


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/20/2017

Date

ALAMEDA

Court

FY2017-2018 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY2017-2018 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

ALAMEDA

Court

FY2017-2018 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	725.86	635.61			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2017/18									2016/17
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 897,683	\$ 675,621	\$ (1,046,161)	\$ 136,153			\$ 709,699	\$ 1,372,996	\$ 779,201
Payroll	\$ (58,766)	\$ 0						\$ (58,766)	\$ (7,851)
Jury									
Revolving	\$ 75,000							\$ 75,000	\$ 100,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ (1,986,081)	\$ (1,986,081)	
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (1,285,332)	\$ (1,285,332)	\$ (18,656)
Credit Card								\$ 22,165	\$ 24,215
Cash on Hand	\$ 22,165							\$ 22,165	\$ 24,215
Cash with County	\$ 10,192							\$ 10,192	\$ 41,595
Cash Outside of the JCC							\$ 209,735	\$ 209,735	\$ 23,423,190
Cash Equivalents	\$ 32,380,517						\$ 28,301,712	\$ 60,682,229	\$ 32,989,433
Total Cash and Cash Equivalents	\$ 33,326,791	\$ 675,621	\$ (1,046,161)	\$ 136,153			\$ 25,949,734	\$ 59,042,139	\$ 57,331,126
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 16,806		\$ 0					\$ 16,806	\$ 64,224
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 239,820	\$ 4,024					\$ 243,845	\$ 274,788
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 167,879	\$ 0	\$ 185,437					\$ 353,316	\$ 925,543
Trust Due To/From							\$ 3,522,866	\$ 3,522,866	
Distribution Due To/From							\$ 378,975	\$ 378,975	
Civil Filing Fee Due To/From									
General Due To/From	\$ 550,933	\$ 254,320						\$ 805,253	
Total Receivables	\$ 735,618	\$ 494,140	\$ 189,461	\$ 0			\$ 3,953,777	\$ 5,372,998	\$ 1,316,491
Prepaid Expenses - General	\$ 583		\$ 0					\$ 583	\$ 19,074
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 583		\$ 0					\$ 583	\$ 19,074
Other Assets									
Total Other Assets									
Total Assets	\$ 34,062,992	\$ 1,169,761	\$ (856,699)	\$ 136,153			\$ 29,903,512	\$ 64,415,719	\$ 58,666,692
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 139,879	\$ 0	\$ 51,576	\$ 0			\$ 0	\$ 191,456	\$ 105,922
Due to Other Funds	\$ 30,053	\$ 0	\$ 0				\$ 4,677,041	\$ 4,707,094	\$ 0
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 2,744,217	\$ 2,744,217	\$ 2,553,510
Due to Other Governments	\$ 4,901,980						\$ 209,735	\$ 5,111,715	\$ 19,198,944
AB145 Due to Other Government Agency							\$ 1,494,658	\$ 1,494,658	
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,193							\$ 1,193	\$ 0
Interest							\$ 19,779	\$ 19,779	\$ 387
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 5,073,106	\$ 0	\$ 51,576	\$ 0			\$ 9,145,430	\$ 14,270,112	\$ 21,858,763
Civil							\$ 12,586,035	\$ 12,586,035	\$ 2,007,691
Criminal							\$ 6,242,059	\$ 6,242,059	\$ 0
Unreconciled - Civil and Criminal							\$ 477,781	\$ 477,781	
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 7,677,503
Trust Interest Payable							\$ 43,768	\$ 43,768	\$ 4,190
Miscellaneous Trust									
Total Trust Deposits							\$ 19,349,643	\$ 19,349,643	\$ 9,689,384
Accrued Payroll	\$ 4,046,838	\$ 54,603						\$ 4,101,441	\$ 4,082,367
Benefits Payable	\$ 505,385							\$ 505,385	\$ (8,602)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 58,151	\$ (1,550)						\$ 56,601	\$ 21,053
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 4,610,373	\$ 53,053						\$ 4,663,426	\$ 4,094,817
Revenue Collected in Advance	\$ (138,544)		\$ 8,550					\$ (129,994)	\$ 110,010
Liabilities For Deposits	\$ 94,987						\$ 484,204	\$ 579,191	\$ 103,679
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 30,114	\$ 30,114	
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities							\$ 894,120	\$ 894,120	
Total Other Liabilities	\$ (43,557)		\$ 8,550				\$ 1,408,438	\$ 1,373,432	\$ 213,690
Total Liabilities	\$ 9,639,922	\$ 53,053	\$ 60,127	\$ 0			\$ 29,903,512	\$ 39,656,614	\$ 35,856,655
Total Fund Balance	\$ 24,423,070	\$ 1,116,708	\$ (916,826)	\$ 136,153				\$ 24,759,105	\$ 22,810,037
Total Liabilities and Fund Balance	\$ 34,062,992	\$ 1,169,761	\$ (856,699)	\$ 136,153			\$ 29,903,512	\$ 64,415,719	\$ 58,666,692

Superior Court of California, County of Alameda
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended September											
Fiscal Year 2017/18										2016/17	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 37,047,414	\$ 49,200					\$ 37,096,614	\$ 78,561,323	\$ 37,326,147	\$ 80,088,351	
Improvement and Modernization Fund	\$ (19)					\$ (19)	\$ 378,058	\$ 144,384	\$ 394,975		
Judges' Compensation (0150019)	\$ 178,125					\$ 178,125	\$ 712,500	\$ 178,125	\$ 712,500		
Court Interpreter (0150037)	\$ 1,046,092					\$ 1,046,092	\$ 4,700,000	\$ 1,148,224	\$ 4,686,100		
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 50,075					\$ 50,075	\$ 742,369	\$ 84,518	\$ 760,174		
Other Miscellaneous							\$ 3,102,047		\$ 3,102,047		
	\$ 38,321,688	\$ 49,200					\$ 38,370,887	\$ 88,196,297	\$ 38,881,398	\$ 89,744,147	
Grants											
AB 1058 Commissioner/Facilitator			\$ (255,767)				\$ (255,767)	\$ 1,922,549	\$ (15,761)	\$ 1,995,797	
Other Judicial Council Grants			\$ (163,526)				\$ (163,526)	\$ 740,668	\$ 80,742	\$ 819,429	
Non-Judicial Council Grants			\$ (216,509)				\$ (216,509)	\$ 2,027,905	\$ 82,177	\$ 1,478,546	
			\$ (635,802)				\$ (635,802)	\$ 4,691,122	\$ 147,159	\$ 4,293,772	
Other Financing Sources											
Interest Income	\$ 19,930	\$ 438		\$ 57			\$ 20,425	\$ 175,775	\$ 2,110	\$ 157,838	
Investment Income											
Donations											
Local Fees	\$ 811,258	\$ 19,708					\$ 830,966	\$ 2,748,806	\$ 604,182	\$ 3,117,123	
Non-Fee Revenues								\$ 98,825		\$ 98,825	
Enhanced Collections		\$ 488,042					\$ 488,042	\$ 2,900,000	\$ 487,458	\$ 3,041,163	
Escheatment								\$ 100,000			
Prior Year Revenue	\$ (15,000)						\$ (15,000)				
County Program - Restricted		\$ 56,752					\$ 56,752	\$ 1,190,414	\$ 0	\$ 960,451	
Reimbursement Other	\$ 8,664						\$ 8,664	\$ 176,293	\$ 19,971	\$ 176,611	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,118						\$ 1,118	\$ 47,300	\$ (8,196)	\$ 32,503	
	\$ 825,970	\$ 564,939		\$ 57			\$ 1,390,967	\$ 7,437,413	\$ 1,105,526	\$ 7,584,514	
Total Revenues	\$ 39,147,658	\$ 614,139	\$ (635,802)	\$ 57			\$ 39,126,052	\$ 100,324,832	\$ 40,134,083	\$ 101,622,433	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,753,736	\$ 194,553	\$ 1,086				\$ 11,949,375	\$ 50,482,526	\$ 12,236,380	\$ 49,027,392	
Temp Help	\$ 284,741		\$ 84				\$ 284,825	\$ 473,902	\$ 367,397	\$ 1,116,333	
Overtime	\$ 994						\$ 994		\$ 108,189		
Staff Benefits	\$ 6,781,693	\$ 92,015	\$ 3,115				\$ 6,876,823	\$ 32,713,003	\$ 5,545,426	\$ 30,272,507	
	\$ 18,821,165	\$ 286,568	\$ 4,284				\$ 19,112,017	\$ 83,669,431	\$ 18,257,392	\$ 80,416,232	
Operating Expenses and Equipment											
General Expense	\$ 263,639		\$ 2,431				\$ 266,070	\$ 1,136,190	\$ 189,547	\$ 2,065,291	
Printing	\$ 57,584						\$ 57,584	\$ 398,576	\$ (29,881)	\$ 330,294	
Telecommunications	\$ 65,818						\$ 65,818	\$ 484,312	\$ 23,263	\$ 478,365	
Postage	\$ 77,978						\$ 77,978	\$ 630,192	\$ 177,154	\$ 640,744	
Insurance	\$ 2,730						\$ 2,730	\$ 25,670	\$ 600	\$ 25,670	
In-State Travel	\$ 14,590	\$ 0	\$ 978				\$ 15,568	\$ 111,800	\$ 12,972	\$ 136,290	
Out-of-State Travel	\$ 0		\$ 6,699				\$ 6,699	\$ 29,200	\$ 1,243	\$ 23,283	
Training	\$ 5,724		\$ 3,870				\$ 9,594	\$ 71,398	\$ 9,853	\$ 75,827	
Security Services	\$ 6,181						\$ 6,181	\$ 23,865	\$ 10,430	\$ 288,212	
Facility Operations	\$ 194,464						\$ 194,464	\$ 906,948	\$ 404,609	\$ 3,390,024	
Utilities											
Contracted Services	\$ 739,081	\$ 135,587	\$ 191,204				\$ 1,065,872	\$ 7,725,235	\$ 1,429,609	\$ 9,856,300	
Consulting and Professional Services	\$ 77,780						\$ 77,780	\$ 890,000	\$ 139,799	\$ 1,060,811	
Information Technology	\$ 310,773	\$ 21,263					\$ 332,036	\$ 1,556,899	\$ 282,544	\$ 1,837,147	
Major Equipment								\$ 60,000	\$ (23,882)	\$ 28,653	
Other Items of Expense	\$ 13,302		\$ 61,541				\$ 74,843	\$ 251,224	\$ 27,486	\$ 230,983	
	\$ 1,829,645	\$ 156,850	\$ 266,724				\$ 2,253,218	\$ 14,301,509	\$ 2,655,344	\$ 20,467,894	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 109,551						\$ 109,551	\$ 672,994	\$ 123,993	\$ 631,641	
Judgements, Settlements and Claims	\$ 5,000						\$ 5,000				
Debt Service											
Other								\$ 1,258,213			
Capital Costs								\$ 1,350,000		\$ 2,000,000	
Internal Cost Recovery	\$ (20,988)	\$ 10,971	\$ 10,016				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 93,563	\$ 10,971	\$ 10,016				\$ 114,551	\$ 3,281,207	\$ 123,993	\$ 2,631,641	
Total Expenditures	\$ 20,744,373	\$ 454,389	\$ 281,024				\$ 21,479,786	\$ 101,252,147	\$ 21,036,728	\$ 103,515,767	
Excess (Deficit) of Revenues Over Expenditures	\$ 18,403,285	\$ 159,750	\$ (916,826)	\$ 57			\$ 17,646,266	\$ (927,315)	\$ 19,097,354	\$ (1,893,334)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,019,784	\$ 956,958	\$ 0	\$ 136,096			\$ 7,112,839	\$ 7,112,839	\$ 3,712,683	\$ 3,712,683	
Ending Balance (Deficit)	\$ 24,423,070	\$ 1,116,708	\$ (916,826)	\$ 136,153			\$ 24,759,105	\$ 6,185,524	\$ 22,810,037	\$ 1,819,349	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2017/18									2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,965,190	\$ 301,340					\$ 7,266,530	\$ 31,404,059	\$ 7,108,428	\$ 26,909,412
Traffic & Other Infractions	\$ 1,150,775	\$ 119,618					\$ 1,270,394	\$ 5,440,545	\$ 1,170,452	\$ 5,156,787
Other Criminal Cases	\$ 1,812,529	\$ 271,209	\$ 0				\$ 2,083,738	\$ 8,843,533	\$ 1,787,307	\$ 7,966,826
Civil	\$ 1,118,916	\$ 5,106					\$ 1,124,023	\$ 4,912,367	\$ 1,008,484	\$ 5,085,029
Family & Children Services	\$ 2,128,518	\$ 38,538					\$ 2,167,056	\$ 11,093,688	\$ 2,135,909	\$ 9,904,017
Probate, Guardianship & Mental Health Services	\$ 445,810						\$ 445,810	\$ 2,206,429	\$ 502,425	\$ 1,938,291
Juvenile Dependency Services	\$ 16,761	\$ 27,268					\$ 44,029	\$ 1,312,155	\$ 55,644	\$ 359,674
Juvenile Delinquency Services	\$ 193,077	\$ 116					\$ 193,192	\$ 811,741	\$ 356,823	\$ 1,424,545
Other Court Operations	\$ 438,222	\$ 28,245					\$ 466,467	\$ 2,339,667	\$ 627,278	\$ 2,470,743
Court Interpreters	\$ 984,478	\$ 201,611					\$ 1,186,089	\$ 5,132,017	\$ 1,109,245	\$ 4,910,316
Jury Services	\$ 158,650	\$ 65,798	\$ 109,551				\$ 333,999	\$ 2,165,437	\$ 384,734	\$ 1,951,237
Security	\$ 686,371	\$ 28,846					\$ 715,217	\$ 3,522,010	\$ 728,250	\$ 2,679,484
Trial Court Operations Program	\$ 16,099,297	\$ 1,087,694	\$ 109,551				\$ 17,296,543	\$ 79,183,648	\$ 16,974,980	\$ 70,756,361
Enhanced Collections		\$ 17,351			\$ 10,971		\$ 28,323	\$ 2,900,000	\$ 487,480	\$ 3,000,480
Other Non-Court Operations	\$ 309,774	\$ 0					\$ 309,774	\$ 1,155,414	\$ 236,444	\$ 1,098,315
Non-Court Operations Program	\$ 309,774	\$ 17,351			\$ 10,971		\$ 338,097	\$ 4,055,414	\$ 723,924	\$ 4,098,795
Executive Office	\$ 233,044	\$ 17,467	\$ 5,000		\$ (1,646)		\$ 253,865	\$ 2,408,934	\$ (201,282)	\$ 1,071,923
Fiscal Services	\$ 597,217	\$ 190,709			\$ (2,962)		\$ 784,963	\$ 3,247,714	\$ 792,433	\$ 3,601,697
Human Resources	\$ 602,201	\$ 80,496			\$ (1,755)		\$ 680,942	\$ 1,701,470	\$ 316,081	\$ 8,553,712
Business & Facilities Services	\$ 118,290	\$ 361,119					\$ 479,410	\$ 3,233,554	\$ 644,959	\$ 6,801,060
Information Technology	\$ 1,152,193	\$ 498,381			\$ (4,608)		\$ 1,645,966	\$ 7,421,413	\$ 1,785,634	\$ 8,632,219
Court Administration Program	\$ 2,702,946	\$ 1,148,172	\$ 5,000		\$ (10,971)		\$ 3,845,146	\$ 18,013,085	\$ 3,337,825	\$ 28,660,611
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 19,112,017	\$ 2,253,218	\$ 114,551		\$ 0		\$ 21,479,786	\$ 101,252,147	\$ 21,036,728	\$ 103,515,767