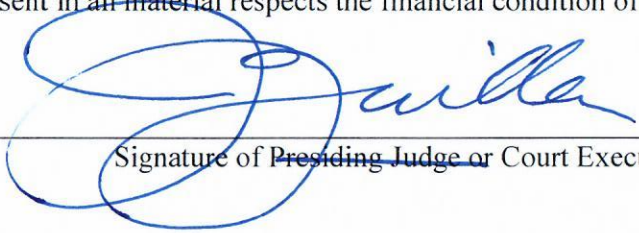


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

12/9/16

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Date

Sonoma

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Court

2016-2017 Q1

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Sonoma  
Court

2016-2017 Q1  
Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Sonoma  
 Court

2016-2017 Q1

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	208.45	189.25			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2016/17									2015/16
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (505,787)	\$ 1,046,375	\$ (372,270)				\$ 138,361	\$ 306,679	\$ 436,744
Payroll	\$ 1,448	\$ 0						\$ 1,448	\$ (80)
Jury Revolving	\$ 14,816							\$ 14,816	\$ 15,000
Other Distribution							\$ 0	\$ 0	\$ 335
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ (390,023)	\$ (390,023)	\$ (100,966)
Cash on Hand	\$ 7,950							\$ 7,950	\$ 7,950
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 8,279,557						\$ 7,744,985	\$ 16,024,542	\$ 16,492,813
Total Cash and Cash Equivalents	\$ 7,797,984	\$ 1,046,375	\$ (372,270)				\$ 7,493,324	\$ 15,965,413	\$ 16,851,796
Short-Term Investment Investments							\$ 0	\$ 0	\$ 0
Total Investments							\$ 0	\$ 0	\$ 0
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ (11,391)	\$ 0	\$ 0					\$ (11,391)	\$ (11,391)
Trust Due To/From							\$ 10,609	\$ 10,609	\$ 5,620
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 7							\$ 7	\$ 62
Total Receivables	\$ (11,384)	\$ 0	\$ 0				\$ 10,609	\$ (774)	\$ (5,709)
Prepaid Expenses - General	\$ 15,585							\$ 15,585	\$ 27,540
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 15,585							\$ 15,585	\$ 27,540
Other Assets									
Total Other Assets									
Total Assets	\$ 7,802,185	\$ 1,046,375	\$ (372,270)				\$ 7,503,933	\$ 15,980,224	\$ 16,873,627
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 20,104	\$ 3,002	\$ 74				\$ 16,659	\$ 39,838	\$ 43,572
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 10,616	\$ 10,616	\$ 5,682
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 541,986	\$ 541,986	\$ 484,374
Due to Other Governments							\$ 658,553	\$ 658,553	\$ 797,416
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 109							\$ 109	\$ 0
Interest							\$ 1,402	\$ 1,402	\$ 129
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 20,213	\$ 3,002	\$ 74				\$ 1,229,217	\$ 1,252,505	\$ 1,331,172
Civil							\$ 5,540,442	\$ 5,540,442	\$ 6,394,729
Criminal							\$ 554,454	\$ 554,454	\$ 245,129
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 29,731
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 12,729	\$ 12,729	\$ 6,223
Miscellaneous Trust									
Total Trust Deposits							\$ 6,137,356	\$ 6,137,356	\$ 6,675,812
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 18,624						\$ 0	\$ 18,624	\$ 26,475
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 28,417							\$ 28,417	\$ 25,756
Payroll Clearing									
Total Payroll Liabilities	\$ 47,041	\$ 0	\$ 0				\$ 0	\$ 47,041	\$ 52,231
Revenue Collected in Advance									\$ 0
Liabilities For Deposits	\$ 14,209						\$ 27,383	\$ 41,593	\$ 41,048
Jury Fees - Non-Interest							\$ 106,596	\$ 106,596	\$ 107,952
Fees - Partial Payment & Overpayment							\$ 3,382	\$ 3,382	\$ 2,869
Uncleared Collections	\$ 0							\$ 0	\$ 32
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 14,209	\$ 0	\$ 0				\$ 137,361	\$ 151,570	\$ 151,902
Total Liabilities	\$ 81,464	\$ 3,002	\$ 74				\$ 7,503,933	\$ 7,588,472	\$ 8,211,117
Total Fund Balance	\$ 7,720,722	\$ 1,043,373	\$ (372,343)					\$ 8,391,751	\$ 8,662,510
Total Liabilities and Fund Balance	\$ 7,802,185	\$ 1,046,375	\$ (372,270)				\$ 7,503,933	\$ 15,980,224	\$ 16,873,627

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 11,298,839	\$ 14,050					\$ 11,312,889	\$ 23,294,510	\$ 11,318,393	\$ 24,044,853	
Improvement and Modernization Fund								\$ 64,555		\$ 64,555	
Judges' Compensation (45.25)	\$ 0						\$ 0		\$ 112,288		
Court Interpreter (45.45)	\$ 285,611						\$ 285,611	\$ 1,223,968	\$ 273,348	\$ 1,146,890	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 40,146						\$ 40,146	\$ 210,213	\$ 49,613	\$ 185,370	
Other Miscellaneous								\$ 1,172,049		\$ 1,172,049	
	\$ 11,624,596	\$ 14,050					\$ 11,638,646	\$ 25,965,295	\$ 11,753,642	\$ 26,613,717	
Grants											
AB 1058 Commissioner/Facilitator			\$ (69,416)				\$ (69,416)	\$ 857,586	\$ (42,771)	\$ 841,006	
Other Judicial Council Grants			\$ 0				\$ 0	\$ 262,043	\$ 0	\$ 322,157	
Non-Judicial Council Grants											
			\$ (69,416)				\$ (69,416)	\$ 1,119,629	\$ (42,771)	\$ 1,163,163	
Other Financing Sources											
Interest Income	\$ 1,248	\$ 69					\$ 1,317	\$ 26,840	\$ 159	\$ 17,850	
Investment Income											
Donations											
Local Fees	\$ 33,221						\$ 33,221	\$ 347,340	\$ 28,511	\$ 305,290	
Non-Fee Revenues											
Enhanced Collections		\$ (155,445)					\$ (155,445)	\$ 1,421,259	\$ 85,793	\$ 1,552,130	
Escheatment								\$ 100,062		\$ 122,180	
Prior Year Revenue								\$ 976	\$ 23,010	\$ 887	
County Program - Restricted	\$ 0	\$ 976					\$ 976	\$ 166,762	\$ 26,430	\$ 194,860	
Reimbursement Other	\$ 14,747						\$ 14,747	\$ 54,790	\$ 935	\$ 200,020	
Sale of Fixed Assets											
Other Miscellaneous	\$ 21						\$ 21				
	\$ 49,237	\$ (154,400)					\$ (105,162)	\$ 2,140,063	\$ 142,715	\$ 2,415,350	
<b>Total Revenues</b>	<b>\$ 11,673,833</b>	<b>\$ (140,350)</b>	<b>\$ (69,416)</b>				<b>\$ 11,464,067</b>	<b>\$ 29,224,987</b>	<b>\$ 11,853,585</b>	<b>\$ 30,192,230</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,357,658	\$ 114,191	\$ 140,770				\$ 2,612,618	\$ 12,391,852	\$ 2,469,643	\$ 11,526,287	
Temp Help											
Overtime	\$ 11,142		\$ 302				\$ 11,444		\$ 3,656		
Staff Benefits	\$ 2,186,583	\$ 118,638	\$ 109,823				\$ 2,415,043	\$ 11,476,397	\$ 2,235,659	\$ 10,985,932	
	\$ 4,555,382	\$ 232,829	\$ 250,894				\$ 5,039,105	\$ 23,868,249	\$ 4,708,958	\$ 22,512,219	
Operating Expenses and Equipment											
General Expense	\$ 43,830	\$ 436	\$ 1,244				\$ 45,510	\$ 356,756	\$ 50,546	\$ 346,130	
Printing	\$ 9,241	\$ 1,239	\$ 26				\$ 10,506	\$ 80,110	\$ 13,490	\$ 88,135	
Telecommunications	\$ 19,919	\$ 1,062	\$ 649				\$ 21,629	\$ 119,074	\$ 6,318	\$ 121,764	
Postage	\$ 26,099	\$ 2,596					\$ 28,696	\$ 161,115	\$ 25,264	\$ 165,440	
Insurance								\$ 7,060		\$ 7,930	
In-State Travel	\$ 1,140						\$ 1,140	\$ 20,860	\$ 3,292	\$ 20,741	
Out-of-State Travel	\$ 25,459						\$ 25,459	\$ 89,974	\$ 12,305	\$ 147,631	
Training	\$ 95	\$ 8					\$ 103	\$ 7,000	\$ 475	\$ 17,100	
Security Services	\$ 83,769						\$ 83,769	\$ 417,820	\$ 80,719	\$ 396,000	
Facility Operations	\$ 79,740	\$ 1,028					\$ 80,768	\$ 749,630	\$ 70,061	\$ 626,689	
Utilities	\$ 89						\$ 89	\$ 81,400	\$ 110	\$ 70	
Contracted Services	\$ 279,605	\$ 5,023	\$ 7,000				\$ 291,628	\$ 2,055,195	\$ 349,770	\$ 2,221,153	
Consulting and Professional Services	\$ 48,902	\$ 2,467	\$ 1,365				\$ 52,733	\$ 764,368	\$ 48,567	\$ 708,280	
Information Technology	\$ 34,873	\$ 3,862	\$ 215				\$ 38,951	\$ 714,385	\$ 659,887	\$ 796,910	
Major Equipment	\$ 0						\$ 0		\$ 12,754	\$ 13,500	
Other Items of Expense	\$ 215						\$ 215	\$ 4,600	\$ 659	\$ 2,680	
	\$ 652,976	\$ 17,721	\$ 10,499				\$ 681,196	\$ 5,629,347	\$ 1,334,218	\$ 5,680,153	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 2,100	\$ 0	\$ 2,060	
Jury Costs	\$ 18,237						\$ 18,237	\$ 151,370	\$ 46,510	\$ 173,529	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 0	\$ 1,200,000	
Capital Costs											
Internal Cost Recovery	\$ (76,394)	\$ 34,860	\$ 41,534				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ (58,157)	\$ 34,860	\$ 41,534				\$ 18,237	\$ 153,470	\$ 46,510	\$ 1,375,589	
<b>Total Expenditures</b>	<b>\$ 5,150,201</b>	<b>\$ 285,410</b>	<b>\$ 302,927</b>				<b>\$ 5,738,538</b>	<b>\$ 29,651,066</b>	<b>\$ 6,089,686</b>	<b>\$ 29,567,961</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 6,523,632	\$ (425,760)	\$ (372,343)				\$ 5,725,529	\$ (426,079)	\$ 5,763,900	\$ 624,269	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,197,089	\$ 1,469,133	\$ 0				\$ 2,666,222	\$ 2,666,222	\$ 2,898,610	\$ 2,898,610	
Ending Balance (Deficit)	\$ 7,720,722	\$ 1,043,373	\$ (372,343)				\$ 8,391,751	\$ 2,240,143	\$ 8,662,510	\$ 3,522,879	

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September

Fiscal Year 2016/17 2015/16

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,894,371	\$ 215,322			\$ 22,378		\$ 2,132,070	\$ 10,536,711	\$ 2,097,552	\$ 10,347,966
Traffic & Other Infractions	\$ 155,322	\$ 12,509					\$ 167,832	\$ 782,702	\$ 153,911	\$ 778,966
Other Criminal Cases	\$ 328,078	\$ 1,453			\$ 9,244		\$ 338,774	\$ 1,590,766	\$ 363,715	\$ 1,719,404
Civil	\$ 252,864	\$ 2,163					\$ 255,027	\$ 1,251,256	\$ 233,233	\$ 1,106,674
Family & Children Services	\$ 519,744	\$ 33,096			\$ 9,912		\$ 562,752	\$ 2,631,297	\$ 503,785	\$ 2,490,670
Probate, Guardianship & Mental Health Services	\$ 110,255	\$ 872					\$ 111,126	\$ 551,711	\$ 121,598	\$ 494,167
Juvenile Dependency Services	\$ 22,067	\$ 1,672					\$ 23,740	\$ 105,785	\$ 22,493	\$ 105,201
Juvenile Delinquency Services	\$ 26,614	\$ 8,113					\$ 34,727	\$ 336,192	\$ 68,091	\$ 250,834
Other Court Operations	\$ 381,884	\$ 181,610	\$ 0				\$ 563,494	\$ 3,482,133	\$ 996,981	\$ 4,016,170
Court Interpreters	\$ 241,123	\$ 48,250					\$ 289,373	\$ 1,468,505	\$ 332,990	\$ 1,385,914
Jury Services	\$ 59,979	\$ 10,259	\$ 18,237				\$ 88,475	\$ 375,799	\$ 112,404	\$ 432,907
Security		\$ 83,769					\$ 83,769	\$ 417,820	\$ 81,527	
Trial Court Operations Program	\$ 3,992,301	\$ 599,088	\$ 18,237		\$ 41,534		\$ 4,651,160	\$ 23,530,677	\$ 5,088,280	\$ 23,128,873
Enhanced Collections	\$ 232,829	\$ 2,206			\$ 34,860		\$ 269,895	\$ 1,450,303	\$ 257,971	\$ 1,552,130
Other Non-Court Operations		\$ 2,000					\$ 2,000	\$ 12,000	\$ 2,000	\$ 12,000
Non-Court Operations Program	\$ 232,829	\$ 4,206			\$ 34,860		\$ 271,895	\$ 1,462,303	\$ 259,971	\$ 1,564,130
Executive Office	\$ 165,649	\$ 1,778					\$ 167,428	\$ 799,374	\$ 152,946	\$ 748,116
Fiscal Services	\$ 164,670	\$ 13,340			\$ (76,394)		\$ 101,616	\$ 501,935	\$ 130,333	\$ 500,215
Human Resources	\$ 126,002	\$ 23,275					\$ 149,277	\$ 643,094	\$ 119,478	\$ 1,767,727
Business & Facilities Services	\$ 70,527	\$ 8,043					\$ 78,571	\$ 387,266	\$ 73,585	\$ 374,606
Information Technology	\$ 287,127	\$ 31,465					\$ 318,592	\$ 2,326,417	\$ 265,092	\$ 1,484,294
Court Administration Program	\$ 813,975	\$ 77,902			\$ (76,394)		\$ 815,483	\$ 4,658,086	\$ 741,434	\$ 4,874,958
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 5,039,105	\$ 681,196	\$ 18,237		\$ 0		\$ 5,738,538	\$ 29,651,066	\$ 6,089,686	\$ 29,567,961