

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

2/9/18

\_\_\_\_\_  
Date

Santa Clara

\_\_\_\_\_  
Court

FY 2017-18 2nd Quarter

\_\_\_\_\_  
Fiscal Year and Ending Quarter

## QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Clara

Court

FY 2017-18 2nd Quarter

Fiscal Year and Ending Quarter

### FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Santa Clara

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Court

FY 2017-18 2nd Quarter

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	616.47	602.07	595.25		

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

		For the month ended December								
		Fiscal Year 2017/18							2016/17	
		Governmental Funds							Total Funds	
		Special Revenue							(Info. Purposes Only)	
		General	Non-Grant	Grant	Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	
<b>ASSETS</b>										
Operations		\$ 1,606,040	\$ 898,837	\$ (1,250,282)	\$ (952,426)			\$ 330,104	\$ 632,273	\$ 381,169
Payroll										
Jury										
Revolving										
Other		\$ 0							\$ 0	\$ 0
Distribution								\$ 463,170	\$ 463,170	\$ 141,296
Civil Filing Fees								\$ 0	\$ 0	\$ 0
Trust								\$ (112,312)	\$ (112,312)	\$ (343,611)
Credit Card										
Cash on Hand		\$ 15,010							\$ 15,010	\$ 15,010
Cash with County		\$ 5,156,818							\$ 5,156,818	\$ 6,590,107
Cash Outside of the JCC								\$ 0	\$ 0	\$ 0
Cash Equivalents		\$ 8,605,574						\$ 40,647,892	\$ 49,253,466	\$ 40,600,451
<b>Total Cash and Cash Equivalents</b>		<b>\$ 15,383,441</b>	<b>\$ 898,837</b>	<b>\$ (1,250,282)</b>	<b>\$ (952,426)</b>			<b>\$ 41,328,854</b>	<b>\$ 55,408,426</b>	<b>\$ 47,384,422</b>
Short-Term Investment										
Investments										
<b>Total Investments</b>										
Accrued Revenue		\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General				\$ 16,474					\$ 16,474	\$ 66,343
Dishonored Checks										
Due From Employee										
Civil Jury Fees		\$ 0							\$ 0	\$ 0
Trust										
Due From Other Funds		\$ 139,957						\$ 0	\$ 139,957	\$ 0
Due From Other Governments		\$ 118,305	\$ 32,792	\$ 31,440					\$ 182,537	\$ 38,740
Due From Other Courts								\$ 0	\$ 0	\$ 0
Due From State		\$ 0	\$ 0	\$ 977,393					\$ 977,393	\$ 804,550
Trust Due To/From									\$ 0	\$ 176
Distribution Due To/From								\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From										
General Due To/From		\$ 12,515	\$ 0						\$ 12,515	\$ 3,158
<b>Total Receivables</b>		<b>\$ 270,776</b>	<b>\$ 32,792</b>	<b>\$ 1,025,307</b>	<b>\$ 0</b>			<b>\$ 0</b>	<b>\$ 1,328,875</b>	<b>\$ 912,967</b>
Prepaid Expenses - General		\$ 0							\$ 0	\$ 0
Salary and Travel Advances										
Counties										
<b>Total Prepaid Expenses</b>		<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>		<b>\$ 15,654,217</b>	<b>\$ 931,629</b>	<b>\$ (224,975)</b>	<b>\$ (952,426)</b>			<b>\$ 41,328,854</b>	<b>\$ 56,737,300</b>	<b>\$ 48,297,389</b>
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities		\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General		\$ 187,384	\$ 520,674	\$ 10,721	\$ 0			\$ 0	\$ 718,779	\$ 234,462
Due to Other Funds		\$ 0	\$ 139,957	\$ 0				\$ 12,515	\$ 152,471	\$ 3,334
Due to Other Courts		\$ 0							\$ 0	\$ 0
Due to State		\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability								\$ 1,595,118	\$ 1,595,118	\$ 1,588,921
Due to Other Governments		\$ 0	\$ 0	\$ 0					\$ 0	\$ 646,264
AB145 Due to Other Government Agency		\$ 0						\$ 5,380,089	\$ 5,380,089	\$ 5,342,416
Due to Other Public Agencies										
Sales and Use Tax		\$ 489		\$ 0					\$ 489	\$ 305
Interest								\$ 37,980	\$ 37,980	\$ 8,188
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>		<b>\$ 187,873</b>	<b>\$ 660,630</b>	<b>\$ 10,721</b>	<b>\$ 0</b>			<b>\$ 7,025,701</b>	<b>\$ 7,884,927</b>	<b>\$ 7,823,890</b>
Civil								\$ 31,560,625	\$ 31,560,625	\$ 19,915,591
Criminal								\$ 2,401,168	\$ 2,401,168	\$ 3,594,598
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC								\$ 0	\$ 0	\$ 0
Trust Interest Payable								\$ 219,793	\$ 219,793	\$ 40,218
Miscellaneous Trust										
<b>Total Trust Deposits</b>								<b>\$ 34,181,585</b>	<b>\$ 34,181,585</b>	<b>\$ 23,550,407</b>
Accrued Payroll		\$ 0							\$ 0	\$ 0
Benefits Payable		\$ 93,720							\$ 93,720	
Deferred Compensation Payable										
Deductions Payable		\$ 772							\$ 772	
Payroll Clearing										
<b>Total Payroll Liabilities</b>		<b>\$ 94,492</b>							<b>\$ 94,492</b>	<b>\$ 0</b>
Revenue Collected in Advance				\$ 331,100					\$ 331,100	\$ 384,476
Liabilities For Deposits		\$ 69,008	\$ 13,529	\$ 1,162				\$ 121,568	\$ 205,266	\$ 181,241
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections		\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities										
<b>Total Other Liabilities</b>		<b>\$ 69,008</b>	<b>\$ 13,529</b>	<b>\$ 332,261</b>				<b>\$ 121,568</b>	<b>\$ 636,366</b>	<b>\$ 565,717</b>
<b>Total Liabilities</b>		<b>\$ 351,373</b>	<b>\$ 674,159</b>	<b>\$ 342,983</b>	<b>\$ 0</b>			<b>\$ 41,328,854</b>	<b>\$ 42,697,370</b>	<b>\$ 31,940,014</b>
<b>Total Fund Balance</b>		<b>\$ 15,302,844</b>	<b>\$ 257,470</b>	<b>\$ (567,958)</b>	<b>\$ (952,426)</b>				<b>\$ 14,039,930</b>	<b>\$ 16,357,375</b>
<b>Total Liabilities and Fund Balance</b>		<b>\$ 15,654,217</b>	<b>\$ 931,629</b>	<b>\$ (224,975)</b>	<b>\$ (952,426)</b>			<b>\$ 41,328,854</b>	<b>\$ 56,737,300</b>	<b>\$ 48,297,389</b>



Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended December											
Fiscal Year 2017/18											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2016/17		
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
<b>REVENUES</b>											
<b>State Financing Sources</b>											
Trial Court Trust Fund	\$ 49,786,589	\$ 213,874					\$ 50,000,433	\$ 77,479,174	\$ 51,203,258	\$ 79,535,904	
Improvement and Modernization Fund								\$ 238,521		\$ 238,520	
Judges' Compensation (0150019)	\$ 375,252						\$ 375,252	\$ 712,514	\$ 375,252	\$ 726,112	
Court Interpreter (0150037)	\$ 2,452,479						\$ 2,452,479	\$ 5,852,814	\$ 1,545,078	\$ 4,039,896	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 549,625						\$ 549,625	\$ 1,021,893	\$ 499,128	\$ 870,193	
Other Miscellaneous	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	
	\$ 55,473,382	\$ 213,874					\$ 55,687,256	\$ 87,614,383	\$ 55,932,183	\$ 87,720,092	
<b>Grants</b>											
AB 1058 Commissioner/Facilitator			\$ 625,263				\$ 625,263	\$ 2,764,502	\$ 877,297	\$ 2,747,162	
Other Judicial Council Grants			\$ 273,401				\$ 273,401	\$ 900,917	\$ 120,378	\$ 887,333	
Non-Judicial Council Grants			\$ 374,892				\$ 374,892	\$ 1,137,739	\$ 327,659	\$ 1,459,387	
			\$ 1,273,556				\$ 1,273,556	\$ 4,803,158	\$ 1,325,334	\$ 5,093,882	
<b>Other Financing Sources</b>											
Interest Income	\$ 75,097	\$ 5,637		\$ 3,203			\$ 83,936	\$ 168,700	\$ 51,497	\$ 96,500	
Investment Income											
Donations		\$ 12,059					\$ 12,059	\$ 44,300	\$ 14,980	\$ 22,000	
Local Fees	\$ 170,851	\$ 502,065					\$ 672,915	\$ 2,016,400	\$ 778,058	\$ 1,705,350	
Non-Fee Revenues											
Enhanced Collections		\$ 423,035					\$ 423,035	\$ 750,200	\$ 322,583	\$ 750,000	
Escheatment	\$ 5,144						\$ 5,144	\$ 37,000	\$ 4,018	\$ 7,000	
Prior Year Revenue									\$ 0		
County Program - Restricted		\$ 48,086					\$ 48,086	\$ 109,383	\$ 59,192	\$ 394,700	
Reimbursement Other	\$ 96,247	\$ 0	\$ 49,069				\$ 145,316	\$ 720,594	\$ 436,993	\$ 3,728,288	
Sale of Fixed Assets											
Other Miscellaneous	\$ 656,879						\$ 656,879	\$ 1,611,653	\$ 726,168	\$ 1,808,553	
	\$ 1,004,218	\$ 990,881	\$ 49,069	\$ 3,203			\$ 2,047,370	\$ 5,458,230	\$ 2,393,488	\$ 8,612,389	
<b>Total Revenues</b>	<b>\$ 56,477,600</b>	<b>\$ 1,204,755</b>	<b>\$ 1,322,625</b>	<b>\$ 3,203</b>			<b>\$ 59,008,183</b>	<b>\$ 97,875,771</b>	<b>\$ 59,651,005</b>	<b>\$ 101,326,363</b>	
<b>EXPENDITURES</b>											
<b>Personal Services</b>											
Salaries - Permanent	\$ 21,588,277	\$ 487,908	\$ 902,014				\$ 22,978,200	\$ 48,300,972	\$ 21,943,406	\$ 44,276,114	
Temp Help	\$ 200,233						\$ 200,233	\$ 443,065	\$ 282,068	\$ 561,815	
Overtime	\$ 67,154						\$ 67,154	\$ 170,000	\$ 68,049	\$ 170,000	
Staff Benefits	\$ 14,039,645	\$ 267,588	\$ 437,349				\$ 14,744,582	\$ 27,428,663	\$ 15,089,361	\$ 35,795,726	
	\$ 35,895,310	\$ 755,496	\$ 1,339,363				\$ 37,990,170	\$ 76,342,700	\$ 37,373,774	\$ 80,803,655	
<b>Operating Expenses and Equipment</b>											
General Expense	\$ 582,905	\$ 26,415	\$ 16,837				\$ 626,158	\$ 1,626,735	\$ 915,372	\$ 1,747,171	
Printing	\$ 44,588	\$ 37	\$ 111				\$ 44,736	\$ 117,000	\$ 48,744	\$ 125,000	
Telecommunications	\$ 297,882		\$ 729				\$ 298,411	\$ 1,020,000	\$ 510,044	\$ 680,000	
Postage	\$ 181,167						\$ 181,167	\$ 370,000	\$ 224,493	\$ 369,500	
Insurance	\$ 5,875						\$ 5,875	\$ 38,000	\$ 32,873	\$ 35,000	
In-State Travel	\$ 33,922		\$ 5,690				\$ 39,612	\$ 104,500	\$ 51,026	\$ 126,000	
Out-of-State Travel	\$ 4,127		\$ 16,400				\$ 20,527	\$ 28,858	\$ 2,223	\$ 35,000	
Training	\$ 50,759		\$ 2,214				\$ 52,974	\$ 115,250	\$ 38,429	\$ 94,500	
Security Services	\$ 50,692						\$ 50,692	\$ 504,844	\$ 48,886	\$ 497,143	
Facility Operations	\$ 766,084						\$ 766,084	\$ 1,579,826	\$ 682,514	\$ 1,386,623	
Utilities	\$ 86,925						\$ 86,925	\$ 169,674	\$ 85,249	\$ 180,000	
Contracted Services	\$ 2,829,529	\$ 1,557,294	\$ 304,152				\$ 4,690,976	\$ 10,495,657	\$ 3,246,168	\$ 9,430,842	
Consulting and Professional Services	\$ 184,353						\$ 184,353	\$ 752,200	\$ 769,404	\$ 1,491,627	
Information Technology	\$ 243,500	\$ 8,009	\$ 24				\$ 251,533	\$ 2,186,866	\$ 1,226,686	\$ 1,063,334	
Major Equipment	\$ 8,164						\$ 8,164	\$ 8,164	\$ 53,223	\$ 53,223	
Other Items of Expense	\$ 19,538						\$ 19,538	\$ 51,570	\$ 27,311	\$ 55,600	
	\$ 5,389,810	\$ 1,591,755	\$ 346,158				\$ 7,327,723	\$ 19,169,144	\$ 7,962,644	\$ 17,370,363	
<b>Special Items of Expense</b>											
Grand Jury	\$ 0	\$ 3,588					\$ 3,588	\$ 3,800	\$ 3,394	\$ 3,600	
Jury Costs	\$ 356,207	\$ 42,266					\$ 398,474	\$ 846,200	\$ 368,872	\$ 810,550	
Judgements, Settlements and Claims											
Debt Service											
Other								\$ 250,000	\$ 0	\$ 1,200,881	
<b>Capital Costs</b>											
Internal Cost Recovery	\$ (205,062)		\$ 205,062	\$ 2,015,629			\$ 2,015,629	\$ 4,031,257	\$ 2,515,629	\$ 5,031,257	
Prior Year Expense Adjustment								\$ 0	\$ 0	\$ 0	
	\$ 151,146	\$ 45,855	\$ 205,062	\$ 2,015,629			\$ 2,417,690	\$ 5,131,257	\$ 2,887,895	\$ 7,046,288	
<b>Total Expenditures</b>	<b>\$ 41,436,266</b>	<b>\$ 2,393,106</b>	<b>\$ 1,890,583</b>	<b>\$ 2,015,629</b>			<b>\$ 47,735,583</b>	<b>\$ 100,643,101</b>	<b>\$ 48,224,313</b>	<b>\$ 105,220,307</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ 15,041,334</b>	<b>\$ (1,188,351)</b>	<b>\$ (567,958)</b>	<b>\$ (2,012,426)</b>			<b>\$ 11,272,600</b>	<b>\$ (2,767,330)</b>	<b>\$ 11,426,692</b>	<b>\$ (3,893,944)</b>	
<b>Operating Transfers In (Out)</b>	<b>\$ (32,689)</b>	<b>\$ 32,689</b>					<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	
<b>Fund Balance (Deficit)</b>											
Beginning Balance (Deficit)	\$ 294,199	\$ 1,413,131	\$ 0	\$ 1,060,000			\$ 2,767,330	\$ 2,767,330	\$ 4,930,683	\$ 4,930,683	
Ending Balance (Deficit)	\$ 15,302,844	\$ 257,470	\$ (567,958)	\$ (952,426)			\$ 14,039,930	\$ 0	\$ 16,357,375	\$ 1,036,740	



Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended December										
Fiscal Year 2017/18								2016/17		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 11,513,422	\$ 656,521					\$ 12,081,864	\$ 23,425,916	\$ 11,213,091	\$ 23,663,411
Traffic & Other Infractions	\$ 1,698,889	\$ 15,184					\$ 1,714,072	\$ 3,731,232	\$ 1,758,853	\$ 4,164,522
Other Criminal Cases	\$ 4,164,228	\$ 396,272					\$ 4,577,655	\$ 10,547,717	\$ 4,831,680	\$ 10,831,231
Civil	\$ 3,646,154	\$ 156,017				\$ 17,155	\$ 3,802,171	\$ 7,331,542	\$ 3,787,614	\$ 8,025,529
Family & Children Services	\$ 5,109,854	\$ 483,313					\$ 5,681,247	\$ 13,493,403	\$ 5,539,775	\$ 12,600,847
Probate, Guardianship & Mental Health Services	\$ 1,442,966	\$ 11,641					\$ 1,454,607	\$ 2,959,427	\$ 1,339,363	\$ 2,714,540
Juvenile Dependency Services	\$ 199,856	\$ 18,879				\$ 999	\$ 219,734	\$ 282,356	\$ 244,612	\$ 529,702
Juvenile Delinquency Services	\$ 363,767	\$ 95,086					\$ 458,854	\$ 885,352	\$ 403,828	\$ 764,595
Other Court Operations	\$ 195,028	\$ 4,180					\$ 199,208	\$ 450,766	\$ 146,578	\$ 273,274
Court Interpreters	\$ 1,486,820	\$ 1,866,811					\$ 3,353,631	\$ 6,255,602	\$ 2,328,475	\$ 4,178,487
Jury Services	\$ 213,376	\$ 67,732	\$ 356,207				\$ 637,315	\$ 1,585,069	\$ 744,624	\$ 1,297,363
Security		\$ 66,180					\$ 66,180	\$ 120,864	\$ 129,432	\$ 116,175
Trial Court Operations Program	<b>\$ 30,034,359</b>	<b>\$ 3,837,815</b>	<b>\$ 356,207</b>			<b>\$ 18,155</b>	<b>\$ 34,246,537</b>	<b>\$ 71,069,246</b>	<b>\$ 32,467,922</b>	<b>\$ 69,159,676</b>
Enhanced Collections										
Other Non-Court Operations	\$ 120,990	\$ 49,535	\$ 45,855				\$ 216,380	\$ 231,835	\$ 965,095	\$ 1,961,560
Non-Court Operations Program	<b>\$ 120,990</b>	<b>\$ 49,535</b>	<b>\$ 45,855</b>				<b>\$ 216,380</b>	<b>\$ 231,835</b>	<b>\$ 965,095</b>	<b>\$ 1,961,560</b>
Executive Office	\$ 657,254	\$ 205,729					\$ 844,828	\$ 1,864,827	\$ 964,796	\$ 2,044,424
Fiscal Services	\$ 1,678,234	\$ 712,723					\$ 2,390,957	\$ 6,157,687	\$ 2,669,536	\$ 5,630,869
Human Resources	\$ 2,111,559	\$ 39,366					\$ 2,150,925	\$ 1,614,761	\$ 2,078,833	\$ 6,422,589
Business & Facilities Services	\$ 1,101,010	\$ 888,886		\$ 2,015,629			\$ 4,005,525	\$ 9,677,217	\$ 4,583,812	\$ 10,390,782
Information Technology	\$ 2,286,764	\$ 1,593,669	\$ 0				\$ 3,880,432	\$ 10,027,529	\$ 4,494,319	\$ 9,610,406
Court Administration Program	<b>\$ 7,834,820</b>	<b>\$ 3,440,373</b>	<b>\$ 0</b>	<b>\$ 2,015,629</b>		<b>\$ (18,155)</b>	<b>\$ 13,272,666</b>	<b>\$ 29,342,020</b>	<b>\$ 14,791,296</b>	<b>\$ 34,099,070</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 37,990,170</b>	<b>\$ 7,327,723</b>	<b>\$ 402,062</b>	<b>\$ 2,015,629</b>		<b>\$ 0</b>	<b>\$ 47,735,583</b>	<b>\$ 100,643,101</b>	<b>\$ 48,224,313</b>	<b>\$ 105,220,307</b>