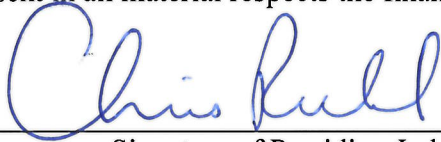
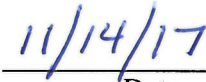


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive



\_\_\_\_\_  
Date

MONTEREY

\_\_\_\_\_  
Court

FY 2017-2018 1ST QTR

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

MONTEREY

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Court

FY 2017-2018 1ST QTR

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Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

MONTEREY

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Court

FY 2017-2018 1ST QTR

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	189.20	185.17			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September										
Fiscal Year 2017/18										
	Governmental Funds						Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant							
<b>ASSETS</b>										
Operations	\$ (1,294,815)	\$ 780,459	\$ 401,423				\$ 74,759	\$ (38,174)	\$ 256,255	
Payroll	\$ (9,451)	\$ 0						\$ (9,451)	\$ (18,177)	
Jury										
Revolving	\$ 25,000							\$ 25,000	\$ 25,000	
Other										
Distribution							\$ 757	\$ 757	\$ 19	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (39,325)	\$ (39,325)	\$ (135,882)	
Credit Card										
Cash on Hand	\$ 5,300							\$ 5,300	\$ 5,300	
Cash with County										
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0	
Cash Equivalents	\$ 6,188,631						\$ 3,742,677	\$ 9,931,308	\$ 9,823,055	
<b>Total Cash and Cash Equivalents</b>	<b>\$ 4,914,665</b>	<b>\$ 780,459</b>	<b>\$ 401,423</b>				<b>\$ 3,778,868</b>	<b>\$ 9,875,415</b>	<b>\$ 9,955,569</b>	
Short-Term Investment										
Investments										
<b>Total Investments</b>										
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0	
Accounts Receivable - General										
Dishonored Checks										
Due From Employee	\$ 0							\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0							\$ 0	\$ 0	
Due From Other Governments	\$ 669	\$ 0	\$ 0					\$ 669	\$ 15,682	
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Due From State	\$ 52,437	\$ 0	\$ 104,436					\$ 156,872	\$ 131,591	
Trust Due To/From							\$ 52,173	\$ 52,173	\$ 90,083	
Distribution Due To/From							\$ 32,113	\$ 32,113	\$ 26,987	
Civil Filing Fee Due To/From										
General Due To/From	\$ 3,151	\$ 0						\$ 3,151	\$ 0	
<b>Total Receivables</b>	<b>\$ 56,257</b>	<b>\$ 0</b>	<b>\$ 104,436</b>				<b>\$ 84,286</b>	<b>\$ 244,978</b>	<b>\$ 264,342</b>	
Prepaid Expenses - General	\$ 45,849		\$ (614)					\$ 45,236	\$ 124,812	
Salary and Travel Advances	\$ 0							\$ 0	\$ 0	
Counties										
<b>Total Prepaid Expenses</b>	<b>\$ 45,849</b>		<b>\$ (614)</b>					<b>\$ 45,236</b>	<b>\$ 124,812</b>	
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>	<b>\$ 5,016,771</b>	<b>\$ 780,459</b>	<b>\$ 505,245</b>				<b>\$ 3,863,154</b>	<b>\$ 10,165,629</b>	<b>\$ 10,344,723</b>	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 56,267	\$ 11,712	\$ 0				\$ 0	\$ 67,979	\$ 79,418	
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 87,437	\$ 87,437	\$ 117,069	
Due to Other Courts										
Due to State	\$ 128,507							\$ 128,507	\$ 0	
TC145 Liability							\$ 427,977	\$ 427,977	\$ 431,795	
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0	
AB145 Due to Other Government Agency							\$ 263,381	\$ 263,381	\$ 329,728	
Due to Other Public Agencies										
Sales and Use Tax	\$ 3,768							\$ 3,768	\$ 429	
Interest							\$ 2,738	\$ 2,738	\$ 828	
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 188,542</b>	<b>\$ 11,712</b>	<b>\$ 0</b>				<b>\$ 781,533</b>	<b>\$ 981,787</b>	<b>\$ 959,268</b>	
Civil										
Criminal							\$ 1,467,852	\$ 1,467,852	\$ 1,016,843	
Unreconciled - Civil and Criminal							\$ 1,388,582	\$ 1,388,582	\$ 1,674,334	
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 6,096	\$ 6,096	\$ 104	
Miscellaneous Trust										
<b>Total Trust Deposits</b>							<b>\$ 2,862,530</b>	<b>\$ 2,862,530</b>	<b>\$ 2,691,281</b>	
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0	
Benefits Payable	\$ 133,484							\$ 133,484	\$ 127,149	
Deferred Compensation Payable	\$ (10)							\$ (10)	\$ 0	
Deductions Payable	\$ 294,231		\$ 0					\$ 294,231	\$ 95,659	
Payroll Clearing									\$ 0	
<b>Total Payroll Liabilities</b>	<b>\$ 427,705</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ 427,705</b>	<b>\$ 222,808</b>	
Revenue Collected in Advance	\$ 0		\$ 463,981					\$ 463,981	\$ 0	
Liabilities For Deposits	\$ 26,034						\$ 219,091	\$ 245,125	\$ 157,594	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections									\$ 0	
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 0	
<b>Total Other Liabilities</b>	<b>\$ 26,034</b>		<b>\$ 463,981</b>				<b>\$ 219,091</b>	<b>\$ 709,106</b>	<b>\$ 157,594</b>	
<b>Total Liabilities</b>	<b>\$ 642,281</b>	<b>\$ 11,712</b>	<b>\$ 463,981</b>				<b>\$ 3,863,154</b>	<b>\$ 4,981,128</b>	<b>\$ 4,030,951</b>	
<b>Total Fund Balance</b>	<b>\$ 4,374,490</b>	<b>\$ 768,747</b>	<b>\$ 41,264</b>					<b>\$ 5,184,501</b>	<b>\$ 6,313,772</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 5,016,771</b>	<b>\$ 780,459</b>	<b>\$ 505,245</b>				<b>\$ 3,863,154</b>	<b>\$ 10,165,629</b>	<b>\$ 10,344,723</b>	



Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2017/18											
2016/17											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 8,936,793	\$ 18,689					\$ 8,955,482	\$ 18,825,351	\$ 8,892,238	\$ 18,909,800	
Improvement and Modernization Fund								\$ 57,146		\$ 57,146	
Judges' Compensation (0150019)	\$ 45,126						\$ 45,126	\$ 180,501	\$ 45,126	\$ 181,900	
Court Interpreter (0150037)	\$ 205,374						\$ 205,374	\$ 1,111,093	\$ 297,741	\$ 1,049,028	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 22,123						\$ 22,123	\$ 831,240	\$ (1,933)	\$ 796,328	
Other Miscellaneous								\$ 277,496		\$ 277,496	
	\$ 9,209,416	\$ 18,689					\$ 9,228,105	\$ 21,282,827	\$ 9,233,172	\$ 21,271,698	
Grants											
AB 1058 Commissioner/Facilitator			\$ 44,071				\$ 44,071	\$ 652,985	\$ (4,947)	\$ 652,985	
Other Judicial Council Grants			\$ 5,494				\$ 5,494	\$ 764,362	\$ (34,085)	\$ 630,748	
Non-Judicial Council Grants								\$ 600,000			
			\$ 49,565				\$ 49,565	\$ 2,017,347	\$ (39,032)	\$ 1,283,733	
Other Financing Sources											
Interest Income	\$ 3,061	\$ 277					\$ 3,339	\$ 27,988	\$ 1,326	\$ 18,000	
Investment Income											
Donations											
Local Fees	\$ 33,053	\$ 17,566					\$ 50,619	\$ 300,126	\$ 38,962	\$ 340,600	
Non-Fee Revenues								\$ 16,205		\$ 11,000	
Enhanced Collections		\$ (826)					\$ (826)	\$ 53,490	\$ 1,566	\$ 54,523	
Escheatment								\$ 30,000		\$ 15,000	
Prior Year Revenue											
County Program - Restricted		\$ 5,832					\$ 5,832	\$ 69,971	\$ 4,900	\$ 64,000	
Reimbursement Other	\$ 4,174	\$ (51)					\$ 4,124	\$ 36,055	\$ 25,285	\$ 41,623	
Sale of Fixed Assets											
Other Miscellaneous	\$ 16,785						\$ 16,785	\$ 182,109	\$ 24,437	\$ 60,500	
	\$ 57,073	\$ 22,800					\$ 79,873	\$ 715,944	\$ 96,476	\$ 605,246	
<b>Total Revenues</b>	<b>\$ 9,266,490</b>	<b>\$ 41,489</b>	<b>\$ 49,565</b>				<b>\$ 9,357,543</b>	<b>\$ 24,016,118</b>	<b>\$ 9,290,616</b>	<b>\$ 23,160,677</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,585,087	\$ 1,063					\$ 2,586,150	\$ 12,434,632	\$ 2,484,891	\$ 12,298,919	
Temp Help	\$ 19,116						\$ 19,116	\$ 72,547	\$ 17,460	\$ 78,000	
Overtime	\$ 28,802	\$ 42					\$ 28,844	\$ 60,000	\$ 38,965	\$ 290,000	
Staff Benefits	\$ 1,894,318	\$ 10,268	\$ 23,848				\$ 1,928,434	\$ 5,616,241	\$ 1,120,454	\$ 5,562,725	
	\$ 4,527,324	\$ 11,372	\$ 23,848				\$ 4,562,545	\$ 18,183,420	\$ 3,661,770	\$ 18,229,644	
Operating Expenses and Equipment											
General Expense	\$ 33,813		\$ 41				\$ 33,854	\$ 445,827	\$ 61,275	\$ 570,326	
Printing	\$ 5,460						\$ 5,460	\$ 25,350	\$ 2,664	\$ 34,150	
Telecommunications	\$ 8,849						\$ 8,849	\$ 135,094	\$ 6,224	\$ 109,714	
Postage	\$ 27,249						\$ 27,249	\$ 109,440	\$ 8,560	\$ 119,000	
Insurance								\$ 8,500		\$ 8,500	
In-State Travel	\$ 2,694						\$ 2,694	\$ 58,793	\$ 4,715	\$ 50,750	
Out-of-State Travel			\$ 7,698				\$ 7,698	\$ 4,000	\$ 3,064	\$ 11,838	
Training	\$ 3,562		\$ 6,780				\$ 10,342	\$ 48,000	\$ 5,783	\$ 46,300	
Security Services	\$ 87,496						\$ 87,496	\$ 642,427	\$ 91,639	\$ 631,332	
Facility Operations	\$ 25,524						\$ 25,524	\$ 221,985	\$ 38,896	\$ 222,115	
Utilities											
Contracted Services	\$ 347,321	\$ 8,724	\$ (30,066)				\$ 325,979	\$ 3,514,564	\$ 384,924	\$ 2,811,047	
Consulting and Professional Services	\$ 40						\$ 40	\$ 13,888	\$ 1,435	\$ 7,000	
Information Technology	\$ 277,171	\$ 58,560					\$ 335,731	\$ 802,417	\$ 69,640	\$ 583,478	
Major Equipment	\$ 80,833						\$ 80,833	\$ 314,481	\$ 5,720	\$ 378,010	
Other Items of Expense	\$ 228						\$ 228	\$ 10,125	\$ 555	\$ 12,000	
	\$ 900,239	\$ 67,284	\$ (15,548)				\$ 951,975	\$ 6,354,891	\$ 685,092	\$ 5,595,560	
Special Items of Expense											
Grand Jury	\$ 1,575						\$ 1,575	\$ 17,575	\$ 0	\$ 16,000	
Jury Costs	\$ 27,828						\$ 27,828	\$ 186,850	\$ 30,997	\$ 205,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0		\$ 0	
Prior Year Expense Adjustment									\$ 1,200		
	\$ 29,403						\$ 29,403	\$ 204,425	\$ 32,197	\$ 221,000	
<b>Total Expenditures</b>	<b>\$ 5,456,965</b>	<b>\$ 78,656</b>	<b>\$ 8,301</b>				<b>\$ 5,543,922</b>	<b>\$ 24,742,736</b>	<b>\$ 4,379,059</b>	<b>\$ 24,046,204</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,809,524	\$ (37,168)	\$ 41,264				\$ 3,813,621	\$ (726,618)	\$ 4,911,557	\$ (885,527)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 564,966	\$ 805,915	\$ 0				\$ 1,370,880	\$ 1,370,880	\$ 1,402,215	\$ 1,402,215	
Ending Balance (Deficit)	\$ 4,374,490	\$ 768,747	\$ 41,264				\$ 5,184,501	\$ 644,262	\$ 6,313,772	\$ 516,688	

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended September									
	Fiscal Year 2017/18							2016/17		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,388,214	\$ 62,932					\$ 1,451,146	\$ 5,709,397	\$ 1,193,874	\$ 5,993,805
Traffic & Other Infractions	\$ 162,515	\$ 30,495					\$ 193,009	\$ 798,843	\$ 171,733	\$ 849,302
Other Criminal Cases	\$ 588,283	\$ (4,057)					\$ 584,226	\$ 2,366,288	\$ 570,444	\$ 2,310,875
Civil	\$ 209,159	\$ 8,159					\$ 217,318	\$ 1,004,163	\$ 250,780	\$ 1,005,673
Family & Children Services	\$ 314,741	\$ 68,621					\$ 383,362	\$ 1,581,332	\$ 333,037	\$ 1,675,332
Probate, Guardianship & Mental Health Services	\$ 91,834	\$ 1,803					\$ 93,637	\$ 719,541	\$ 75,554	\$ 538,655
Juvenile Dependency Services	\$ 30,788	\$ 70,766					\$ 101,555	\$ 710,848	\$ 80,022	\$ 619,934
Juvenile Delinquency Services	\$ 52,780	\$ 171					\$ 52,951	\$ 206,232	\$ 31,821	\$ 196,998
Other Court Operations	\$ 404,378	\$ 1,302					\$ 405,680	\$ 1,709,714	\$ 210,366	\$ 1,605,333
Court Interpreters	\$ 166,567	\$ 71,874					\$ 238,440	\$ 1,118,677	\$ 208,883	\$ 1,052,253
Jury Services	\$ 62,537	\$ 16,598	\$ 27,828				\$ 106,962	\$ 591,828	\$ 100,244	\$ 579,507
Security		\$ 88,212					\$ 88,212	\$ 632,051	\$ 91,639	\$ 627,056
Trial Court Operations Program	\$ 3,471,795	\$ 416,875	\$ 27,828				\$ 3,916,498	\$ 17,148,914	\$ 3,318,398	\$ 17,054,724
Enhanced Collections	\$ 7,522	\$ 7					\$ 7,529	\$ 43,484	\$ 13,044	\$ 54,165
Other Non-Court Operations			\$ 1,575				\$ 1,575		\$ 0	\$ 360
Non-Court Operations Program	\$ 7,522	\$ 7	\$ 1,575				\$ 9,104	\$ 43,484	\$ 13,044	\$ 54,525
Executive Office	\$ 348,745	\$ 8,530					\$ 357,275	\$ 2,739,824	\$ 240,641	\$ 1,808,313
Fiscal Services	\$ 215,478	\$ 39,318					\$ 254,796	\$ 877,093	\$ 251,454	\$ 1,016,367
Human Resources	\$ 101,486	\$ 4,779					\$ 106,266	\$ 607,002	\$ 74,830	\$ 939,519
Business & Facilities Services	\$ 74,996	\$ 44,063					\$ 119,059	\$ 590,444	\$ 107,552	\$ 908,201
Information Technology	\$ 342,522	\$ 438,403					\$ 780,925	\$ 2,735,975	\$ 373,140	\$ 2,264,555
Court Administration Program	\$ 1,083,227	\$ 535,093					\$ 1,618,320	\$ 7,550,338	\$ 1,047,617	\$ 6,936,955
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 4,562,545</b>	<b>\$ 951,975</b>	<b>\$ 29,403</b>				<b>\$ 5,543,922</b>	<b>\$ 24,742,736</b>	<b>\$ 4,379,059</b>	<b>\$ 24,046,204</b>