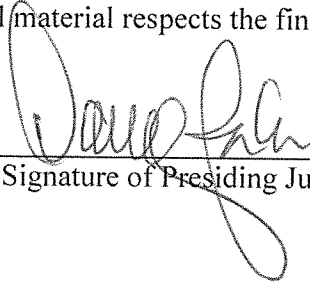


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

3/15/18
Date

Santa Barbara

Court

2017-2018 2nd Qtr.

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

2017-2018 2nd Qtr.

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara

Court

2017-2018 2nd Qtr.

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	233.130	231.125	226.625		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended December							2016/17	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2017/18									
	Governmental Funds									
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds			
	General	Non-Grant	Grant							
ASSETS										
Operations	\$ (1,475,807)	\$ 1,980,059	\$ (367,031)				\$ 99,723	\$ 236,945	\$ 148,241	
Payroll	\$ (28)	\$ 0	\$ 0					\$ (28)	\$ (28)	
Jury										
Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other										
Distribution										
Civil Filing Fees							\$ 54,633	\$ 54,633	\$ 207,880	
Trust							\$ 0	\$ 0	\$ 0	
Credit Card							\$ (95,425)	\$ (95,425)	\$ (101,594)	
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130	
Cash with County										
Cash Outside of the JCC										
Cash Equivalents	\$ 7,163,749							\$ 7,163,749	\$ 7,163,749	
Total Cash and Cash Equivalents	\$ 5,700,045	\$ 1,980,059	\$ (367,031)				\$ 4,810,541	\$ 11,974,290	\$ 13,783,075	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0	
Accounts Receivable - General										
Dishonored Checks			\$ 0					\$ 0	\$ 0	
Due From Employee	\$ 0							\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0							\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 283,324	\$ 0				\$ 0	\$ 283,324	\$ 318,467	
Due From Other Courts								\$ 0	\$ 0	
Due From State	\$ (12,820)	\$ 0	\$ 26,284				\$ 0	\$ 13,464	\$ 287,216	
Trust Due To/From								\$ 146,440	\$ 268,324	
Distribution Due To/From								\$ 30,542	\$ 58,212	
Civil Filing Fee Due To/From										
General Due To/From	\$ 4,090							\$ 4,090	\$ 1,147	
Total Receivables	\$ (8,730)	\$ 283,324	\$ 26,284				\$ 176,982	\$ 477,659	\$ 931,366	
Prepaid Expenses - General										
Salary and Travel Advances	\$ 14,057							\$ 14,057	\$ 128,653	
Counties										
Total Prepaid Expenses	\$ 14,057							\$ 14,057	\$ 128,653	
Other Assets										
Total Other Assets										
Total Assets	\$ 5,705,371	\$ 2,263,383	\$ (340,747)				\$ 5,046,454	\$ 12,674,460	\$ 15,109,723	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 282,166	\$ 50,808	\$ 705					\$ 46,371	\$ 18,884	
Due to Other Funds	\$ 130	\$ 9,163	\$ 0				\$ 171,779	\$ 181,072	\$ 326,290	
Due to Other Courts	\$ 0							\$ 0	\$ 0	
Due to State	\$ 0	\$ 0						\$ 0	\$ 0	
TC145 Liability								\$ 0	\$ 0	
Due to Other Governments	\$ 0	\$ 0	\$ 0				\$ 376,845	\$ 376,845	\$ 452,148	
AB145 Due to Other Government Agency								\$ 0	\$ 0	
Due to Other Public Agencies							\$ 1,974,155	\$ 1,974,155	\$ 2,390,227	
Sales and Use Tax	\$ 45							\$ 45	\$ 256	
Interest								\$ 4,286	\$ 1,372	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 455							\$ 455	\$ 1,238	
Total Accounts Payable and Accrued Liab.	\$ 282,796	\$ 59,972	\$ 705				\$ 2,573,435	\$ 2,916,908	\$ 3,190,416	
Civil										
Criminal							\$ 1,228,806	\$ 1,228,806	\$ 1,432,421	
Unreconciled - Civil and Criminal							\$ 1,191,789	\$ 1,191,789	\$ 1,394,811	
Trust Held Outside of the JCC							\$ 3,654	\$ 3,654	\$ 3,654	
Trust Interest Payable										
Miscellaneous Trust							\$ 30,211	\$ 30,211	\$ 22,856	
Total Trust Deposits							\$ 2,454,460	\$ 2,454,460	\$ 2,853,742	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ (127,082)	\$ (160)	\$ 0					\$ (127,242)	\$ 95,024	
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Deductions Payable	\$ 52	\$ 0	\$ (5)					\$ 47	\$ 4,984	
Payroll Clearing	\$ 0							\$ 0	\$ 0	
Total Payroll Liabilities	\$ (127,030)	\$ (160)	\$ (5)					\$ (127,194)	\$ 100,008	
Revenue Collected in Advance										
Liabilities For Deposits	\$ 45,670							\$ 16,030	\$ 58,032	
Jury Fees - Non-Interest							\$ 1,186	\$ 1,186	\$ 4,943	
Fees - Partial Payment & Overpayment							\$ 1,342	\$ 1,342	\$ 7,501	
Uncleared Collections	\$ 0							\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 114,982							\$ 114,982	\$ 99,850	
Total Other Liabilities	\$ 160,652						\$ 18,558	\$ 179,210	\$ 170,326	
Total Liabilities	\$ 316,418	\$ 59,812	\$ 700				\$ 5,046,454	\$ 5,423,384	\$ 6,314,492	
Total Fund Balance	\$ 5,388,953	\$ 2,203,571	\$ (341,448)					\$ 7,251,076	\$ 8,795,230	
Total Liabilities and Fund Balance	\$ 5,705,371	\$ 2,263,383	\$ (340,747)				\$ 5,046,454	\$ 12,674,460	\$ 15,109,723	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2017/18											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 14,002,865	\$ 70,139					\$ 14,073,104	\$ 22,018,247	\$ 14,039,842	\$ 21,908,758	
Improvement and Modernization Fund	\$ 22,684						\$ 22,684	\$ 56,713		\$ 56,713	
Judges' Compensation (0150019)	\$ 99,750						\$ 99,750	\$ 199,500	\$ 99,750	\$ 199,500	
Court Interpreter (0150037)	\$ 729,266						\$ 729,266	\$ 1,908,447	\$ 758,833	\$ 1,809,609	
Civil Coordination Reimbursement (0150091)	\$ 216,235						\$ 216,235	\$ 1,649,425	\$ 237,121	\$ 1,821,770	
MOU Reimbursements (0150010 and General)	\$ 1,597,662						\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
Other Miscellaneous											
	\$ 16,668,562	\$ 70,139					\$ 16,738,700	\$ 27,429,994	\$ 16,733,208	\$ 27,394,012	
Grants											
AB 1058 Commissioner/Facilitator								\$ 840,129	\$ 384,969	\$ 835,358	
Other Judicial Council Grants			\$ 35,024				\$ 35,024	\$ 284,160	\$ 0	\$ 35,024	
Non-Judicial Council Grants										\$ 14,300	
			\$ 35,024				\$ 35,024	\$ 1,124,279	\$ 384,969	\$ 884,682	
Other Financing Sources											
Interest Income	\$ 21,585	\$ 7,250					\$ 28,835	\$ 50,000	\$ 13,993	\$ 30,000	
Investment Income											
Donations											
Local Fees	\$ 33,851	\$ 59,138					\$ 92,989	\$ 207,065	\$ 102,222	\$ 230,500	
Non-Fee Revenues	\$ 125,000						\$ 125,000	\$ 125,000	\$ 125,000	\$ 246,561	
Enhanced Collections		\$ 179,880					\$ 179,880	\$ 1,021,755	\$ 363,949	\$ 948,889	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 256,065					\$ 256,065	\$ 1,369,132	\$ 351,922	\$ 1,165,956	
Reimbursement Other	\$ 39,161						\$ 39,161	\$ 93,000	\$ 66,681	\$ 160,500	
Sale of Fixed Assets									\$ 560		
Other Miscellaneous	\$ 1,092						\$ 1,092	\$ 9,000	\$ 4,351	\$ 15,000	
	\$ 220,689	\$ 502,334					\$ 723,022	\$ 2,874,952	\$ 1,028,677	\$ 2,797,406	
Total Revenues	\$ 16,889,250	\$ 572,472	\$ 35,024				\$ 17,496,747	\$ 31,429,225	\$ 16,146,854	\$ 31,076,100	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,706,610	\$ 414,359	\$ 190,863				\$ 6,311,832	\$ 15,568,092	\$ 6,353,456	\$ 15,183,400	
Temp Help	\$ 109,529	\$ 7,033	\$ 10,646				\$ 127,208	\$ 699,154	\$ 141,451	\$ 576,078	
Overtime	\$ 122,952	\$ 854	\$ 1,262				\$ 125,068	\$ 3,651	\$ 63,628	\$ 150,000	
Staff Benefits	\$ 3,974,551	\$ 309,561	\$ 115,835				\$ 4,399,947	\$ 8,310,906	\$ 4,278,986	\$ 8,470,523	
	\$ 9,913,642	\$ 731,807	\$ 318,606				\$ 10,964,055	\$ 24,581,803	\$ 10,837,521	\$ 24,380,001	
Operating Expenses and Equipment											
General Expense	\$ 214,531	\$ 4,920	\$ 11				\$ 219,461	\$ 704,379	\$ 271,310	\$ 617,665	
Printing	\$ 31,086						\$ 31,086	\$ 125,560	\$ 42,026	\$ 86,435	
Telecommunications	\$ 104,576	\$ 822					\$ 105,398	\$ 187,400	\$ 79,992	\$ 197,225	
Postage	\$ 13,918	\$ 2,896	\$ 150				\$ 16,964	\$ 86,085	\$ 66,935	\$ 154,875	
Insurance								\$ 19,267	\$ 8,253	\$ 14,600	
In-State Travel	\$ 13,616	\$ 200	\$ 3,781				\$ 17,596	\$ 41,608	\$ 17,179	\$ 44,340	
Out-of-State Travel	\$ 2,024						\$ 2,024	\$ 7,497	\$ 3,315	\$ 4,675	
Training	\$ 15,531		\$ 1,585				\$ 17,116	\$ 17,239	\$ 7,163	\$ 19,640	
Security Services	\$ 127,958		\$ 35,519				\$ 163,477	\$ 589,006	\$ 237,980	\$ 610,000	
Facility Operations	\$ 287,523	\$ 3,181					\$ 290,704	\$ 863,851	\$ 160,787	\$ 468,578	
Utilities									\$ (45)		
Contracted Services	\$ 644,422	\$ 168,262	\$ 16,100				\$ 828,784	\$ 3,240,003	\$ 854,358	\$ 3,216,665	
Consulting and Professional Services	\$ 39,552	\$ 2,490	\$ 150				\$ 42,192	\$ 119,158	\$ 38,760	\$ 116,510	
Information Technology	\$ 340,016	\$ 9,069					\$ 349,085	\$ 614,536	\$ 448,105	\$ 1,052,725	
Major Equipment	\$ 96,088	\$ 131,191					\$ 227,279	\$ 63,101	\$ 14,305	\$ 507,995	
Other Items of Expense	\$ 9,466		\$ 571				\$ 10,038	\$ 25,883	\$ 7,864	\$ 30,650	
	\$ 1,940,306	\$ 323,032	\$ 57,866				\$ 2,321,203	\$ 6,504,573	\$ 2,258,287	\$ 7,132,478	
Special Items of Expense											
Grand Jury	\$ 940						\$ 940	\$ 12,000			
Jury Costs	\$ 76,253						\$ 76,253	\$ 181,000	\$ 93,760	\$ 190,500	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 77,193						\$ 77,193	\$ 193,000	\$ 93,760	\$ 190,500	
Total Expenditures	\$ 11,931,140	\$ 1,054,839	\$ 376,472				\$ 13,362,451	\$ 31,279,376	\$ 13,189,569	\$ 31,702,979	
Excess (Deficit) of Revenues Over Expenditures	\$ 4,958,110	\$ (482,367)	\$ (341,448)				\$ 4,134,296	\$ 149,849	\$ 4,957,286	\$ (626,879)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 430,843	\$ 2,685,937	\$ 0				\$ 3,116,780	\$ 3,116,780	\$ 3,837,945	\$ 3,837,945	
Ending Balance (Deficit)	\$ 5,388,953	\$ 2,203,571	\$ (341,448)				\$ 7,251,076	\$ 3,266,629	\$ 8,795,230	\$ 3,211,066	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
Fiscal Year 2017/18									2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,486,332	\$ 237,371					\$ 3,723,704	\$ 9,482,900	\$ 3,642,475	\$ 9,951,279
Traffic & Other Infractions	\$ 535,235	\$ 43,457					\$ 578,692	\$ 879,717	\$ 457,084	\$ 993,073
Other Criminal Cases	\$ 1,027,208	\$ 89,144					\$ 1,116,352	\$ 2,544,913	\$ 1,070,186	\$ 2,255,888
Civil	\$ 866,422	\$ 119,724	\$ 18				\$ 986,164	\$ 2,032,682	\$ 950,398	\$ 1,753,413
Family & Children Services	\$ 608,649	\$ 27,943					\$ 636,593	\$ 1,390,372	\$ 677,127	\$ 1,316,272
Probate, Guardianship & Mental Health Services	\$ 159,708	\$ 16,203					\$ 175,911	\$ 472,145	\$ 164,368	\$ 596,993
Juvenile Dependency Services	\$ 37,565						\$ 37,565	\$ 73,539	\$ 29,477	\$ 126,123
Juvenile Delinquency Services	\$ 132,639	\$ 18,598					\$ 151,237	\$ 326,014	\$ 148,921	\$ 271,092
Other Court Operations	\$ 1,127,319	\$ 290,648					\$ 1,417,968	\$ 3,280,339	\$ 1,468,837	\$ 3,587,210
Court Interpreters	\$ 592,224	\$ 259,326	\$ 0				\$ 851,550	\$ 1,908,447	\$ 845,025	\$ 1,806,784
Jury Services	\$ 212,377	\$ 88,240	\$ 77,175				\$ 377,792	\$ 763,139	\$ 361,600	\$ 757,993
Security		\$ 162,471					\$ 162,471	\$ 657,546	\$ 273,141	\$ 731,850
Trial Court Operations Program	\$ 8,785,680	\$ 1,353,126	\$ 77,193				\$ 10,215,999	\$ 23,811,753	\$ 10,088,638	\$ 24,147,970
Enhanced Collections	\$ 198,354	\$ 138,863					\$ 337,217	\$ 1,020,467	\$ 454,423	\$ 948,889
Other Non-Court Operations	\$ 448,087	\$ 6,176					\$ 454,263	\$ 1,228,101	\$ 417,023	\$ 1,039,171
Non-Court Operations Program	\$ 646,441	\$ 145,039					\$ 791,480	\$ 2,248,568	\$ 871,446	\$ 1,988,060
Executive Office	\$ 180,705	\$ 22,190					\$ 202,895	\$ 729,498	\$ 202,398	\$ 466,539
Fiscal Services	\$ 407,704	\$ 57,074					\$ 464,778	\$ 1,089,815	\$ 522,960	\$ 1,114,527
Human Resources	\$ 211,844	\$ 33,939					\$ 245,783	\$ 603,593	\$ 277,688	\$ 657,794
Business & Facilities Services		\$ 102,858					\$ 102,858	\$ 364,066	\$ 8,503	\$ 483,438
Information Technology	\$ 731,679	\$ 606,978					\$ 1,338,657	\$ 2,432,083	\$ 1,217,935	\$ 2,844,651
Court Administration Program	\$ 1,531,934	\$ 823,038					\$ 2,354,972	\$ 5,219,055	\$ 2,229,484	\$ 5,566,949
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 10,964,055	\$ 2,321,203	\$ 77,193				\$ 13,362,451	\$ 31,279,376	\$ 13,189,569	\$ 31,702,979