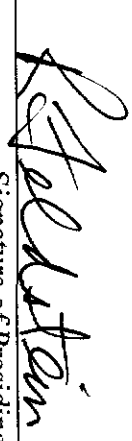


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

2/2/12

Date

Napa Superior Court

Court

Q1 - 2011/12

Fiscal Year and Ending Quarter

Napa Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	Fiscal Year 2011/12							2010/11			
	Governmental Funds				Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects							
		Non-Grant	Grant								
REVENUES											
Slate Financing Sources											
Trial Court Trust Fund	\$ 1,794,122										
Trial Court Improvement Fund	\$ (1)										
Judicial Administration Efficiency & Mod Fund	\$ 3,000										
Judges' Compensation (45.25)	\$ 11,250										
Court Interpreter (45.45)	\$ 104,174										
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 38,509										
Other Miscellaneous											
	\$ 1,951,054										
Grants											
AB 1058 Commissioner/Facilitator			\$ 0								
Other AOC Grants			\$ 13,959								
Non-AOC Grants											
			\$ 13,959								
Other Financing Sources											
Interest Income	\$ 44	\$ 1									
Investment Income											
Donations											
Local Fees	\$ 44,133										
Non-Fee Revenues	\$ 14,464										
Enhanced Collections											
Escheatment											
Prior Year Revenue	\$ 118,341										
County Program - Restricted											
Reimbursement Other	\$ 0										
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 176,983	\$ 1									
Total Revenues	\$ 2,128,037	\$ 1	\$ 13,959								
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,199,947		\$ 58,649								
Temp Help	\$ 3,482										
Overtime	\$ 776										
Staff Benefits	\$ 515,124		\$ 20,612								
	\$ 1,719,329		\$ 79,261								
Operating Expenses and Equipment											
General Expense	\$ 8,629		\$ 668								
Printing	\$ 2,592										
Telecommunications	\$ 6,688		\$ 162								
Postage	\$ 1,385		\$ 11								
Insurance											
In-State Travel	\$ 240		\$ 622								
Out-of-State Travel											
Training											
Security Services	\$ 50,849		\$ 1,278								
Facility Operations	\$ 19,645		\$ 579								
Utilities											
Contracted Services	\$ 168,193		\$ 15,625								
Consulting and Professional Services	\$ 1,742		\$ 0								
Information Technology	\$ 59,608		\$ 764								
Major Equipment	\$ 6,504		\$ 176								
Other Items of Expense	\$ 37		\$ 0								
	\$ 326,110		\$ 19,886								
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 5,132										
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery											
Prior Year Expense Adjustment											
	\$ 5,132										
Total Expenditures	\$ 2,050,671		\$ 99,146								
Excess (Deficit) of Revenues Over Expenditures	\$ 77,466	\$ 1	\$ (85,187)								
Operating Transfers In (Out)											
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,319,072	\$ 32,049	\$ 0								
Ending Balance (Deficit)	\$ 2,396,537	\$ 32,050	\$ (85,187)								

Napa Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

		For the month ended Sep						2010/11		
		Fiscal Year 2011/12								
		Governmental Funds						Total Funds	Total Funds	
		Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purpose Only)	(Info. Purpose Only)
		General	Non-Grant	Grant						
ASSETS										
Operations		\$ 40,042	\$ 32,050	\$ (107,879)			\$ 3,531	\$ (32,255)	\$ (9,528)	
Payroll										
Jury								\$ 5,000	\$ 5,000	
Revolving		\$ 5,000								
Other										
Distribution										
Civil Filing Fees								\$ 0	\$ 0	
Trust										
Credit Card		\$ 2,950						\$ 2,950	\$ 2,950	
Cash on Hand		\$ 1,763,958		\$ 0			\$ 972,225	\$ 2,736,183	\$ 2,424,661	
Cash with County										
Total Cash		\$ 1,811,950	\$ 32,050	\$ (107,879)			\$ 975,756	\$ 2,709,373	\$ 2,227,003	
Short Term Investment		\$ 585,994	\$ 0				\$ 193,539	\$ 779,532	\$ 810,171	
Investment in Financial Institution										
Total Investments		\$ 585,994	\$ 0				\$ 193,539	\$ 779,532	\$ 810,171	
Accrued Revenue		\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Receivable - General		\$ 5,120		\$ 22,848				\$ 27,969	\$ 4,725	
Dishonored Checks										
Due From Employees		\$ 0						\$ 0	\$ 0	
Civil Jury Fees		\$ 0						\$ 0	\$ 0	
Trust								\$ 0	\$ 0	
Due From Other Funds		\$ 0						\$ 0	\$ 0	
Due From Other Governments		\$ 0						\$ 0	\$ 0	
Due From Other Courts		\$ 0					\$ 0	\$ 0	\$ 0	
Due From State		\$ 0		\$ 0				\$ 0	\$ 0	
Trust Due To/From										
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From										
Total Receivables		\$ 5,120	\$ 0	\$ 22,848			\$ 0	\$ 27,969	\$ 4,725	
Prepaid Expenses - General										
Salary and Travel Advances		\$ 0						\$ 0	\$ 530,178	
Counties		\$ 0						\$ 0	\$ 530,178	
Total Prepaid Expenses		\$ 0						\$ 0	\$ 530,178	
Other Assets										
Total Other Assets										
Total Assets		\$ 2,403,065	\$ 32,050	\$ (85,031)			\$ 1,169,294	\$ 3,519,379	\$ 4,777,079	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities		\$ 0		\$ 0				\$ 0	\$ 0	
Accounts Payable - General		\$ 992	\$ 0	\$ 0			\$ 0	\$ 992	\$ 1,076	
Due to Other Funds		\$ 0		\$ 0				\$ 0	\$ 0	
Due to Other Courts								\$ 0	\$ 0	
Due to State		\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 197,058	\$ 197,058	\$ 165,288	
Due to Other Governments		\$ 0		\$ 0				\$ 0	\$ 258,296	
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax							\$ 12	\$ 12	\$ 22	
Interest										
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.		\$ 992	\$ 0	\$ 0			\$ 197,070	\$ 198,050	\$ 323,672	
Civil										
Criminal										
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC							\$ 972,225	\$ 972,225	\$ 1,262,197	
Trust Interest Payable										
Miscellaneous Trust										
Total Trust Deposits							\$ 972,225	\$ 972,225	\$ 1,262,197	
Accrued Payroll		\$ 0		\$ 0				\$ 0	\$ 0	
Benefits Payable										
Deferred Compensation Payable										
Deductions Payable										
Payroll Clearing									\$ 0	
Total Payroll Liabilities		\$ 0		\$ 0				\$ 0	\$ 0	
Revenue Collected in Advance		\$ 0						\$ 0	\$ 3,800	
Liabilities For Deposits		\$ 5,536		\$ 157				\$ 5,692	\$ 3,503	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections										
Other Miscellaneous Liabilities										
Total Other Liabilities		\$ 5,536		\$ 157				\$ 5,692	\$ 7,303	
Total Liabilities		\$ 6,527	\$ 0	\$ 157			\$ 1,169,294	\$ 1,975,979	\$ 3,184,172	
Fund Balance - Nonspendable										
Fund Balance - Restricted		\$ 428,000	\$ 31,933					\$ 459,933	\$ 874,396	
Fund Balance - Committed		\$ 0						\$ 0	\$ 0	
Fund Balance - Assigned		\$ 1,654,253						\$ 1,654,253	\$ 2,136,303	
Fund Balance - Unassigned		\$ 236,819	\$ 116	\$ 0				\$ 236,935	\$ (86,512)	
Excess (Deficit) of Rev. Over Expenses/Op. Transfers		\$ 77,466	\$ 1	\$ (85,187)				\$ (7,720)	\$ (31,282)	
Total Fund Balance		\$ 2,396,537	\$ 32,050	\$ (85,187)				\$ 2,343,201	\$ 2,882,984	
Total Liabilities and Fund Balance		\$ 2,403,065	\$ 32,050	\$ (85,031)			\$ 1,169,294	\$ 3,519,379	\$ 4,777,079	

Napa Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

PROGRAM EXPENDITURES:	Fiscal Year 2011/12							2010/11		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
Judges & Courtroom Support	\$ 736,710	\$ 33,483					\$ 770,193	\$ 3,646,065	\$ 683,723	\$ 3,583,235
Traffic & Other Infractions	\$ 62,279	\$ 7,997					\$ 70,276	\$ 523,267	\$ 59,503	\$ 492,017
Other Criminal Cases	\$ 171,298	\$ 2,689					\$ 173,987	\$ 965,608	\$ 137,570	\$ 1,013,013
Civil	\$ 114,810	\$ 1,035					\$ 115,846	\$ 926,573	\$ 91,503	\$ 805,649
Family & Children Services	\$ 188,952	\$ 31,864					\$ 220,816	\$ 1,221,099	\$ 205,695	\$ 1,229,516
Probate, Guardianship & Mental Health Services	\$ 17,260	\$ 5,915					\$ 23,175	\$ 57,459	\$ 10,896	\$ 50,096
Juvenile Dependency Services	\$ 23,622	\$ 39,922					\$ 63,544	\$ 246,152	\$ 7,197	\$ 247,395
Juvenile Delinquency Services	\$ 11,595	\$ 220					\$ 11,815	\$ 59,147	\$ 11,101	\$ 38,392
Other Court Operations	\$ 6,178	\$ 445					\$ 6,623	\$ 136,745	\$ 15,803	\$ 136,249
Court Interpreters	\$ 70,997	\$ 30,355					\$ 101,353	\$ 492,081	\$ 95,079	\$ 463,824
Jury Services	\$ 24,549	\$ 2,463	\$ 5,132				\$ 32,144	\$ 207,549	\$ 23,744	\$ 205,762
Security		\$ 52,126					\$ 52,126	\$ 216,500	\$ 328,491	\$ 1,866,496
Trial Court Operations Program	\$ 1,428,251	\$ 208,526	\$ 5,132				\$ 1,641,909	\$ 8,011,245	\$ 1,720,244	\$ 10,133,044
Enhanced Collections	\$ 1,571	\$ (119)					\$ 1,453	\$ 74,670	\$ 12,225	\$ 70,663
Other Non-Court Operations	\$ 2,679	\$ 9					\$ 2,688	\$ 3,652	\$ 3,652	\$ 3,652
Non-Court Operations Program	\$ 4,250	\$ (110)					\$ 4,140	\$ 78,340	\$ 15,877	\$ 70,663
Executive Office	\$ 97,275	\$ 7,284					\$ 104,559	\$ 518,106	\$ 88,323	\$ 712,609
Fiscal Services	\$ 64,855	\$ 799					\$ 65,654	\$ 407,387	\$ 56,920	\$ 351,359
Human Resources	\$ 77,786	\$ 7,579					\$ 85,365	\$ (824,371)	\$ 53,757	\$ (408,850)
Business & Facilities Services	\$ 23,757	\$ 23,757					\$ 23,751	\$ 181,950	\$ 33,741	\$ 257,929
Information Technology	\$ 126,173	\$ 98,166					\$ 224,339	\$ 1,010,176	\$ 202,383	\$ 1,052,561
Court Administration Program	\$ 366,088	\$ 137,579					\$ 503,667	\$ 1,409,343	\$ 433,603	\$ 1,965,609
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 3,798,590	\$ 345,996	\$ 5,132				\$ 4,149,718	\$ 21,245,861	\$ 2,170,723	\$ 12,167,915

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Napa Superior Court
Court

Q1 - 2011/12

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Napa Superior Court

Court

Q1 – 2011/12

Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
90.5	81.5				

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.