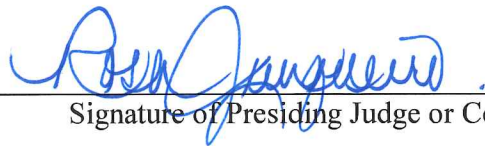


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12-14-16

Date

San Joaquin

Court

FY2016-2017 Qtr 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

FY2016-2017 Qtr 1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Joaquin

Court

FY2016-2017 Qtr 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	321.11	312.69			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

		For the month ended September								
		Fiscal Year 2016/17						2015/16		
		Governmental Funds						Total Funds	Total Funds	
		Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
		General	Non-Grant	Grant						
ASSETS										
Operations		\$ (2,658,905)	\$ 877,652	\$ (334,517)	\$ 2,308,614		\$ 214,293	\$ 407,137	\$ 332,714	
Payroll		\$ 2,260	\$ 0	\$ 0				\$ 2,260	\$ 0	
Jury										
Revolving		\$ 15,000						\$ 15,000	\$ 0	
Other		\$ 8,807						\$ 8,807	\$ 0	
Distribution							\$ (30,174)	\$ (30,174)	\$ (16,511)	
Civil Filing Fees							\$ 0	\$ 0	\$ 1,484	
Trust							\$ (79,455)	\$ (79,455)	\$ (304)	
Credit Card										
Cash on Hand		\$ 9,800						\$ 9,800	\$ 10,960	
Cash with County		\$ (18,465)	\$ 376,324	\$ (78,174)				\$ 279,686	\$ 1,154,871	
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0	
Cash Equivalents		\$ 12,981,761					\$ 4,102,177	\$ 17,083,937	\$ 15,765,302	
Total Cash and Cash Equivalents		\$ 10,340,257	\$ 1,253,975	\$ (412,691)	\$ 2,308,614		\$ 4,206,841	\$ 17,696,997	\$ 17,248,517	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue		\$ 0	\$ 0		\$ 0		\$ 0	\$ 0	\$ 0	
Accounts Receivable - General		\$ 551		\$ 0				\$ 551	\$ 0	
Dishonored Checks										
Due From Employee			\$ 0					\$ 0	\$ 75	
Civil Jury Fees		\$ 0						\$ 0	\$ 0	
Trust										
Due From Other Funds		\$ 0						\$ 0	\$ 0	
Due From Other Governments		\$ 0	\$ 0	\$ 684				\$ 684	\$ 186,626	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State		\$ 91,451	\$ 0	\$ 366,205				\$ 457,656	\$ 436,585	
Trust Due To/From							\$ 152,263	\$ 152,263		
Distribution Due To/From							\$ 5	\$ 5		
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0	
General Due To/From		\$ 3,714	\$ 3,875				\$ 0	\$ 7,588	\$ 70	
Total Receivables		\$ 95,716	\$ 3,875	\$ 366,889	\$ 0		\$ 152,268	\$ 618,747	\$ 623,356	
Prepaid Expenses - General		\$ 147,401	\$ 0					\$ 147,401	\$ 159,477	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses		\$ 147,401	\$ 0					\$ 147,401	\$ 159,477	
Other Assets										
Total Other Assets										
Total Assets		\$ 10,583,374	\$ 1,257,850	\$ (45,802)	\$ 2,308,614		\$ 4,359,109	\$ 18,463,145	\$ 18,031,350	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General		\$ 29,318	\$ 24	\$ 525			\$ 0	\$ 29,867	\$ 29,788	
Due to Other Funds		\$ 5	\$ 0	\$ 0			\$ 159,851	\$ 159,856	\$ 70	
Due to Other Courts										
Due to State		\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 999,272	\$ 999,272	\$ 1,461,704	
Due to Other Governments		\$ 0	\$ 0	\$ 0	\$ 2,308,614			\$ 2,308,614	\$ 2,301,507	
AB145 Due to Other Government Agency							\$ 444,322	\$ 444,322		
Due to Other Public Agencies										
Sales and Use Tax		\$ 385						\$ 385	\$ 287	
Interest							\$ 719	\$ 719	\$ 132	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.		\$ 29,708	\$ 24	\$ 525	\$ 2,308,614		\$ 1,604,164	\$ 3,943,036	\$ 3,793,488	
Civil							\$ 2,077,940	\$ 2,077,940	\$ 1,514,414	
Criminal							\$ 617,737	\$ 617,737	\$ 805,640	
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 10,427	
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 18,214	\$ 18,214	\$ 22,497	
Miscellaneous Trust										
Total Trust Deposits							\$ 2,713,891	\$ 2,713,891	\$ 2,352,978	
Accrued Payroll		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Benefits Payable		\$ 561,462						\$ 561,462	\$ 498,146	
Deferred Compensation Payable		\$ 27,941						\$ 27,941	\$ 0	
Deductions Payable		\$ (38,320)						\$ (38,320)	\$ 0	
Payroll Clearing		\$ 0						\$ 0	\$ 0	
Total Payroll Liabilities		\$ 551,083	\$ 0	\$ 0				\$ 551,083	\$ 498,146	
Revenue Collected in Advance				\$ 0				\$ 0	\$ 0	
Liabilities For Deposits		\$ 88,786	\$ 2,325	\$ 2			\$ 21,325	\$ 112,438	\$ 102,542	
Jury Fees - Non-Interest							\$ 8,388	\$ 8,388	\$ 106,214	
Fees - Partial Payment & Overpayment							\$ 11,341	\$ 11,341	\$ 33,714	
Uncleared Collections		\$ 171,573					\$ 0	\$ 171,573	\$ 0	
Other Miscellaneous Liabilities		\$ 0						\$ 0	\$ 611,000	
Total Other Liabilities		\$ 260,366	\$ 2,325	\$ 2			\$ 41,054	\$ 303,741	\$ 853,471	
Total Liabilities		\$ 841,151	\$ 2,349	\$ 527	\$ 2,308,614		\$ 4,359,109	\$ 7,511,750	\$ 7,498,082	
Total Fund Balance		\$ 9,742,223	\$ 1,255,501	\$ (46,329)	\$ 0			\$ 10,951,395	\$ 10,533,268	
Total Liabilities and Fund Balance		\$ 10,583,374	\$ 1,257,850	\$ (45,802)	\$ 2,308,614		\$ 4,359,109	\$ 18,463,145	\$ 18,031,350	

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

For the month ended September										
Fiscal Year 2016/17										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2015/16	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)
Non-Grant		Grant								
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 16,510,619	\$ 18,525					\$ 16,529,144	\$ 32,906,263	\$ 15,023,454	\$ 30,460,346
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 89,889	\$ (25,032)	\$ 89,889
Judges' Compensation (45.25)										
Court Interpreter (45.45)	\$ 173,653						\$ 173,653	\$ 1,398,219	\$ 134,658	\$ 1,231,460
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 79,045						\$ 79,045	\$ 502,829	\$ 46,360	\$ 509,109
Other Miscellaneous								\$ 1,245,357		\$ 1,245,356
	\$ 16,763,317	\$ 18,525					\$ 16,781,842	\$ 36,142,557	\$ 15,179,440	\$ 33,536,160
Grants										
AB 1058 Commissioner/Facilitator			\$ 153,729				\$ 153,729	\$ 1,017,198	\$ 177,339	\$ 1,017,991
Other Judicial Council Grants			\$ 143,524				\$ 143,524	\$ 712,577	\$ 70,224	\$ 766,708
Non-Judicial Council Grants			\$ 39,546				\$ 39,546	\$ 1,102,975	\$ 25,580	\$ 989,902
			\$ 336,799				\$ 336,799	\$ 2,832,750	\$ 273,144	\$ 2,754,601
Other Financing Sources										
Interest Income	\$ 1,621	\$ 81					\$ 1,703	\$ 35,465	\$ 259	\$ 22,400
Investment Income										
Donations										
Local Fees	\$ 52,422	\$ 19,884					\$ 72,306	\$ 558,100	\$ 99,862	\$ 282,060
Non-Fee Revenues										
Enhanced Collections		\$ 27,283					\$ 27,283	\$ 542,726	\$ 62,366	\$ 547,325
Escheatment										
Prior Year Revenue	\$ (52)						\$ (52)		\$ 469	
County Program - Restricted		\$ 112,264					\$ 112,264	\$ 1,057,655	\$ 122,941	\$ 841,368
Reimbursement Other	\$ 4,316						\$ 4,316	\$ 60,663	\$ 10,038	\$ 70,225
Sale of Fixed Assets										
Other Miscellaneous	\$ 7,660						\$ 7,660	\$ 5,920	\$ 507	\$ 6,625
	\$ 65,988	\$ 159,512					\$ 225,480	\$ 2,260,529	\$ 296,441	\$ 1,770,003
Total Revenues	\$ 16,829,285	\$ 178,037	\$ 336,799				\$ 17,344,120	\$ 41,235,836	\$ 15,749,025	\$ 38,060,764
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 3,699,159	\$ 97,807	\$ 254,074				\$ 4,051,040	\$ 19,550,001	\$ 3,885,770	\$ 18,277,699
Temp Help	\$ 20,598						\$ 20,598	\$ 96,328	\$ 81,765	\$ 96,096
Overtime	\$ 13,491		\$ 0				\$ 13,491		\$ 31,513	
Staff Benefits	\$ 2,743,919	\$ 51,093	\$ 154,602				\$ 2,949,614	\$ 13,989,174	\$ 2,756,432	\$ 12,934,852
	\$ 6,477,168	\$ 148,900	\$ 408,676				\$ 7,034,743	\$ 33,635,503	\$ 6,755,480	\$ 31,308,647
Operating Expenses and Equipment										
General Expense	\$ 679,152	\$ 7,682	\$ 19,557				\$ 706,391	\$ 1,856,636	\$ 218,900	\$ 1,578,326
Printing	\$ 4,472	\$ 577	\$ 683				\$ 5,732	\$ 45,908	\$ 19,042	\$ 37,001
Telecommunications	\$ 20,908		\$ 52				\$ 20,961	\$ 165,753	\$ 22,941	\$ 123,809
Postage	\$ 78,163		\$ 80				\$ 78,243	\$ 174,825	\$ 31,873	\$ 232,865
Insurance								\$ 23,000		\$ 14,000
In-State Travel	\$ 4,370	\$ 0	\$ 3,297				\$ 7,667	\$ 86,166	\$ 5,383	\$ 93,683
Out-of-State Travel	\$ 4,683		\$ 1,554				\$ 6,237	\$ 36,275	\$ 18,989	\$ 32,170
Training	\$ 1,535						\$ 1,535	\$ 8,625	\$ 1,748	\$ 9,700
Security Services	\$ 155,111		\$ 2,468				\$ 157,579	\$ 1,008,304	\$ 168,532	\$ 796,064
Facility Operations	\$ 122,510		\$ 1,501				\$ 124,011	\$ 886,952	\$ 121,010	\$ 504,075
Utilities	\$ 23,219						\$ 23,219	\$ 60,000	\$ 11,411	\$ 47,500
Contracted Services	\$ 350,610	\$ 58,943	\$ 64,945				\$ 472,498	\$ 3,207,481	\$ 483,188	\$ 2,596,778
Consulting and Professional Services	\$ 21,732						\$ 21,732	\$ 134,167	\$ 4,680	\$ 153,090
Information Technology	\$ 125,080	\$ 921	\$ (1,704)				\$ 124,297	\$ 1,190,917	\$ 175,282	\$ 1,435,392
Major Equipment	\$ 67,641						\$ 67,641	\$ 160,000		\$ 145,400
Other Items of Expense	\$ (2,800)						\$ (2,800)	\$ 29,400	\$ 2,418	\$ 25,000
	\$ 1,656,387	\$ 66,123	\$ 92,434				\$ 1,814,944	\$ 9,074,419	\$ 1,285,398	\$ 7,826,953
Special Items of Expense										
Grand Jury		\$ 12,622					\$ 12,622	\$ 237,850	\$ 29,523	\$ 114,000
Jury Costs	\$ 67,789						\$ 67,789	\$ 276,175	\$ 76,937	\$ 297,325
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 0						\$ 0			
Capital Costs										
Internal Cost Recovery	\$ (15,967)		\$ 15,967				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ 26						\$ 26		\$ 1,542	
	\$ 51,848	\$ 12,622	\$ 15,967				\$ 80,437	\$ 514,025	\$ 108,002	\$ 411,325
Total Expenditures	\$ 8,165,402	\$ 227,845	\$ 517,077				\$ 8,930,124	\$ 43,223,947	\$ 8,148,879	\$ 39,546,825
Excess (Deficit) of Revenues Over Expenditures	\$ 8,643,883	\$ (49,608)	\$ (180,278)				\$ 8,413,997	\$ (1,988,111)	\$ 7,600,146	\$ (1,486,061)
Operating Transfers In (Out)	\$ 129	\$ (129)					\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,098,211	\$ 1,305,238	\$ 133,949	\$ 0			\$ 2,537,398	\$ 2,537,398	\$ 2,933,122	\$ 2,933,122
Ending Balance (Deficit)	\$ 9,742,223	\$ 1,255,501	\$ (46,329)	\$ 0			\$ 10,951,395	\$ 549,287	\$ 10,533,268	\$ 1,447,061

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended September										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,494,291	\$ 123,122	\$ 0				\$ 2,617,414	\$ 12,166,769	\$ 2,469,881	\$ 11,647,592
Traffic & Other Infractions	\$ 586,713	\$ 27,846					\$ 614,559	\$ 2,940,984	\$ 561,557	\$ 2,932,718
Other Criminal Cases	\$ 1,124,792	\$ 163,532					\$ 1,288,324	\$ 6,205,613	\$ 1,168,489	\$ 5,533,675
Civil	\$ 486,427	\$ 5,119					\$ 491,546	\$ 2,996,778	\$ 548,754	\$ 2,572,021
Family & Children Services	\$ 675,473	\$ 37,764			\$ 15,967		\$ 729,204	\$ 3,387,683	\$ 730,339	\$ 3,480,892
Probate, Guardianship & Mental Health Services	\$ 181,869	\$ 8,074					\$ 189,943	\$ 812,445	\$ 182,693	\$ 822,547
Juvenile Dependency Services	\$ 66,490	\$ 7,736					\$ 74,226	\$ 366,477	\$ 75,028	\$ 384,195
Juvenile Delinquency Services	\$ 83,092	\$ 10,997					\$ 94,089	\$ 443,285	\$ 104,658	\$ 467,287
Other Court Operations	\$ 206,556	\$ 236,762				\$ 26	\$ 443,344	\$ 2,045,155	\$ 267,492	\$ 1,169,485
Court Interpreters	\$ 216,189	\$ 108,926					\$ 325,115	\$ 1,533,272	\$ 329,654	\$ 1,353,068
Jury Services	\$ 63,309	\$ 54,570	\$ 67,789				\$ 185,667	\$ 807,399	\$ 179,551	\$ 771,128
Security		\$ 162,922					\$ 162,922	\$ 1,040,480	\$ 171,226	\$ 859,544
Trial Court Operations Program	\$ 6,185,201	\$ 947,370	\$ 67,789		\$ 15,967	\$ 26	\$ 7,216,353	\$ 34,746,340	\$ 6,789,323	\$ 31,994,152
Enhanced Collections	\$ 22,405	\$ 47,396					\$ 69,800	\$ 542,726	\$ 92,374	\$ 387,529
Other Non-Court Operations	\$ 14,633	\$ 10,472	\$ 12,622				\$ 37,728	\$ 415,835	\$ 45,094	\$ 302,735
Non-Court Operations Program	\$ 37,038	\$ 57,868	\$ 12,622				\$ 107,528	\$ 958,561	\$ 137,468	\$ 690,264
Executive Office	\$ 113,928	\$ 5,296			\$ (3,355)		\$ 115,868	\$ 723,455	\$ 110,123	\$ 638,820
Fiscal Services	\$ 248,595	\$ 40,266			\$ (3,921)		\$ 284,940	\$ 1,444,049	\$ 315,862	\$ 1,233,160
Human Resources	\$ 96,670	\$ (10,334)			\$ (2,793)		\$ 83,543	\$ 713,921	\$ 136,457	\$ 750,548
Business & Facilities Services	\$ 103,865	\$ 197,480			\$ (2,177)		\$ 299,167	\$ 1,669,683	\$ 227,756	\$ 1,004,289
Information Technology	\$ 249,447	\$ 576,998			\$ (3,721)		\$ 822,724	\$ 2,967,938	\$ 431,890	\$ 3,235,592
Court Administration Program	\$ 812,504	\$ 809,705			\$ (15,967)		\$ 1,606,243	\$ 7,519,046	\$ 1,222,088	\$ 6,862,409
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 7,034,743	\$ 1,814,944	\$ 80,411		\$ 0	\$ 26	\$ 8,930,124	\$ 43,223,947	\$ 8,148,879	\$ 39,546,825