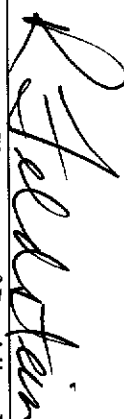
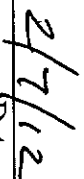


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Napa Superior Court

Court

Q2 - 2011/12

Fiscal Year and Ending Quarter

Napa Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	Fiscal Year 2011/12							2010/11			
	Governmental Funds				Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects							
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 3,799,024							\$ 3,799,024	\$ 6,048,316	\$ 4,851,870	\$ 10,082,006
Trial Court Improvement Fund	\$ (1)							\$ (1)	\$ 25,085	\$ 1	\$ 25,000
Judicial Administration Efficiency & Mod Fund	\$ 3,000							\$ 3,000	\$ 8,000	\$ 0	\$ 7,500
Judges' Compensation (45.25)	\$ 22,500							\$ 22,500	\$ 45,000	\$ 22,500	\$ 45,000
Court Interpreter (45.45)	\$ 246,302							\$ 246,302	\$ 468,000	\$ 213,892	\$ 464,000
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 119,821							\$ 119,821	\$ 303,015	\$ 109,543	\$ 298,934
Other Miscellaneous											
	\$ 4,190,646							\$ 4,190,646	\$ 6,847,336	\$ 5,197,806	\$ 10,020,540
Grants											
AB 1058 Commissioner/Facilitator			\$ 168,538					\$ 168,538	\$ 315,000	\$ 163,279	\$ 370,376
Other AOC Grants			\$ 22,368					\$ 22,368	\$ 74,741	\$ 21,897	\$ 76,000
Non-AOC Grants										\$ 4,500	\$ 4,500
			\$ 190,906					\$ 190,906	\$ 389,741	\$ 185,176	\$ 450,876
Other Financing Sources											
Interest Income	\$ 5,932	\$ 28						\$ 5,960	\$ 20,000	\$ 6,376	\$ 28,000
Investment Income											
Donations											
Local Fees	\$ 115,912							\$ 115,912	\$ 289,225	\$ 134,758	\$ 284,425
Non-Fee Revenues	\$ 43,890							\$ 43,890	\$ 277,000	\$ 44,549	\$ 277,000
Enhanced Collections											
Escheatment											
Prior Year Revenue	\$ 118,341							\$ 118,341		\$ 33,516	
County Program - Restricted	\$ 2,441							\$ 2,441	\$ 9,000	\$ 19,231	\$ 60,000
Reimbursement Other											
Sale of Fixed Assets										\$ 2	
Other Miscellaneous											
	\$ 286,517	\$ 28						\$ 286,545	\$ 306,000	\$ 337,494	\$ 646,425
Total Revenues	\$ 4,477,163	\$ 28	\$ 190,906					\$ 4,664,634	\$ 11,462,381	\$ 5,644,047	\$ 11,021,741
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,486,897		\$ 124,086					\$ 2,610,983	\$ 5,339,600	\$ 2,674,795	\$ 5,901,894
Temp Help	\$ 4,393							\$ 4,393	\$ 36,953	\$ 23,473	\$ 23,473
Overtime	\$ 1,538							\$ 1,538	\$ 2,000	\$ 599	\$ 3,000
Staff Benefits	\$ 1,089,388		\$ 64,313					\$ 1,153,701	\$ 3,026,341	\$ 1,127,829	\$ 2,847,253
	\$ 3,582,013		\$ 188,399					\$ 3,770,413	\$ 8,404,894	\$ 3,803,222	\$ 8,573,420
Operating Expenses and Equipment											
General Expense	\$ 51,876		\$ 1,139					\$ 53,014	\$ 162,390	\$ 54,305	\$ 158,059
Printing	\$ 5,922							\$ 5,922	\$ 40,000	\$ 9,510	\$ 42,813
Telecommunications	\$ 13,129		\$ 391					\$ 13,520	\$ 28,850	\$ 12,282	\$ 25,780
Postage	\$ 6,582		\$ 123					\$ 6,705	\$ 33,800	\$ 8,990	\$ 36,770
Insurance	\$ 4,075							\$ 4,075	\$ 4,500	\$ 4,071	\$ 4,071
In-State Travel	\$ 1,434		\$ 1,968					\$ 3,402	\$ 18,076	\$ 4,961	\$ 18,250
Out-of-State Travel	\$ 1,145							\$ 1,145			
Training	\$ 1,195							\$ 1,195	\$ 9,850	\$ 1,035	\$ 12,300
Security Services	\$ 98,718		\$ 2,189					\$ 98,908	\$ 215,000	\$ 90,568	\$ 1,865,000
Facility Operations	\$ 54,309		\$ 1,032					\$ 55,341	\$ 152,825	\$ 63,387	\$ 179,938
Utilities											
Contracted Services	\$ 384,180		\$ 29,967					\$ 414,157	\$ 943,484	\$ 421,550	\$ 998,016
Consulting and Professional Services	\$ 15,437		\$ 254					\$ 16,691	\$ 60,200	\$ 11,425	\$ 64,300
Information Technology	\$ 112,752		\$ 1,955					\$ 114,707	\$ 165,351	\$ 112,863	\$ 163,093
Major Equipment	\$ 8,529		\$ 152					\$ 8,680	\$ 6,693	\$ 6,693	\$ 6,693
Other Items of Expense	\$ 37							\$ 37	\$ 250	\$ (88)	\$ 216
	\$ 765,428		\$ 39,170					\$ 804,598	\$ 2,254,289	\$ 1,254,858	\$ 3,569,465
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 11,973							\$ 11,973	\$ 24,000	\$ 12,142	\$ 25,000
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (19,345)		\$ 19,345					\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (7,372)		\$ 19,345					\$ (7,372)	\$ 24,000	\$ 11,742	\$ 25,000
	\$ (26,717)		\$ 38,690					\$ (26,717)	\$ 24,000	\$ 11,742	\$ 25,000
Total Expenditures	\$ 4,330,669		\$ 248,914					\$ 4,579,583	\$ 11,686,183	\$ 5,676,323	\$ 12,167,919
Excess (Deficit) of Revenues Over Expenditures	\$ 146,494	\$ 28	\$ (58,008)					\$ 111,114	\$ (384,781)	\$ 574,324	\$ (150,074)
Operating Transfers In (Out)									\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,319,072	\$ 32,049	\$ 0					\$ 2,351,120	\$ 2,351,120	\$ 2,114,186	\$ 2,114,186
Ending Balance (Deficit)	\$ 2,496,185	\$ 32,077	\$ (58,008)					\$ 2,460,254	\$ 2,351,120	\$ 2,368,510	\$ 2,194,112

Napa Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

		Fiscal Year 2011/12						2010/11		
		Governmental Funds					Total Funds	Total Funds		
		Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
		General	Non-Grant	Grant						
ASSETS										
Operations		\$ 162,713	\$ 32,177	\$ (224,389)			\$ 4,174	\$ (25,324)	\$ (142,389)	
Payroll										
Jury										
Revolving		\$ 0						\$ 0	\$ 5,000	
Other										
Distribution										
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust										
Credit Card		\$ 2,950						\$ 2,950	\$ 2,950	
Cash on Hand		\$ 1,465,715		\$ 0			\$ 465,840	\$ 1,931,555	\$ 2,382,615	
Cash with County		\$ 1,631,378	\$ 32,177	\$ (224,389)			\$ 470,014	\$ 1,909,181	\$ 2,248,738	
Total Cash		\$ 1,631,378	\$ 32,177	\$ (224,389)			\$ 470,014	\$ 1,909,181	\$ 2,248,738	
Short Term Investment		\$ 829,432	\$ 0				\$ 168,737	\$ 998,169	\$ 1,140,521	
Investment in Financial Institution										
Total Investments		\$ 829,432	\$ 0				\$ 168,737	\$ 998,169	\$ 1,140,521	
Accrued Revenue		\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Receivable - General		\$ 11,419		\$ 168,537				\$ 179,956	\$ 165,413	
Dishonored Checks										
Due From Employee								\$ 0	\$ 0	
Civil Jury Fees		\$ 0						\$ 0	\$ 0	
Trust										
Due From Other Funds		\$ 0						\$ 0	\$ 0	
Due From Other Governments		\$ 0						\$ 0	\$ 0	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State		\$ 0		\$ 0				\$ 0	\$ 0	
Trust Due To/From										
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From										
Total Receivables		\$ 11,419	\$ 0	\$ 168,537			\$ 0	\$ 179,956	\$ 165,413	
Prepaid Expenses - General										
Salary and Travel Advances		\$ 0						\$ 0	\$ 352,736	
Counties		\$ 0						\$ 0	\$ 352,736	
Total Prepaid Expenses		\$ 0						\$ 0	\$ 352,736	
Other Assets										
Total Other Assets										
Total Assets		\$ 2,472,228	\$ 32,177	\$ (55,851)			\$ 638,751	\$ 2,982,699	\$ 3,904,838	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities		\$ 0		\$ 0				\$ 0	\$ 0	
Accounts Payable - General		\$ 353	\$ 0	\$ 0			\$ 0	\$ 353	\$ 7,991	
Due to Other Funds		\$ 0		\$ 0				\$ 0	\$ 0	
Due to Other Courts										
Due to State		\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 172,895	\$ 172,895	\$ 189,226	
Due to Other Governments		\$ 0		\$ 0				\$ 0	\$ 0	
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax										
Interest							\$ 17	\$ 17	\$ 16	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.		\$ 353	\$ 0	\$ 0			\$ 172,911	\$ 173,265	\$ 197,143	
Civil										
Criminal										
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC							\$ 465,840	\$ 465,840	\$ 1,011,892	
Trust Interest Payable										
Miscellaneous Trust										
Total Trust Deposits							\$ 465,840	\$ 465,840	\$ 1,011,892	
Accrued Payroll		\$ 0		\$ 0				\$ 0	\$ 0	
Benefits Payable										
Deferred Compensation Payable										
Deductions Payable										
Payroll Clearing										
Total Payroll Liabilities		\$ 0		\$ 0				\$ 0	\$ 0	
Revenue Collected in Advance		\$ 0						\$ 0	\$ 3,800	
Liabilities For Deposits		\$ 5,710	\$ 100	\$ 157				\$ 5,967	\$ 5,491	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections									\$ 0	
Other Miscellaneous Liabilities										
Total Other Liabilities		\$ 5,710	\$ 100	\$ 157				\$ 5,967	\$ 9,291	
Total Liabilities		\$ 6,064	\$ 100	\$ 157			\$ 638,751	\$ 645,072	\$ 1,218,326	
Fund Balance - Nonspendable		\$ 0	\$ 32,049					\$ 32,049	\$ 459,933	
Fund Balance - Restricted										
Fund Balance - Committed		\$ 1,228,855						\$ 1,228,855	\$ 1,654,253	
Fund Balance - Assigned		\$ 1,084,553						\$ 1,084,553	\$ 1,654,253	
Fund Balance - Unassigned		\$ 5,664	\$ 0	\$ 0				\$ 5,664	\$ 0	
Excess (Deficit) of Rev. Over Expenses/Op. Transfers		\$ 147,093	\$ 28	\$ (56,008)				\$ 91,114	\$ 574,324	
Total Fund Balance		\$ 2,466,165	\$ 32,077	\$ (56,008)				\$ 2,492,086	\$ 2,688,510	
Total Liabilities and Fund Balance		\$ 2,472,228	\$ 32,177	\$ (55,851)			\$ 638,751	\$ 2,982,699	\$ 3,904,838	

Napa Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

PROGRAM EXPENDITURES:	For the month ended Dec							2010/11			
	Fiscal Year 2011/12	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
Judges & Courtroom Support	\$ 1,525,422	\$ 96,522	\$ 19,286					\$ 1,621,944	\$ 3,646,065	\$ 1,685,723	\$ 3,583,235
Traffic & Other Infractions	\$ 134,544	\$ 7,342						\$ 153,830	\$ 523,267	\$ 152,759	\$ 492,017
Other Criminal Cases	\$ 366,938	\$ 2,355						\$ 374,280	\$ 965,608	\$ 945,840	\$ 1,013,013
Civil	\$ 251,278	\$ 64,402						\$ 253,633	\$ 925,573	\$ 292,197	\$ 805,649
Family & Children Services	\$ 383,436	\$ 37,640				\$ 0		\$ 457,837	\$ 1,221,099	\$ 499,082	\$ 1,228,516
Probate, Guardianship & Mental Health Services	\$ 37,640	\$ 6,868						\$ 44,507	\$ 57,459	\$ 24,822	\$ 59,096
Juvenile Delinquency Services	\$ 50,940	\$ 90,095						\$ 141,035	\$ 246,152	\$ 133,006	\$ 247,395
Other Court Operations	\$ 22,076	\$ 415						\$ 22,491	\$ 39,147	\$ 24,699	\$ 38,292
Court Interpreters	\$ 11,339	\$ 936						\$ 12,275	\$ 136,745	\$ 46,970	\$ 136,249
Jury Services	\$ 151,871	\$ 66,689	\$ 11,973					\$ 218,540	\$ 492,081	\$ 219,257	\$ 463,824
Security	\$ 52,336	\$ 5,757						\$ 70,066	\$ 207,549	\$ 77,238	\$ 205,762
Trial Court Operations Program	\$ 2,997,820	\$ 459,552	\$ 11,973			\$ 0		\$ 3,469,345	\$ 8,677,245	\$ 3,994,699	\$ 7,013,644
Enhanced Collections	\$ 8,180	\$ (87)						\$ 8,093	\$ 74,670	\$ 31,660	\$ 70,663
Other Non-Court Operations	\$ 2,829	\$ 12						\$ 2,841		\$ 4,518	
Non-Court Operations Program	\$ 11,009	\$ (75)						\$ 10,934		\$ 36,178	\$ 70,663
Executive Office	\$ 207,675	\$ 20,795						\$ 228,470	\$ 518,106	\$ 218,958	\$ 712,609
Fiscal Services	\$ 134,616	\$ 38,977						\$ 173,593	\$ 407,387	\$ 154,945	\$ 351,359
Human Resources	\$ 152,602	\$ 15,057						\$ 167,659	\$ (624,371)	\$ 138,844	\$ (408,850)
Business & Facilities Services	\$ 81,230	\$ 81,230						\$ 81,230	\$ 181,950	\$ 78,432	\$ 257,929
Information Technology	\$ 266,691	\$ 179,062						\$ 445,753	\$ 1,010,176	\$ 448,268	\$ 1,052,561
Court Administration Program	\$ 761,584	\$ 335,122						\$ 1,096,706	\$ 2,345,352	\$ 1,038,444	\$ 2,385,698
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0						\$ 0		\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program	\$ 0	\$ 0						\$ 0		\$ 0	\$ 0
Total	\$ 3,770,413	\$ 794,398	\$ 11,973			\$ 0		\$ 4,576,784	\$ 10,241,145	\$ 5,070,323	\$ 12,167,413

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Napa Superior Court
Court

Q2 - 2011/12

Fiscal Year and Ending Quarter

FOOTNOTES

1	All vacant positions have been abolished in the Q2 QCAP report.
2	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Napa Superior Court
 Court
 Q2 – 2011/12
 Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
90.5		81.5	78.0		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.