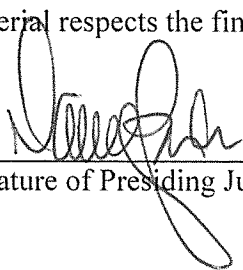


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

8/2/16

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Date

Santa Barbara

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Court

2015 / 4

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Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

2015 / 4

Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Santa Barbara

Court

2015 / 4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	235.38	226.67	232.66	233.605	234.855

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended June								
	Fiscal Year 2015/16							2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
<b>ASSETS</b>									
Operations	\$ (2,946,362)	\$ 2,678,219	\$ 16				\$ 261,581	\$ (6,545)	\$ 242,993
Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ (211,064)	\$ (211,064)	\$ 187,145
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 7						\$ 378,197	\$ 378,204	\$ (86,194)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 4,076,602						\$ 8,015,118	\$ 12,091,721	\$ 10,991,473
<b>Total Cash and Cash Equivalents</b>	<b>\$ 1,142,377</b>	<b>\$ 2,678,219</b>	<b>\$ 16</b>				<b>\$ 8,443,833</b>	<b>\$ 12,284,445</b>	<b>\$ 11,347,547</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 4,914	\$ 2,870					\$ 0	\$ 7,783	\$ 3,547
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 362,731
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 500,306						\$ 0	\$ 500,306	\$ 512,841
Due From Other Governments	\$ 0	\$ 318,467	\$ 54,973					\$ 373,440	\$ 381,456
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 376,763	\$ 21,035	\$ 268,850					\$ 666,647	\$ 784,109
Trust Due To/From							\$ 16,832	\$ 16,832	\$ 180,554
Distribution Due To/From							\$ 10,983	\$ 10,983	\$ 51,556
Civil Filing Fee Due To/From									
General Due To/From	\$ 2,628	\$ 62						\$ 2,689	\$ 1,486
<b>Total Receivables</b>	<b>\$ 884,610</b>	<b>\$ 342,433</b>	<b>\$ 323,823</b>				<b>\$ 27,815</b>	<b>\$ 1,578,681</b>	<b>\$ 2,258,280</b>
Prepaid Expenses - General	\$ 128,653							\$ 128,653	\$ 1,020
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 128,653</b>							<b>\$ 128,653</b>	<b>\$ 1,020</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 2,155,640</b>	<b>\$ 3,020,653</b>	<b>\$ 323,839</b>				<b>\$ 8,471,647</b>	<b>\$ 13,971,779</b>	<b>\$ 13,606,847</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 104,335	\$ 21,076	\$ 38,919					\$ 164,329	\$ 202,729
Accounts Payable - General	\$ 20,792	\$ 180	\$ 0				\$ 65,824	\$ 86,796	\$ 2,354
Due to Other Funds	\$ 46	\$ 249,495	\$ 251,527				\$ 29,742	\$ 530,810	\$ 746,437
Due to Other Courts	\$ 1,466							\$ 1,466	\$ 5
Due to State	\$ 15,942	\$ 278	\$ 0					\$ 16,221	\$ 31,205
TC145 Liability							\$ 495,298	\$ 495,298	\$ 494,987
Due to Other Governments	\$ 44,296	\$ 2,848	\$ 0					\$ 47,144	\$ 54,297
AB145 Due to Other Government Agency							\$ 2,963,620	\$ 2,963,620	\$ 3,251,169
Due to Other Public Agencies									
Sales and Use Tax	\$ 51							\$ 51	\$ 83
Interest							\$ 136	\$ 136	\$ 18
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 111							\$ 111	\$ 1,740
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 187,040</b>	<b>\$ 273,877</b>	<b>\$ 290,445</b>				<b>\$ 3,554,619</b>	<b>\$ 4,305,982</b>	<b>\$ 4,785,024</b>
Civil							\$ 3,224,432	\$ 3,224,432	\$ 3,058,932
Criminal							\$ 1,651,109	\$ 1,651,109	\$ 491,662
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 22,443	\$ 22,443	\$ 21,646
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 4,901,638</b>	<b>\$ 4,901,638</b>	<b>\$ 3,575,894</b>
Accrued Payroll	\$ 686,431	\$ 53,755	\$ 33,393					\$ 773,579	\$ 1,416,283
Benefits Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ 5,042	\$ 0	\$ 0					\$ 5,042	\$ 0
Payroll Clearing	\$ 1,396							\$ 1,396	
<b>Total Payroll Liabilities</b>	<b>\$ 692,870</b>	<b>\$ 53,755</b>	<b>\$ 33,393</b>					<b>\$ 780,018</b>	<b>\$ 1,416,283</b>
Revenue Collected in Advance									
Liabilities For Deposits	\$ 40,099						\$ 13,995	\$ 54,094	\$ 46,687
Jury Fees - Non-Interest							\$ 1,186	\$ 1,186	\$ 2,906
Fees - Partial Payment & Overpayment							\$ 210	\$ 210	\$ 668
Uncleared Collections	\$ (5,042)						\$ (1)	\$ (5,043)	\$ 0
Other Miscellaneous Liabilities	\$ 95,750							\$ 95,750	\$ 64,750
<b>Total Other Liabilities</b>	<b>\$ 130,807</b>						<b>\$ 15,390</b>	<b>\$ 146,197</b>	<b>\$ 115,011</b>
<b>Total Liabilities</b>	<b>\$ 1,010,716</b>	<b>\$ 327,632</b>	<b>\$ 323,839</b>				<b>\$ 8,471,647</b>	<b>\$ 10,133,834</b>	<b>\$ 9,692,211</b>
<b>Total Fund Balance</b>	<b>\$ 1,144,924</b>	<b>\$ 2,693,021</b>	<b>\$ 0</b>					<b>\$ 3,837,945</b>	<b>\$ 3,714,636</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 2,155,640</b>	<b>\$ 3,020,653</b>	<b>\$ 323,839</b>				<b>\$ 8,471,647</b>	<b>\$ 13,971,779</b>	<b>\$ 13,606,847</b>

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended June											
Fiscal Year 2015/16										2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 22,025,489	\$ 207,560					\$ 22,233,049	\$ 21,071,609	\$ 21,083,261	\$ 20,359,820	
Improvement and Modernization Fund	\$ 47,282						\$ 47,282	\$ 56,712	\$ 56,712	\$ 56,712	
Judges' Compensation (45.25)	\$ 195,882						\$ 195,882	\$ 199,500	\$ 193,748	\$ 199,500	
Court Interpreter (45.45)	\$ 1,402,189						\$ 1,402,189	\$ 1,575,469	\$ 1,280,973	\$ 1,516,515	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 375,480						\$ 375,480	\$ 542,657	\$ 479,106	\$ 501,115	
Other Miscellaneous	\$ 1,597,662						\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
	\$ 25,643,984	\$ 207,560					\$ 25,851,543	\$ 25,043,809	\$ 24,691,462	\$ 24,231,324	
Grants											
AB 1058 Commissioner/Facilitator			\$ 832,144				\$ 832,144	\$ 796,374	\$ 723,893	\$ 814,937	
Other Judicial Council Grants			\$ 52,559				\$ 52,559	\$ 35,278	\$ 35,278	\$ 44,000	
Non-Judicial Council Grants			\$ 58,731				\$ 58,731	\$ 73,022	\$ 26,978		
			\$ 943,434				\$ 943,434	\$ 904,674	\$ 786,149	\$ 858,937	
Other Financing Sources											
Interest Income	\$ 27,658	\$ 9,964					\$ 37,621	\$ 8,000	\$ 20,014	\$ 16,000	
Investment Income											
Donations											
Local Fees	\$ 80,596	\$ 148,193					\$ 228,789	\$ 254,000	\$ 272,221	\$ 256,000	
Non-Fee Revenues	\$ 125,000						\$ 125,000	\$ 125,000	\$ 125,000	\$ 125,000	
Enhanced Collections		\$ 840,007					\$ 840,007	\$ 1,031,761	\$ 1,004,261	\$ 1,058,563	
Escheatment											
Prior Year Revenue									\$ (5,046)		
County Program - Restricted		\$ 1,200,509					\$ 1,200,509	\$ 1,073,049	\$ 1,062,479	\$ 1,115,000	
Reimbursement Other	\$ 95,841						\$ 95,841	\$ 115,000	\$ 120,938	\$ 116,500	
Sale of Fixed Assets	\$ 1,750						\$ 1,750				
Other Miscellaneous	\$ 14,701						\$ 14,701	\$ 12,000	\$ 17,129	\$ 2,000	
	\$ 345,546	\$ 2,198,672					\$ 2,544,219	\$ 2,618,810	\$ 2,616,994	\$ 2,689,063	
<b>Total Revenues</b>	<b>\$ 25,989,530</b>	<b>\$ 2,406,232</b>	<b>\$ 943,434</b>				<b>\$ 29,339,198</b>	<b>\$ 28,567,093</b>	<b>\$ 28,094,606</b>	<b>\$ 27,779,324</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 11,782,885	\$ 993,537	\$ 482,518				\$ 13,258,940	\$ 15,039,872	\$ 12,999,548	\$ 14,273,059	
Temp Help	\$ 310,147	\$ 85,321	\$ 19,138				\$ 414,606	\$ 487,339	\$ 467,129	\$ 761,034	
Overtime	\$ 157,531	\$ 1,647	\$ 3,551				\$ 162,729	\$ 40,301	\$ 40,301		
Staff Benefits	\$ 8,200,028	\$ 698,101	\$ 335,410				\$ 9,233,539	\$ 8,300,017	\$ 8,932,356	\$ 7,915,592	
	\$ 20,450,591	\$ 1,778,606	\$ 840,616				\$ 23,069,814	\$ 23,827,228	\$ 22,439,334	\$ 22,949,685	
Operating Expenses and Equipment											
General Expense	\$ 715,722	\$ 33,788	\$ 5,192				\$ 754,703	\$ 757,317	\$ 751,330	\$ 848,885	
Printing	\$ 73,592	\$ 12,464	\$ 0				\$ 86,056	\$ 105,695	\$ 105,335	\$ 97,500	
Telecommunications	\$ 190,511	\$ 2,413					\$ 192,923	\$ 192,450	\$ 190,271	\$ 187,115	
Postage	\$ 128,046	\$ 24,772	\$ 183				\$ 153,001	\$ 156,285	\$ 155,395	\$ 153,155	
Insurance	\$ 13,454		\$ 198				\$ 13,652	\$ 20,185	\$ 17,127	\$ 21,475	
In-State Travel	\$ 33,468	\$ 5,232	\$ 4,607				\$ 43,306	\$ 30,455	\$ 33,581	\$ 35,870	
Out-of-State Travel	\$ 3,622						\$ 3,622	\$ 6,360	\$ 4,325	\$ 2,000	
Training	\$ 4,808	\$ 420	\$ 723				\$ 5,951	\$ 16,650	\$ 12,094	\$ 15,000	
Security Services	\$ 514,248		\$ 86,548				\$ 600,796	\$ 598,125	\$ 730,607	\$ 805,900	
Facility Operations	\$ 326,838	\$ 29,482					\$ 356,320	\$ 380,370	\$ 368,088	\$ 357,470	
Utilities		\$ 2,475					\$ 2,475	\$ 2,500	\$ 2,449	\$ 2,800	
Contracted Services	\$ 1,383,078	\$ 326,264	\$ 168,460				\$ 1,877,802	\$ 1,854,053	\$ 1,730,660	\$ 1,592,993	
Consulting and Professional Services	\$ 109,486	\$ 6,024	\$ 427				\$ 115,936	\$ 121,750	\$ 105,524	\$ 105,515	
Information Technology	\$ 1,413,778	\$ 27,187	\$ 702				\$ 1,441,668	\$ 1,591,475	\$ 1,806,999	\$ 2,251,025	
Major Equipment	\$ 282,402	\$ 0					\$ 282,402	\$ 524,960	\$ 274,767		
Other Items of Expense	\$ 24,406		\$ 4,480				\$ 28,886	\$ 28,550	\$ 28,739	\$ 30,000	
	\$ 5,217,458	\$ 470,520	\$ 271,521				\$ 5,959,499	\$ 6,387,180	\$ 6,315,289	\$ 6,306,703	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 186,575						\$ 186,575	\$ 250,000	\$ 256,033	\$ 208,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs									\$ 20,000		
Internal Cost Recovery	\$ (154,812)		\$ 154,812				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 31,763		\$ 154,812				\$ 186,575	\$ 250,000	\$ 276,033	\$ 208,000	
<b>Total Expenditures</b>	<b>\$ 25,699,812</b>	<b>\$ 2,249,126</b>	<b>\$ 1,266,949</b>				<b>\$ 29,215,887</b>	<b>\$ 30,464,408</b>	<b>\$ 29,030,657</b>	<b>\$ 29,484,388</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 289,718	\$ 157,106	\$ (323,515)				\$ 123,309	\$ (1,897,315)	\$ (936,051)	\$ (1,685,064)	
Operating Transfers In (Out)	\$ (323,515)		\$ 323,515				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,178,721	\$ 2,535,915	\$ 0				\$ 3,714,636	\$ 3,714,636	\$ 4,650,687	\$ 4,650,687	
Ending Balance (Deficit)	\$ 1,144,924	\$ 2,693,021	\$ 0				\$ 3,837,945	\$ 1,817,321	\$ 3,714,636	\$ 2,965,623	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended June									
	Fiscal Year 2015/16								2014/15	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 7,327,999	\$ 623,851			\$ 0		\$ 7,951,850	\$ 8,502,668	\$ 8,104,443	\$ 8,332,007
Traffic & Other Infractions	\$ 911,328	\$ 42,686					\$ 954,014	\$ 923,633	\$ 943,219	\$ 886,080
Other Criminal Cases	\$ 1,975,117	\$ 303,007					\$ 2,278,124	\$ 2,413,585	\$ 2,302,066	\$ 2,346,434
Civil	\$ 1,659,577	\$ 287,192					\$ 1,946,769	\$ 1,857,043	\$ 1,812,010	\$ 1,727,197
Family & Children Services	\$ 1,336,012	\$ 103,377			\$ 0		\$ 1,439,389	\$ 1,235,069	\$ 1,170,317	\$ 1,075,285
Probate, Guardianship & Mental Health Services	\$ 316,839	\$ 37,060					\$ 353,899	\$ 460,454	\$ 438,225	\$ 466,692
Juvenile Dependency Services	\$ 56,679						\$ 56,679	\$ 128,814	\$ 39,777	\$ 24,075
Juvenile Delinquency Services	\$ 228,159	\$ 40,927					\$ 269,086	\$ 199,553	\$ 273,908	\$ 291,778
Other Court Operations	\$ 2,738,999	\$ 517,287					\$ 3,256,287	\$ 3,565,668	\$ 3,214,242	\$ 3,362,494
Court Interpreters	\$ 1,152,698	\$ 415,061					\$ 1,567,759	\$ 1,577,813	\$ 1,319,174	\$ 1,399,130
Jury Services	\$ 379,419	\$ 128,966	\$ 186,575				\$ 694,959	\$ 828,560	\$ 778,908	\$ 696,461
Security		\$ 701,042					\$ 701,042	\$ 651,200	\$ 778,973	\$ 815,450
Trial Court Operations Program	\$ 18,082,827	\$ 3,200,456	\$ 186,575		\$ 0		\$ 21,469,857	\$ 22,344,060	\$ 21,175,262	\$ 21,423,083
Enhanced Collections	\$ 580,660	\$ 387,073					\$ 967,733	\$ 1,031,761	\$ 899,230	\$ 1,043,613
Other Non-Court Operations	\$ 917,777	\$ 28,404					\$ 946,180	\$ 944,786	\$ 943,537	\$ 994,129
Non-Court Operations Program	\$ 1,498,436	\$ 415,477					\$ 1,913,913	\$ 1,976,547	\$ 1,842,768	\$ 2,037,742
Executive Office	\$ 373,326	\$ 138,917					\$ 512,243	\$ 431,514	\$ 320,329	\$ 337,947
Fiscal Services	\$ 1,020,175	\$ 127,588					\$ 1,147,763	\$ 1,125,276	\$ 1,189,204	\$ 1,053,407
Human Resources	\$ 565,338	\$ 80,003					\$ 645,341	\$ 632,197	\$ 702,321	\$ 704,697
Business & Facilities Services		\$ 11,083					\$ 11,083	\$ 186,560	\$ 9,866	\$ 45,175
Information Technology	\$ 1,529,712	\$ 1,985,976					\$ 3,515,688	\$ 3,768,254	\$ 3,790,907	\$ 3,862,337
Court Administration Program	\$ 3,488,550	\$ 2,343,567					\$ 5,832,117	\$ 6,143,801	\$ 6,012,627	\$ 6,003,563
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 23,069,814	\$ 5,959,499	\$ 186,575		\$ 0		\$ 29,215,887	\$ 30,464,408	\$ 29,030,657	\$ 29,464,388

### Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Barbara

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	
Restricted	-	-	-	2,692,988	-	-	-	-	2,692,988	
Committed	-	-	-	-	-	-	-	-	-	
Assigned	128,653	1,016,271	1,144,924	32	-	-	-	-	1,144,956	
Unassigned	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>\$ 128,653</b>	<b>\$ 1,016,271</b>	<b>\$ 1,144,924</b>	<b>\$ 2,693,020</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 3,837,944</b>	