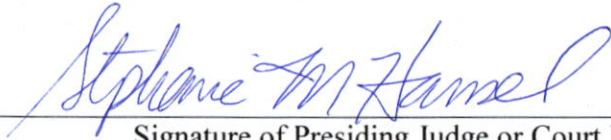


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/13/2016

Date

SUTTER

Court

FY 16-17 September 30, 2016

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

SUTTER

Court

FY 2016-2017 SEPT 30, 2016

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	57.0	56.0			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Sutter
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2016/17									2015/16
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (72,845)	\$ 204,270	\$ (35,853)				\$ 30,831	\$ 126,403	\$ 708,088
Payroll	\$ 0	\$ 0						\$ 0	\$ (265)
Jury Revolving									
Other Distribution							\$ 22,927	\$ 22,927	\$ 22,342
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ (3,997)	\$ (3,997)	\$ (205,214)
Cash on Hand	\$ 1,648							\$ 1,648	\$ 1,648
Cash with County	\$ 710,493	\$ 178,317					\$ 7,442	\$ 896,252	\$ 882,572
Cash Outside of the JCC	\$ 7,193						\$ 0	\$ 7,193	\$ 8,385
Cash Equivalents	\$ 1,114,189						\$ 576,964	\$ 1,691,153	\$ 3,491,905
Total Cash and Cash Equivalents	\$ 1,760,678	\$ 382,586	\$ (35,853)				\$ 634,167	\$ 2,741,579	\$ 4,909,460
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 0							\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 5,416
Due From Other Courts			\$ 744				\$ 0	\$ 744	\$ 744
Due From State	\$ 0	\$ 0	\$ 19,783					\$ 19,783	\$ 33,926
Trust Due To/From							\$ 16,085	\$ 16,085	\$ 7,633
Distribution Due To/From							\$ 4,119	\$ 4,119	\$ 1,458
Civil Filing Fee Due To/From									
General Due To/From	\$ 0							\$ 0	\$ 9
Total Receivables	\$ 0	\$ 0	\$ 20,527				\$ 20,204	\$ 40,731	\$ 49,186
Prepaid Expenses - General Salary and Travel Advances Counties	\$ 10,000							\$ 10,000	\$ 0
Total Prepaid Expenses	\$ 10,000							\$ 10,000	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,770,678	\$ 382,586	\$ (15,325)				\$ 654,371	\$ 2,792,310	\$ 4,958,645
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 39,166	\$ 0	\$ 0				\$ 0	\$ 39,166	\$ 14,684
Due to Other Funds	\$ 831	\$ 0	\$ 0				\$ 19,373	\$ 20,204	\$ 9,100
Due to Other Courts			\$ 0					\$ 0	\$ 0
Due to State									\$ (1)
TC145 Liability							\$ 104,644	\$ 104,644	\$ 102,390
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 87,872	\$ 87,872	\$ 108,520
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 117	\$ 117	\$ 45
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 39,997	\$ 0	\$ 0				\$ 212,005	\$ 252,002	\$ 234,738
Civil							\$ 101,191	\$ 101,191	\$ 2,211,218
Criminal							\$ 320,271	\$ 320,271	\$ 290,847
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 7,442	\$ 7,442	\$ 8,153
Trust Interest Payable							\$ 846	\$ 846	\$ 3,934
Miscellaneous Trust									
Total Trust Deposits							\$ 429,751	\$ 429,751	\$ 2,514,151
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ (84,657)							\$ (84,657)	\$ (82,146)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 1,449							\$ 1,449	\$ (27)
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ (83,208)	\$ 0						\$ (83,208)	\$ (82,173)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 6,378						\$ 6,797	\$ 13,175	\$ 3,752
Jury Fees - Non-Interest									\$ 150
Fees - Partial Payment & Overpayment							\$ 5,818	\$ 5,818	\$ 680
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,378						\$ 12,615	\$ 18,993	\$ 4,582
Total Liabilities	\$ (36,833)	\$ 0	\$ 0				\$ 654,371	\$ 617,539	\$ 2,671,298
Total Fund Balance	\$ 1,807,510	\$ 382,586	\$ (15,325)					\$ 2,174,771	\$ 2,287,347
Total Liabilities and Fund Balance	\$ 1,770,678	\$ 382,586	\$ (15,325)				\$ 654,371	\$ 2,792,310	\$ 4,958,645

Superior Court of California, County of Sutter
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended September											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 2,405,868	\$ 6,493					\$ 2,412,361	\$ 5,009,071	\$ 2,177,746	\$ 4,723,639	
Improvement and Modernization Fund	\$ (6,854)						\$ (6,854)	\$ 12,301	\$ (12,300)	\$ 12,301	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 47,789						\$ 47,789	\$ 214,000	\$ 38,769	\$ 193,500	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 22,857						\$ 22,857	\$ 207,181	\$ 9,314	\$ 144,185	
Other Miscellaneous								\$ 159,761		\$ 159,760	
	\$ 2,469,660	\$ 6,493					\$ 2,476,153	\$ 5,602,314	\$ 2,213,529	\$ 5,233,385	
Grants											
AB 1058 Commissioner/Facilitator								\$ 320,969	\$ 0	\$ 318,073	
Other Judicial Council Grants			\$ (9,210)				\$ (9,210)	\$ 105,445	\$ 6,414	\$ 104,669	
Non-Judicial Council Grants											
			\$ (9,210)				\$ (9,210)	\$ 426,414	\$ 6,414	\$ 422,742	
Other Financing Sources											
Interest Income	\$ 335	\$ 29					\$ 363	\$ 7,000	\$ (2,376)	\$ 8,000	
Investment Income											
Donations											
Local Fees	\$ 12,543						\$ 12,543	\$ 148,015	\$ 23,950	\$ 138,500	
Non-Fee Revenues											
Enhanced Collections		\$ 43,360					\$ 43,360	\$ 229,777	\$ 38,435	\$ 226,000	
Escheatment											
Prior Year Revenue											
County Program - Restricted			\$ 0				\$ 0	\$ 6,500	\$ (28)	\$ 5,900	
Reimbursement Other								\$ 400	\$ 484	\$ 400	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,869						\$ 1,869	\$ 50,000	\$ 10,548	\$ 48,000	
	\$ 14,746	\$ 43,389					\$ 58,135	\$ 441,692	\$ 71,014	\$ 426,800	
Total Revenues	\$ 2,484,407	\$ 49,882	\$ (9,210)				\$ 2,525,079	\$ 6,470,420	\$ 2,290,957	\$ 6,082,927	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 618,374	\$ 26,568					\$ 644,942	\$ 3,006,592	\$ 622,592	\$ 3,010,361	
Temp Help											
Overtime	\$ 101	\$ 119					\$ 219		\$ 10,770	\$ 28,625	
Staff Benefits	\$ 354,333	\$ 15,566					\$ 369,900	\$ 1,816,425	\$ 358,322	\$ 1,772,395	
	\$ 972,808	\$ 42,253					\$ 1,015,061	\$ 4,823,017	\$ 991,685	\$ 4,811,381	
Operating Expenses and Equipment											
General Expense	\$ 57,572	\$ 81	\$ 899				\$ 58,553	\$ 265,388	\$ 62,116	\$ 267,939	
Printing	\$ 5,107						\$ 5,107	\$ 21,785	\$ 4,462	\$ 13,368	
Telecommunications	\$ 7,203						\$ 7,203	\$ 42,850	\$ 6,602	\$ 41,472	
Postage	\$ 4,891	\$ 100					\$ 4,990	\$ 43,400	\$ 32	\$ 52,000	
Insurance								\$ 4,898		\$ 2,160	
In-State Travel	\$ 117		\$ 2,071				\$ 2,188	\$ 7,312	\$ 1,042	\$ 12,600	
Out-of-State Travel										\$ 500	
Training	\$ 250						\$ 250	\$ 250	\$ 375	\$ 1,170	
Security Services	\$ 27,584						\$ 27,584	\$ 110,338	\$ 330	\$ 73,305	
Facility Operations	\$ 5,806						\$ 5,806	\$ 28,600	\$ 1,818	\$ 13,000	
Utilities											
Contracted Services	\$ 133,046		\$ 3,146				\$ 136,193	\$ 891,996	\$ 112,074	\$ 800,572	
Consulting and Professional Services	\$ 1,705						\$ 1,705	\$ 10,300	\$ 1,785	\$ 8,000	
Information Technology	\$ 503,840						\$ 503,840	\$ 853,852	\$ 44,160	\$ 751,639	
Major Equipment									\$ 88,297	\$ 88,558	
Other Items of Expense	\$ 28,181						\$ 28,181	\$ 58,000	\$ 118	\$ 2,705	
	\$ 775,303	\$ 181	\$ 6,116				\$ 781,600	\$ 2,338,969	\$ 323,209	\$ 2,128,988	
Special Items of Expense											
Grand Jury								\$ 5,000		\$ 100	
Jury Costs	\$ 765						\$ 765	\$ 5,375	\$ 677	\$ 7,400	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0	\$ 90,000			
Capital Costs											
Internal Cost Recovery								\$ 0		\$ 0	
Prior Year Expense Adjustment											
	\$ 765						\$ 765	\$ 100,375	\$ 677	\$ 7,500	
Total Expenditures	\$ 1,748,876	\$ 42,434	\$ 6,116				\$ 1,797,426	\$ 7,262,361	\$ 1,315,570	\$ 6,947,869	
Excess (Deficit) of Revenues Over Expenditures	\$ 735,531	\$ 7,448	\$ (15,325)				\$ 727,653	\$ (791,941)	\$ 975,387	\$ (864,942)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,071,980	\$ 375,139	\$ 0				\$ 1,447,118	\$ 1,447,118	\$ 1,311,960	\$ 1,311,960	
Ending Balance (Deficit)	\$ 1,807,510	\$ 382,586	\$ (15,325)				\$ 2,174,771	\$ 655,177	\$ 2,287,347	\$ 447,018	

Superior Court of California, County of Sutter
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2016/17								2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 120,525	\$ 49,432	\$ 83,997				\$ 253,954	\$ 949,666	\$ 163,915	\$ 928,944
Traffic & Other Infractions	\$ 112,020	\$ 2,835					\$ 114,855	\$ 189,892	\$ 91,112	\$ 454,996
Other Criminal Cases	\$ 147,527	\$ 24,483					\$ 172,009	\$ 845,117	\$ 160,845	\$ 784,114
Civil	\$ 92,926	\$ 4,557					\$ 97,483	\$ 571,556	\$ 86,027	\$ 427,599
Family & Children Services	\$ 121,848	\$ 25,385					\$ 147,233	\$ 499,269	\$ 128,894	\$ 459,671
Probate, Guardianship & Mental Health Services	\$ 67,454	\$ 1,529					\$ 68,983	\$ 354,804	\$ 64,912	\$ 292,527
Juvenile Dependency Services	\$ 18,237	\$ 21,942					\$ 40,179	\$ 272,286	\$ 9,429	\$ 84,474
Juvenile Delinquency Services		\$ 133					\$ 133	\$ 62,278	\$ 1,750	\$ 50
Other Court Operations	\$ 50,230	\$ 1,639					\$ 51,869	\$ 315,482	\$ 50,804	\$ 461,746
Court Interpreters	\$ 34,806	\$ 32,865					\$ 67,670	\$ 279,264	\$ 52,499	\$ 242,990
Jury Services	\$ 7,134	\$ 3,407	\$ 765				\$ 11,306	\$ 66,716	\$ 14,055	\$ 69,842
Security	\$ 58,965	\$ 28,627					\$ 87,592	\$ 399,764	\$ 137,253	\$ 418,808
Trial Court Operations Program	\$ 831,671	\$ 196,835	\$ 84,762				\$ 1,113,267	\$ 4,806,095	\$ 961,494	\$ 4,625,761
Enhanced Collections	\$ 42,739	\$ 746					\$ 43,484	\$ 213,706	\$ 54,800	\$ 194,186
Other Non-Court Operations	\$ 228	\$ 3					\$ 231		\$ 473	
Non-Court Operations Program	\$ 42,967	\$ 748					\$ 43,715	\$ 213,706	\$ 55,273	\$ 194,186
Executive Office		\$ 27,216					\$ 27,216	\$ 356,601	\$ 64,701	\$ 397,422
Fiscal Services	\$ 48,356	\$ 8,527					\$ 56,883	\$ 271,976	\$ 57,542	\$ 310,639
Human Resources	\$ 13,332	\$ 3,579	\$ (83,997)				\$ (67,085)	\$ 169,325	\$ 11,432	\$ 157,165
Business & Facilities Services	\$ 33,105	\$ 4,179					\$ 37,285	\$ 194,751	\$ 42,115	\$ 173,385
Information Technology	\$ 45,630	\$ 540,515					\$ 586,145	\$ 1,249,907	\$ 123,014	\$ 1,089,311
Court Administration Program	\$ 140,423	\$ 584,017	\$ (83,997)				\$ 640,443	\$ 2,242,559	\$ 298,804	\$ 2,127,922
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 1,015,061	\$ 781,600	\$ 765				\$ 1,797,426	\$ 7,262,361	\$ 1,315,570	\$ 6,947,869