

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

I HEREBY CERTIFY, to the best of my knowledge and belief, that the amounts stated herein and contained in the Quarterly Financial Statement detail documents included by reference above, fairly present a statement of all court revenues (financing sources), funds held in trust, and court expenditures in accordance with the reporting requirements adopted by the Judicial Council in the Trial Court Financial Policies and Procedures Manual.



Signature of Presiding Judge or Court Executive



Date

Superior Court of Orange County
Court

Fiscal Year 2012-2013, Third Quarter
Fiscal Year and Ending Quarter

**Superior Court of California, County of Orange
Trial Court Operations Fund
Balance Sheet
(Unaudited)**

For the month ended March						
Fiscal Year 2012/13						2011/12
	Governmental Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue				
		Non-Grant	Grant			
ASSETS						
Operations	\$ (529,099)	\$ 1,740,972	\$ (1,719,696)	\$ 1,015,850	\$ 508,028	\$ (538,628)
Revolving	\$ 5,000				\$ 5,000	\$ 5,000
Other		\$ 34,804			\$ 34,804	\$ 39,808
Distribution				\$ (4,105,955)	\$ (4,105,955)	\$ 449,955
Cash on Hand	\$ 27,725				\$ 27,725	\$ 33,125
Cash with County	\$ 11,282,752	\$ 0	\$ 0	\$ 28,682,564	\$ 39,965,316	\$ 45,826,058
Total Cash	\$ 10,786,378	\$ 1,775,776	\$ (1,719,696)	\$ 25,592,460	\$ 36,434,918	\$ 45,815,318
Short Term Investment	\$ 19,164,122			\$ 12,254,545	\$ 31,418,668	\$ 28,959,974
Total Investments	\$ 19,164,122			\$ 12,254,545	\$ 31,418,668	\$ 28,959,974
Accounts Receivable - General	\$ 475,267	\$ 917,140	\$ 1,384,578	\$ 0	\$ 2,776,985	\$ 3,711,182
Due From Employee	\$ 0				\$ 0	\$ 245
Due From Other Governments	\$ 0	\$ 0		\$ 8,798,781	\$ 8,798,781	\$ 662,979
Due From State	\$ 3,091	\$ 0	\$ 0		\$ 3,091	\$ 0
Total Receivables	\$ 478,358	\$ 917,140	\$ 1,384,578	\$ 8,798,781	\$ 11,578,857	\$ 4,374,406
Prepaid Expenses - General	\$ 4,370,138	\$ 0			\$ 4,370,138	\$ 20,077,358
Total Prepaid Expenses	\$ 4,370,138	\$ 0			\$ 4,370,138	\$ 20,077,358
Total Assets	\$ 34,798,997	\$ 2,692,916	\$ (335,118)	\$ 46,646,786	\$ 83,802,581	\$ 99,227,056
LIABILITIES AND FUND BALANCES						
Accrued Liabilities	\$ 0	\$ 0	\$ 0	\$ 5,062	\$ 5,062	\$ 6,181
Accounts Payable - General	\$ 243,789	\$ 128,399	\$ 873	\$ 0	\$ 373,061	\$ 625,737
TC145 Liability				\$ 4,536,212	\$ 4,536,212	\$ 4,729,146
Due to Other Governments	\$ 0	\$ 0	\$ 0	\$ 3,839,879	\$ 3,839,879	\$ 4,250,337
Sales and Use Tax	\$ 107				\$ 107	\$ 1,258
Interest				\$ 233	\$ 233	\$ 509
Total Accounts Payable and Accrued Liab.	\$ 243,897	\$ 128,399	\$ 873	\$ 8,381,386	\$ 8,754,554	\$ 9,613,167
Criminal				\$ 4,627,564	\$ 4,627,564	\$ 4,675,025
Trust Held Outside of the AOC				\$ 33,636,405	\$ 33,636,405	\$ 31,830,803
Trust Interest Payable				\$ 431	\$ 431	\$ 675
Total Trust Deposits				\$ 38,264,401	\$ 38,264,401	\$ 36,506,503
Revenue Collected in Advance	\$ 68,913	\$ 34,804			\$ 103,717	\$ 64,505
Liabilities For Deposits	\$ 90,683	\$ 110			\$ 90,793	\$ 75,892
Total Other Liabilities	\$ 159,597	\$ 34,914			\$ 194,511	\$ 140,397
Total Liabilities	\$ 403,493	\$ 183,313	\$ 873	\$ 46,645,786	\$ 47,213,465	\$ 46,260,068
Total Fund Balance	\$ 34,395,504	\$ 2,529,603	\$ (335,991)		\$ 36,589,116	\$ 52,966,988
Total Liabilities and Fund Balance	\$ 34,798,997	\$ 2,692,916	\$ (335,118)	\$ 46,645,786	\$ 83,802,581	\$ 99,227,056

**Superior Court of California, County of Orange
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

	For the month ended March						
	Fiscal Year 2012/13				2011/12		
	Governmental Funds			Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue					
		Non-Grant	Grant				
REVENUES							
State Financing Sources							
Trial Court Trust Fund	\$ 82,287,402	\$ 812,434		\$ 83,099,836	\$ 112,474,510	\$ 108,451,168	\$ 153,743,760
Trial Court Improvement Fund	\$ 0			\$ 0	\$ 510,344	\$ 308,254	\$ 554,953
Judicial Administration Efficiency & Mod Fund	\$ 399,209			\$ 399,209	\$ 841,920	\$ 35,715	\$ 856,820
Judges' Compensation (45.25)	\$ 862,126			\$ 862,126	\$ 1,159,000	\$ 862,128	\$ 1,130,500
Court Interpreter (45.45)	\$ 5,997,510			\$ 5,997,510	\$ 9,000,000	\$ 5,827,146	\$ 8,850,000
Civil Coordination Reimbursement (45.55)	\$ 12,508			\$ 12,508	\$ 95,000	\$ 31,883	\$ 100,000
MOU Reimbursements (45.10 and General)	\$ 5,480,412			\$ 5,480,412	\$ 9,484,037	\$ 6,685,442	\$ 8,952,343
Other Miscellaneous	\$ 6,964,766			\$ 6,964,766	\$ 7,783,309	\$ 46,338	\$ 4,809,437
	\$ 102,003,933	\$ 812,434		\$ 102,816,367	\$ 141,348,120	\$ 122,248,073	\$ 178,997,813
Grants							
AB 1058 Commissioner/Facilitator			\$ 1,833,875	\$ 1,833,875	\$ 3,485,681	\$ 2,381,427	\$ 3,511,017
Other AOC Grants	\$ 0		\$ 54,921	\$ 54,921	\$ 140,835	\$ 104,995	\$ 189,012
Non-AOC Grants						\$ 79,319	\$ 102,424
	\$ 0		\$ 1,888,796	\$ 1,888,796	\$ 3,626,516	\$ 2,565,741	\$ 3,802,453
Other Financing Sources							
Interest Income	\$ 93,351	\$ 2,123		\$ 95,474	\$ 200,000	\$ 128,249	\$ 389,000
Donations					\$ 2,500		\$ 2,500
Local Fees	\$ 3,910,358	\$ 5		\$ 3,910,363	\$ 5,999,638	\$ 3,115,005	\$ 4,668,000
Non-Fee Revenues	\$ 467,841			\$ 467,841	\$ 636,000	\$ 444,101	\$ 666,400
Enhanced Collections		\$ 2,779,340		\$ 2,779,340	\$ 4,850,000	\$ 3,140,729	\$ 5,150,000
Escheatment					\$ 2,585,000	\$ 0	\$ 45,000
County Program - Restricted	\$ 228,071	\$ 3,568,115		\$ 3,796,186	\$ 9,086,317	\$ 4,483,463	\$ 8,839,433
Reimbursement Other	\$ 1,001,526	\$ 1,534,331		\$ 2,535,858	\$ 3,959,951	\$ 1,043,023	\$ 1,435,500
Other Miscellaneous	\$ 1,571,102			\$ 1,571,102	\$ 895,400	\$ 1,136,988	\$ 1,000,000
	\$ 7,272,251	\$ 7,883,913		\$ 15,156,164	\$ 28,174,806	\$ 13,491,558	\$ 22,195,833
Total Revenues	\$ 109,276,184	\$ 8,696,347	\$ 1,888,796	\$ 119,861,327	\$ 173,149,442	\$ 138,305,372	\$ 204,996,099
EXPENDITURES							
Personal Services							
Salaries - Permanent	\$ 75,347,925	\$ 2,673,095	\$ 1,190,747	\$ 79,211,767	\$ 109,140,044	\$ 81,948,640	\$ 113,603,030
Temp Help	\$ 756,894	\$ 58,657	\$ 7,215	\$ 822,765	\$ 1,245,731	\$ 2,865,813	\$ 2,383,642
Overtime	\$ 133,392	\$ 26,924	\$ 6	\$ 160,321	\$ 256,048	\$ 335,669	\$ 362,000
Staff Benefits	\$ 34,773,034	\$ 1,185,098	\$ 551,735	\$ 36,509,866	\$ 51,071,788	\$ 37,103,125	\$ 49,019,967
	\$ 111,011,244	\$ 3,943,773	\$ 1,749,703	\$ 138,704,720	\$ 161,713,599	\$ 122,253,247	\$ 165,368,639
Operating Expenses and Equipment							
General Expense	\$ 1,804,033	\$ 30,398	\$ 7,367	\$ 1,841,798	\$ 3,479,277	\$ 1,909,372	\$ 3,937,810
Printing	\$ 256,920	\$ 40,478		\$ 297,399	\$ 622,775	\$ 353,547	\$ 705,539
Telecommunications	\$ 675,677	\$ 1,762		\$ 677,439	\$ 2,569,905	\$ 829,016	\$ 1,487,692
Postage	\$ 519,207	\$ 108,898		\$ 628,106	\$ 1,070,002	\$ 675,626	\$ 969,950
Insurance	\$ 56,403			\$ 56,403	\$ 58,645	\$ 49,966	\$ 53,274
In-State Travel	\$ 68,325	\$ 11,832	\$ 6,184	\$ 86,341	\$ 228,039	\$ 109,760	\$ 233,736
Out-of-State Travel	\$ 5,969			\$ 5,969	\$ 8,800	\$ 6,145	\$ 4,066
Training	\$ 141,888		\$ 2,355	\$ 144,243	\$ 270,486	\$ 147,378	\$ 271,930
Security Services	\$ 449,638	\$ 12,492	\$ 55,951	\$ 518,081	\$ 1,441,403	\$ 508,998	\$ 1,441,333
Facility Operations	\$ 1,217,314	\$ 423,072		\$ 1,640,386	\$ 2,533,350	\$ 642,695	\$ 2,269,659
Contracted Services	\$ 6,909,792	\$ 3,312,531	\$ 34,055	\$ 10,256,378	\$ 21,141,310	\$ 14,910,997	\$ 22,249,399
Consulting and Professional Services	\$ 580,400			\$ 580,400	\$ 654,300	\$ 180,206	\$ 230,358
Information Technology	\$ 2,617,065	\$ 810		\$ 2,617,875	\$ 5,433,250	\$ 3,708,397	\$ 9,549,211
Major Equipment	\$ 784,339			\$ 784,339	\$ 651,920	\$ 723,083	\$ 1,008,634
Other Items of Expense	\$ 13,251			\$ 13,251	\$ 45,218	\$ 22,612	\$ 70,409
	\$ 16,100,221	\$ 3,942,273	\$ 105,913	\$ 20,148,407	\$ 40,208,680	\$ 24,777,800	\$ 44,463,000
Special Items of Expense							
Jury Costs	\$ 701,594			\$ 701,594	\$ 1,195,000	\$ 797,406	\$ 1,190,000
Judgements, Settlements and Claims	\$ 4,623			\$ 4,623		\$ 60	
Other	\$ 6,290			\$ 6,290	\$ 2,438	\$ 16,779	\$ 30,651
Internal Cost Recovery	\$ (366,212)		\$ 366,212	\$ 0	\$ 0	\$ 0	\$ 0
	\$ 346,296		\$ 366,212	\$ 712,507	\$ 1,197,438	\$ 814,245	\$ 1,220,651
Total Expenditures	\$ 127,457,761	\$ 7,886,046	\$ 2,221,827	\$ 137,565,636	\$ 203,119,727	\$ 147,845,292	\$ 211,052,290
Excess (Deficit) of Revenues Over Expenditures	\$ (18,181,577)	\$ 810,301	\$ (333,032)	\$ (17,704,308)	\$ (29,970,285)	\$ (9,539,919)	\$ (6,056,191)
Operating Transfers In (Out)	\$ 2,959		\$ (2,959)	\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)							
Beginning Balance (Deficit)	\$ 52,574,122	\$ 1,719,302	\$ 0	\$ 54,293,423	\$ 54,293,423	\$ 62,506,907	\$ 62,506,907
Ending Balance (Deficit)	\$ 34,395,504	\$ 2,529,603	\$ (335,991)	\$ 36,589,116	\$ 24,323,138	\$ 52,966,988	\$ 56,450,716

**Superior Court of California, County of Orange
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

		For the month ended March						2011/12	
		Fiscal Year 2012/13							
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:									
Judges & Courtroom Support	\$ 47,137,751	\$ 1,406,166	\$ 0		\$ 48,543,917	\$ 66,684,489	\$ 50,670,787	\$ 66,931,809	
Traffic & Other Infractions	\$ 4,169,483	\$ 577,832			\$ 4,747,315	\$ 7,356,361	\$ 5,109,064	\$ 7,815,134	
Other Criminal Cases	\$ 7,547,841	\$ 2,005,886			\$ 9,553,727	\$ 15,873,712	\$ 11,483,301	\$ 17,929,568	
Civil	\$ 6,381,757	\$ 416,712			\$ 6,798,469	\$ 9,423,559	\$ 7,346,862	\$ 10,331,149	
Family & Children Services	\$ 6,532,152	\$ 729,337		\$ 366,212	\$ 7,627,701	\$ 9,853,950	\$ 7,679,596	\$ 9,620,708	
Probate, Guardianship & Mental Health Services	\$ 2,235,557	\$ 25,351			\$ 2,260,908	\$ 2,847,897	\$ 2,573,060	\$ 3,131,528	
Juvenile Dependency Services	\$ 1,477,607	\$ 4,104,819			\$ 5,582,426	\$ 9,179,559	\$ 7,062,595	\$ 10,130,947	
Juvenile Delinquency Services	\$ 807,416	\$ 711,753	\$ 0		\$ 1,519,169	\$ 2,268,211	\$ 2,132,624	\$ 2,839,984	
Other Court Operations	\$ 11,437,153	\$ 568,398			\$ 12,005,551	\$ 16,805,787	\$ 14,117,715	\$ 22,493,556	
Court Interpreters	\$ 5,150,175	\$ 910,028			\$ 6,060,203	\$ 9,262,828	\$ 6,382,626	\$ 9,029,427	
Jury Services	\$ 1,164,125	\$ 347,775	\$ 701,594		\$ 2,213,494	\$ 3,872,877	\$ 2,438,032	\$ 3,509,543	
Security	\$ 1,556,410	\$ 506,727			\$ 2,063,137	\$ 3,870,902	\$ 2,277,198	\$ 3,615,699	
Trial Court Operations Program	\$ 95,597,428	\$ 12,310,783	\$ 701,594	\$ 366,212	\$ 108,976,016	\$ 157,300,132	\$ 119,273,459	\$ 167,379,052	
Enhanced Collections	\$ 2,186,035	\$ 434,584			\$ 2,620,618	\$ 4,343,126	\$ 2,999,867	\$ 4,354,662	
Other Non-Court Operations	\$ 1,218,874	\$ 53,466			\$ 1,272,341	\$ 1,857,011	\$ 1,341,140	\$ 1,849,172	
Non-Court Operations Program	\$ 3,404,909	\$ 488,050			\$ 3,892,959	\$ 6,200,137	\$ 4,341,007	\$ 6,203,834	
Executive Office	\$ 326,711	\$ 2,664	\$ 3,848		\$ 333,222	\$ 866,326	\$ 324,978	\$ 1,418,797	
Fiscal Services	\$ 4,882,990	\$ 818,380	\$ 4,147	\$ (366,212)	\$ 5,339,306	\$ 8,108,665	\$ 6,237,803	\$ 10,247,833	
Human Resources	\$ 3,634,918	\$ 245,355	\$ 0		\$ 3,880,273	\$ 4,908,398	\$ 3,925,753	\$ 5,071,098	
Business & Facilities Services	\$ 4,939,779	\$ 3,160,853	\$ 481		\$ 8,101,113	\$ 13,043,205	\$ 6,631,150	\$ 10,706,632	
Information Technology	\$ 3,917,987	\$ 3,122,321	\$ 2,437		\$ 7,042,745	\$ 12,692,864	\$ 7,111,142	\$ 10,025,044	
Court Administration Program	\$ 17,702,384	\$ 7,349,573	\$ 10,913	\$ (366,212)	\$ 24,696,659	\$ 39,619,458	\$ 24,230,826	\$ 37,469,404	
Total	\$ 116,704,720	\$ 20,148,407	\$ 712,507	\$ 0	\$ 137,565,635	\$ 203,119,727	\$ 147,846,292	\$ 211,052,290	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Orange County Superior Court
Court

FY 2012-2013; Third Quarter
Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	1,600.62	1,558.26	1,553.2	1507	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Orange County Superior Court
Court

FY 2012-2013; Third Quarter
Fiscal Year and Ending Quarter

FOOTNOTES

1	The total of authorized FTE (Full-time employee) positions reported on this quarterly financial statement (1,600.62) has been updated since the court's submission of the schedule 7A (1,627.14) in June 2012.
2	The Fiduciary Funds balances displayed in the Balance Sheet statement do not accurately reflect the balances to date. The mapping of the activities in the County's financial system to the Court's financial system will be done at fiscal year-end.
3	Accounts Receivable- General includes \$958,027 of A/R – Due from Other Governments (GL 131202).
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