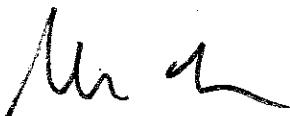


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of ~~Presiding Judge~~ or Court Executive

02/10/2016

\_\_\_\_\_  
Date

Santa Cruz

\_\_\_\_\_  
Court

2015-16 Q2

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Santa Cruz

Court

2015-16 Q2

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Santa Cruz

Court

2015-16 Q2

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		118.00	119.80		

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended December  
 Fiscal Year 2015/16

2014/15

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (421,082)	\$ 454,721	\$ (165,881)	\$ 0			\$ 41,080	\$ (91,163)	\$ (4,352)
Payroll	\$ (2,348)						\$ 0	\$ (2,348)	\$ (2,382)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ (18,251)	\$ (18,251)	
Civil Filing Fees	\$ 0	\$ 0					\$ 5,772	\$ 5,772	\$ 131,027
Trust							\$ (15,451)	\$ (15,451)	\$ (13,392)
Credit Card								\$ 3,350	\$ 3,350
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 2,082,210	\$ 2,082,210	\$ 1,625,580
Cash Outside of the JCC									
Cash Equivalents	\$ 2,610,982						\$ 330,415	\$ 2,941,397	\$ 3,939,371
<b>Total Cash and Cash Equivalents</b>	<b>\$ 2,195,902</b>	<b>\$ 454,721</b>	<b>\$ (165,881)</b>	<b>\$ 0</b>			<b>\$ 2,425,775</b>	<b>\$ 4,910,516</b>	<b>\$ 5,684,200</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ (22,024)	\$ 0					\$ 11,944	\$ (10,080)	\$ 11,944
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ (126,678)	\$ 0	\$ 210,204					\$ 83,526	\$ 81,116
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 5							\$ 5	\$ 15
<b>Total Receivables</b>	<b>\$ (148,697)</b>	<b>\$ 0</b>	<b>\$ 210,204</b>	<b>\$ 0</b>			<b>\$ 11,944</b>	<b>\$ 73,450</b>	<b>\$ 93,075</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 2,047,205</b>	<b>\$ 454,721</b>	<b>\$ 44,323</b>	<b>\$ 0</b>			<b>\$ 2,437,718</b>	<b>\$ 4,983,966</b>	<b>\$ 5,777,276</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 1,110	\$ 0	\$ 0	\$ 0			\$ 0	\$ 1,110	\$ 85,085
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 5	\$ 5	\$ 15
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 290,897	\$ 290,897	\$ 446,862
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 2,946							\$ 2,946	\$ (33)
Interest							\$ 71	\$ 71	\$ 7
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 4,055</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 290,973</b>	<b>\$ 295,028</b>	<b>\$ 531,936</b>
Civil							\$ 53,741	\$ 53,741	\$ 23,230
Criminal							\$ 0	\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 2,082,210	\$ 2,082,210	\$ 1,625,580
Trust Interest Payable									\$ 0
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 2,135,951</b>	<b>\$ 2,135,951</b>	<b>\$ 1,648,810</b>
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (2,045)							\$ (2,045)	\$ 129,547
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 1,088							\$ 1,088	\$ 1,884
Payroll Clearing	\$ (14)							\$ (14)	\$ (1)
<b>Total Payroll Liabilities</b>	<b>\$ (971)</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ (971)</b>	<b>\$ 131,430</b>
Revenue Collected in Advance	\$ 450		\$ 59,246					\$ 59,696	
Liabilities For Deposits	\$ 17,114						\$ 8,102	\$ 25,215	\$ 22,730
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 2,693	\$ 2,693	\$ 2,353
Uncleared Collections	\$ 240							\$ 240	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 17,804</b>		<b>\$ 59,246</b>				<b>\$ 10,794</b>	<b>\$ 87,844</b>	<b>\$ 25,082</b>
<b>Total Liabilities</b>	<b>\$ 20,888</b>	<b>\$ 0</b>	<b>\$ 59,246</b>	<b>\$ 0</b>			<b>\$ 2,437,718</b>	<b>\$ 2,517,852</b>	<b>\$ 2,337,258</b>
<b>Total Fund Balance</b>	<b>\$ 2,026,317</b>	<b>\$ 454,721</b>	<b>\$ (14,924)</b>	<b>\$ 0</b>				<b>\$ 2,466,114</b>	<b>\$ 3,440,018</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 2,047,205</b>	<b>\$ 454,721</b>	<b>\$ 44,323</b>	<b>\$ 0</b>			<b>\$ 2,437,718</b>	<b>\$ 4,983,966</b>	<b>\$ 5,777,276</b>

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended December											
Fiscal Year 2015/16										2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 7,500,025	\$ 37,736					\$ 7,537,761	\$ 12,402,957	\$ 7,131,187	\$ 11,724,594	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 35,288		\$ 35,288	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 395,637						\$ 395,637	\$ 868,000	\$ 489,734	\$ 707,780	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 108,651						\$ 108,651	\$ 195,480	\$ 117,209	\$ 196,778	
Other Miscellaneous	\$ 203,558						\$ 203,558	\$ 203,557	\$ 203,557	\$ 203,557	
	<b>\$ 8,207,871</b>	<b>\$ 37,736</b>					<b>\$ 8,245,607</b>	<b>\$ 13,705,282</b>	<b>\$ 7,941,687</b>	<b>\$ 12,867,997</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 182,046				\$ 182,046	\$ 315,002	\$ 175,337	\$ 332,686	
Other Judicial Council Grants			\$ 65,101				\$ 65,101	\$ 178,096	\$ 34,798	\$ 34,000	
Non-Judicial Council Grants											
			<b>\$ 247,147</b>				<b>\$ 247,147</b>	<b>\$ 493,098</b>	<b>\$ 210,135</b>	<b>\$ 366,686</b>	
Other Financing Sources											
Interest Income	\$ 1,824	\$ 368					\$ 2,192	\$ 7,800	\$ 2,041	\$ 9,313	
Investment Income											
Donations	\$ 0						\$ 0				
Local Fees	\$ 22,550	\$ 10,551					\$ 33,101	\$ 261,062	\$ 105,343	\$ 293,359	
Non-Fee Revenues											
Enhanced Collections		\$ 61,860					\$ 61,860	\$ 204,997	\$ 90,055	\$ 215,239	
Escheatment									\$ (2,701)		
Prior Year Revenue											
County Program - Restricted		\$ 3,609					\$ 3,609	\$ 41,300	\$ 43,037	\$ 47,441	
Reimbursement Other	\$ 4,899						\$ 4,899	\$ 196,946	\$ 62,499	\$ 149,293	
Sale of Fixed Assets											
Other Miscellaneous	\$ 4,976						\$ 4,976	\$ 16,850	\$ 13,287	\$ 16,475	
	<b>\$ 34,249</b>	<b>\$ 76,388</b>					<b>\$ 110,637</b>	<b>\$ 728,955</b>	<b>\$ 313,561</b>	<b>\$ 731,120</b>	
<b>Total Revenues</b>	<b>\$ 8,242,120</b>	<b>\$ 114,124</b>	<b>\$ 247,147</b>				<b>\$ 8,603,391</b>	<b>\$ 14,927,335</b>	<b>\$ 8,465,383</b>	<b>\$ 13,965,803</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 3,641,471	\$ 56,252	\$ 146,907				\$ 3,844,630	\$ 8,123,487	\$ 3,857,032	\$ 7,844,950	
Temp Help	\$ 6,307						\$ 6,307		\$ 8,037		
Overtime	\$ 16,904						\$ 16,904		\$ 1,994		
Staff Benefits	\$ 1,902,460	\$ 36,882	\$ 73,899				\$ 2,013,241	\$ 4,370,226	\$ 1,880,087	\$ 3,959,452	
	<b>\$ 5,567,141</b>	<b>\$ 93,134</b>	<b>\$ 220,805</b>				<b>\$ 5,881,081</b>	<b>\$ 12,493,713</b>	<b>\$ 5,747,150</b>	<b>\$ 11,804,402</b>	
Operating Expenses and Equipment											
General Expense	\$ 90,017		\$ 2,038				\$ 92,055	\$ 281,094	\$ 74,082	\$ 220,575	
Printing	\$ 47,900						\$ 47,900	\$ 70,101	\$ 31,618	\$ 78,103	
Telecommunications	\$ (20,366)						\$ (20,366)	\$ 107,900	\$ 42,333	\$ 98,009	
Postage	\$ 24,998						\$ 24,998	\$ 67,500	\$ 30,126	\$ 88,451	
Insurance	\$ 234						\$ 234	\$ 6,000	\$ 5,495	\$ 6,030	
In-State Travel	\$ 12,805		\$ 482				\$ 13,288	\$ 24,150	\$ 10,059	\$ 25,883	
Out-of-State Travel	\$ 889						\$ 889				
Training	\$ 2,258		\$ 1,070				\$ 3,328	\$ 8,500	\$ 4,267	\$ 5,888	
Security Services	\$ 350						\$ 350	\$ 100	\$ 100	\$ 300	
Facility Operations	\$ 39,498			\$ 75,000			\$ 114,498	\$ 355,950	\$ (59,124)	\$ 453,096	
Utilities											
Contracted Services	\$ 280,750	\$ 13,750	\$ 7,200				\$ 301,700	\$ 685,430	\$ 213,508	\$ 581,718	
Consulting and Professional Services	\$ 4,905						\$ 4,905	\$ 13,140	\$ 5,110	\$ 11,760	
Information Technology	\$ 243,024						\$ 243,024	\$ 931,000	\$ 428,629	\$ 2,002,491	
Major Equipment	\$ 74,145						\$ 74,145	\$ 50,000	\$ 1,118	\$ 30,661	
Other Items of Expense	\$ 956						\$ 956	\$ 1,850	\$ 1,199	\$ 1,995	
	<b>\$ 802,361</b>	<b>\$ 13,750</b>	<b>\$ 10,790</b>	<b>\$ 75,000</b>			<b>\$ 901,902</b>	<b>\$ 2,602,715</b>	<b>\$ 788,519</b>	<b>\$ 3,604,960</b>	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 46,137						\$ 46,137	\$ 133,000	\$ 68,155	\$ 134,899	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (31,158)	\$ 684	\$ 30,474				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	<b>\$ 14,979</b>	<b>\$ 684</b>	<b>\$ 30,474</b>				<b>\$ 46,137</b>	<b>\$ 133,000</b>	<b>\$ 68,155</b>	<b>\$ 134,899</b>	
<b>Total Expenditures</b>	<b>\$ 6,384,482</b>	<b>\$ 107,568</b>	<b>\$ 262,070</b>	<b>\$ 75,000</b>			<b>\$ 6,829,120</b>	<b>\$ 15,229,428</b>	<b>\$ 6,603,823</b>	<b>\$ 15,544,261</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,857,639	\$ 6,555	\$ (14,924)	\$ (75,000)			\$ 1,774,271	\$ (302,093)	\$ 1,861,560	\$ (1,578,458)	
Operating Transfers In (Out)	\$ (75,000)			\$ 75,000			\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 243,678	\$ 448,165	\$ 0	\$ 0			\$ 691,843	\$ 691,843	\$ 1,578,458	\$ 1,578,458	
Ending Balance (Deficit)	<b>\$ 2,026,317</b>	<b>\$ 454,721</b>	<b>\$ (14,924)</b>	<b>\$ 0</b>			<b>\$ 2,466,114</b>	<b>\$ 389,750</b>	<b>\$ 3,440,018</b>	<b>\$ 0</b>	

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended December										
Fiscal Year 2015/16									2014/15	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,864,568	\$ 116,735					\$ 1,981,303	\$ 4,319,770	\$ 1,957,791	\$ 4,258,595
Traffic & Other Infractions	\$ 315,861	\$ 8,398					\$ 324,259	\$ 693,538	\$ 347,831	\$ 792,916
Other Criminal Cases	\$ 328,188	\$ 60,689					\$ 388,877	\$ 813,027	\$ 401,019	\$ 869,721
Civil	\$ 508,092	\$ 23,398					\$ 531,490	\$ 1,065,776	\$ 469,815	\$ 942,110
Family & Children Services	\$ 294,202	\$ 22,598			\$ 30,474		\$ 347,274	\$ 591,326	\$ 419,359	\$ 588,822
Probate, Guardianship & Mental Health Services	\$ 169,453	\$ 1,424					\$ 170,877	\$ 394,632	\$ 163,937	\$ 344,536
Juvenile Dependency Services	\$ 104,817						\$ 104,817	\$ 225,904	\$ 96,012	\$ 195,481
Juvenile Delinquency Services		\$ 1,496					\$ 1,496	\$ 1,908	\$ 578	\$ 196
Other Court Operations	\$ 358,209	\$ 22,257					\$ 380,465	\$ 817,108	\$ 360,732	\$ 795,795
Court Interpreters	\$ 381,533	\$ 16,419					\$ 397,952	\$ 905,945	\$ 387,594	\$ 779,580
Jury Services	\$ 72,256	\$ 39,206	\$ 46,137				\$ 157,599	\$ 300,490	\$ 176,709	\$ 361,089
Security		\$ 350					\$ 350	\$ 100	\$ 100	\$ 11,185
Trial Court Operations Program	\$ 4,397,178	\$ 312,969	\$ 46,137		\$ 30,474		\$ 4,786,760	\$ 10,129,524	\$ 4,781,477	\$ 9,940,026
Enhanced Collections	\$ 88,065				\$ 684		\$ 88,749	\$ 204,997	\$ 111,051	\$ 215,239
Other Non-Court Operations	\$ 294,862	\$ 78,766					\$ 373,628	\$ 987,336	\$ 283,993	\$ 818,569
Non-Court Operations Program	\$ 382,927	\$ 78,766			\$ 684		\$ 462,377	\$ 1,192,333	\$ 395,044	\$ 1,033,808
Executive Office	\$ 130,937	\$ 2,144					\$ 133,081	\$ 266,347	\$ 138,882	\$ 268,541
Fiscal Services	\$ 382,512	\$ 29,381			\$ (31,158)		\$ 380,735	\$ 899,359	\$ 405,090	\$ 765,911
Human Resources	\$ 141,892	\$ 8,823					\$ 150,715	\$ 3,216	\$ 83,483	\$ 238,040
Business & Facilities Services	\$ 98,322	\$ 56,731					\$ 155,053	\$ 542,652	\$ (15,018)	\$ 408,170
Information Technology	\$ 347,312	\$ 413,088					\$ 760,401	\$ 2,195,997	\$ 814,865	\$ 2,889,765
Court Administration Program	\$ 1,100,976	\$ 510,166			\$ (31,158)		\$ 1,579,983	\$ 3,907,571	\$ 1,427,302	\$ 4,570,427
Expenditures Not Distributed or Posted to a Program									\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 5,881,081	\$ 901,902	\$ 46,137		\$ 0		\$ 6,829,120	\$ 15,229,428	\$ 6,603,823	\$ 15,544,261