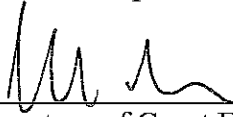


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Court Executive

August 11, 2017

Date

SANTA CRUZ SUPERIOR COURT

Court

2016-17 Quarter 4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

SANTA CRUZ SUPERIOR COURT
Court

2016-17 Quarter 4
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

SANTA CRUZ SUPERIOR COURT

Court

2016-17 Quarter 4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		121.50	119.00	117.40	114.3

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended June								2015/16
	Fiscal Year 2016/17								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (906,269)	\$ 481,842	\$ 293,305	\$ 75,000			\$ 37,549	\$ (18,573)	\$ 113,164
Payroll	\$ (1,391)						\$ 0	\$ (1,391)	\$ (1,363)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ (4,086)	\$ (4,086)	\$ 0
Civil Filing Fees							\$ 13,044	\$ 13,044	\$ 41
Trust	\$ 91						\$ (77,990)	\$ (77,899)	\$ (3,023)
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 601,867	\$ 601,867	\$ 1,320,214
Cash Outside of the JCC									
Cash Equivalents	\$ 2,158,750						\$ 554,830	\$ 2,713,580	\$ 1,753,159
Total Cash and Cash Equivalents	\$ 1,259,531	\$ 481,842	\$ 293,305	\$ 75,000			\$ 1,125,213	\$ 3,234,891	\$ 3,190,542
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 4,342	\$ 991		\$ 0			\$ 0	\$ 5,332	\$ 1,958
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 3,583	\$ 0	\$ 0					\$ 3,583	\$ 3
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 127,459							\$ 127,459	\$ 159,831
Due From Other Governments	\$ 107,243	\$ 121,250					\$ 11,944	\$ 240,437	\$ 214,355
Due From Other Courts	\$ 19,674						\$ 0	\$ 19,674	\$ 25,000
Due From State	\$ 124,729	\$ 18,868	\$ 392,580					\$ 536,177	\$ 845,950
Trust Due To/From							\$ 1,017	\$ 1,017	
Distribution Due To/From									
Civil Filing Fee Due To/From							\$ 0	\$ 0	
General Due To/From	\$ 659							\$ 659	\$ 21
Total Receivables	\$ 387,689	\$ 141,109	\$ 392,580	\$ 0			\$ 12,960	\$ 934,338	\$ 1,247,118
Prepaid Expenses - General									\$ 0
Salary and Travel Advances									\$ 0
Counties									\$ 0
Total Prepaid Expenses									\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,647,220	\$ 622,951	\$ 685,885	\$ 75,000			\$ 1,138,174	\$ 4,169,229	\$ 4,437,660
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 743,133	\$ 2,750	\$ 31,526					\$ 777,409	\$ 568,816
Accounts Payable - General	\$ 180	\$ 0	\$ 0	\$ 0			\$ 0	\$ 180	\$ 97,074
Due to Other Funds	\$ 25,369	\$ 102,090	\$ 0	\$ 0			\$ 1,675	\$ 129,135	\$ 159,852
Due to Other Courts	\$ 0							\$ 0	\$ 883
Due to State	\$ 15,045							\$ 15,045	\$ 9,243
TC145 Liability							\$ 378,249	\$ 378,249	\$ 377,201
Due to Other Governments	\$ 54,005		\$ 209,224	\$ 75,000				\$ 338,229	\$ 136,995
AB145 Due to Other Government Agency							\$ 31,811	\$ 31,811	
Due to Other Public Agencies									
Sales and Use Tax	\$ 356							\$ 356	\$ 56
Interest							\$ 170	\$ 170	\$ 61
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 91							\$ 91	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 838,179	\$ 104,840	\$ 240,750	\$ 75,000			\$ 411,906	\$ 1,670,675	\$ 1,350,181
Civil							\$ 47,574	\$ 47,574	\$ 429,028
Criminal							\$ 50,152	\$ 50,152	\$ 75,470
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 601,867	\$ 601,867	\$ 1,320,214
Trust Interest Payable							\$ 996	\$ 996	\$ 208
Miscellaneous Trust									
Total Trust Deposits							\$ 700,588	\$ 700,588	\$ 1,824,919
Accrued Payroll	\$ 356,741	\$ 5,124	\$ 12,688					\$ 374,553	\$ 409,812
Benefits Payable	\$ 149,126							\$ 149,126	\$ 139,616
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 1,460							\$ 1,460	\$ 0
Total Payroll Liabilities	\$ 507,327	\$ 5,124	\$ 12,688					\$ 525,138	\$ 549,428
Revenue Collected in Advance	\$ 0		\$ 432,447					\$ 432,447	\$ 319,595
Liabilities For Deposits	\$ 17,122						\$ 23,336	\$ 40,458	\$ 37,450
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 2,343	\$ 2,343	\$ 2,393
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 17,122		\$ 432,447				\$ 25,679	\$ 475,248	\$ 359,437
Total Liabilities	\$ 1,362,628	\$ 109,964	\$ 685,885	\$ 75,000			\$ 1,138,174	\$ 3,371,650	\$ 4,083,966
Total Fund Balance	\$ 284,592	\$ 512,987	\$ 0	\$ 0				\$ 797,579	\$ 353,694
Total Liabilities and Fund Balance	\$ 1,647,220	\$ 622,951	\$ 685,885	\$ 75,000			\$ 1,138,174	\$ 4,169,229	\$ 4,437,660

Superior Court of California, County of Santa Cruz
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

		For the month ended June										
		Fiscal Year 2016/17							2015/16			
		Governmental Funds										
		Special Revenue							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Non-Grant	Grant	Capital Projects	Debt Service							
REVENUES												
State Financing Sources												
	Trial Court Trust Fund	\$ 12,528,071	\$ 113,210					\$ 12,641,281	\$ 12,270,911	\$ 12,105,906	\$ 12,402,957	
	Improvement and Modernization Fund	\$ 35,289						\$ 35,289	\$ 35,289	\$ 35,289	\$ 35,288	
	Judges' Compensation (0150019)											
	Court Interpreter (0150037)	\$ 745,634						\$ 745,634	\$ 884,000	\$ 884,404	\$ 868,000	
	Civil Coordination Reimbursement (0150091)											
	MOU Reimbursements (0150010 and General)	\$ 168,756						\$ 168,756	\$ 183,940	\$ 184,783	\$ 195,480	
	Other Miscellaneous	\$ 203,558						\$ 203,558	\$ 203,558	\$ 203,558	\$ 203,557	
		\$ 13,681,308	\$ 113,210					\$ 13,794,518	\$ 13,577,698	\$ 13,413,939	\$ 13,705,282	
Grants												
	AB 1058 Commissioner/Facilitator			\$ 304,524				\$ 304,524	\$ 334,788	\$ 340,612	\$ 315,002	
	Other Judicial Council Grants			\$ 666,038				\$ 666,038	\$ 522,194	\$ 333,089	\$ 178,096	
	Non-Judicial Council Grants											
				\$ 970,561				\$ 970,561	\$ 856,982	\$ 673,701	\$ 493,098	
Other Financing Sources												
	Interest Income	\$ 20,207	\$ 3,559					\$ 23,766	\$ 9,500	\$ 9,617	\$ 7,800	
	Investment Income											
	Donations									\$ 0		
	Local Fees	\$ 143,130	\$ 47,316					\$ 190,446	\$ 190,150	\$ 191,510	\$ 261,062	
	Non-Fee Revenues											
	Enhanced Collections		\$ 263,097					\$ 263,097	\$ 228,000	\$ 228,556	\$ 204,997	
	Escheatment											
	Prior Year Revenue											
	County Program - Restricted		\$ 44,046					\$ 44,046	\$ 42,000	\$ 39,299	\$ 41,300	
	Reimbursement Other	\$ 132,939						\$ 132,939	\$ 142,500	\$ 198,571	\$ 196,946	
	Sale of Fixed Assets											
	Other Miscellaneous	\$ 23,590						\$ 23,590	\$ 9,600	\$ 12,734	\$ 16,850	
		\$ 319,866	\$ 358,018					\$ 677,883	\$ 621,750	\$ 680,287	\$ 728,955	
	Total Revenues	\$ 14,001,174	\$ 471,228	\$ 970,561				\$ 15,442,963	\$ 15,056,430	\$ 14,767,927	\$ 14,927,335	
EXPENDITURES												
Personal Services												
	Salaries - Permanent	\$ 7,724,508	\$ 155,762	\$ 355,501				\$ 8,235,771	\$ 7,983,913	\$ 8,150,862	\$ 8,123,487	
	Temp Help	\$ 13,182						\$ 13,182		\$ 6,307		
	Overtime	\$ 18,557	\$ 1,079	\$ 173				\$ 19,808	\$ 25,000	\$ 39,038		
	Staff Benefits	\$ 4,133,209	\$ 92,185	\$ 151,164				\$ 4,376,557	\$ 4,730,993	\$ 3,982,388	\$ 4,370,226	
		\$ 11,889,455	\$ 249,025	\$ 506,837				\$ 12,645,318	\$ 12,739,906	\$ 12,178,594	\$ 12,493,713	
Operating Expenses and Equipment												
	General Expense	\$ 196,244		\$ 5,484				\$ 201,728	\$ 193,144	\$ 206,203	\$ 281,094	
	Printing	\$ 70,667						\$ 70,667	\$ 76,300	\$ 90,371	\$ 70,101	
	Telecommunications	\$ 78,178						\$ 78,178	\$ 107,900	\$ 104,782	\$ 107,900	
	Postage	\$ 21,526	\$ 9,980	\$ 358				\$ 31,863	\$ 70,500	\$ 30,561	\$ 67,500	
	Insurance	\$ 4,908						\$ 4,908		\$ 4,762	\$ 6,000	
	In-State Travel	\$ 22,740		\$ 2,905				\$ 25,645	\$ 40,900	\$ 38,178	\$ 24,150	
	Out-of-State Travel	\$ 5,280						\$ 5,280	\$ 2,000	\$ 1,929		
	Training	\$ 7,798		\$ 1,336				\$ 9,134	\$ 6,000	\$ 5,303	\$ 8,500	
	Security Services	\$ 300						\$ 300	\$ 925	\$ 789	\$ 100	
	Facility Operations	\$ 320,869			\$ 75,000			\$ 395,869	\$ 484,951	\$ 395,058	\$ 355,950	
	Utilities											
	Contracted Services	\$ 415,232	\$ 33,000	\$ 439,084				\$ 887,316	\$ 582,980	\$ 790,741	\$ 685,430	
	Consulting and Professional Services	\$ 7,460						\$ 7,460	\$ 12,000	\$ 11,230	\$ 13,140	
	Information Technology	\$ 334,758		\$ 24				\$ 334,782	\$ 334,574	\$ 1,058,596	\$ 931,000	
	Major Equipment	\$ 11,532						\$ 11,532	\$ 10,000	\$ 72,225	\$ 50,000	
	Other Items of Expense	\$ 1,888						\$ 1,888	\$ 1,850	\$ 1,824	\$ 1,850	
		\$ 1,499,381	\$ 42,980	\$ 449,190	\$ 75,000			\$ 2,066,550	\$ 1,924,024	\$ 2,812,551	\$ 2,602,715	
Special Items of Expense												
	Grand Jury											
	Jury Costs	\$ 97,210						\$ 97,210	\$ 112,500	\$ 114,824	\$ 133,000	
	Judgements, Settlements and Claims											
	Debt Service											
	Other	\$ 190,000						\$ 190,000	\$ 280,000	\$ 108		
	Capital Costs											
	Internal Cost Recovery	\$ (127,671)	\$ 43,331	\$ 84,340				\$ 0	\$ 0	\$ 0	\$ 0	
	Prior Year Expense Adjustment											
		\$ 159,539	\$ 43,331	\$ 84,340				\$ 287,210	\$ 392,500	\$ 114,931	\$ 133,000	
	Total Expenditures	\$ 13,548,375	\$ 335,336	\$ 1,040,367	\$ 75,000			\$ 14,999,078	\$ 15,056,430	\$ 15,106,077	\$ 15,229,428	
	Excess (Deficit) of Revenues Over Expenditures	\$ 452,799	\$ 135,891	\$ (69,806)	\$ (75,000)			\$ 443,885	\$ 0	\$ (338,150)	\$ (302,093)	
	Operating Transfers In (Out)	\$ (173,000)	\$ 28,194	\$ 69,806	\$ 75,000			\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)												
	Beginning Balance (Deficit)	\$ 4,792	\$ 348,902	\$ 0	\$ 0			\$ 353,694	\$ 353,694	\$ 691,843	\$ 691,843	
	Ending Balance (Deficit)	\$ 284,592	\$ 512,987	\$ 0	\$ 0			\$ 797,579	\$ 353,694	\$ 353,694	\$ 389,750	

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,485,369	\$ 259,000					\$ 4,744,369	\$ 3,807,349	\$ 4,419,085	\$ 4,319,770
Traffic & Other Infractions	\$ 684,453	\$ 18,818					\$ 703,271	\$ 543,037	\$ 715,351	\$ 693,538
Other Criminal Cases	\$ 453,576	\$ 412,893					\$ 866,469	\$ 1,123,142	\$ 773,344	\$ 813,027
Civil	\$ 1,092,697	\$ 40,062					\$ 1,132,760	\$ 1,272,760	\$ 1,096,647	\$ 1,065,776
Family & Children Services	\$ 539,845	\$ 140,641			\$ 62,288		\$ 742,775	\$ 455,280	\$ 814,351	\$ 591,326
Probate, Guardianship & Mental Health Services	\$ 375,912	\$ 1,873					\$ 377,786	\$ 373,628	\$ 368,363	\$ 394,632
Juvenile Dependency Services	\$ 185,317						\$ 185,317	\$ 232,967	\$ 223,424	\$ 225,904
Juvenile Delinquency Services		\$ 2,613					\$ 2,613	\$ 1,400	\$ 2,335	\$ 1,908
Other Court Operations	\$ 742,919	\$ 52,573			\$ 22,052		\$ 817,544	\$ 994,993	\$ 845,376	\$ 817,108
Court Interpreters	\$ 727,762	\$ 56,153					\$ 783,915	\$ 872,506	\$ 850,252	\$ 905,945
Jury Services	\$ 164,667	\$ 65,076	\$ 97,210				\$ 326,953	\$ 350,420	\$ 334,652	\$ 300,490
Security		\$ 14,300					\$ 14,300	\$ 425	\$ 425	\$ 100
Trial Court Operations Program	\$ 9,452,518	\$ 1,064,003	\$ 97,210		\$ 84,340		\$ 10,698,071	\$ 10,027,907	\$ 10,443,604	\$ 10,129,524
Enhanced Collections	\$ 242,761	\$ 9,980			\$ 43,331		\$ 296,071	\$ 228,000	\$ 250,537	\$ 204,997
Other Non-Court Operations	\$ 615,761	\$ 83,397					\$ 699,159	\$ 1,131,495	\$ 706,621	\$ 987,336
Non-Court Operations Program	\$ 858,522	\$ 93,377			\$ 43,331		\$ 995,230	\$ 1,359,495	\$ 957,158	\$ 1,192,333
Executive Office	\$ 279,353	\$ 51,135					\$ 330,488	\$ 306,020	\$ 290,473	\$ 266,347
Fiscal Services	\$ 735,539	\$ 70,150			\$ (127,671)		\$ 678,018	\$ 858,836	\$ 702,400	\$ 899,359
Human Resources	\$ 235,462	\$ 15,296	\$ 190,000				\$ 440,758	\$ 703,645	\$ 12,910	\$ 3,216
Business & Facilities Services	\$ 191,559	\$ 338,812					\$ 530,370	\$ 419,375	\$ 503,400	\$ 542,652
Information Technology	\$ 892,365	\$ 433,779					\$ 1,326,144	\$ 1,381,152	\$ 2,196,133	\$ 2,195,997
Court Administration Program	\$ 2,334,278	\$ 909,171	\$ 190,000		\$ (127,671)		\$ 3,305,778	\$ 3,669,028	\$ 3,705,315	\$ 3,907,571
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 12,645,318	\$ 2,066,550	\$ 287,210		\$ 0		\$ 14,999,078	\$ 15,056,430	\$ 15,106,077	\$ 15,229,428