


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Monterey

Court

FY 12-13 4th Qtr

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Monterey

Court

FY 12-13 4th Qtr

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Monterey

Court

FY 12-13 4th Qtr

Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	194.2	194.2	192.2	192.2	186.2

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2012/13								2011/12	
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
ASSETS									
Operations	\$ (258,647)	\$ 166,295	\$ 0				\$ 3,167,814	\$ 3,075,462	\$ 2,150,566
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other									
Distribution							\$ 100,421	\$ 100,421	\$ 4,987
Civil Filing Fees							\$ 318,437	\$ 318,437	\$ 0
Trust							\$ (67,444)	\$ (67,444)	\$ (87,682)
Credit Card									
Cash on Hand	\$ 5,300							\$ 5,300	\$ 4,850
Cash with County									
Cash Outside of the AOC	\$ 0						\$ 0	\$ 0	\$ 166,996
Total Cash	\$ (228,347)	\$ 166,295	\$ 0				\$ 3,519,229	\$ 3,457,176	\$ 2,264,717
Short Term Investment	\$ 3,658,531						\$ 1,942,595	\$ 5,601,126	\$ 10,364,170
Investment in Financial Institution									
Total Investments	\$ 3,658,531						\$ 1,942,595	\$ 5,601,126	\$ 10,364,170
Accrued Revenue	\$ 2,636	\$ 94						\$ 2,730	\$ 6,268
Accounts Receivable - General	\$ 1,143							\$ 1,143	
Dishonored Checks									
Due From Employee	\$ 142							\$ 142	\$ 229
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 182,563							\$ 182,563	\$ 173,052
Due From Other Governments	\$ 13,093	\$ 6,834	\$ 0					\$ 19,926	\$ 37,259
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 33,662
Due From State	\$ 615,530	\$ 42,863	\$ 247,087					\$ 905,480	\$ 903,112
Trust Due To/From							\$ 771,963	\$ 771,963	\$ 1,931,904
Distribution Due To/From							\$ 550,517	\$ 550,517	\$ 607,191
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,655							\$ 1,655	\$ 1,278
Total Receivables	\$ 816,762	\$ 49,791	\$ 247,087				\$ 1,322,480	\$ 2,436,120	\$ 3,693,954
Prepaid Expenses - General									
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 4,246,945	\$ 216,086	\$ 247,087				\$ 6,784,304	\$ 11,494,422	\$ 16,322,842
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 196,172	\$ 3,269	\$ 67,806					\$ 267,247	\$ 321,400
Accounts Payable - General	\$ 6,474	\$ 0	\$ 0				\$ 0	\$ 6,474	\$ 0
Due to Other Funds	\$ 5	\$ 3,282	\$ 179,282				\$ 1,324,130	\$ 1,506,698	\$ 2,713,424
Due to Other Courts									
Due to State									
TC145 Liability							\$ 855,299	\$ 855,299	\$ 551,361
Due to Other Governments	\$ 621							\$ 621	\$ 0
AB145 Due to Other Government Agency							\$ 344,015	\$ 344,015	\$ 281,342
Due to Other Public Agencies									
Sales and Use Tax	\$ 24							\$ 24	\$ 0
Interest							\$ 15	\$ 15	\$ 84
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 203,295	\$ 6,551	\$ 247,087				\$ 2,523,459	\$ 2,980,392	\$ 3,867,611
Civil	\$ 0						\$ 1,894,604	\$ 1,894,604	\$ 2,016,173
Criminal	\$ 0						\$ 2,156,113	\$ 2,156,113	\$ 2,608,020
Unreconciled - Civil and Criminal							\$ 196,631	\$ 196,631	
Trust Held Outside of the AOC	\$ 0						\$ 0	\$ 0	\$ 166,996
Trust Interest Payable							\$ 35	\$ 35	\$ 220
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 4,247,383	\$ 4,247,383	\$ 4,791,409
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 63,145
Benefits Payable	\$ 261,543							\$ 261,543	\$ 217,994
Deferred Compensation Payable	\$ 15,662							\$ 15,662	\$ 41,893
Deductions Payable	\$ 289,885							\$ 289,885	\$ 327,508
Payroll Clearing	\$ 281,352	\$ 2,974						\$ 284,326	\$ 347,536
Total Payroll Liabilities	\$ 848,442	\$ 2,974						\$ 851,417	\$ 998,076
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 9,650						\$ 3,349	\$ 12,999	\$ 3,771
Jury Fees - Non-Interest							\$ 10,113	\$ 10,113	\$ 28,658
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ (3,258)						\$ 0	\$ (3,258)	\$ (798)
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,392						\$ 13,461	\$ 19,853	\$ 31,631
Total Liabilities	\$ 1,058,129	\$ 9,525	\$ 247,087				\$ 6,784,304	\$ 8,099,045	\$ 9,688,727
Total Fund Balance	\$ 3,188,816	\$ 206,561	\$ 0					\$ 3,395,377	\$ 6,634,116
Total Liabilities and Fund Balance	\$ 4,246,945	\$ 216,086	\$ 247,087				\$ 6,784,304	\$ 11,494,422	\$ 16,322,842

Superior Court of California, County of Monterey
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

	For the month ended June										
	Fiscal Year 2012/13								2011/12		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 13,794,620	\$ 183,468					\$ 13,978,088	\$ 13,585,765	\$ 18,392,478	\$ 17,999,219	
Trial Court Improvement Fund	\$ 49,751						\$ 49,751	\$ 23,000	\$ 38,229	\$ 38,229	
Judicial Administration Efficiency & Mod Fund	\$ 0						\$ 0	\$ (2,135)	\$ 18,750	\$ 40,862	
Judges' Compensation (45.25)	\$ 176,542						\$ 176,542	\$ 190,000	\$ 173,785	\$ 190,000	
Court Interpreter (45.45)	\$ 799,371						\$ 799,371	\$ 994,700	\$ 928,603	\$ 840,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 727,910						\$ 727,910	\$ 762,597	\$ 779,335	\$ 713,982	
Other Miscellaneous	\$ 446,453						\$ 446,453	\$ 446,453	\$ 184,042	\$ 184,042	
	\$ 15,994,647	\$ 183,468					\$ 16,178,115	\$ 16,000,380	\$ 20,515,223	\$ 19,968,105	
Grants											
AB 1058 Commissioner/Facilitator			\$ 519,851				\$ 519,851	\$ 635,385	\$ 530,899	\$ 639,814	
Other AOC Grants			\$ 34,000				\$ 34,000	\$ 34,000	\$ 30,960	\$ 34,000	
Non-AOC Grants											
			\$ 553,851				\$ 553,851	\$ 669,385	\$ 561,859	\$ 673,814	
Other Financing Sources											
Interest Income	\$ 22,965	\$ 206					\$ 23,172	\$ 8,500	\$ 32,427	\$ 52,000	
Investment Income											
Donations											
Local Fees	\$ 386,857						\$ 386,857	\$ 394,200	\$ 404,246	\$ 410,200	
Non-Fee Revenues	\$ 9,817						\$ 9,817	\$ 6,500	\$ 6,686	\$ 12,000	
Enhanced Collections		\$ 56,371					\$ 56,371	\$ 71,199	\$ 103,476	\$ 90,000	
Escheatment								\$ 20,000	\$ 343	\$ 343	
Prior Year Revenue	\$ 2,421		\$ (1,663)				\$ 759		\$ 169,127	\$ 169,127	
County Program - Restricted		\$ 90,191					\$ 90,191	\$ 78,500	\$ 38,624	\$ 73,500	
Reimbursement Other	\$ 15,234	\$ 42,172					\$ 57,406	\$ 55,964	\$ 63,067	\$ 55,896	
Sale of Fixed Assets	\$ 8,580						\$ 8,580	\$ 8,000	\$ 7,414	\$ 8,000	
Other Miscellaneous											
	\$ 445,873	\$ 188,940	\$ (1,663)				\$ 633,151	\$ 642,863	\$ 825,411	\$ 701,596	
Total Revenues	\$ 16,440,521	\$ 372,408	\$ 552,188				\$ 17,365,117	\$ 17,312,628	\$ 21,902,493	\$ 21,343,515	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 10,893,383	\$ 31,981	\$ 282,916				\$ 11,208,279	\$ 11,439,885	\$ 12,336,105	\$ 10,837,354	
Temp Help	\$ 9,045						\$ 9,045		\$ 83,946	\$ 484,586	
Overtime	\$ 16,829	\$ 127					\$ 16,956	\$ 15,000	\$ 46,379	\$ 53,500	
Staff Benefits	\$ 4,990,436	\$ 57,590	\$ 106,932				\$ 5,154,957	\$ 5,626,330	\$ 5,398,566	\$ 6,258,336	
	\$ 15,909,692	\$ 89,698	\$ 389,848				\$ 16,389,238	\$ 17,081,215	\$ 17,864,995	\$ 17,633,756	
Operating Expenses and Equipment											
General Expense	\$ 280,160		\$ 31,584				\$ 311,744	\$ 502,967	\$ 455,691	\$ 563,714	
Printing	\$ 62,528		\$ 1,924				\$ 64,452	\$ 69,500	\$ 70,564	\$ 78,013	
Telecommunications	\$ 112,107		\$ 1,580				\$ 113,687	\$ 138,200	\$ 137,351	\$ 140,317	
Postage	\$ 123,771		\$ 334				\$ 124,105	\$ 127,000	\$ 127,254	\$ 130,000	
Insurance	\$ 15,554						\$ 15,554	\$ 15,825	\$ 10,087	\$ 13,339	
In-State Travel	\$ 20,323		\$ 681				\$ 21,004	\$ 22,200	\$ 21,921	\$ 21,133	
Out-of-State Travel	\$ 1,110						\$ 1,110	\$ 2,000	\$ 1,564	\$ 2,500	
Training	\$ 3,584						\$ 3,584	\$ 5,100	\$ 7,599	\$ 22,662	
Security Services	\$ 661,215		\$ 20,090				\$ 681,305	\$ 736,872	\$ 720,419	\$ 652,455	
Facility Operations	\$ 259,457		\$ 901				\$ 260,358	\$ 276,413	\$ 275,838	\$ 313,979	
Utilities	\$ 369						\$ 369	\$ 340	\$ 352	\$ 1,600	
Contracted Services	\$ 1,696,288	\$ 76,522	\$ 39,471				\$ 1,812,281	\$ 2,327,885	\$ 2,336,071	\$ 2,416,583	
Consulting and Professional Services	\$ 9,215						\$ 9,215	\$ 11,600	\$ 20,288	\$ 23,000	
Information Technology	\$ 547,464		\$ 3,562				\$ 551,027	\$ 648,790	\$ 545,258	\$ 665,439	
Major Equipment	\$ 0		\$ 6,007				\$ 6,007	\$ 61,159	\$ 135,915	\$ 146,659	
Other Items of Expense	\$ 19,733		\$ 250				\$ 19,983	\$ 20,413	\$ 15,413	\$ 17,000	
	\$ 3,812,879	\$ 76,522	\$ 106,384				\$ 3,995,785	\$ 4,966,264	\$ 4,881,584	\$ 5,208,393	
Special Items of Expense											
Grand Jury		\$ 5,138					\$ 5,138	\$ 7,000	\$ 7,909	\$ 17,920	
Jury Costs	\$ 215,885						\$ 215,885	\$ 219,500	\$ 183,125	\$ 183,500	
Judgements, Settlements and Claims									\$ 1,503	\$ 1,550	
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (81,395)	\$ 8,845	\$ 72,551				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (2,191)						\$ (2,191)		\$ (1)		
	\$ 132,299	\$ 13,983	\$ 72,551				\$ 218,832	\$ 226,500	\$ 192,637	\$ 202,970	
Total Expenditures	\$ 19,854,870	\$ 180,204	\$ 568,782				\$ 20,603,856	\$ 22,273,979	\$ 22,939,117	\$ 23,045,119	
Excess (Deficit) of Revenues Over Expenditures	\$ (3,414,350)	\$ 192,205	\$ (16,594)				\$ (3,238,738)	\$ (4,961,351)	\$ (1,036,624)	\$ (1,701,604)	
Operating Transfers In (Out)	\$ (21,732)	\$ 5,138	\$ 16,594				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,624,897	\$ 9,218	\$ 0				\$ 6,634,116	\$ 6,634,116	\$ 7,670,739	\$ 7,670,739	
Ending Balance (Deficit)	\$ 3,188,816	\$ 206,561	\$ 0				\$ 3,395,377	\$ 1,672,766	\$ 6,634,116	\$ 5,969,135	

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

Fiscal Year 2012/13										2011/12	
For the month ended June											
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:											
Judges & Courtroom Support	\$ 5,056,553	\$ 480,985					\$ 5,537,538	\$ 6,087,347	\$ 6,279,181	\$ 6,386,346	
Traffic & Other Infractions	\$ 965,203	\$ 229,846			\$ (8,845)		\$ 1,186,204	\$ 1,172,929	\$ 1,389,048	\$ 1,117,034	
Other Criminal Cases	\$ 2,696,974	\$ 222,197					\$ 2,919,171	\$ 3,168,861	\$ 3,617,961	\$ 3,460,405	
Civil	\$ 924,891	\$ 135,463					\$ 1,060,354	\$ 1,200,300	\$ 1,368,254	\$ 1,398,194	
Family & Children Services	\$ 1,139,999	\$ 504,264			\$ 0	\$ (561)	\$ 1,643,702	\$ 1,787,789	\$ 1,738,088	\$ 1,570,955	
Probate, Guardianship & Mental Health Services	\$ 320,961	\$ 86,300					\$ 407,261	\$ 458,569	\$ 448,295	\$ 322,917	
Juvenile Dependency Services	\$ 106,886	\$ 301,714					\$ 408,601	\$ 414,950	\$ 414,058	\$ 445,587	
Juvenile Delinquency Services	\$ 84,616	\$ 3,357					\$ 87,973	\$ 143,078	\$ 84,932	\$ 122,221	
Other Court Operations	\$ 480,814	\$ 19,502					\$ 500,315	\$ 581,686	\$ 645,269	\$ 698,497	
Court Interpreters	\$ 688,731	\$ 291,838					\$ 980,569	\$ 1,223,722	\$ 1,074,068	\$ 1,152,437	
Jury Services	\$ 372,933	\$ 110,003	\$ 215,885				\$ 698,821	\$ 549,616	\$ 643,455	\$ 715,257	
Security		\$ 659,397					\$ 659,397	\$ 736,872	\$ 722,567	\$ 637,955	
	\$ 12,838,561	\$ 3,044,864	\$ 215,885		\$ (8,845)	\$ (561)	\$ 16,089,904	\$ 17,525,719	\$ 18,425,176	\$ 18,227,805	
Trial Court Operations Program											
Enhanced Collections	\$ 47,534	\$ 2,441			\$ 8,845		\$ 58,819	\$ 71,199	\$ 103,476	\$ 90,000	
Other Non-Court Operations	\$ 42,172	\$ 14,392	\$ 5,138				\$ 61,702	\$ 27,190	\$ 76,851	\$ 131,946	
	\$ 89,706	\$ 16,833	\$ 5,138		\$ 8,845		\$ 120,522	\$ 98,389	\$ 180,327	\$ 221,946	
Non-Court Operations Program											
Executive Office	\$ 609,764	\$ 30,062					\$ 639,826	\$ 802,280	\$ 656,600	\$ 846,356	
Fiscal Services	\$ 1,012,633	\$ 226,085					\$ 1,238,718	\$ 1,178,063	\$ 938,594	\$ 905,933	
Human Resources	\$ 381,452	\$ 26,922					\$ 408,373	\$ 345,879	\$ 689,234	\$ 620,345	
Business & Facilities Services	\$ 215,823	\$ 194,450					\$ 410,273	\$ 842,738	\$ 738,126	\$ 737,966	
Information Technology	\$ 1,241,301	\$ 456,570				\$ (1,630)	\$ 1,696,240	\$ 1,480,911	\$ 1,311,059	\$ 1,484,768	
Court Administration Program	\$ 3,460,972	\$ 934,088				\$ (1,630)	\$ 4,393,430	\$ 4,649,871	\$ 4,333,613	\$ 4,595,368	
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0				
Prior Year Adjustments Not Posted to a Program											
Total	\$ 16,389,238	\$ 3,995,785	\$ 221,023		\$ 0	\$ (2,191)	\$ 20,603,856	\$ 22,273,979	\$ 22,939,117	\$ 23,045,119	

