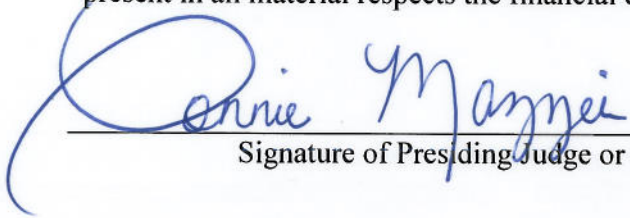


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

09/19/2012

Date

Monterey

Court

FY 2011-2012 4th Qtr

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Monterey
 Court

FY 2011-2012 4th Qtr
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	228.2	193.2	187.2	190.2	193.2

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Monterey Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

	For the month ended Jun								2010/11
	Fiscal Year 2011/12								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (290,759)	\$ 1,518	\$ 0				\$ 2,439,807	\$ 2,150,566	\$ (1,327,284)
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other									
Distribution							\$ 4,987	\$ 4,987	\$ 184,401
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (87,682)	\$ (87,682)	\$ 761,106
Credit Card									
Cash on Hand	\$ 4,850							\$ 4,850	\$ 4,850
Cash with County									
Cash Outside of the AOC	\$ 0								
Total Cash	\$ (260,909)	\$ 1,518	\$ 0				\$ 2,524,108	\$ 2,264,717	\$ 526,176
Short Term Investment									
Investment in Financial Institution	\$ 7,234,152						\$ 3,130,019	\$ 10,364,170	\$ 11,538,083
Total Investments	\$ 7,234,152						\$ 3,130,019	\$ 10,364,170	\$ 11,538,083
Accrued Revenue	\$ 6,261	\$ 7						\$ 6,268	\$ 35,232
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 229							\$ 229	\$ 0
Civil Jury Fees									\$ 0
Trust									
Due From Other Funds	\$ 173,052							\$ 173,052	\$ 221,186
Due From Other Governments	\$ 14,210	\$ 23,049	\$ 0					\$ 37,259	\$ 136,630
Due From Other Courts	\$ 33,662	\$ 0						\$ 33,662	\$ 32,847
Due From State	\$ 711,360	\$ 0	\$ 191,752				\$ 0	\$ 903,112	\$ 747,802
Trust Due To/From							\$ 1,931,904	\$ 1,931,904	\$ 708,111
Distribution Due To/From							\$ 607,191	\$ 607,191	\$ 596,517
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,278							\$ 1,278	\$ 848
Total Receivables	\$ 940,052	\$ 23,056	\$ 191,752				\$ 2,539,094	\$ 3,693,954	\$ 2,479,173
Prepaid Expenses - General									
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 7,913,294	\$ 24,575	\$ 191,752				\$ 8,193,222	\$ 16,322,842	\$ 14,543,432
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 290,440	\$ 0	\$ 30,960					\$ 321,400	\$ 717,930
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due to Other Funds	\$ 5	\$ 12,260	\$ 160,792				\$ 2,540,368	\$ 2,713,424	\$ 1,526,662
Due to Other Courts									\$ 0
Due to State									
TC145 Liability							\$ 551,361	\$ 551,361	\$ 505,222
Due to Other Governments			\$ 0					\$ 0	\$ 34,000
AB145 Due to Other Government Agency							\$ 281,342	\$ 281,342	\$ 320,055
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 603
Interest							\$ 84	\$ 84	\$ 33
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 290,444	\$ 12,260	\$ 191,752				\$ 3,373,155	\$ 3,867,611	\$ 3,104,505
Civil							\$ 2,016,173	\$ 2,016,173	\$ 456,189
Criminal	\$ 0						\$ 2,608,020	\$ 2,608,020	\$ 1,815,039
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC	\$ 0						\$ 166,996	\$ 166,996	\$ 878,103
Trust Interest Payable	\$ 0						\$ 220	\$ 220	\$ 270
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 4,791,409	\$ 4,791,409	\$ 3,149,602
Accrued Payroll	\$ 63,145	\$ 0						\$ 63,145	\$ 517,242
Benefits Payable	\$ 217,994							\$ 217,994	\$ 45,196
Deferred Compensation Payable	\$ 41,893							\$ 41,893	\$ 16,997
Deductions Payable	\$ 327,508							\$ 327,508	\$ 0
Payroll Clearing	\$ 344,440	\$ 3,096						\$ 347,536	
Total Payroll Liabilities	\$ 994,979	\$ 3,096						\$ 998,076	\$ 579,435
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 3,771							\$ 3,771	\$ 3,795
Jury Fees - Non-Interest							\$ 28,658	\$ 28,658	\$ 35,356
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ (798)						\$ 0	\$ (798)	\$ 0
Other Miscellaneous Liabilities									\$ 0
Total Other Liabilities	\$ 2,973						\$ 28,658	\$ 31,631	\$ 39,151
Total Liabilities	\$ 1,288,397	\$ 15,356	\$ 191,752				\$ 8,193,222	\$ 9,688,727	\$ 6,872,692
Total Fund Balance	\$ 6,624,897	\$ 9,218	\$ 0					\$ 6,634,116	\$ 7,670,739
Total Liabilities and Fund Balance	\$ 7,913,294	\$ 24,575	\$ 191,752				\$ 8,193,222	\$ 16,322,842	\$ 14,543,432

Monterey Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended Jun											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 18,392,478						\$ 18,392,478	\$ 17,999,219	\$ 22,907,736	\$ 22,433,180	
Trial Court Improvement Fund	\$ 38,229						\$ 38,229		\$ 23,080		
Judicial Administration Efficiency & Mod Fund	\$ 18,750						\$ 18,750	\$ 40,862	\$ 36,325	\$ 54,012	
Judges' Compensation (45.25)	\$ 173,785						\$ 173,785	\$ 190,000	\$ 149,007	\$ 190,000	
Court Interpreter (45.45)	\$ 928,603						\$ 928,603	\$ 840,000	\$ 831,798	\$ 885,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 779,335						\$ 779,335	\$ 713,982	\$ 695,233	\$ 750,480	
Other Miscellaneous	\$ 184,042						\$ 184,042	\$ 184,042			
	\$ 20,515,223						\$ 20,515,223	\$ 19,968,105	\$ 24,643,179	\$ 24,312,672	
Grants											
AB 1058 Commissioner/Facilitator			\$ 530,899				\$ 530,899	\$ 639,814	\$ 625,734	\$ 639,814	
Other AOC Grants			\$ 30,960				\$ 30,960	\$ 34,000	\$ 34,000	\$ 31,300	
Non-AOC Grants											
			\$ 561,859				\$ 561,859	\$ 673,814	\$ 659,734	\$ 671,114	
Other Financing Sources											
Interest Income	\$ 32,392	\$ 34					\$ 32,427	\$ 52,000	\$ 50,359	\$ 36,000	
Investment Income											
Donations									\$ 0		
Local Fees	\$ 404,246						\$ 404,246	\$ 410,200	\$ 362,453	\$ 412,800	
Non-Fee Revenues	\$ 6,686						\$ 6,686	\$ 12,000	\$ 11,609	\$ 10,500	
Enhanced Collections		\$ 103,476					\$ 103,476	\$ 90,000	\$ 79,766	\$ 90,000	
Escheatment	\$ 343						\$ 343			\$ 5,000	
Prior Year Revenue	\$ 169,126		\$ 0				\$ 169,127		\$ 32,956		
County Program - Restricted		\$ 38,624					\$ 38,624	\$ 73,500	\$ 86,835	\$ 93,000	
Reimbursement Other	\$ 15,034	\$ 48,033					\$ 63,067	\$ 55,896	\$ 92,964	\$ 655,551	
Sale of Fixed Assets											
Other Miscellaneous	\$ 7,414						\$ 7,414	\$ 8,000	\$ 7,036	\$ 10,000	
	\$ 635,242	\$ 190,168	\$ 0				\$ 825,411	\$ 701,596	\$ 723,979	\$ 1,312,851	
Total Revenues	\$ 21,150,465	\$ 190,168	\$ 561,859				\$ 21,902,493	\$ 21,343,515	\$ 26,026,891	\$ 26,296,637	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,956,696	\$ 73,681	\$ 305,728				\$ 12,336,105	\$ 10,837,354	\$ 11,756,791	\$ 11,700,383	
Temp Help	\$ 83,946						\$ 83,946	\$ 484,566	\$ 148,841	\$ 111,849	
Overtime	\$ 46,235	\$ 144					\$ 46,379	\$ 53,500	\$ 60,481	\$ 45,000	
Staff Benefits	\$ 5,205,138	\$ 78,040	\$ 115,389				\$ 5,398,566	\$ 6,258,336	\$ 5,363,075	\$ 6,025,900	
	\$ 17,292,014	\$ 151,865	\$ 421,116				\$ 17,864,995	\$ 17,633,756	\$ 17,329,188	\$ 17,883,132	
Operating Expenses and Equipment											
General Expense	\$ 451,312		\$ 4,380				\$ 455,691	\$ 563,714	\$ 1,542,333	\$ 1,833,027	
Printing	\$ 68,674		\$ 1,890				\$ 70,564	\$ 78,013	\$ 76,006	\$ 64,400	
Telecommunications	\$ 135,299		\$ 2,052				\$ 137,351	\$ 140,317	\$ 135,931	\$ 142,500	
Postage	\$ 126,726		\$ 527				\$ 127,254	\$ 130,000	\$ 127,600	\$ 189,750	
Insurance	\$ 10,087						\$ 10,087	\$ 13,339	\$ 10,705	\$ 14,200	
In-State Travel	\$ 19,494		\$ 2,428				\$ 21,921	\$ 21,133	\$ 24,362	\$ 38,975	
Out-of-State Travel	\$ (550)	\$ 2,114					\$ 1,564	\$ 2,500	\$ 2,137		
Training	\$ 7,599						\$ 7,599	\$ 22,662	\$ 12,520	\$ 34,050	
Security Services	\$ 698,908		\$ 21,511				\$ 720,419	\$ 652,455	\$ 4,092,862	\$ 4,586,043	
Facility Operations	\$ 274,801		\$ 1,036				\$ 275,838	\$ 313,979	\$ 289,111	\$ 471,500	
Utilities	\$ 352						\$ 352	\$ 1,600	\$ 336	\$ 500	
Contracted Services	\$ 2,291,211	\$ 7,500	\$ 37,360				\$ 2,336,071	\$ 2,416,583	\$ 2,359,128	\$ 2,962,250	
Consulting and Professional Services	\$ 20,288						\$ 20,288	\$ 23,000	\$ 40,500	\$ 62,300	
Information Technology	\$ 540,301		\$ 4,957				\$ 545,258	\$ 665,439	\$ 599,341	\$ 614,200	
Major Equipment	\$ 134,531		\$ 1,384				\$ 135,915	\$ 146,659	\$ 650,857	\$ 784,000	
Other Items of Expense	\$ 15,169		\$ 244				\$ 15,413	\$ 17,000	\$ 11,938	\$ 22,200	
	\$ 4,794,202	\$ 9,614	\$ 77,768				\$ 4,891,584	\$ 5,208,393	\$ 10,075,674	\$ 11,819,895	
Special Items of Expense											
Grand Jury	\$ (4,000)	\$ 11,909					\$ 7,909	\$ 17,920	\$ 17,546	\$ 15,654	
Jury Costs	\$ 183,125						\$ 183,125	\$ 183,500	\$ 126,695	\$ 155,000	
Judgements, Settlements and Claims	\$ 1,503						\$ 1,503	\$ 1,550	\$ 250		
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (96,764)	\$ 16,554	\$ 80,211				\$ 0	\$ 0	\$ 0		
Prior Year Expense Adjustment	\$ (1)		\$ 0				\$ (1)		\$ 46,500		
	\$ 83,863	\$ 28,463	\$ 80,211				\$ 192,537	\$ 202,970	\$ 190,991	\$ 170,654	
Total Expenditures	\$ 22,170,080	\$ 189,942	\$ 579,095				\$ 22,939,117	\$ 23,045,119	\$ 27,595,853	\$ 29,873,681	
Excess (Deficit) of Revenues Over Expenditures	\$ (1,019,615)	\$ 227	\$ (17,236)				\$ (1,036,624)	\$ (1,701,604)	\$ (1,568,962)	\$ (3,577,044)	
Operating Transfers In (Out)	\$ (17,236)		\$ 17,236				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 7,661,748	\$ 8,991	\$ 0				\$ 7,670,739	\$ 7,670,739	\$ 9,239,701	\$ 9,239,701	
Ending Balance (Deficit)	\$ 6,624,897	\$ 9,218	\$ 0				\$ 6,634,116	\$ 5,969,135	\$ 7,670,739	\$ 5,662,657	

Monterey Superior Court
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)

For the month ended Jun										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,331,082	\$ 948,099				\$ 0	\$ 6,279,181	\$ 6,386,346	\$ 6,860,463	\$ 6,949,618
Traffic & Other Infractions	\$ 1,043,240	\$ 362,362			\$ (16,554)		\$ 1,389,048	\$ 1,117,034	\$ 1,502,450	\$ 1,760,206
Other Criminal Cases	\$ 3,067,720	\$ 548,737	\$ 1,503				\$ 3,617,961	\$ 3,460,405	\$ 3,774,875	\$ 4,149,911
Civil	\$ 1,121,070	\$ 247,184	\$ 0				\$ 1,368,254	\$ 1,398,194	\$ 1,474,149	\$ 1,602,487
Family & Children Services	\$ 1,211,423	\$ 526,665			\$ 0		\$ 1,738,088	\$ 1,570,955	\$ 1,618,867	\$ 1,601,631
Probate, Guardianship & Mental Health Services	\$ 329,419	\$ 118,877					\$ 448,295	\$ 322,917	\$ 353,672	\$ 349,727
Juvenile Dependency Services	\$ 107,018	\$ 307,040					\$ 414,058	\$ 445,587	\$ 447,269	\$ 486,830
Juvenile Delinquency Services	\$ 72,437	\$ 12,495					\$ 84,932	\$ 122,221	\$ 100,960	\$ 186,175
Other Court Operations	\$ 553,887	\$ 91,381					\$ 645,269	\$ 898,497	\$ 1,086,623	\$ 1,213,566
Court Interpreters	\$ 658,338	\$ 415,731					\$ 1,074,068	\$ 1,152,437	\$ 1,062,060	\$ 1,283,196
Jury Services	\$ 318,181	\$ 144,149	\$ 181,125				\$ 643,455	\$ 715,257	\$ 830,943	\$ 755,973
Security		\$ 722,567				\$ (1)	\$ 722,567	\$ 637,955	\$ 4,242,788	\$ 4,958,863
Trial Court Operations Program	\$ 13,813,815	\$ 4,445,287	\$ 182,628		\$ (16,554)	\$ (1)	\$ 18,425,176	\$ 18,227,805	\$ 23,355,118	\$ 25,298,183
Enhanced Collections	\$ 86,923	\$ 0			\$ 16,554		\$ 103,476	\$ 90,000	\$ 85,822	\$ 90,000
Other Non-Court Operations	\$ 64,942		\$ 11,909				\$ 76,851	\$ 131,946	\$ 129,880	\$ 135,255
Non-Court Operations Program	\$ 151,865	\$ 0	\$ 11,909		\$ 16,554		\$ 180,327	\$ 221,946	\$ 215,703	\$ 225,255
Executive Office	\$ 613,068	\$ 45,531	\$ (2,000)				\$ 656,600	\$ 846,356	\$ 650,439	\$ 969,493
Fiscal Services	\$ 813,604	\$ 124,990					\$ 938,594	\$ 905,933	\$ 918,672	\$ 1,007,406
Human Resources	\$ 638,232	\$ 51,003					\$ 689,234	\$ 620,345	\$ 616,336	\$ 530,701
Business & Facilities Services	\$ 645,328	\$ 92,798					\$ 738,126	\$ 737,966	\$ 492,575	\$ 521,334
Information Technology	\$ 1,189,084	\$ 121,976					\$ 1,311,059	\$ 1,484,768	\$ 1,347,010	\$ 1,321,309
Court Administration Program	\$ 3,899,316	\$ 436,298	\$ (2,000)				\$ 4,333,613	\$ 4,595,368	\$ 4,025,031	\$ 4,350,243
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 17,864,995	\$ 4,881,584	\$ 192,537		\$ 0	\$ (1)	\$ 22,939,117	\$ 23,045,119	\$ 27,595,853	\$ 29,873,681

