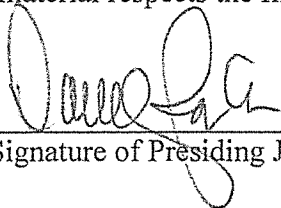


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

1/18/18

Date

Santa Barbara

Court

2017-2018 1st Qtr.

Fiscal Year and Ending Quarter

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September							2016/17	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2017/18									
	Governmental Funds									
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Non-Grant	Grant							
ASSETS										
Operations	\$ (1,965,558)	\$ 2,152,867	\$ (226,245)				\$ 136,552	\$ 97,615	\$ 295,775	
Payroll	\$ (28)	\$ 0	\$ 0					\$ (28)	\$ 166	
Jury										
Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other										
Distribution							\$ 29,438	\$ 29,438	\$ 160,542	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (95,543)	\$ (95,543)	\$ (44,597)	
Credit Card										
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130	
Cash with County										
Cash Outside of the JCC										
Cash Equivalents	\$ 8,350,584						\$ 5,595,990	\$ 13,946,574	\$ 15,003,719	
Total Cash and Cash Equivalents	\$ 6,397,128	\$ 2,152,867	\$ (226,245)				\$ 5,666,436	\$ 13,990,165	\$ 15,428,734	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General			\$ 0					\$ 0	\$ 0	
Dishonored Checks										
Due From Employee	\$ 0							\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 283,324	\$ 0					\$ 283,324	\$ 318,467	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ (12,820)	\$ 0	\$ 87,698					\$ 74,878	\$ 9,761	
Trust Due To/From							\$ 117,018	\$ 117,018	\$ 293,298	
Distribution Due To/From							\$ 18,801	\$ 18,801	\$ 49,715	
Civil Filing Fee Due To/From										
General Due To/From	\$ 6,148							\$ 6,148	\$ 1,595	
Total Receivables	\$ (6,672)	\$ 283,324	\$ 87,698				\$ 135,819	\$ 500,168	\$ 672,836	
Prepaid Expenses - General	\$ 115,938							\$ 115,938	\$ 128,653	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 115,938							\$ 115,938	\$ 128,653	
Other Assets										
Total Other Assets										
Total Assets	\$ 6,506,394	\$ 2,436,190	\$ (138,548)				\$ 5,802,255	\$ 14,606,292	\$ 16,230,223	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 9,620	\$ 441	\$ 0				\$ 0	\$ 10,061	\$ 4,508	
Due to Other Funds	\$ 0	\$ 6,073	\$ 0				\$ 135,894	\$ 141,967	\$ 344,608	
Due to Other Courts	\$ 0							\$ 0	\$ 0	
Due to State	\$ 0	\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 461,241	\$ 461,241	\$ 491,772	
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
AB145 Due to Other Government Agency							\$ 2,267,916	\$ 2,267,916	\$ 2,822,459	
Due to Other Public Agencies										
Sales and Use Tax	\$ 72							\$ 72	\$ 46	
Interest							\$ 4,514	\$ 4,514	\$ 1,385	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 264							\$ 264	\$ 1,410	
Total Accounts Payable and Accrued Liab.	\$ 9,956	\$ 6,514	\$ 0				\$ 2,869,564	\$ 2,886,034	\$ 3,666,187	
Civil							\$ 1,242,092	\$ 1,242,092	\$ 1,411,818	
Criminal							\$ 1,641,328	\$ 1,641,328	\$ 1,792,449	
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654	
Trust Held Outside of the JCC										
Trust Interest Payable							\$ 25,739	\$ 25,739	\$ 21,819	
Miscellaneous Trust										
Total Trust Deposits							\$ 2,912,814	\$ 2,912,814	\$ 3,229,740	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ 410	\$ 0	\$ 0					\$ 410	\$ (197,215)	
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Deductions Payable	\$ 52	\$ 0	\$ (5)					\$ 47	\$ 0	
Payroll Clearing	\$ 0							\$ 0	\$ 0	
Total Payroll Liabilities	\$ 462	\$ 0	\$ (5)					\$ 457	\$ (197,215)	
Revenue Collected in Advance										
Liabilities For Deposits	\$ 44,778						\$ 16,030	\$ 60,808	\$ 56,250	
Jury Fees - Non-Interest							\$ 3,698	\$ 3,698	\$ 2,028	
Fees - Partial Payment & Overpayment							\$ 150	\$ 150	\$ 50	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 114,982							\$ 114,982	\$ 95,750	
Total Other Liabilities	\$ 159,760						\$ 19,877	\$ 179,637	\$ 154,078	
Total Liabilities	\$ 170,177	\$ 6,514	\$ (5)				\$ 5,802,255	\$ 5,978,941	\$ 6,852,791	
Total Fund Balance	\$ 6,336,217	\$ 2,429,676	\$ (138,543)					\$ 8,627,350	\$ 9,377,433	
Total Liabilities and Fund Balance	\$ 6,506,394	\$ 2,436,190	\$ (138,548)				\$ 5,802,255	\$ 14,606,292	\$ 16,230,223	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2017/18											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 10,320,437	\$ 17,164						\$ 10,337,601	\$ 22,018,247	\$ 10,150,544	\$ 21,908,758
Improvement and Modernization Fund	\$ 22,684							\$ 22,684	\$ 56,713		\$ 56,713
Judges' Compensation (0150019)	\$ 49,875							\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500
Court Interpreter (0150037)	\$ 324,199							\$ 324,199	\$ 1,908,447	\$ 365,055	\$ 1,809,809
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 45,469							\$ 45,469	\$ 1,649,425	\$ 156,584	\$ 1,821,770
Other Miscellaneous									\$ 1,597,662		\$ 1,597,662
	\$ 10,762,664	\$ 17,164						\$ 10,779,828	\$ 27,429,994	\$ 10,722,058	\$ 27,394,012
Grants											
AB 1058 Commissioner/Facilitator									\$ 840,129		\$ 835,358
Other Judicial Council Grants			\$ 17,512					\$ 17,512	\$ 284,150	\$ 18,420	\$ 35,024
Non-Judicial Council Grants											\$ 14,300
			\$ 17,512					\$ 17,512	\$ 1,124,279	\$ 18,420	\$ 884,682
Other Financing Sources											
Interest Income	\$ 5,020	\$ 223						\$ 5,243	\$ 50,000	\$ 2,104	\$ 30,000
Investment Income											
Donations											
Local Fees	\$ 19,067	\$ 31,400						\$ 50,467	\$ 207,065	\$ 51,305	\$ 230,500
Non-Fee Revenues									\$ 125,000		\$ 246,561
Enhanced Collections		\$ 36,385						\$ 36,385	\$ 1,021,755	\$ 94,407	\$ 948,889
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 47,364						\$ 47,364	\$ 1,369,132	\$ 116,990	\$ 1,165,956
Reimbursement Other	\$ 20,199							\$ 20,199	\$ 93,000	\$ 44,654	\$ 160,500
Sale of Fixed Assets											
Other Miscellaneous	\$ 464							\$ 464	\$ 9,000	\$ 731	\$ 15,000
	\$ 44,749	\$ 115,372						\$ 160,122	\$ 2,874,952	\$ 310,192	\$ 2,797,408
Total Revenues	\$ 10,807,413	\$ 132,536	\$ 17,512					\$ 10,957,461	\$ 31,429,225	\$ 11,050,670	\$ 31,076,100
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,357,324	\$ 170,403	\$ 83,510					\$ 2,611,237	\$ 15,568,092	\$ 2,649,144	\$ 15,183,400
Temp Help	\$ 61,066	\$ 3,230	\$ 1,312					\$ 65,607	\$ 699,154	\$ 62,433	\$ 576,078
Overtime	\$ 49,405	\$ 151	\$ 176					\$ 49,733	\$ 3,651	\$ 23,461	\$ 150,000
Staff Benefits	\$ 1,687,346	\$ 133,264	\$ 51,799					\$ 1,872,409	\$ 8,310,906	\$ 1,816,044	\$ 6,470,523
	\$ 4,155,140	\$ 307,048	\$ 136,797					\$ 4,598,986	\$ 24,581,803	\$ 4,551,082	\$ 24,380,001
Operating Expenses and Equipment											
General Expense	\$ 126,413	\$ 2,325	\$ (136)					\$ 128,603	\$ 704,379	\$ 115,132	\$ 617,665
Printing	\$ 17,307							\$ 17,307	\$ 125,560	\$ 21,216	\$ 86,435
Telecommunications	\$ 41,151	\$ 311						\$ 41,461	\$ 187,400	\$ 35,600	\$ 197,225
Postage	\$ 622	\$ 574	\$ 102					\$ 1,297	\$ 86,085	\$ 36,711	\$ 154,875
Insurance									\$ 19,267		\$ 14,600
In-State Travel	\$ 6,654	\$ 70	\$ 64					\$ 6,788	\$ 41,608	\$ 7,172	\$ 44,340
Out-of-State Travel	\$ 1,264							\$ 1,264	\$ 7,497	\$ 1,582	\$ 4,575
Training	\$ 5,735		\$ 1,110					\$ 6,845	\$ 17,239	\$ 4,980	\$ 19,640
Security Services	\$ 71,223		\$ 7,339					\$ 78,562	\$ 589,006	\$ 102,997	\$ 610,000
Facility Operations	\$ 107,300	\$ 1,631						\$ 108,931	\$ 663,851	\$ 80,638	\$ 458,578
Utilities										\$ (45)	
Contracted Services	\$ 260,058	\$ 76,185	\$ 10,373					\$ 346,616	\$ 3,240,003	\$ 372,847	\$ 3,216,665
Consulting and Professional Services	\$ 7,270	\$ 498	\$ 0					\$ 7,768	\$ 119,158	\$ 14,139	\$ 116,510
Information Technology	\$ 58,743	\$ 157						\$ 58,900	\$ 614,536	\$ 99,775	\$ 1,052,725
Major Equipment	\$ 2,685							\$ 2,685	\$ 63,101	\$ 14,305	\$ 507,995
Other Items of Expense	\$ 5,504		\$ 406					\$ 5,911	\$ 25,883	\$ 3,665	\$ 30,650
	\$ 711,931	\$ 81,749	\$ 19,257					\$ 812,938	\$ 6,504,573	\$ 910,714	\$ 7,132,478
Special Items of Expense											
Grand Jury	\$ 940							\$ 940	\$ 12,000		
Jury Costs	\$ 34,028							\$ 34,028	\$ 181,000	\$ 49,385	\$ 190,500
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery									\$ 0		\$ 0
Prior Year Expense Adjustment											
	\$ 34,968							\$ 34,968	\$ 193,000	\$ 49,385	\$ 190,500
Total Expenditures	\$ 4,902,040	\$ 388,797	\$ 156,055					\$ 5,446,892	\$ 31,279,376	\$ 5,511,181	\$ 31,702,979
Excess (Deficit) of Revenues Over Expenditures	\$ 5,905,374	\$ (256,261)	\$ (138,543)					\$ 5,510,570	\$ 149,849	\$ 5,539,488	\$ (626,879)
Operating Transfers In (Out)									\$ 0		\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 430,843	\$ 2,685,937	\$ 0					\$ 3,116,780	\$ 3,116,780	\$ 3,837,945	\$ 3,837,945
Ending Balance (Deficit)	\$ 6,336,217	\$ 2,429,676	\$ (138,543)					\$ 8,627,350	\$ 3,266,629	\$ 9,377,433	\$ 3,211,066

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2017/18							2016/17		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,456,744	\$ 107,920					\$ 1,564,664	\$ 9,482,900	\$ 1,546,800	\$ 9,951,279
Traffic & Other Infractions	\$ 206,567	\$ 26,762					\$ 233,329	\$ 879,717	\$ 187,811	\$ 993,073
Other Criminal Cases	\$ 403,600	\$ 38,280					\$ 441,879	\$ 2,544,913	\$ 453,804	\$ 2,255,888
Civil	\$ 334,595	\$ 63,446	\$ 18				\$ 398,059	\$ 2,032,682	\$ 393,873	\$ 1,753,413
Family & Children Services	\$ 257,394	\$ 7,393					\$ 264,787	\$ 1,390,372	\$ 277,768	\$ 1,316,272
Probate, Guardianship & Mental Health Services	\$ 67,326	\$ 5,433					\$ 72,759	\$ 472,145	\$ 68,213	\$ 596,993
Juvenile Dependency Services	\$ 20,233						\$ 20,233	\$ 73,539	\$ 12,468	\$ 126,123
Juvenile Delinquency Services	\$ 49,330	\$ 9,979					\$ 59,309	\$ 326,014	\$ 65,343	\$ 271,092
Other Court Operations	\$ 527,935	\$ 83,487					\$ 611,422	\$ 3,280,339	\$ 641,887	\$ 3,587,210
Court Interpreters	\$ 257,999	\$ 95,354	\$ 188				\$ 353,541	\$ 1,908,447	\$ 365,642	\$ 1,806,784
Jury Services	\$ 89,779	\$ 45,935	\$ 34,762				\$ 170,476	\$ 763,139	\$ 176,215	\$ 757,993
Security		\$ 75,564					\$ 75,564	\$ 657,546	\$ 104,024	\$ 731,850
Trial Court Operations Program	\$ 3,671,501	\$ 559,553	\$ 34,968				\$ 4,266,023	\$ 23,811,753	\$ 4,293,848	\$ 24,147,970
Enhanced Collections	\$ 83,800	\$ 67,182					\$ 150,981	\$ 1,020,467	\$ 194,519	\$ 948,889
Other Non-Court Operations	\$ 190,588	\$ 3,336					\$ 193,923	\$ 1,228,101	\$ 171,343	\$ 1,039,171
Non-Court Operations Program	\$ 274,387	\$ 70,517					\$ 344,905	\$ 2,248,568	\$ 365,862	\$ 1,988,060
Executive Office	\$ 76,079	\$ 7,554					\$ 83,633	\$ 729,498	\$ 82,690	\$ 466,539
Fiscal Services	\$ 190,264	\$ 23,585					\$ 213,849	\$ 1,089,815	\$ 229,515	\$ 1,114,527
Human Resources	\$ 81,304	\$ 21,484					\$ 102,788	\$ 603,593	\$ 113,373	\$ 657,794
Business & Facilities Services		\$ 800					\$ 800	\$ 364,066	\$ 250	\$ 483,438
Information Technology	\$ 305,450	\$ 129,444					\$ 434,894	\$ 2,432,083	\$ 425,643	\$ 2,844,651
Court Administration Program	\$ 653,097	\$ 182,867					\$ 835,964	\$ 5,219,055	\$ 851,471	\$ 5,566,949
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,598,986	\$ 812,938	\$ 34,968				\$ 5,446,892	\$ 31,279,376	\$ 5,511,181	\$ 31,702,979