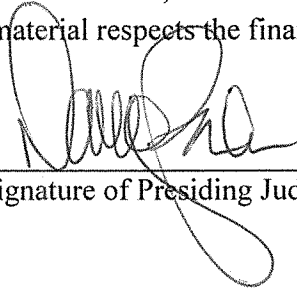


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

5/16/18

Date

Santa Barbara

Court

2017-2018 3rd Qtr.

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

2017-18 3rd Qtr.

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara
 Court

2017–18 3rd Qtr.
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	233.130	230.125	226.625	221.9	

¹ The Authorized Positions should reflect the amount submitted on the court’s Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended March								2016/17	
	Fiscal Year 2017/18							Total Funds (Info. Purposes Only)		Total Funds (Info. Purposes Only)
	Governmental Funds			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds			
General	Special Revenue									
		Non-Grant	Grant							
ASSETS										
Operations	\$ (1,985,961)	\$ 2,187,014	\$ (116,438)				\$ 332,770	\$ 417,386	\$ 279,306	
Payroll	\$ 11,501	\$ 0	\$ 0					\$ 11,501	\$ 5,768	
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other Distribution							\$ 948	\$ 948	\$ 258,142	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust	\$ 549						\$ (92,726)	\$ (92,177)	\$ (282,794)	
Credit Card										
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130	
Cash with County										
Cash Outside of the JCC										
Cash Equivalents	\$ 4,903,592						\$ 4,815,560	\$ 9,719,152	\$ 12,470,074	
Total Cash and Cash Equivalents	\$ 2,941,811	\$ 2,187,014	\$ (116,438)				\$ 5,056,551	\$ 10,068,938	\$ 12,742,626	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General			\$ 0					\$ 0	\$ 0	
Dishonored Checks										
Due From Employee	\$ 0							\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 283,324	\$ 0					\$ 283,324	\$ 318,467	
Due From Other Courts							\$ 580	\$ 580	\$ 0	
Due From State	\$ (3,390)	\$ 0	\$ 26,284					\$ 22,894	\$ 146,118	
Trust Due To/From							\$ 234,284	\$ 234,284	\$ 1,526,221	
Distribution Due To/From							\$ 30,664	\$ 30,664	\$ 57,463	
Civil Filing Fee Due To/From										
General Due To/From	\$ 4,756							\$ 4,756	\$ 2,758	
Total Receivables	\$ 1,366	\$ 283,324	\$ 26,284				\$ 265,527	\$ 576,500	\$ 2,051,027	
Prepaid Expenses - General	\$ 466							\$ 466	\$ 124,738	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 466							\$ 466	\$ 124,738	
Other Assets										
Total Other Assets										
Total Assets	\$ 2,943,643	\$ 2,470,338	\$ (90,154)				\$ 5,322,078	\$ 10,645,904	\$ 14,918,391	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 237,785	\$ 938	\$ 0				\$ 0	\$ 238,724	\$ 11,104	
Due to Other Funds	\$ 1	\$ 11,761	\$ 0				\$ 257,942	\$ 269,704	\$ 1,586,442	
Due to Other Courts	\$ 0							\$ 0	\$ 0	
Due to State	\$ 0	\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 440,845	\$ 440,845	\$ 458,769	
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
AB145 Due to Other Government Agency							\$ 2,549,337	\$ 2,549,337	\$ 2,678,138	
Due to Other Public Agencies										
Sales and Use Tax	\$ 135							\$ 135	\$ 85	
Interest							\$ 5,408	\$ 5,408	\$ 2,592	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 726							\$ 726	\$ 1,260	
Total Accounts Payable and Accrued Liab.	\$ 238,647	\$ 12,699	\$ 0				\$ 3,253,532	\$ 3,504,879	\$ 4,738,389	
Civil							\$ 1,058,125	\$ 1,058,125	\$ 2,362,702	
Criminal							\$ 958,140	\$ 958,140	\$ 1,402,808	
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654	
Trust Held Outside of the JCC										
Trust Interest Payable							\$ 29,526	\$ 29,526	\$ 24,663	
Miscellaneous Trust										
Total Trust Deposits							\$ 2,049,445	\$ 2,049,445	\$ 3,793,827	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ (235,444)	\$ (160)	\$ 0					\$ (235,604)	\$ (203,735)	
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Deductions Payable	\$ 52	\$ 0	\$ (5)					\$ 47	\$ 0	
Payroll Clearing	\$ 0							\$ 0	\$ 0	
Total Payroll Liabilities	\$ (235,392)	\$ (160)	\$ (5)					\$ (235,557)	\$ (203,735)	
Revenue Collected in Advance										
Liabilities For Deposits	\$ 45,841						\$ 16,030	\$ 61,871	\$ 58,940	
Jury Fees - Non-Interest							\$ 1,186	\$ 1,186	\$ 1,186	
Fees - Partial Payment & Overpayment							\$ 1,885	\$ 1,885	\$ 760	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 114,982							\$ 114,982	\$ 114,982	
Total Other Liabilities	\$ 160,823						\$ 19,101	\$ 179,925	\$ 175,868	
Total Liabilities	\$ 164,078	\$ 12,540	\$ (5)				\$ 5,322,078	\$ 5,498,691	\$ 8,504,349	
Total Fund Balance	\$ 2,779,565	\$ 2,457,798	\$ (90,150)					\$ 5,147,213	\$ 6,414,042	
Total Liabilities and Fund Balance	\$ 2,943,643	\$ 2,470,338	\$ (90,154)				\$ 5,322,078	\$ 10,645,904	\$ 14,918,391	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2017/18									2016/17		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 16,320,102	\$ 121,694					\$ 16,441,796	\$ 22,018,247	\$ 17,224,787	\$ 21,908,758	
Improvement and Modernization Fund	\$ 42,332						\$ 42,332	\$ 56,713		\$ 56,713	
Judges' Compensation (0150019)	\$ 149,625						\$ 149,625	\$ 199,500	\$ 149,625	\$ 199,500	
Court Interpreter (0150037)	\$ 1,442,312						\$ 1,442,312	\$ 1,908,447	\$ 1,290,139	\$ 1,809,609	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 460,860						\$ 460,860	\$ 1,649,425	\$ 310,314	\$ 1,821,770	
Other Miscellaneous	\$ 1,597,662						\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
	\$ 20,012,893	\$ 121,694					\$ 20,134,586	\$ 27,429,994	\$ 20,572,527	\$ 27,394,012	
Grants											
AB 1058 Commissioner/Facilitator			\$ 436,720				\$ 436,720	\$ 840,129	\$ 606,340	\$ 835,358	
Other Judicial Council Grants			\$ 35,024				\$ 35,024	\$ 284,150	\$ 0	\$ 35,024	
Non-Judicial Council Grants										\$ 14,300	
			\$ 471,744				\$ 471,744	\$ 1,124,279	\$ 606,340	\$ 884,682	
Other Financing Sources											
Interest Income	\$ 46,688	\$ 15,111					\$ 61,799	\$ 50,000	\$ 32,212	\$ 30,000	
Investment Income											
Donations											
Local Fees	\$ 47,977	\$ 85,451					\$ 133,428	\$ 207,065	\$ 140,622	\$ 230,500	
Non-Fee Revenues	\$ 125,000						\$ 125,000	\$ 125,000	\$ 125,000	\$ 246,561	
Enhanced Collections		\$ 444,004					\$ 444,004	\$ 1,021,755	\$ 515,459	\$ 948,889	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 641,102					\$ 641,102	\$ 1,369,132	\$ 583,486	\$ 1,165,956	
Reimbursement Other	\$ 52,359						\$ 52,359	\$ 93,000	\$ 85,823	\$ 160,500	
Sale of Fixed Assets									\$ 560		
Other Miscellaneous	\$ 13,835						\$ 13,835	\$ 9,000	\$ 5,519	\$ 15,000	
	\$ 285,859	\$ 1,185,668					\$ 1,471,527	\$ 2,874,952	\$ 1,488,682	\$ 2,797,406	
Total Revenues	\$ 20,298,752	\$ 1,307,362	\$ 471,744				\$ 22,077,858	\$ 31,429,225	\$ 22,667,549	\$ 31,076,100	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 8,642,534	\$ 626,045	\$ 283,397				\$ 9,551,976	\$ 15,568,092	\$ 9,517,033	\$ 15,183,400	
Temp Help	\$ 151,603	\$ 10,102	\$ 12,144				\$ 173,848	\$ 699,154	\$ 245,432	\$ 576,078	
Overtime	\$ 160,064	\$ 2,906	\$ 1,308				\$ 164,277	\$ 3,651	\$ 172,698	\$ 150,000	
Staff Benefits	\$ 5,901,805	\$ 459,319	\$ 171,141				\$ 6,532,265	\$ 8,310,906	\$ 6,413,347	\$ 8,470,523	
	\$ 14,856,005	\$ 1,098,371	\$ 467,990				\$ 16,422,367	\$ 24,581,803	\$ 16,348,510	\$ 24,380,001	
Operating Expenses and Equipment											
General Expense	\$ 366,926	\$ 8,990	\$ 1,001				\$ 376,917	\$ 704,379	\$ 433,020	\$ 617,665	
Printing	\$ 43,436						\$ 43,436	\$ 125,560	\$ 74,211	\$ 86,435	
Telecommunications	\$ 162,141	\$ 1,248					\$ 163,389	\$ 187,400	\$ 127,705	\$ 197,225	
Postage	\$ 50,650	\$ 7,989	\$ 284				\$ 58,923	\$ 86,085	\$ 105,351	\$ 154,875	
Insurance	\$ 10,398		\$ 82				\$ 10,480	\$ 19,267	\$ 10,503	\$ 14,600	
In-State Travel	\$ 26,445	\$ 1,261	\$ 4,630				\$ 32,336	\$ 41,608	\$ 24,723	\$ 44,340	
Out-of-State Travel	\$ 2,699						\$ 2,699	\$ 7,497	\$ 4,664	\$ 4,575	
Training	\$ 16,694		\$ 1,585				\$ 18,279	\$ 17,239	\$ 10,013	\$ 19,640	
Security Services	\$ 290,058		\$ 59,322				\$ 349,379	\$ 589,006	\$ 367,248	\$ 610,000	
Facility Operations	\$ 384,732	\$ 4,757					\$ 389,489	\$ 663,851	\$ 248,473	\$ 458,578	
Utilities									\$ (45)		
Contracted Services	\$ 1,012,788	\$ 260,037	\$ 24,557				\$ 1,297,382	\$ 3,240,003	\$ 1,323,152	\$ 3,216,665	
Consulting and Professional Services	\$ 73,116	\$ 4,482	\$ 291				\$ 77,889	\$ 119,158	\$ 67,524	\$ 116,510	
Information Technology	\$ 406,992	\$ 17,174	\$ 224				\$ 424,390	\$ 614,536	\$ 788,951	\$ 1,052,725	
Major Equipment	\$ 96,088	\$ 131,191					\$ 227,279	\$ 63,101	\$ 23,834	\$ 507,995	
Other Items of Expense	\$ 20,892		\$ 1,929				\$ 22,821	\$ 25,883	\$ 14,584	\$ 30,650	
	\$ 2,964,055	\$ 437,130	\$ 93,904				\$ 3,495,089	\$ 6,504,573	\$ 3,623,912	\$ 7,132,478	
Special Items of Expense											
Grand Jury	\$ 940						\$ 940	\$ 12,000			
Jury Costs	\$ 129,030						\$ 129,030	\$ 181,000	\$ 119,030	\$ 190,500	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 129,970						\$ 129,970	\$ 193,000	\$ 119,030	\$ 190,500	
Total Expenditures	\$ 17,950,030	\$ 1,535,501	\$ 561,894				\$ 20,047,425	\$ 31,279,376	\$ 20,091,452	\$ 31,702,979	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,348,722	\$ (228,140)	\$ (90,150)				\$ 2,030,433	\$ 149,849	\$ 2,576,097	\$ (626,879)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 430,843	\$ 2,685,937	\$ 0				\$ 3,116,780	\$ 3,116,780	\$ 3,837,945	\$ 3,837,945	
Ending Balance (Deficit)	\$ 2,779,565	\$ 2,457,798	\$ (90,150)				\$ 5,147,213	\$ 3,266,629	\$ 6,414,042	\$ 3,211,066	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2017/18									2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,227,001	\$ 376,609					\$ 5,603,611	\$ 9,482,900	\$ 5,487,038	\$ 9,951,279
Traffic & Other Infractions	\$ 771,812	\$ 54,417					\$ 826,229	\$ 879,717	\$ 704,171	\$ 993,073
Other Criminal Cases	\$ 1,541,176	\$ 140,353					\$ 1,681,529	\$ 2,544,913	\$ 1,650,975	\$ 2,255,888
Civil	\$ 1,327,320	\$ 189,462	\$ 18				\$ 1,516,800	\$ 2,032,682	\$ 1,409,464	\$ 1,753,413
Family & Children Services	\$ 913,236	\$ 63,153					\$ 976,389	\$ 1,390,372	\$ 996,926	\$ 1,316,272
Probate, Guardianship & Mental Health Services	\$ 238,304	\$ 26,886					\$ 265,190	\$ 472,145	\$ 251,077	\$ 596,993
Juvenile Dependency Services	\$ 49,274						\$ 49,274	\$ 73,539	\$ 38,692	\$ 126,123
Juvenile Delinquency Services	\$ 208,213	\$ 31,395					\$ 239,608	\$ 326,014	\$ 232,704	\$ 271,092
Other Court Operations	\$ 1,610,953	\$ 441,053					\$ 2,052,006	\$ 3,280,339	\$ 2,313,837	\$ 3,587,210
Court Interpreters	\$ 875,607	\$ 418,262	\$ 0				\$ 1,293,869	\$ 1,908,447	\$ 1,249,131	\$ 1,806,784
Jury Services	\$ 305,539	\$ 116,111	\$ 129,952				\$ 551,602	\$ 763,139	\$ 525,661	\$ 757,993
Security		\$ 361,744					\$ 361,744	\$ 657,546	\$ 407,026	\$ 731,850
Trial Court Operations Program	\$ 13,068,434	\$ 2,219,447	\$ 129,970				\$ 15,417,850	\$ 23,811,753	\$ 15,266,700	\$ 24,147,970
Enhanced Collections	\$ 298,819	\$ 209,372					\$ 508,191	\$ 1,020,467	\$ 627,227	\$ 948,889
Other Non-Court Operations	\$ 669,394	\$ 15,299					\$ 684,693	\$ 1,228,101	\$ 691,139	\$ 1,039,171
Non-Court Operations Program	\$ 968,213	\$ 224,671					\$ 1,192,884	\$ 2,248,568	\$ 1,318,366	\$ 1,988,060
Executive Office	\$ 272,404	\$ 39,933					\$ 312,337	\$ 729,498	\$ 305,689	\$ 466,539
Fiscal Services	\$ 638,564	\$ 85,477					\$ 724,042	\$ 1,089,815	\$ 772,394	\$ 1,114,527
Human Resources	\$ 321,352	\$ 67,504					\$ 388,856	\$ 603,593	\$ 418,824	\$ 657,794
Business & Facilities Services		\$ 126,919					\$ 126,919	\$ 364,066	\$ 12,418	\$ 483,438
Information Technology	\$ 1,153,399	\$ 731,138					\$ 1,884,537	\$ 2,432,083	\$ 1,997,061	\$ 2,844,651
Court Administration Program	\$ 2,385,720	\$ 1,050,971					\$ 3,436,691	\$ 5,219,055	\$ 3,506,386	\$ 5,566,949
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 16,422,367	\$ 3,495,089	\$ 129,970				\$ 20,047,425	\$ 31,279,376	\$ 20,091,452	\$ 31,702,979