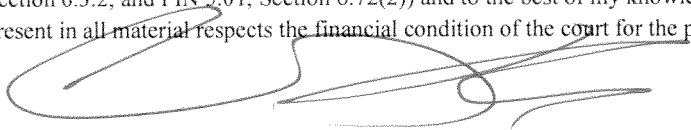


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive



\_\_\_\_\_  
Date

ALAMEDA

\_\_\_\_\_  
Court

2015-2016

\_\_\_\_\_  
Fiscal Year and Ending Quarter

## QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

2015-2016

Fiscal Year and Ending Quarter

### FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

ALAMEDA

Court

2015-2016

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	729.01	691.37			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2015/16									2014/15
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (530,250)	\$ 1,471,664	\$ (1,002,845)	\$ 130,746			\$ 325,354	\$ 394,669	\$ 2,931,803
Payroll	\$ (45,624)	\$ 0						\$ (45,624)	\$ (35,739)
Jury									
Revolving	\$ 150,000							\$ 150,000	\$ 50,000
Other	\$ 0							\$ 0	\$ 0
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (38,156)	\$ (38,156)	\$ (20,009)
Credit Card									
Cash on Hand	\$ 22,415							\$ 22,415	\$ 22,340
Cash with County	\$ 47,033							\$ 47,033	\$ 98,423
Cash Outside of the JCC							\$ 18,412,603	\$ 18,412,603	\$ 14,309,132
Cash Equivalents	\$ 29,973,015						\$ 5,114,659	\$ 35,087,674	\$ 36,203,314
Total Cash and Cash Equivalents	\$ 29,616,589	\$ 1,471,664	\$ (1,002,845)	\$ 130,746			\$ 23,814,460	\$ 54,030,614	\$ 53,559,264
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 94,667	\$ 165,736	\$ 662,864					\$ 923,268	\$ 981,337
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 0							\$ 0	\$ 614
Total Receivables	\$ 94,667	\$ 165,736	\$ 662,864	\$ 0			\$ 51,937	\$ 975,205	\$ 1,033,888
Prepaid Expenses - General	\$ 246,838		\$ 0					\$ 246,838	\$ 3,668
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 246,838		\$ 0					\$ 246,838	\$ 3,668
Other Assets									
Total Other Assets									
Total Assets	\$ 29,958,095	\$ 1,637,400	\$ (339,981)	\$ 130,746			\$ 23,866,396	\$ 55,252,657	\$ 54,596,820
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 1,085,565	\$ 0	\$ 0					\$ 1,085,565	\$ 0
Accounts Payable - General	\$ 109,420	\$ 0	\$ 0				\$ 0	\$ 109,420	\$ 524,035
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 614
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 2,096,868	\$ 2,096,868	\$ 1,955,974
Due to Other Governments	\$ 4,882,210						\$ 11,896,738	\$ 16,778,948	\$ 11,732,412
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 66	\$ 66	\$ 295
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 6,077,195	\$ 0	\$ 0				\$ 13,993,672	\$ 20,070,867	\$ 14,213,332
Civil							\$ 3,294,286	\$ 3,294,286	\$ 3,020,751
Criminal							\$ 0	\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 6,567,802	\$ 6,567,802	\$ 5,743,372
Trust Interest Payable							\$ 1,946	\$ 1,946	\$ 3,733
Miscellaneous Trust									
Total Trust Deposits							\$ 9,864,034	\$ 9,864,034	\$ 8,767,856
Accrued Payroll	\$ 3,527,847	\$ 36,933						\$ 3,564,780	\$ 3,136,153
Benefits Payable	\$ (417)							\$ (417)	\$ (21,485)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (2,161)							\$ (2,161)	\$ 141,370
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 3,525,268	\$ 36,933						\$ 3,562,201	\$ 3,256,039
Revenue Collected in Advance	\$ (12,290)		\$ 30,858					\$ 18,568	\$ 53,480
Liabilities For Deposits	\$ 94,988						\$ 8,691	\$ 103,679	\$ 138,123
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 82,698		\$ 30,858				\$ 8,691	\$ 122,247	\$ 191,603
Total Liabilities	\$ 9,685,162	\$ 36,933	\$ 30,858				\$ 23,866,396	\$ 33,619,348	\$ 26,428,829
Total Fund Balance	\$ 20,272,934	\$ 1,600,467	\$ (370,838)	\$ 130,746				\$ 21,633,309	\$ 28,167,991
Total Liabilities and Fund Balance	\$ 29,958,095	\$ 1,637,400	\$ (339,981)	\$ 130,746			\$ 23,866,396	\$ 55,252,657	\$ 54,596,820

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2015/16									2014/15		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 37,241,675	\$ 85,450					\$ 37,327,125	\$ 81,437,305	\$ 38,059,210	\$ 80,727,167	
Improvement and Modernization Fund	\$ (4,965)						\$ (4,965)	\$ 379,621	\$ 9,385	\$ 885,797	
Judges' Compensation (45.25)	\$ 178,125						\$ 178,125	\$ 712,500	\$ 178,125	\$ 712,000	
Court Interpreter (45.45)	\$ 953,398						\$ 953,398	\$ 4,217,000	\$ 817,342	\$ 4,104,750	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 124,879						\$ 124,879	\$ 760,784	\$ 46,766	\$ 855,111	
Other Miscellaneous								\$ 3,102,047		\$ 3,102,046	
	\$ 38,493,112	\$ 85,450					\$ 38,578,562	\$ 90,609,257	\$ 39,110,828	\$ 90,386,871	
Grants											
AB 1058 Commissioner/Facilitator			\$ 46,046				\$ 46,046	\$ 1,874,710	\$ 47,229	\$ 1,884,330	
Other Judicial Council Grants			\$ 62,748				\$ 62,748	\$ 955,756	\$ (25,087)	\$ 837,250	
Non-Judicial Council Grants			\$ 98,655				\$ 98,655	\$ 896,584	\$ 47,478	\$ 1,557,408	
			\$ 207,450				\$ 207,450	\$ 3,727,050	\$ 69,621	\$ 4,278,988	
Other Financing Sources											
Interest Income	\$ 350	\$ 62		\$ 4			\$ 416	\$ 63,770	\$ 826	\$ 58,000	
Investment Income											
Donations											
Local Fees	\$ 442,411	\$ 26,039					\$ 468,450	\$ 2,945,350	\$ 470,372	\$ 2,774,887	
Non-Fee Revenues								\$ 152,000		\$ 191,000	
Enhanced Collections		\$ 515,073					\$ 515,073	\$ 3,550,000	\$ 458,521	\$ 2,682,171	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 0					\$ 0	\$ 1,086,609	\$ 12	\$ 1,010,013	
Reimbursement Other	\$ 30,443						\$ 30,443	\$ 129,500	\$ 36,437	\$ 210,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ (13,627)						\$ (13,627)	\$ 249,000	\$ (14,526)	\$ 305,000	
	\$ 459,578	\$ 541,173		\$ 4			\$ 1,000,756	\$ 8,176,229	\$ 951,643	\$ 7,231,071	
Total Revenues	\$ 38,952,690	\$ 626,623	\$ 207,450	\$ 4			\$ 39,786,767	\$ 102,512,536	\$ 40,132,092	\$ 101,896,930	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 12,036,233	\$ 165,849	\$ 263,790				\$ 12,465,872	\$ 49,249,268	\$ 11,802,379	\$ 49,587,619	
Temp Help	\$ 347,426		\$ 18,832				\$ 366,258	\$ 1,267,522	\$ 287,179	\$ 791,827	
Overtime	\$ 105,748	\$ 3,798	\$ 60				\$ 109,606	\$ 133,001	\$ 92,524	\$ 231,000	
Staff Benefits	\$ 7,088,822	\$ 74,883	\$ 101,148				\$ 7,264,853	\$ 29,117,992	\$ 5,213,158	\$ 27,689,473	
	\$ 19,578,228	\$ 244,530	\$ 383,829				\$ 20,206,588	\$ 79,767,783	\$ 17,395,241	\$ 78,299,919	
Operating Expenses and Equipment											
General Expense	\$ 650,581	\$ 217	\$ 4,351				\$ 655,149	\$ 2,560,943	\$ 347,017	\$ 2,495,244	
Printing	\$ 62,201						\$ 62,201	\$ 333,015	\$ 53,877	\$ 368,135	
Telecommunications	\$ 95,920						\$ 95,920	\$ 432,380	\$ 95,275	\$ 481,500	
Postage	\$ 272,108						\$ 272,108	\$ 602,050	\$ 208,276	\$ 689,200	
Insurance	\$ 600						\$ 600	\$ 28,000		\$ 29,400	
In-State Travel	\$ 18,378		\$ 7,640				\$ 26,018	\$ 130,944	\$ 29,042	\$ 114,691	
Out-of-State Travel									\$ 81		
Training	\$ 36,272						\$ 36,272	\$ 477,109	\$ 10,260	\$ 505,100	
Security Services	\$ 329						\$ 329	\$ 67,800	\$ 361	\$ 76,000	
Facility Operations	\$ 524,843		\$ 405				\$ 525,248	\$ 3,152,417	\$ 428,983	\$ 3,053,201	
Utilities											
Contracted Services	\$ 1,681,253	\$ 560,332	\$ 105,243				\$ 2,346,828	\$ 11,489,442	\$ 1,457,587	\$ 14,290,392	
Consulting and Professional Services	\$ 319,383						\$ 319,383	\$ 1,366,155	\$ 244,419	\$ 1,490,500	
Information Technology	\$ 1,067,289						\$ 1,067,289	\$ 1,716,812	\$ 504,950	\$ 2,881,495	
Major Equipment	\$ 182,370						\$ 182,370	\$ 524,852	\$ 14,537	\$ 992,582	
Other Items of Expense	\$ 3,811		\$ 36,709				\$ 40,521	\$ 106,000	\$ 5,136	\$ 107,347	
	\$ 4,915,339	\$ 560,550	\$ 154,347				\$ 5,630,236	\$ 22,987,919	\$ 3,399,801	\$ 27,574,788	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 192,673						\$ 192,673	\$ 615,000	\$ 138,787	\$ 809,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs								\$ 2,000,000	\$ 20,800,000	\$ 20,818,000	
Internal Cost Recovery	\$ (48,618)	\$ 8,507	\$ 40,111				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (9,000)						\$ (9,000)				
	\$ 135,055	\$ 8,507	\$ 40,111				\$ 183,673	\$ 2,615,000	\$ 20,938,788	\$ 21,627,000	
Total Expenditures	\$ 24,628,623	\$ 813,587	\$ 578,288	\$ 4			\$ 26,020,498	\$ 105,370,702	\$ 41,733,830	\$ 127,501,707	
Excess (Deficit) of Revenues Over Expenditures	\$ 14,324,067	\$ (186,963)	\$ (370,838)	\$ 4			\$ 13,766,269	\$ (2,858,166)	\$ (1,601,738)	\$ (25,604,777)	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 5,948,867	\$ 1,787,431	\$ 0	\$ 130,742			\$ 7,867,040	\$ 7,867,040	\$ 29,769,729	\$ 29,769,729	
Ending Balance (Deficit)	\$ 20,272,934	\$ 1,600,467	\$ (370,838)	\$ 130,746			\$ 21,633,309	\$ 5,008,874	\$ 28,167,991	\$ 4,164,952	

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2015/16									2014/15	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 6,253,933	\$ 389,594					\$ 6,643,527	\$ 28,622,723	\$ 6,662,981	\$ 30,163,157
Traffic & Other Infractions	\$ 1,296,211	\$ 170,650					\$ 1,466,861	\$ 5,831,513	\$ 1,152,137	\$ 5,320,930
Other Criminal Cases	\$ 1,537,197	\$ 225,719					\$ 1,762,916	\$ 8,687,508	\$ 1,679,766	\$ 8,202,371
Civil	\$ 1,196,138	\$ 37,559					\$ 1,233,697	\$ 7,856,604	\$ 1,729,136	\$ 5,991,912
Family & Children Services	\$ 1,919,695	\$ 87,924					\$ 2,007,619	\$ 8,862,777	\$ 1,843,878	\$ 7,964,947
Probate, Guardianship & Mental Health Services	\$ 475,926	\$ 28					\$ 475,954	\$ 2,309,313	\$ 455,701	\$ 2,409,733
Juvenile Dependency Services									\$ 66,019	
Juvenile Delinquency Services	\$ 389,954	\$ 3,077				\$ (9,000)	\$ 384,031	\$ 2,475,961	\$ 354,978	\$ 2,527,151
Other Court Operations	\$ 1,038,412	\$ 75,176					\$ 1,113,588	\$ 2,703,690	\$ 721,749	\$ 1,936,695
Court Interpreters	\$ 876,906	\$ 151,693					\$ 1,028,599	\$ 4,521,256	\$ 920,788	\$ 4,144,576
Jury Services	\$ 155,823	\$ 423,085	\$ 192,673				\$ 771,582	\$ 1,845,582	\$ 417,503	\$ 2,146,167
Security	\$ 689,559	\$ 13,404					\$ 702,962	\$ 3,242,436	\$ 603,490	\$ 2,360,284
Trial Court Operations Program	\$ 15,829,754	\$ 1,577,908	\$ 192,673			\$ (9,000)	\$ 17,591,336	\$ 76,959,363	\$ 16,608,126	\$ 73,167,923
Enhanced Collections	\$ 41,925	\$ 464,642			\$ 8,507		\$ 515,073	\$ 3,000,000	\$ 448,263	\$ 2,682,170
Other Non-Court Operations	\$ 206,232	\$ 0					\$ 206,232	\$ 1,051,609	\$ 236,480	\$ 980,013
Non-Court Operations Program	\$ 248,157	\$ 464,642			\$ 8,507		\$ 721,306	\$ 4,051,609	\$ 684,743	\$ 3,662,183
Executive Office	\$ 354,116	\$ 26,577			\$ (1,531)		\$ 379,161	\$ 1,697,835	\$ 268,116	\$ 1,906,955
Fiscal Services	\$ 612,989	\$ 126,323			\$ (3,062)		\$ 736,250	\$ 3,252,369	\$ 715,803	\$ 3,676,101
Human Resources	\$ 1,987,728	\$ 51,276			\$ (936)		\$ 2,038,068	\$ 1,845,217	\$ 412,884	\$ 2,095,637
Business & Facilities Services	\$ 115,930	\$ 596,292					\$ 712,222	\$ 6,534,219	\$ 21,439,771	\$ 28,627,321
Information Technology	\$ 1,057,913	\$ 2,787,220			\$ (2,977)		\$ 3,842,155	\$ 11,030,090	\$ 1,604,385	\$ 14,365,586
Court Administration Program	\$ 4,128,676	\$ 3,587,687			\$ (8,507)		\$ 7,707,856	\$ 24,359,730	\$ 24,440,960	\$ 50,671,601
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 20,206,588	\$ 5,630,236	\$ 192,673		\$ 0	\$ (9,000)	\$ 26,020,498	\$ 105,370,702	\$ 41,733,830	\$ 127,501,707