

Napa Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

	Fiscal Year 2011/12						2010/11	2010/11
							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
ASSETS								
Operations	\$ 252,580	\$ 32,205	\$ (314,795)			\$ 3,019	\$ (26,991)	\$ (36,652)
Payroll								
Jury								
Revolving	\$ 0						\$ 0	\$ 5,000
Other								
Distribution								
Civil Filing Fees						\$ 0	\$ 0	\$ 0
Trust								
Credit Card								
Cash on Hand	\$ 2,950						\$ 2,950	\$ 2,950
Cash with County	\$ 1,488,655		\$ 0			\$ 445,962	\$ 1,934,617	\$ 2,019,249
Cash Outside of the AOC								
Total Cash	\$ 1,744,185	\$ 32,205	\$ (314,795)			\$ 448,981		
Short Term Investment	\$ 620,946	\$ 0				\$ 157,222	\$ 778,169	\$ 987,431
Investment in Financial Institution								
Total Investments	\$ 620,946	\$ 0				\$ 157,222		
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 0		\$ 302,070				\$ 302,070	\$ 172,350
Dishonored Checks								
Due From Employee								
Civil Jury Fees	\$ 0						\$ 0	\$ 0
Trust								
Due From Other Funds	\$ 0						\$ 0	\$ 0
Due From Other Governments	\$ 0						\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0
Due From State	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0
Distribution Due To/From								
Civil Filing Fee Due To/From								
General Due To/From								
Total Receivables	\$ 0	\$ 0	\$ 302,070			\$ 0		
Prepaid Expenses - General								
Salary and Travel Advances								
Counties	\$ 0						\$ 0	\$ 167,537
Total Prepaid Expenses	\$ 0							
Other Assets								
Total Other Assets								
Total Assets								
LIABILITIES AND FUND BALANCES								
Accrued Liabilities	\$ 0		\$ 0				\$ 0	\$ 0
Accounts Payable - General	\$ 110	\$ 0	\$ 0			\$ 0	\$ 110	\$ 17,558
Due to Other Funds	\$ 0		\$ 0				\$ 0	\$ 0
Due to Other Courts								
Due to State	\$ 0						\$ 0	\$ 0
TC145 Liability						\$ 160,218	\$ 160,218	\$ 168,953
Due to Other Governments	\$ 0		\$ 0				\$ 0	\$ 0
AB145 Due to Other Government Agency								
Due to Other Public Agencies								
Sales and Use Tax								
Interest						\$ 24	\$ 24	\$ 18
Miscellaneous Accts. Pay. and Accrued Liab.								
Total Accounts Payable and Accrued Liab.	\$ 110	\$ 0	\$ 0			\$ 160,242		
Civil								
Criminal								
Unreconciled - Civil and Criminal								
Trust Held Outside of the AOC						\$ 445,962	\$ 445,962	\$ 1,041,359
Trust Interest Payable								
Miscellaneous Trust								
Total Trust Deposits						\$ 445,962		
Accrued Payroll	\$ 0		\$ 0				\$ 0	\$ 0
Benefits Payable								
Deferred Compensation Payable								
Deductions Payable								
Payroll Clearing								
Total Payroll Liabilities	\$ 0		\$ 0					\$ 0
Revenue Collected in Advance	\$ 0						\$ 0	\$ 3,800
Liabilities For Deposits	\$ 5,710	\$ 100	\$ 157				\$ 5,967	\$ 5,491
Jury Fees - Non-Interest								
Fees - Partial Payment & Overpayment								
Uncleared Collections								
Other Miscellaneous Liabilities								\$ 0
Total Other Liabilities	\$ 5,710	\$ 100	\$ 157					
Total Liabilities	\$ 5,820	\$ 100	\$ 157					
Fund Balance - Nonspendable								
Fund Balance - Restricted	\$ 0	\$ 32,049					\$ 32,049	\$ 458,933
Fund Balance - Committed	\$ 1,228,855						\$ 1,228,855	
Fund Balance - Assigned	\$ 1,084,553						\$ 1,084,553	\$ 1,054,253
Fund Balance - Unassigned	\$ 5,664	\$ 0	\$ 0				\$ 5,664	\$ 0
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 40,239	\$ 56	\$ (12,881)				\$ 27,414	\$ 686,502
Total Fund Balance	\$ 2,358,311	\$ 32,105	\$ (12,881)					
Total Liabilities and Fund Balance	\$ 2,364,131	\$ 32,205	\$ (12,724)					

Napa Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	Fiscal Year 2011/12						2010/11		
	Total Funds (Info. Purpose Only)	Current Budget (Annual)	Total Funds (Info. Purpose Only)	Final Budget (Annual)					
REVENUES									
State Financing Sources									
Trial Court Trust Fund	\$ 5,779,497					\$ 5,779,497	\$ 8,048,316	\$ 7,390,064	\$ 10,062,006
Trial Court Improvement Fund	\$ (1)					\$ (1)	\$ 25,985	\$ 1	\$ 25,000
Judicial Administration Efficiency & Mod Fund	\$ 3,000					\$ 3,000	\$ 8,000	\$ 0	\$ 7,500
Judges' Compensation (45.25)	\$ 33,750					\$ 33,750	\$ 45,000	\$ 33,750	\$ 45,000
Court Interpreter (45.45)	\$ 358,980					\$ 358,980	\$ 450,000	\$ 320,612	\$ 464,000
Civil Coordination Reimbursement (45.55)									
MOU Reimbursements (45.10 and General)	\$ 217,704					\$ 217,704	\$ 303,016	\$ 178,194	\$ 298,934
Other Miscellaneous									
	\$ 6,392,930								
Grants									
AB 1058 Commissioner/Facilitator			\$ 291,596			\$ 291,596	\$ 320,647	\$ 163,279	\$ 370,376
Other AOC Grants			\$ 36,374			\$ 36,374	\$ 74,741	\$ 40,600	\$ 78,000
Non-AOC Grants								\$ 4,500	\$ 4,500
			\$ 327,969						
Other Financing Sources									
Interest Income	\$ 9,144	\$ 56				\$ 9,200	\$ 20,000	\$ 11,369	\$ 25,000
Investment Income									
Donations									
Local Fees	\$ 184,603					\$ 184,603	\$ 289,225	\$ 202,210	\$ 284,425
Non-Fee Revenues	\$ 72,897					\$ 72,897	\$ 277,000	\$ 73,973	\$ 277,000
Enhanced Collections									
Escheatment									
Prior Year Revenue	\$ 118,341					\$ 118,341		\$ 33,515	
County Program - Restricted									
Reimbursement Other	\$ 24,213					\$ 24,213	\$ 9,000	\$ 66,203	\$ 60,000
Sale of Fixed Assets									
Other Miscellaneous									\$ 2
	\$ 409,198	\$ 56							
Total Revenues									
EXPENDITURES									
Personal Services									
Salaries - Permanent	\$ 3,878,278		\$ 202,576			\$ 4,080,855	\$ 5,339,800	\$ 4,020,525	\$ 5,901,894
Temp Help	\$ 5,849					\$ 5,849	\$ 35,963	\$ 23,472	\$ 23,473
Overtime	\$ 2,995					\$ 2,995	\$ 2,000	\$ 772	\$ 1,000
Staff Benefits	\$ 1,695,753		\$ 119,757			\$ 1,815,510	\$ 3,026,341	\$ 1,786,187	\$ 2,847,263
	\$ 5,582,875		\$ 322,333						
Operating Expenses and Equipment									
General Expense	\$ 105,754		\$ 1,304			\$ 107,058	\$ 152,390	\$ 80,919	\$ 158,059
Printing	\$ 11,825					\$ 11,825	\$ 30,000	\$ 15,151	\$ 42,513
Telecommunications	\$ 19,474		\$ 540			\$ 20,014	\$ 26,850	\$ 19,415	\$ 25,780
Postage	\$ 16,741		\$ 230			\$ 16,971	\$ 33,800	\$ 18,759	\$ 36,770
Insurance	\$ 4,075					\$ 4,075	\$ 4,500	\$ 4,071	\$ 4,071
In-State Travel	\$ 3,399		\$ 1,968			\$ 5,367	\$ 16,078	\$ 5,708	\$ 18,250
Out-of-State Travel	\$ 1,145					\$ 1,145			
Training	\$ 7,205					\$ 7,205	\$ 9,850	\$ 2,823	\$ 12,300
Security Services	\$ 144,760		\$ 3,278			\$ 148,038	\$ 215,000	\$ 1,068,725	\$ 1,885,000
Facility Operations	\$ 79,295		\$ 1,552			\$ 80,847	\$ 152,825	\$ 102,087	\$ 179,938
Utilities									
Contracted Services	\$ 555,787		\$ 42,336			\$ 598,123	\$ 943,484	\$ 636,489	\$ 998,916
Consulting and Professional Services	\$ 27,058		\$ 462			\$ 27,520	\$ 60,200	\$ 28,319	\$ 64,300
Information Technology	\$ 140,380		\$ 2,325			\$ 142,705	\$ 155,351	\$ 144,883	\$ 163,083
Major Equipment	\$ 6,529		\$ 152			\$ 6,680	\$ 6,680		
Other Items of Expense	\$ 37					\$ 37	\$ 250	\$ 188	\$ 215
	\$ 1,123,464		\$ 54,147						
Special Items of Expense									
Grand Jury									
Jury Costs	\$ 19,919					\$ 19,919	\$ 24,000	\$ 21,291	\$ 25,000
Judgements, Settlements and Claims									
Debt Service									
Other									
Capital Costs									
Internal Cost Recovery	\$ (50,096)		\$ 50,096			\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment									
	\$ (30,177)		\$ 50,096						
Total Expenditures									
Excess (Deficit) of Revenues Over Expenditures	\$ 125,964	\$ 56	\$ (98,606)			\$ 27,414	\$ (369,134)	\$ 568,502	\$ (150,074)
Operating Transfers In (Out)	\$ (85,725)		\$ 85,725			\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)									
Beginning Balance (Deficit)	\$ 2,319,072	\$ 32,049	\$ 0			\$ 2,351,120	\$ 2,351,120	\$ 2,114,188	\$ 2,114,188
Ending Balance (Deficit)									

Napa Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

		Fiscal Year 2011/12				2010/11		
		Fund			Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:								
Judges & Courtroom Support	\$ 2,333,420	\$ 151,489			\$ 2,484,919	\$ 3,646,085	\$ 2,550,732	\$ 3,583,235
Traffic & Other Infractions	\$ 218,361	\$ 34,534			\$ 252,895	\$ 523,287	\$ 228,830	\$ 492,017
Other Criminal Cases	\$ 583,227	\$ 11,336			\$ 594,563	\$ 980,808	\$ 514,981	\$ 1,013,013
Civil	\$ 413,948	\$ 3,519			\$ 417,467	\$ 820,573	\$ 359,525	\$ 805,549
Family & Children Services	\$ 636,770	\$ 89,776			\$ 726,546	\$ 1,221,089	\$ 782,402	\$ 1,228,518
Probate, Guardianship & Mental Health Services	\$ 64,321	\$ 7,034			\$ 71,355	\$ 57,459	\$ 40,350	\$ 50,086
Juvenile Dependency Services	\$ 82,947	\$ 128,952			\$ 211,899	\$ 246,152	\$ 189,019	\$ 247,395
Juvenile Delinquency Services	\$ 35,428	\$ 547			\$ 35,974	\$ 39,147	\$ 38,704	\$ 36,392
Other Court Operations	\$ 18,346	\$ 1,531			\$ 19,877	\$ 136,745	\$ 70,194	\$ 136,249
Court Interpreters	\$ 244,622	\$ 107,254			\$ 351,876	\$ 492,081	\$ 326,463	\$ 463,824
Jury Services	\$ 82,586	\$ 15,154		\$ 19,919	\$ 117,659	\$ 207,549	\$ 115,959	\$ 205,762
Security		\$ 148,038			\$ 148,038	\$ 216,500	\$ 1,068,261	\$ 1,866,496
Trial Court Operations Program	\$ 4,713,976	\$ 699,173		\$ 19,919	\$ 5,433,078	\$ 7,487,174	\$ 4,728,891	\$ 7,063,891
Enhanced Collections	\$ 16,187	\$ 12,075			\$ 28,261		\$ 47,268	\$ 70,863
Other Non-Court Operations	\$ 4,347	\$ 18			\$ 4,365	\$ 74,870	\$ 4,819	
Non-Court Operations Program	\$ 20,533	\$ 12,093			\$ 32,626		\$ 52,087	
Executive Offices	\$ 329,294	\$ 26,415			\$ 355,709	\$ 518,106	\$ 344,129	\$ 712,609
Fiscal Services	\$ 212,242	\$ 61,380			\$ 273,622	\$ 407,387	\$ 260,191	\$ 351,359
Human Resources	\$ 202,219	\$ 24,520			\$ 226,739	\$ (624,371)	\$ 221,372	\$ (408,850)
Business & Facilities Services	\$ 426,946	\$ 132,365			\$ 559,311	\$ 181,950	\$ 119,152	\$ 257,829
Information Technology		\$ 221,665			\$ 221,665	\$ 1,010,176	\$ 659,718	\$ 1,052,561
Court Administration Program	\$ 1,170,701	\$ 468,344			\$ 1,639,045			
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program								
Total	\$ 5,409,210	\$ 1,377,564	\$ 19,919	\$ 0	\$ 6,806,693	\$ 8,701,330	\$ 5,491,080	\$ 8,822,645

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Napa Superior Court
Court

Q3 – 2011/12

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Napa Superior Court
 Court

Q3 – 2011/12

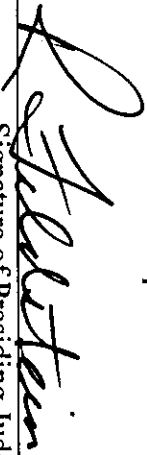
Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	90.5	81.5	78.0	78.0	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

5/6/12
Date

Napa Superior Court

Court

Q3 - 2011/12

Fiscal Year and Ending Quarter