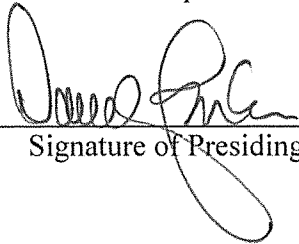


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/11/15
Date

Santa Barbara

Court

2015 / 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

2015 / 1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara

Court

2015 / 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	235.38	226.67			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2015/16							2014/15		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,473,748	\$ 125,467			\$ 0		\$ 1,599,215	\$ 8,502,668	\$ 1,652,017	\$ 8,332,007
Traffic & Other Infractions	\$ 183,516	\$ 9,167					\$ 192,683	\$ 923,633	\$ 189,412	\$ 886,080
Other Criminal Cases	\$ 412,016	\$ 39,473					\$ 451,490	\$ 2,413,585	\$ 448,264	\$ 2,346,434
Civil	\$ 326,047	\$ 57,529					\$ 383,576	\$ 1,857,043	\$ 346,139	\$ 1,727,197
Family & Children Services	\$ 262,142	\$ 13,591			\$ 0		\$ 275,733	\$ 1,235,069	\$ 244,674	\$ 1,075,285
Probate, Guardianship & Mental Health Services	\$ 68,191	\$ 7,209					\$ 75,400	\$ 460,454	\$ 86,635	\$ 466,692
Juvenile Dependency Services	\$ 11,112						\$ 11,112	\$ 128,814	\$ 7,622	\$ 24,075
Juvenile Delinquency Services	\$ 45,861	\$ 7,864					\$ 53,725	\$ 199,553	\$ 53,148	\$ 291,778
Other Court Operations	\$ 552,090	\$ 77,177					\$ 629,267	\$ 3,565,668	\$ 625,256	\$ 3,362,494
Court Interpreters	\$ 204,554	\$ 106,318					\$ 310,872	\$ 1,577,813	\$ 267,030	\$ 1,399,130
Jury Services	\$ 78,897	\$ 21,947	\$ 32,836				\$ 133,679	\$ 828,560	\$ 161,934	\$ 696,461
Security		\$ 92,537					\$ 92,537	\$ 651,200	\$ 119,273	\$ 815,450
Trial Court Operations Program	\$ 3,618,174	\$ 558,279	\$ 32,836		\$ 0		\$ 4,209,288	\$ 22,344,060	\$ 4,201,403	\$ 21,423,083
Enhanced Collections	\$ 94,687	\$ 83,024					\$ 177,711	\$ 1,031,761	\$ 134,922	\$ 1,043,613
Other Non-Court Operations	\$ 179,620	\$ 3,492					\$ 183,112	\$ 944,786	\$ 183,126	\$ 994,129
Non-Court Operations Program	\$ 274,307	\$ 86,516					\$ 360,823	\$ 1,976,547	\$ 318,048	\$ 2,037,742
Executive Office	\$ 74,495	\$ 18,294					\$ 92,788	\$ 431,514	\$ 68,216	\$ 337,947
Fiscal Services	\$ 236,133	\$ 11,913					\$ 248,047	\$ 1,125,276	\$ 236,173	\$ 1,053,407
Human Resources	\$ 105,792	\$ 3,652					\$ 109,444	\$ 632,197	\$ 198,106	\$ 704,697
Business & Facilities Services		\$ 459					\$ 459	\$ 186,560	\$ 381	\$ 45,175
Information Technology	\$ 312,000	\$ 149,629					\$ 461,629	\$ 3,768,254	\$ 658,636	\$ 3,862,337
Court Administration Program	\$ 728,420	\$ 183,947					\$ 912,368	\$ 6,143,801	\$ 1,161,512	\$ 6,003,563
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,620,901	\$ 828,742	\$ 32,836		\$ 0		\$ 5,482,479	\$ 30,464,408	\$ 5,680,963	\$ 29,464,388

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September							2014/15	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2015/16									
	Governmental Funds						Proprietary Funds			
General	Special Revenue		Capital Project	Debt Service						
	Non-Grant	Grant								
ASSETS										
Operations	\$ (2,006,765)	\$ 2,422,035	\$ (346,643)				\$ 306,625	\$ 375,252	\$ 2,155,587	
Payroll	\$ (1,651)	\$ 0	\$ 0					\$ (1,651)	\$ 2,748	
Jury										
Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other										
Distribution							\$ 259,307	\$ 259,307	\$ 185,698	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (64,113)	\$ (64,113)	\$ (44,483)	
Credit Card										
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130	
Cash with County										
Cash Outside of the JCC										
Cash Equivalents	\$ 9,278,785						\$ 5,756,621	\$ 15,035,407	\$ 12,678,553	
Total Cash and Cash Equivalents	\$ 7,282,500	\$ 2,422,035	\$ (346,643)				\$ 6,256,440	\$ 15,616,332	\$ 14,990,234	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General			\$ 0					\$ 0	\$ 0	
Dishonored Checks										
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 5,719	\$ 0					\$ 5,719	\$ 328,773	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 1	\$ 0	\$ 320,829					\$ 320,830	\$ 25,645	
Trust Due To/From							\$ 958,704	\$ 958,704	\$ 297,564	
Distribution Due To/From							\$ 184,340	\$ 184,340	\$ 45,909	
Civil Filing Fee Due To/From										
General Due To/From	\$ 1,745	\$ 62						\$ 1,807	\$ 775	
Total Receivables	\$ 1,746	\$ 5,781	\$ 320,829				\$ 1,143,044	\$ 1,471,400	\$ 698,668	
Prepaid Expenses - General	\$ 1,020							\$ 1,020	\$ 10,091	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 1,020							\$ 1,020	\$ 10,091	
Other Assets										
Total Other Assets										
Total Assets	\$ 7,285,266	\$ 2,427,816	\$ (25,814)				\$ 7,401,484	\$ 17,088,752	\$ 15,698,991	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 64,794	\$ 2,803	\$ 0				\$ 0	\$ 67,596	\$ 18,772	
Due to Other Funds	\$ 211	\$ 33,876	\$ 0				\$ 1,110,764	\$ 1,144,851	\$ 344,104	
Due to Other Courts	\$ 0							\$ 0	\$ 0	
Due to State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
TC145 Liability							\$ 486,617	\$ 486,617	\$ 473,971	
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
AB145 Due to Other Government Agency							\$ 2,973,344	\$ 2,973,344	\$ 3,227,367	
Due to Other Public Agencies										
Sales and Use Tax	\$ 70							\$ 70	\$ 23	
Interest							\$ 228	\$ 228	\$ 417	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 520							\$ 520	\$ 474	
Total Accounts Payable and Accrued Liab.	\$ 65,595	\$ 36,679	\$ 0				\$ 4,570,952	\$ 4,673,226	\$ 4,065,149	
Civil							\$ 2,326,292	\$ 2,326,292	\$ 1,444,634	
Criminal							\$ 462,394	\$ 462,394	\$ 728,913	
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654	
Trust Held Outside of the JCC										
Trust Interest Payable							\$ 21,196	\$ 21,196	\$ 21,018	
Miscellaneous Trust										
Total Trust Deposits							\$ 2,813,536	\$ 2,813,536	\$ 2,198,219	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ (10,541)	\$ 0	\$ 0					\$ (10,541)	\$ (158,657)	
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Deductions Payable	\$ 4,428	\$ 0	\$ 0					\$ 4,428	\$ 0	
Payroll Clearing										
Total Payroll Liabilities	\$ (6,113)	\$ 0	\$ 0					\$ (6,113)	\$ (158,657)	
Revenue Collected in Advance	\$ 34,575									
Liabilities For Deposits							\$ 13,410	\$ 47,985	\$ 41,172	
Jury Fees - Non-Interest							\$ 3,886	\$ 3,886	\$ 2,873	
Fees - Partial Payment & Overpayment							\$ (300)	\$ (300)	\$ 1,535	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 64,750							\$ 64,750	\$ 0	
Total Other Liabilities	\$ 99,325						\$ 16,996	\$ 116,320	\$ 45,580	
Total Liabilities	\$ 158,807	\$ 36,679	\$ 0				\$ 7,401,484	\$ 7,596,970	\$ 6,150,290	
Total Fund Balance	\$ 7,126,459	\$ 2,391,137	\$ (25,814)					\$ 9,491,782	\$ 9,548,701	
Total Liabilities and Fund Balance	\$ 7,285,266	\$ 2,427,816	\$ (25,814)				\$ 7,401,484	\$ 17,088,752	\$ 15,698,991	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
	Fiscal Year 2015/16								2014/15		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 10,206,708	\$ 31,282						\$ 10,237,990	\$ 21,071,609	\$ 9,894,281	\$ 20,359,820
Improvement and Modernization Fund									\$ 56,712	\$ 17,436	\$ 56,712
Judges' Compensation (45.25)	\$ 49,875							\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500
Court Interpreter (45.45)	\$ 304,661							\$ 304,661	\$ 1,575,469	\$ 285,864	\$ 1,516,515
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 21,058							\$ 21,058	\$ 542,657	\$ 29,775	\$ 501,115
Other Miscellaneous									\$ 1,597,662		\$ 1,597,662
	\$ 10,582,302	\$ 31,282						\$ 10,613,584	\$ 25,043,609	\$ 10,277,231	\$ 24,231,324
Grants											
AB 1058 Commissioner/Facilitator			\$ 177,217					\$ 177,217	\$ 796,374		\$ 814,937
Other Judicial Council Grants									\$ 35,278	\$ 0	\$ 44,000
Non-Judicial Council Grants									\$ 73,022		
			\$ 177,217					\$ 177,217	\$ 904,674	\$ 0	\$ 858,937
Other Financing Sources											
Interest Income	\$ 239	\$ 12						\$ 251	\$ 8,000	\$ 264	\$ 16,000
Investment Income											
Donations											
Local Fees	\$ 32,125	\$ 38,163						\$ 70,288	\$ 254,000	\$ 71,877	\$ 256,000
Non-Fee Revenues	\$ 125,000							\$ 125,000	\$ 125,000		\$ 125,000
Enhanced Collections		\$ 115,984						\$ 115,984	\$ 1,031,761	\$ 73,835	\$ 1,058,563
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 125,115						\$ 125,115	\$ 1,073,049	\$ 129,351	\$ 1,115,000
Reimbursement Other	\$ 30,835							\$ 30,835	\$ 115,000	\$ 26,134	\$ 116,500
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,351							\$ 1,351	\$ 12,000	\$ 286	\$ 2,000
	\$ 189,550	\$ 279,274						\$ 488,825	\$ 2,618,810	\$ 301,746	\$ 2,689,063
Total Revenues	\$ 10,771,852	\$ 310,556	\$ 177,217					\$ 11,259,625	\$ 28,587,093	\$ 10,578,977	\$ 27,779,324
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,363,529	\$ 204,320	\$ 76,403					\$ 2,644,253	\$ 15,039,872	\$ 2,640,039	\$ 14,273,059
Temp Help	\$ 103,527	\$ 8,150	\$ 2,637					\$ 114,314	\$ 487,339	\$ 125,780	\$ 761,034
Overtime	\$ 12,503	\$ 476						\$ 12,979	\$ 7,709	\$ 7,709	
Staff Benefits	\$ 1,637,067	\$ 144,651	\$ 67,638					\$ 1,849,356	\$ 8,300,017	\$ 1,798,894	\$ 7,915,592
	\$ 4,116,627	\$ 357,596	\$ 146,678					\$ 4,620,901	\$ 23,827,228	\$ 4,572,422	\$ 22,949,685
Operating Expenses and Equipment											
General Expense	\$ 80,756	\$ 13,180	\$ 1,242					\$ 95,178	\$ 757,317	\$ 119,176	\$ 648,885
Printing	\$ 7,356	\$ 191	\$ 0					\$ 7,546	\$ 105,695	\$ 13,123	\$ 97,500
Telecommunications	\$ 23,572	\$ 333						\$ 23,905	\$ 192,450	\$ 34,748	\$ 187,115
Postage	\$ 20,601	\$ 10,875	\$ 29					\$ 31,506	\$ 156,285	\$ 31,418	\$ 153,155
Insurance									\$ 20,185	\$ 5,593	\$ 21,475
In-State Travel	\$ 5,856	\$ 1,964						\$ 7,820	\$ 30,455	\$ 4,836	\$ 35,870
Out-of-State Travel	\$ 1,458							\$ 1,458	\$ 6,360	\$ 1,715	\$ 2,000
Training	\$ 930		\$ 360					\$ 1,290	\$ 16,650	\$ 5,529	\$ 15,000
Security Services	\$ 74,528		\$ 15,750					\$ 90,278	\$ 598,125	\$ 123,752	\$ 805,900
Facility Operations	\$ 70,040	\$ 3,256						\$ 73,296	\$ 380,370	\$ 87,431	\$ 357,470
Utilities		\$ 237						\$ 237	\$ 2,500	\$ 107	\$ 2,800
Contracted Services	\$ 257,290	\$ 60,851	\$ 10,923					\$ 329,063	\$ 1,854,053	\$ 274,481	\$ 1,592,993
Consulting and Professional Services	\$ 22,204	\$ 1,211	\$ 53					\$ 23,467	\$ 121,750	\$ 19,044	\$ 105,515
Information Technology	\$ 129,307	\$ 5,640						\$ 134,947	\$ 1,591,475	\$ 292,956	\$ 2,251,025
Major Equipment									\$ 524,960	\$ 33,284	
Other Items of Expense	\$ 7,105		\$ 1,646					\$ 8,751	\$ 28,550	\$ 3,988	\$ 30,000
	\$ 701,001	\$ 97,738	\$ 30,003					\$ 828,742	\$ 6,387,180	\$ 1,051,180	\$ 6,306,703
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 32,836							\$ 32,836	\$ 250,000	\$ 57,361	\$ 208,000
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (26,350)		\$ 26,350					\$ 0			
Prior Year Expense Adjustment											
	\$ 6,486		\$ 26,350					\$ 32,836	\$ 250,000	\$ 57,361	\$ 208,000
Total Expenditures	\$ 4,824,114	\$ 455,334	\$ 203,030					\$ 5,462,479	\$ 30,464,408	\$ 5,680,963	\$ 29,464,388
Excess (Deficit) of Revenues Over Expenditures	\$ 5,947,738	\$ (144,778)	\$ (25,814)					\$ 5,777,146	\$ (1,897,315)	\$ 4,898,014	\$ (1,685,064)
Operating Transfers In (Out)									\$ 0		\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,178,721	\$ 2,535,915	\$ 0					\$ 3,714,636	\$ 3,714,636	\$ 4,650,687	\$ 4,650,687
Ending Balance (Deficit)	\$ 7,126,459	\$ 2,391,137	\$ (25,814)					\$ 9,491,782	\$ 1,817,321	\$ 9,548,701	\$ 2,965,623