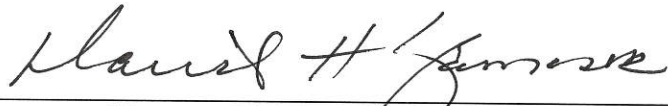
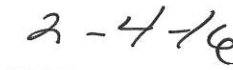


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Superior Court – Santa Clara

Court

FY 2015-16 2nd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Superior Court – Santa Clara

Court

FY 2015-16 2nd Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Superior Court – Santa Clara

Court

FY 2015-16 2nd Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	770.08	637.49	634.46		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December										
Fiscal Year 2015/16										
	Governmental Funds						Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	2014/15 Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant							
ASSETS										
Operations	\$ (3,282,203)	\$ 2,610,330	\$ (1,270,310)	\$ 1,754,936			\$ 558,498	\$ 371,251	\$ 341,633	
Payroll										
Jury										
Revolving										
Other	\$ 0							\$ 0	\$ 0	
Distribution										
Civil Filing Fees							\$ 253,585	\$ 253,585	\$ 267,326	
Trust							\$ 0	\$ 0	\$ 0	
Credit Card							\$ (183,015)	\$ (183,015)	\$ (238,163)	
Cash on Hand	\$ 14,810							\$ 14,810	\$ 16,160	
Cash with County	\$ 7,000,000							\$ 7,000,000	\$ 7,000,000	
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0	
Cash Equivalents	\$ 9,655,934						\$ 30,606,745	\$ 40,262,679	\$ 28,608,791	
Total Cash and Cash Equivalents	\$ 13,388,540	\$ 2,610,330	\$ (1,270,310)	\$ 1,754,936			\$ 31,235,814	\$ 47,719,310	\$ 35,995,747	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Receivable - General	\$ 16,405	\$ 24,250	\$ 1,021,068					\$ 1,061,723	\$ 1,225,380	
Dishonored Checks										
Due From Employee										
Civil Jury Fees	\$ 0							\$ 0	\$ 0	
Trust										
Due From Other Funds	\$ 0			\$ 0				\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Due From Other Courts	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Trust Due To/From								\$ 0	\$ 0	
Distribution Due To/From							\$ 0	\$ 0	\$ 0	
Civil Filing Fee Due To/From								\$ 0	\$ 0	
General Due To/From	\$ 615	\$ 0						\$ 615	\$ 4,333	
Total Receivables	\$ 17,020	\$ 24,250	\$ 1,021,068	\$ 0			\$ 0	\$ 1,062,338	\$ 1,229,712	
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0	
Other Assets										
Total Other Assets										
Total Assets	\$ 13,405,560	\$ 2,634,580	\$ (249,242)	\$ 1,754,936			\$ 31,235,814	\$ 48,781,648	\$ 37,225,460	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 135,485	\$ 42,741	\$ 0	\$ 0			\$ 0	\$ 178,226	\$ 149,226	
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 615	\$ 615	\$ 4,333	
Due to Other Courts									\$ 0	
Due to State	\$ 0	\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 1,504,934	\$ 1,504,934	\$ 1,718,061	
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0	
AB145 Due to Other Government Agency	\$ 0						\$ 4,682,922	\$ 4,682,922	\$ 4,821,406	
Due to Other Public Agencies										
Sales and Use Tax	\$ 285							\$ 285	\$ 427	
Interest							\$ 2,307	\$ 2,307	\$ (683)	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.	\$ 135,770	\$ 42,741	\$ 0	\$ 0			\$ 6,190,779	\$ 6,369,290	\$ 6,692,770	
Civil							\$ 22,168,321	\$ 22,168,321	\$ 9,260,366	
Criminal							\$ 2,759,355	\$ 2,759,355	\$ 2,379,462	
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 10,538	\$ 10,538	\$ 12,329	
Miscellaneous Trust										
Total Trust Deposits							\$ 24,938,214	\$ 24,938,214	\$ 11,652,157	
Accrued Payroll	\$ 0							\$ 0	\$ 405,132	
Benefits Payable										
Deferred Compensation Payable										
Deductions Payable										
Payroll Clearing										
Total Payroll Liabilities	\$ 0							\$ 0	\$ 405,132	
Revenue Collected in Advance	\$ 0		\$ 217,883					\$ 217,883	\$ 467,847	
Liabilities For Deposits	\$ 58,043	\$ 2,413					\$ 106,820	\$ 167,276	\$ 130,914	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections	\$ 0							\$ 0	\$ 0	
Other Miscellaneous Liabilities										
Total Other Liabilities	\$ 58,043	\$ 2,413	\$ 217,883				\$ 106,820	\$ 385,159	\$ 598,761	
Total Liabilities	\$ 193,813	\$ 45,154	\$ 217,883	\$ 0			\$ 31,235,814	\$ 31,692,664	\$ 19,348,820	
Total Fund Balance	\$ 13,211,747	\$ 2,589,426	\$ (467,124)	\$ 1,754,936				\$ 17,088,984	\$ 17,876,640	
Total Liabilities and Fund Balance	\$ 13,405,560	\$ 2,634,580	\$ (249,242)	\$ 1,754,936			\$ 31,235,814	\$ 48,781,648	\$ 37,225,460	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
	Fiscal Year 2015/16								2014/15		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 48,514,739	\$ 210,641						\$ 48,725,380	\$ 76,738,075	\$ 48,931,864	\$ 79,733,098
Improvement and Modernization Fund	\$ 19,628						\$ 19,628	\$ 238,520	\$ 331,655	\$ 723,082	
Judges' Compensation (45.25)	\$ 375,252						\$ 375,252	\$ 710,000	\$ 375,252	\$ 710,000	
Court Interpreter (45.45)	\$ 1,438,438						\$ 1,438,438	\$ 4,000,000	\$ 1,912,347	\$ 4,000,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 484,891						\$ 484,891	\$ 840,693	\$ 470,382	\$ 800,693	
Other Miscellaneous	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	
	\$ 53,142,415	\$ 210,641					\$ 53,353,056	\$ 84,836,755	\$ 54,330,967	\$ 88,276,340	
Grants											
AB 1058 Commissioner/Facilitator	\$ 0		\$ 970,467				\$ 970,467	\$ 2,718,528	\$ 1,212,294	\$ 2,606,208	
Other Judicial Council Grants			\$ 127,086				\$ 127,086	\$ 891,454	\$ 182,660	\$ 475,775	
Non-Judicial Council Grants			\$ 246,481				\$ 246,481	\$ 1,271,415	\$ 277,062	\$ 1,385,465	
	\$ 0		\$ 1,344,033				\$ 1,344,033	\$ 4,881,397	\$ 1,672,015	\$ 4,447,448	
Other Financing Sources											
Interest Income	\$ 21,255	\$ 2,655		\$ 1,257			\$ 25,167	\$ 60,000	\$ 25,925	\$ 50,000	
Investment Income											
Donations		\$ 18,872					\$ 18,872	\$ 40,000	\$ 11,234	\$ 50,000	
Local Fees	\$ 589,310	\$ 74,488					\$ 663,798	\$ 1,852,000	\$ 587,588	\$ 1,497,000	
Non-Fee Revenues											
Enhanced Collections		\$ 307,256					\$ 307,256	\$ 685,000	\$ 288,650	\$ 665,000	
Escheatment	\$ 4,545						\$ 4,545	\$ 17,000	\$ (174,208)	\$ 60,000	
Prior Year Revenue									\$ 219,764		
County Program - Restricted		\$ 87,135					\$ 87,135	\$ 346,126	\$ 103,647	\$ 322,502	
Reimbursement Other	\$ 55,583	\$ 450,825	\$ 45,747				\$ 552,155	\$ 3,226,156	\$ 755,781	\$ 2,900,589	
Sale of Fixed Assets											
Other Miscellaneous	\$ 722,578						\$ 722,578	\$ 1,620,903	\$ 676,137	\$ 1,613,753	
	\$ 1,393,271	\$ 941,231	\$ 45,747	\$ 1,257			\$ 2,381,506	\$ 7,847,185	\$ 2,494,513	\$ 7,158,844	
Total Revenues	\$ 54,535,687	\$ 1,151,872	\$ 1,389,780	\$ 1,257			\$ 57,078,596	\$ 97,565,337	\$ 58,497,500	\$ 99,882,632	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 21,013,249	\$ 337,290	\$ 735,347				\$ 22,085,887	\$ 40,388,205	\$ 23,742,572	\$ 40,031,646	
Temp Help	\$ 210,133		\$ 10,862				\$ 220,995	\$ 341,171	\$ 259,666	\$ 953,848	
Overtime	\$ 79,320	\$ 1,308					\$ 80,628	\$ 25,000	\$ 12,059	\$ 25,000	
Staff Benefits	\$ 15,991,497	\$ 1,106,709	\$ 678,021				\$ 17,776,227	\$ 42,187,696	\$ 17,665,649	\$ 39,999,941	
	\$ 37,294,198	\$ 1,445,308	\$ 1,424,231				\$ 40,163,736	\$ 82,940,072	\$ 41,679,948	\$ 81,010,435	
Operating Expenses and Equipment											
General Expense	\$ 559,803	\$ 109,999	\$ 22,000				\$ 691,802	\$ 1,730,404	\$ 559,100	\$ 1,714,093	
Printing	\$ 59,456						\$ 59,456	\$ 125,000	\$ 45,933	\$ 154,000	
Telecommunications	\$ 302,073						\$ 302,073	\$ 650,000	\$ 382,765	\$ 680,000	
Postage	\$ 236,320		\$ 6				\$ 236,326	\$ 364,000	\$ 219,616	\$ 422,000	
Insurance	\$ 30,407						\$ 30,407	\$ 41,000	\$ 36,539	\$ 47,000	
In-State Travel	\$ 42,718	\$ 296	\$ 3,991				\$ 47,005	\$ 121,100	\$ 50,072	\$ 133,200	
Out-of-State Travel	\$ 4,909		\$ 17,963				\$ 22,872	\$ 22,500	\$ 1,590	\$ 10,868	
Training	\$ 35,556		\$ 1,250				\$ 36,806	\$ 104,999	\$ 46,863	\$ 113,000	
Security Services	\$ 36,610						\$ 36,610	\$ 357,001	\$ 157,979	\$ 315,958	
Facility Operations	\$ 572,412						\$ 572,412	\$ 1,344,156	\$ 582,023	\$ 1,371,100	
Utilities	\$ 91,063						\$ 91,063	\$ 185,000	\$ 87,836	\$ 165,000	
Contracted Services	\$ 2,274,300						\$ 2,274,300	\$ 9,598,887	\$ 2,500,019	\$ 6,458,813	
Consulting and Professional Services	\$ 708,767	\$ 301,976	\$ 168,736				\$ 708,767	\$ 2,059,595	\$ 637,170	\$ 1,948,527	
Information Technology	\$ 149,946	\$ 301,911	\$ 1,299				\$ 453,156	\$ 843,000	\$ 645,345	\$ 2,895,773	
Major Equipment	\$ 0	\$ 44,053					\$ 44,053	\$ 0	\$ 72,510	\$ 0	
Other Items of Expense	\$ 24,573						\$ 24,573	\$ 53,500	\$ 19,564	\$ 58,000	
	\$ 5,126,913	\$ 758,235	\$ 215,245				\$ 6,100,393	\$ 17,600,142	\$ 6,044,924	\$ 16,487,332	
Special Items of Expense											
Grand Jury	\$ 0	\$ 3,383					\$ 3,383	\$ 6,000	\$ 5,699	\$ 5,000	
Jury Costs	\$ 318,837	\$ 43,475					\$ 362,312	\$ 738,500	\$ 347,072	\$ 725,000	
Judgements, Settlements and Claims	\$ 843						\$ 843				
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (218,351)		\$ 218,351				\$ 0	\$ 1,750,000	\$ (1,750,000)	\$ 3,500,000	
Prior Year Expense Adjustment								\$ 0	\$ 0	\$ 0	
	\$ 101,329	\$ 46,858	\$ 218,351				\$ 366,538	\$ 2,494,500	\$ (1,397,228)	\$ 4,230,000	
Total Expenditures	\$ 42,522,439	\$ 2,250,401	\$ 1,857,827	\$ 1,257			\$ 46,830,667	\$ 103,034,714	\$ 46,327,644	\$ 101,727,767	
Excess (Deficit) of Revenues Over Expenditures	\$ 12,013,247	\$ (1,098,529)	\$ (468,046)	\$ 1,257			\$ 10,447,929	\$ (5,469,377)	\$ 12,169,856	\$ (1,845,135)	
Operating Transfers In (Out)	\$ (922)		\$ 922				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,199,422	\$ 3,687,955	\$ 0	\$ 1,753,679			\$ 6,641,056	\$ 6,641,056	\$ 5,706,784	\$ 5,706,784	
Ending Balance (Deficit)	\$ 13,211,747	\$ 2,589,426	\$ (467,124)	\$ 1,754,936			\$ 17,088,984	\$ 1,171,679	\$ 17,876,640	\$ 3,861,649	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
Fiscal Year 2015/16								2014/15		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 11,233,786	\$ 906,093								
Traffic & Other Infractions	\$ 1,988,706	\$ 22,972				\$ (102,550)	\$ 12,037,329	\$ 25,969,295	\$ 12,729,176	\$ 24,737,675
Other Criminal Cases	\$ 4,392,312	\$ 716,232					\$ 2,011,677	\$ 4,618,813	\$ 2,338,553	\$ 5,444,042
Civil	\$ 4,202,132	\$ 110,193					\$ 5,108,544	\$ 10,799,433	\$ 5,565,725	\$ 11,163,023
Family & Children Services	\$ 5,289,636	\$ 647,336					\$ 4,312,324	\$ 8,368,301	\$ 4,600,408	\$ 8,611,160
Probate, Guardianship & Mental Health Services	\$ 1,440,495	\$ 25,974				\$ 102,550	\$ 6,039,522	\$ 13,474,461	\$ 6,578,019	\$ 13,110,289
Juvenile Dependency Services	\$ 277,130	\$ 26,797					\$ 1,466,469	\$ 3,002,569	\$ 1,533,296	\$ 2,999,808
Juvenile Delinquency Services	\$ 295,827	\$ 43,579				\$ 0	\$ 303,927	\$ 636,838	\$ 326,283	\$ 487,387
Other Court Operations	\$ 195,161	\$ 112					\$ 339,406	\$ 695,501	\$ 347,611	\$ 622,348
Court Interpreters	\$ 1,697,092	\$ 392,686	\$ 843				\$ 195,273	\$ 368,374	\$ 195,028	\$ 201,716
Jury Services	\$ 303,079	\$ 67,589	\$ 318,837				\$ 2,090,621	\$ 4,607,924	\$ 2,265,352	\$ 4,142,988
Security		\$ 78,262					\$ 689,505	\$ 1,105,410	\$ 680,197	\$ 1,098,427
Trial Court Operations Program	\$ 31,315,354	\$ 3,037,825	\$ 319,680			\$ 0	\$ 34,672,859	\$ 73,646,919	\$ 37,196,239	\$ 72,618,863
Enhanced Collections										
Other Non-Court Operations	\$ 965,075	\$ 64,390	\$ 46,858				\$ 1,076,323	\$ 2,323,487	\$ 967,186	\$ 2,160,297
Non-Court Operations Program	\$ 965,075	\$ 64,390	\$ 46,858				\$ 1,076,323	\$ 2,323,487	\$ 967,186	\$ 2,160,297
Executive Office	\$ 1,500,378	\$ 88,588					\$ 1,588,966	\$ 2,971,456	\$ 1,537,902	\$ 1,792,257
Fiscal Services	\$ 2,515,313	\$ 766,729					\$ 3,282,042	\$ 5,172,567	\$ 2,560,449	\$ 4,757,110
Human Resources	\$ 738,297	\$ 34,876					\$ 773,173	\$ 1,773,977	\$ 717,050	\$ 1,657,288
Business & Facilities Services	\$ 934,418	\$ 682,005					\$ 1,616,423	\$ 6,828,338	\$ (145,753)	\$ 9,159,477
Information Technology	\$ 2,194,901	\$ 1,425,981					\$ 3,620,882	\$ 10,317,970	\$ 3,494,571	\$ 9,582,475
Court Administration Program	\$ 7,883,307	\$ 2,998,179					\$ 10,881,485	\$ 27,064,308	\$ 8,164,219	\$ 26,948,607
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 40,163,736	\$ 6,100,393	\$ 366,538			\$ 0	\$ 46,630,667	\$ 103,034,714	\$ 46,327,644	\$ 101,727,767