$ 3,854,028	\$ 6,157,687	\$ 4,309,571	\$ 5,630,869
Human Resources	\$ 1,353,895	\$ 59,610					\$ 1,413,504	\$ 1,614,761	\$ 1,499,197	\$ 6,422,589
Business & Facilities Services	\$ 1,700,550	\$ 1,384,946		\$ 2,015,629			\$ 5,101,124	\$ 9,677,217	\$ 8,180,807	\$ 10,390,782
Information Technology	\$ 3,618,774	\$ 2,080,605	\$ 0				\$ 5,699,378	\$ 10,027,529	\$ 6,149,091	\$ 9,610,406
Court Administration Program	\$ 10,354,175	\$ 5,105,486	\$ 0	\$ 2,015,629	\$ (33,422)		\$ 17,441,868	\$ 29,342,020	\$ 21,624,199	\$ 34,099,070
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 57,469,824	\$ 11,193,201	\$ 587,283	\$ 2,015,629	\$ 0		\$ 71,265,935	\$ 100,643,101	\$ 75,487,258	\$ 105,220,307