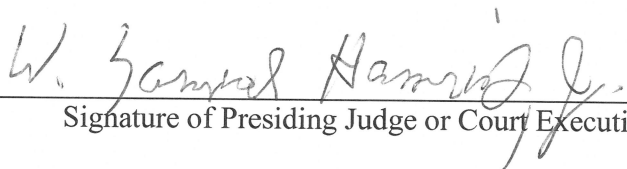


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

12/06/2016

\_\_\_\_\_  
Date

Riverside

\_\_\_\_\_  
Court

2016-2017 Quarter 1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Riverside

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Court

2016-2017 Quarter 1

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Fiscal Year and Ending Quarter

**FOOTNOTES**

1	None
2	
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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Riverside

Court

2016-2017 Quarter 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		1079.05			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Riverside  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September							2015/16	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2016/17									
	Governmental Funds					Proprietary Funds	Fiduciary Funds			
General	Special Revenue		Capital Project	Debt Service						
	Non-Grant	Grant								
<b>ASSETS</b>										
Operations	\$ (259,188)	\$ 1,401,765	\$ (513,605)				\$ 627,615	\$ 1,256,587	\$ 1,521,530	
Payroll	\$ (6,989)						\$ (1,606)	\$ (8,595)	\$ (952)	
Jury										
Revolving	\$ 12,500							\$ 12,500	\$ 12,500	
Other										
Distribution							\$ 1,127,779	\$ 1,127,779	\$ 2,491,574	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (542,657)	\$ (542,657)	\$ 359,073	
Credit Card										
Cash on Hand	\$ 19,605						\$ 0	\$ 19,605	\$ 19,935	
Cash with County										
Cash Outside of the JCC							\$ 6,281	\$ 6,281	\$ 54,193	
Cash Equivalents	\$ 23,915,311						\$ 36,554,486	\$ 60,469,797	\$ 60,094,758	
<b>Total Cash and Cash Equivalents</b>	<b>\$ 23,681,239</b>	<b>\$ 1,401,765</b>	<b>\$ (513,605)</b>				<b>\$ 37,771,898</b>	<b>\$ 62,341,297</b>	<b>\$ 64,552,612</b>	
Short-Term Investment										
Investments										
<b>Total Investments</b>										
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General	\$ 7,677	\$ 775,684	\$ 461,168					\$ 1,244,529	\$ 739,789	
Dishonored Checks										
Due From Employee	\$ 4,788	\$ 0	\$ 0					\$ 4,788	\$ 4,548	
Civil Jury Fees										
Trust									\$ (15,557)	
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 2,796	\$ 0	\$ 0					\$ 2,796	\$ 2,795	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Trust Due To/From							\$ 255,106	\$ 255,106	\$ 26,688	
Distribution Due To/From							\$ 22,781	\$ 22,781	\$ 74,485	
Civil Filing Fee Due To/From							\$ 3,214,572	\$ 3,214,572	\$ 0	
General Due To/From	\$ 737,325	\$ 822,801					\$ 1,560,127	\$ 1,560,127	\$ 27,390	
<b>Total Receivables</b>	<b>\$ 752,586</b>	<b>\$ 1,598,485</b>	<b>\$ 461,168</b>				<b>\$ 3,492,459</b>	<b>\$ 6,304,698</b>	<b>\$ 860,139</b>	
Prepaid Expenses - General	\$ 0							\$ 0	\$ 668,051	
Salary and Travel Advances										
Counties										
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 668,051</b>	
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>	<b>\$ 24,433,825</b>	<b>\$ 3,000,250</b>	<b>\$ (52,437)</b>				<b>\$ 41,264,357</b>	<b>\$ 68,645,995</b>	<b>\$ 66,080,801</b>	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 204,504	\$ 464,255	\$ 0				\$ 211	\$ 668,971	\$ 1,454,487	
Due to Other Funds	\$ 413	\$ 17,860	\$ 0				\$ 5,138,618	\$ 5,156,891	\$ 2,126,457	
Due to Other Courts										
Due to State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
TC145 Liability							\$ 3,214,572	\$ 3,214,572	\$ 3,295,954	
Due to Other Governments	\$ 0	\$ 39,291	\$ 0					\$ 39,291	\$ 248,343	
AB145 Due to Other Government Agency							\$ 9,669,303	\$ 9,669,303	\$ 10,494,930	
Due to Other Public Agencies										
Sales and Use Tax	\$ 838	\$ 650						\$ 1,488	\$ 2,629	
Interest							\$ 7,276	\$ 7,276	\$ 906	
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 205,755</b>	<b>\$ 522,057</b>	<b>\$ 0</b>				<b>\$ 18,029,980</b>	<b>\$ 18,757,791</b>	<b>\$ 17,623,706</b>	
Civil							\$ 14,765,485	\$ 14,765,485	\$ 13,447,971	
Criminal	\$ 0						\$ 4,626,781	\$ 4,626,781	\$ 3,468,517	
Unreconciled - Civil and Criminal							\$ 642,846	\$ 642,846	\$ 719,473	
Trust Held Outside of the JCC							\$ 6,281	\$ 6,281	\$ 54,193	
Trust Interest Payable							\$ 1,748,071	\$ 1,748,071	\$ 1,706,657	
Miscellaneous Trust										
<b>Total Trust Deposits</b>	<b>\$ 0</b>						<b>\$ 21,789,463</b>	<b>\$ 21,789,463</b>	<b>\$ 19,396,811</b>	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ 177,794							\$ 177,794	\$ (771,083)	
Deferred Compensation Payable	\$ (10,328)							\$ (10,328)	\$ (10,328)	
Deductions Payable	\$ 250,269	\$ 0						\$ 250,269	\$ 262,976	
Payroll Clearing	\$ (11,976)	\$ 0	\$ 0					\$ (11,976)	\$ (11,852)	
<b>Total Payroll Liabilities</b>	<b>\$ 405,759</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ 405,759</b>	<b>\$ (530,286)</b>	
Revenue Collected in Advance		\$ 612,835	\$ 0					\$ 612,835	\$ 514,873	
Liabilities For Deposits	\$ 179,373	\$ 11,851	\$ 124				\$ 1,412,819	\$ 1,604,167	\$ 2,916,087	
Jury Fees - Non-Interest							\$ 21,394	\$ 21,394	\$ 42,379	
Fees - Partial Payment & Overpayment							\$ 10,702	\$ 10,702	\$ 14,162	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities										
<b>Total Other Liabilities</b>	<b>\$ 179,373</b>	<b>\$ 624,686</b>	<b>\$ 124</b>				<b>\$ 1,444,914</b>	<b>\$ 2,249,097</b>	<b>\$ 3,487,502</b>	
<b>Total Liabilities</b>	<b>\$ 790,887</b>	<b>\$ 1,146,742</b>	<b>\$ 124</b>				<b>\$ 41,264,357</b>	<b>\$ 43,202,111</b>	<b>\$ 39,977,732</b>	
<b>Total Fund Balance</b>	<b>\$ 23,642,938</b>	<b>\$ 1,853,507</b>	<b>\$ (52,561)</b>					<b>\$ 25,443,885</b>	<b>\$ 26,103,069</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 24,433,825</b>	<b>\$ 3,000,250</b>	<b>\$ (52,437)</b>				<b>\$ 41,264,357</b>	<b>\$ 68,645,995</b>	<b>\$ 66,080,801</b>	

Superior Court of California, County of Riverside  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 43,454,679	\$ 70,103					\$ 43,524,782	\$ 98,710,821	\$ 41,677,441	\$ 99,035,461	
Improvement and Modernization Fund							\$ 262,742	\$ (28,801)	\$ 262,742		
Judges' Compensation (45.25)	\$ 2,619,471						\$ 2,619,471	\$ 12,530,770	\$ 2,570,022	\$ 12,665,287	
Court Interpreter (45.45)	\$ 1,192,625						\$ 1,192,625	\$ 5,324,226	\$ 902,092	\$ 4,409,120	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 956,630						\$ 956,630	\$ 7,660,879	\$ 568,509	\$ 7,820,059	
Other Miscellaneous								\$ 923,657		\$ 923,657	
	\$ 48,223,406	\$ 70,103					\$ 48,293,509	\$ 125,413,095	\$ 45,689,264	\$ 125,116,326	
Grants											
AB 1058 Commissioner/Facilitator			\$ 461,168				\$ 461,168	\$ 2,189,255	\$ 310,544	\$ 2,174,574	
Other Judicial Council Grants			\$ 31,812				\$ 31,812	\$ 22,925	\$ 2,188	\$ 41,734	
Non-Judicial Council Grants			\$ 492,980				\$ 492,980	\$ 2,212,180	\$ 38,840	\$ 516,780	
									\$ 361,572	\$ 2,733,088	
Other Financing Sources											
Interest Income	\$ 4,076	\$ 263					\$ 4,338	\$ 68,342	\$ 469	\$ 62,567	
Investment Income											
Donations											
Local Fees	\$ 1,038,566	\$ 54,154					\$ 1,092,721	\$ 5,956,000	\$ 1,048,326	\$ 5,840,103	
Non-Fee Revenues	\$ 422,644						\$ 422,644	\$ 1,005,982	\$ 700,416	\$ 939,377	
Enhanced Collections	\$ 16,961	\$ 1,215,923					\$ 1,232,884	\$ 9,761,955	\$ 1,150,101	\$ 9,607,321	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 38,852	\$ 74,935					\$ 113,787	\$ 971,557	\$ 141,777	\$ 975,526	
Reimbursement Other	\$ 385,028	\$ (5,885)					\$ 379,143	\$ 4,925,999	\$ 422,583	\$ 5,450,829	
Sale of Fixed Assets											
Other Miscellaneous	\$ 308						\$ 308	\$ 36,915	\$ 791	\$ 93,677	
	\$ 1,906,435	\$ 1,339,390					\$ 3,245,825	\$ 22,726,750	\$ 3,464,461	\$ 22,969,400	
<b>Total Revenues</b>	<b>\$ 50,129,841</b>	<b>\$ 1,409,493</b>	<b>\$ 492,980</b>				<b>\$ 52,032,314</b>	<b>\$ 150,352,025</b>	<b>\$ 49,505,297</b>	<b>\$ 150,818,814</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 17,080,639	\$ 1,007,422	\$ 286,597				\$ 18,374,659	\$ 83,893,954	\$ 18,576,060	\$ 84,347,505	
Temp Help	\$ 59,438		\$ 37				\$ 59,475	\$ 27,527	\$ 27,527	\$ 27,527	
Overtime	\$ 1,437	\$ 3,275					\$ 4,712	\$ 50,616	\$ 20,236	\$ 90,015	
Staff Benefits	\$ 6,390,547	\$ 482,165	\$ 131,572				\$ 7,004,283	\$ 37,238,371	\$ 6,821,847	\$ 34,096,035	
	\$ 23,532,061	\$ 1,492,862	\$ 418,206				\$ 25,443,130	\$ 121,182,941	\$ 25,445,670	\$ 118,533,555	
Operating Expenses and Equipment											
General Expense	\$ 724,312	\$ 31,794	\$ 332				\$ 756,438	\$ 5,175,122	\$ 867,063	\$ 6,493,511	
Printing	\$ 24,993	\$ 9,799					\$ 34,792	\$ 266,150	\$ 37,056	\$ 361,690	
Telecommunications	\$ 130,210	\$ 13,530					\$ 143,740	\$ 766,451	\$ 131,866	\$ 751,798	
Postage	\$ 182,611	\$ 29,709	\$ 50				\$ 212,371	\$ 1,049,181	\$ 244,533	\$ 1,137,004	
Insurance	\$ (192)	\$ (13)					\$ (205)	\$ 48,689		\$ 52,012	
In-State Travel	\$ 17,018	\$ 3,249	\$ 4,867				\$ 25,133	\$ 117,776	\$ 29,022	\$ 171,605	
Out-of-State Travel	\$ 587						\$ 587	\$ 4,872	\$ 4,542	\$ 21,764	
Training	\$ 7,493	\$ 1,030	\$ 4,685				\$ 13,208	\$ 76,576	\$ 33,949	\$ 141,454	
Security Services	\$ 134,624	\$ 780	\$ 19,400				\$ 154,805	\$ 2,234,366	\$ 206,286	\$ 2,079,947	
Facility Operations	\$ 368,640	\$ 197,024	\$ 1,681				\$ 567,345	\$ 2,930,825	\$ 531,597	\$ 4,102,006	
Utilities	\$ (2,440)						\$ (2,440)	\$ 116,102			
Contracted Services	\$ 1,672,583	\$ 257,719	\$ 28,149				\$ 1,958,451	\$ 12,344,082	\$ 1,980,533	\$ 16,145,553	
Consulting and Professional Services	\$ 9,427	\$ 26,040					\$ 35,467	\$ 260,382	\$ 50,787	\$ 254,375	
Information Technology	\$ 777,442	\$ 168,876					\$ 946,318	\$ 2,593,736	\$ 986,506	\$ 3,624,655	
Major Equipment	\$ 11,723	\$ 11,723					\$ 11,723	\$ 307,900	\$ 28,224	\$ 739,065	
Other Items of Expense	\$ 5,870	\$ 5,445					\$ 11,316	\$ 68,488	\$ 9,151	\$ 73,528	
	\$ 4,053,180	\$ 756,705	\$ 59,164				\$ 4,869,049	\$ 28,360,698	\$ 5,141,114	\$ 36,149,967	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 234,086						\$ 234,086	\$ 1,033,000	\$ 227,916	\$ 1,033,000	
Judgements, Settlements and Claims									\$ 20,000		
Debt Service											
Other									\$ 10,000		
Capital Costs											
Internal Cost Recovery	\$ (218,190)	\$ 150,020	\$ 68,171				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (147,154)						\$ (147,154)			\$ 0	
	\$ (131,259)	\$ 150,020	\$ 68,171				\$ 86,932	\$ 1,033,000	\$ 257,916	\$ 1,033,000	
<b>Total Expenditures</b>	<b>\$ 27,453,983</b>	<b>\$ 2,399,587</b>	<b>\$ 545,540</b>				<b>\$ 30,399,110</b>	<b>\$ 150,576,639</b>	<b>\$ 30,844,700</b>	<b>\$ 155,716,522</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 22,675,859	\$ (990,094)	\$ (52,561)				\$ 21,633,204	\$ (224,614)	\$ 18,660,597	\$ (4,897,708)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 967,079	\$ 2,843,601	\$ 0				\$ 3,810,681	\$ 3,810,681	\$ 7,442,472	\$ 7,442,472	
Ending Balance (Deficit)	\$ 23,642,938	\$ 1,853,507	\$ (52,561)				\$ 25,443,885	\$ 3,586,067	\$ 26,103,069	\$ 2,544,764	

Superior Court of California, County of Riverside  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 9,921,246	\$ 82,857					\$ 10,004,103	\$ 47,980,217	\$ 9,960,548	\$ 47,729,840
Traffic & Other Infractions	\$ 1,096,879	\$ 76,970					\$ 1,173,849	\$ 6,324,286	\$ 1,171,685	\$ 5,660,253
Other Criminal Cases	\$ 3,154,987	\$ 79,248					\$ 3,234,235	\$ 17,473,086	\$ 3,357,123	\$ 17,084,027
Civil	\$ 1,980,617	\$ 45,619					\$ 2,026,236	\$ 10,021,282	\$ 2,045,603	\$ 9,331,605
Family & Children Services	\$ 2,554,696	\$ 8,392			\$ 0		\$ 2,563,088	\$ 13,644,405	\$ 2,547,610	\$ 12,789,214
Probate, Guardianship & Mental Health Services	\$ 566,062	\$ 8,094					\$ 574,156	\$ 3,243,472	\$ 613,559	\$ 2,894,758
Juvenile Dependency Services	\$ 497,485	\$ 1,287,182					\$ 1,784,667	\$ 9,273,175	\$ 1,534,245	\$ 8,955,372
Juvenile Delinquency Services	\$ 42,093	\$ 10,743			\$ 6,042		\$ 58,878	\$ 100	\$ 53,769	\$ 1,500
Other Court Operations										
Court Interpreters	\$ 913,989	\$ 170,074					\$ 1,084,063	\$ 5,795,428	\$ 1,027,070	\$ 4,920,854
Jury Services	\$ 218,535	\$ 213,908	\$ 234,086				\$ 666,530	\$ 2,435,905	\$ 638,989	\$ 2,390,502
Security		\$ 209,758					\$ 209,758		\$ 193,894	\$ 1,996,951
Trial Court Operations Program	\$ 20,946,588	\$ 2,192,847	\$ 234,086		\$ 6,042		\$ 23,379,563	\$ 116,191,356	\$ 23,144,094	\$ 113,754,876
Enhanced Collections	\$ 1,235,565	\$ 513,226			\$ (6,042)		\$ 1,742,749	\$ 10,190,374	\$ 1,827,376	\$ 9,038,363
Other Non-Court Operations	\$ 9,641	\$ 71			\$ 0		\$ 9,712	\$ 35,667	\$ 12,157	\$ 65,269
Non-Court Operations Program	\$ 1,245,206	\$ 513,296			\$ (6,042)		\$ 1,752,460	\$ 10,226,041	\$ 1,839,533	\$ 9,103,632
Executive Office	\$ 265,800	\$ 1,395				\$ (147,154)	\$ 120,041	\$ (5,651,381)	\$ 546,166	\$ (2,336,659)
Fiscal Services	\$ 445,799	\$ 436,066					\$ 881,865	\$ 3,218,274	\$ 611,235	\$ 4,001,584
Human Resources	\$ 1,046,793	\$ 99,155					\$ 1,145,948	\$ 6,773,301	\$ 1,161,054	\$ 5,987,517
Business & Facilities Services	\$ 771,458	\$ 698,935					\$ 1,470,393	\$ 10,951,073	\$ 1,440,136	\$ 12,489,908
Information Technology	\$ 721,486	\$ 927,355					\$ 1,648,840	\$ 8,867,975	\$ 2,102,482	\$ 12,715,664
Court Administration Program	\$ 3,251,335	\$ 2,162,906				\$ (147,154)	\$ 5,267,087	\$ 24,159,242	\$ 5,861,073	\$ 32,858,014
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 25,443,130	\$ 4,869,049	\$ 234,086		\$ 0	\$ (147,154)	\$ 30,399,110	\$ 150,576,639	\$ 30,844,700	\$ 155,716,522