


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

5-18-17
Date

Sonoma

Court

2016-2017 Q3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Sonoma
Court

2016-2017 Q3
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Sonoma
 Court

2016-2017 Q3
 Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)				
Court Employee Positions (FTEs)	208.45	189.25	184.65	181.65	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended March								2015/16
	Fiscal Year 2016/17								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (855,782)	\$ 1,110,962	\$ (573,880)				\$ 103,355	\$ (215,345)	\$ 332,645
Payroll	\$ 0	\$ 0						\$ 0	\$ 2,101
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 15,000
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (280,969)	\$ (280,969)	\$ (79,180)
Credit Card									
Cash on Hand	\$ 7,950							\$ 7,950	\$ 7,950
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 5,760,349						\$ 4,467,840	\$ 10,228,189	\$ 11,103,308
Total Cash and Cash Equivalents	\$ 4,927,516	\$ 1,110,962	\$ (573,880)				\$ 4,290,226	\$ 9,754,824	\$ 11,381,824
Short-Term Investment									
Investments							\$ 0	\$ 0	\$ 0
Total Investments							\$ 0	\$ 0	\$ 0
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ (11,391)	\$ 0	\$ 0					\$ (11,391)	\$ (11,391)
Trust Due To/From							\$ 20,485	\$ 20,485	\$ 13,592
Distribution Due To/From							\$ 30	\$ 30	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 673							\$ 673	\$ 448
Total Receivables	\$ (10,717)	\$ 0	\$ 0				\$ 20,515	\$ 9,798	\$ 2,650
Prepaid Expenses - General	\$ 6,242							\$ 6,242	\$ 8,789
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 6,242							\$ 6,242	\$ 8,789
Other Assets									
Total Other Assets									
Total Assets	\$ 4,923,041	\$ 1,110,962	\$ (573,880)				\$ 4,310,741	\$ 9,770,864	\$ 11,393,262
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 22,581	\$ 3,047	\$ 20				\$ 1,795	\$ 27,443	\$ 43,315
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 21,188	\$ 21,188	\$ 14,040
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 536,834	\$ 536,834	\$ 522,644
Due to Other Governments							\$ 673,694	\$ 673,694	\$ 605,273
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 109							\$ 109	\$ 0
Interest							\$ 1,762	\$ 1,762	\$ 1,004
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 22,690	\$ 3,047	\$ 20				\$ 1,235,274	\$ 1,261,031	\$ 1,186,277
Civil							\$ 2,640,391	\$ 2,640,391	\$ 5,862,011
Criminal							\$ 243,856	\$ 243,856	\$ 398,215
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 29,731
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 19,535	\$ 19,535	\$ 7,294
Miscellaneous Trust									
Total Trust Deposits							\$ 2,933,513	\$ 2,933,513	\$ 6,297,251
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 212,486	\$ (8)					\$ 0	\$ 212,477	\$ (165,162)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 29,519							\$ 29,519	\$ 26,455
Payroll Clearing									
Total Payroll Liabilities	\$ 242,005	\$ (8)	\$ 0				\$ 0	\$ 241,996	\$ (138,707)
Revenue Collected in Advance									\$ 0
Liabilities For Deposits	\$ 29,605						\$ 27,383	\$ 56,989	\$ 29,048
Jury Fees - Non-Interest							\$ 106,534	\$ 106,534	\$ 104,319
Fees - Partial Payment & Overpayment							\$ 8,037	\$ 8,037	\$ 2,191
Uncleared Collections	\$ 0							\$ 0	\$ 32
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 29,605	\$ 0	\$ 0				\$ 141,955	\$ 171,560	\$ 135,589
Total Liabilities	\$ 294,300	\$ 3,039	\$ 20				\$ 4,310,741	\$ 4,608,100	\$ 7,480,410
Total Fund Balance	\$ 4,628,741	\$ 1,107,923	\$ (573,900)					\$ 5,162,764	\$ 3,912,852
Total Liabilities and Fund Balance	\$ 4,923,041	\$ 1,110,962	\$ (573,880)				\$ 4,310,741	\$ 9,770,864	\$ 11,393,262

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,401,761	\$ 930,841			\$ 73,257		\$ 7,405,858	\$ 10,536,711	\$ 7,308,962	\$ 10,347,966
Traffic & Other Infractions	\$ 523,397	\$ 65,117					\$ 588,514	\$ 782,702	\$ 561,185	\$ 778,966
Other Criminal Cases	\$ 1,109,144	\$ 13,691			\$ 38,597		\$ 1,161,431	\$ 1,590,766	\$ 1,192,018	\$ 1,719,404
Civil	\$ 796,407	\$ 7,782					\$ 804,188	\$ 1,251,256	\$ 865,641	\$ 1,106,674
Family & Children Services	\$ 1,708,454	\$ 101,824			\$ 35,415		\$ 1,845,694	\$ 2,631,297	\$ 1,725,874	\$ 2,490,670
Probate, Guardianship & Mental Health Services	\$ 392,730	\$ 2,743					\$ 395,474	\$ 551,711	\$ 475,319	\$ 494,167
Juvenile Dependency Services	\$ 73,762	\$ 3,583					\$ 77,345	\$ 105,785	\$ 75,043	\$ 105,201
Juvenile Delinquency Services	\$ 108,842	\$ 45,944					\$ 154,786	\$ 336,192	\$ 208,784	\$ 250,834
Other Court Operations	\$ 1,217,863	\$ 1,039,985	\$ 2,162				\$ 2,260,010	\$ 3,508,133	\$ 2,214,199	\$ 4,016,170
Court Interpreters	\$ 744,541	\$ 157,272					\$ 901,813	\$ 1,468,505	\$ 1,001,380	\$ 1,385,914
Jury Services	\$ 174,577	\$ 51,585	\$ 87,220				\$ 313,381	\$ 375,799	\$ 306,276	\$ 432,907
Security		\$ 275,070					\$ 275,070	\$ 417,820	\$ 265,648	
Trial Court Operations Program	\$ 13,251,477	\$ 2,695,438	\$ 89,381		\$ 147,269		\$ 16,183,565	\$ 23,556,677	\$ 16,200,330	\$ 23,128,873
Enhanced Collections	\$ 753,236	\$ 51,828			\$ 117,119		\$ 922,183	\$ 1,450,303	\$ 968,750	\$ 1,552,130
Other Non-Court Operations		\$ 8,000					\$ 8,000	\$ 12,000	\$ 8,000	\$ 12,000
Non-Court Operations Program	\$ 753,236	\$ 59,828			\$ 117,119		\$ 930,183	\$ 1,462,303	\$ 976,750	\$ 1,564,130
Executive Office	\$ 582,250	\$ 10,281					\$ 592,530	\$ 799,374	\$ 533,560	\$ 748,116
Fiscal Services	\$ 547,210	\$ 60,035			\$ (264,388)		\$ 342,857	\$ 501,935	\$ 326,847	\$ 500,215
Human Resources	\$ 409,173	\$ 102,668					\$ 511,841	\$ 643,094	\$ 1,299,574	\$ 1,767,727
Business & Facilities Services	\$ 244,542	\$ 28,639					\$ 273,180	\$ 387,266	\$ 271,240	\$ 374,606
Information Technology	\$ 977,241	\$ 91,147					\$ 1,068,387	\$ 2,326,417	\$ 1,020,887	\$ 1,484,294
Court Administration Program	\$ 2,760,415	\$ 292,769			\$ (264,388)		\$ 2,788,796	\$ 4,658,086	\$ 3,452,108	\$ 4,874,958
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 16,765,128	\$ 3,048,035	\$ 89,381		\$ 0		\$ 19,902,544	\$ 29,677,066	\$ 20,629,188	\$ 29,567,961