

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Monterey

Court

FY 2012-13 1st Qtr

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Monterey
Court
FY 2012-13 1st Qtr
Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Monterey

Court

FY 2012-13 1st Qtr

Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
194.2	194.2				

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep		Fiscal Year 2012/13						2011/12	
Governmental Funds		Total						Funds	
Special Revenue		Fiduciary		Proprietary		Debt		Capital	
Grant		Funds		Funds		Service		Project	
Non-Grant		Funds		Funds		Service		Capital	
General		Funds		Funds		Debt		Capital	
		(Info. Purposes Only)		(Info. Purposes Only)		(Info. Purposes Only)		(Info. Purposes Only)	
Operations	\$ 158,246		\$ 3,396,596						
Payroll	\$ (200)								
Jury	\$ 25,000		\$ 25,000						
Revolving									
Other									
Distribution			\$ 9,780						
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0		\$ 0						
Due From Other Governments	\$ 0		\$ 3,747						
Due From Other Courts	\$ 0								
Due From State	\$ (88,978)		\$ 0						
Trust Due To/From			\$ 86,540						
Civil Filing Fee Due To/From			\$ 965,541						
General Due To/From	\$ 3,111		\$ 753,155						
Total Receivables	\$ (85,868)	\$ 3,747	\$ 1,708,696						
Prepaid Expenses - General	\$ 0		\$ 0						
Salary and Travel Advances	\$ 0		\$ 0						
Counties	\$ 0		\$ 0						
Total Prepaid Expenses	\$ 0		\$ 0						
Other Assets	\$ 0		\$ 0						
Total Other Assets	\$ 0		\$ 0						
Total Assets	\$ 5,808,741	\$ (2,353)	\$ 6,015,343						
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0						
Accounts Payable - General	\$ 27		\$ 34,058						
Due to Other Funds	\$ 5		\$ 1,711,802						
Due to Other Courts	\$ 0								
Due to State	\$ 0								
TC145 Liability	\$ 0		\$ 523,504						
AB145 Due to Other Government Agency	\$ 0		\$ 315,578						
Due to Other Public Agencies	\$ 76		\$ 549						
Sales and Use Tax	\$ 0		\$ 76						
Interest	\$ 0		\$ 549						
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 107		\$ 2,585,491						
Total Accounts Payable and Accrued Liab.	\$ 975		\$ 2,175,909						
Criminal	\$ (975)		\$ 1,052,726						
Unreconciled - Civil and Criminal	\$ 0		\$ 166,996						
Trust Held Outside of the AOC	\$ 0		\$ 93						
Trust Interest Payable	\$ 0		\$ 93						
Miscellaneous Trust	\$ 0		\$ 3,396,724						
Total Trust Deposits	\$ 0		\$ 3,396,724						
Accrued Payroll	\$ 0		\$ 0						
Benefits Payable	\$ 232,184		\$ 232,184						
Deferred Compensation Payable	\$ 14,934		\$ 14,934						
Deductions Payable	\$ 77,060		\$ 77,060						
Payroll Clearing	\$ 0		\$ 0						
Total Payroll Liabilities	\$ 324,179		\$ 324,179						
Revenue Collected in Advance	\$ 0		\$ 0						
Liabilities For Deposits	\$ 3,771		\$ 3,771						
Jury Fees - Non-Interest	\$ 0		\$ 0						
Fees - Partial Payment & Overpayment	\$ 0		\$ 405						
Other Miscellaneous Liabilities	\$ 0		\$ 405						
Total Other Liabilities	\$ 3,771		\$ 34,128						
Total Liabilities	\$ 328,057	\$ 0	\$ 6,015,343						
Total Fund Balance	\$ 5,480,685	\$ (2,353)	\$ 6,015,343						
Total Liabilities and Fund Balance	\$ 5,808,741	\$ (2,353)	\$ 6,015,343						

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
Fiscal Year 2012/13											
2011/12											
REVENUES	General	Non-Grant	Grant	Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds	Total (Info. Purposes Only)	Current Budget	Total (Info. Purposes Only)	Final Budget
State Financing Sources	Trial Court Trust Fund							\$ 3,079,622	\$ 4,134,320	\$ 17,999,219	
	Trial Court Improvement Fund							\$ (38,229)			
Other Financing Sources	Interest Income	\$ 1,896						\$ 1,896	\$ 8,500	\$ 896	
	Investment Income										
Grants	AB 1058 Commissioner/Facilitator		\$ 14,727					\$ 14,727	\$ 635,385	\$ 125,409	\$ 639,814
	Other AOC Grants		\$ (15,480)					\$ (15,480)	\$ 30,960	\$ (34,000)	\$ 34,000
Non-AOC Grants											
Other Financing Sources	Interest Income	\$ 1,896						\$ 1,896	\$ 8,500	\$ 896	
	Investment Income										
Local Fees	Local Fees	\$ 71,579						\$ 71,579	\$ 394,200	\$ 64,430	\$ 410,200
	Donations										
Non-Fee Revenues	Enhanced Collections		\$ 15,074					\$ 15,074	\$ 6,500	\$ 3,137	\$ 12,000
	Enhanced Collections								\$ 20,000	\$ 21,999	\$ 90,000
County Program - Restricted	Prior Year Revenue	\$ 43,979						\$ 43,979	\$ 88,425	\$ 73,500	\$ 73,500
	Reimbursement Other	\$ 2,206	\$ 7,471					\$ 9,677	\$ 55,964	\$ 13,000	\$ 55,966
Sale of Fixed Assets	Sale of Fixed Assets	\$ 5,118						\$ 5,118	\$ 8,000	\$ 6,000	\$ 8,000
	Other Miscellaneous										
Personal Services	Salaries - Permanent	\$ 2,609,068	\$ 14,701					\$ 2,623,770	\$ 11,439,885	\$ 3,162,265	\$ 10,837,354
	Temp Help	\$ 9,045						\$ 9,045	\$ 35,387	\$ 484,566	\$ 484,566
Operating Expenses and Equipment	Overtime	\$ 1,245,428	\$ 19,388					\$ 1,264,815	\$ 5,626,330	\$ 1,342,167	\$ 6,268,336
	Staff Benefits	\$ 3,064,732	\$ 34,117					\$ 3,098,849	\$ 17,081,215	\$ 4,645,327	\$ 17,633,756
Printing	Printing	\$ 13,824	\$ 295					\$ 14,119	\$ 661,719	\$ 75,818	\$ 563,714
	Telecommunications	\$ 20,279						\$ 20,279	\$ 121,200	\$ 29,993	\$ 140,317
Insurance	Postage	\$ 35,540						\$ 35,540	\$ 127,000	\$ 37,252	\$ 130,000
	In-State Travel	\$ 1,958						\$ 1,958	\$ 20,200	\$ 4,235	\$ 13,339
Out-of-State Travel	Out-of-State Travel	\$ 148						\$ 148	\$ 5,100	\$ 1,126	\$ 2,500
	Training	\$ 109,060						\$ 109,060	\$ 736,872	\$ 116,408	\$ 652,455
Facility Operations	Security Services	\$ 51,699						\$ 51,699	\$ 262,801	\$ 57,542	\$ 313,979
	Utilities	\$ 31						\$ 31	\$ 300	\$ 33	\$ 1,600
Contracted Services	Contracted Services	\$ 264,857	\$ 175					\$ 265,032	\$ 2,474,713	\$ 351,562	\$ 2,416,583
	Consulting and Professional Services	\$ 0						\$ 0	\$ 9,500	\$ 490	\$ 23,000
Information Technology	Information Technology	\$ 73,516						\$ 73,516	\$ 568,790	\$ 101,171	\$ 665,439
	Major Equipment	\$ (158)						\$ (158)	\$ 61,659	\$ 2,067	\$ 146,659
Other Items of Expense	Other Items of Expense	\$ 2,839						\$ 2,839	\$ 14,700	\$ 17,000	\$ 17,000
	Special Items of Expense	\$ 583,022	\$ 470					\$ 583,492	\$ 6,041,279	\$ 788,604	\$ 5,208,393
Grand Jury	Grand Jury	\$ 45,515						\$ 45,515	\$ 7,000	\$ 192	\$ 17,920
	Judgements, Settlements and Claims								\$ 144,500	\$ 28,972	\$ 183,500
Debt Service	Debt Service									\$ 161	\$ 1,650
	Other										
Capital Costs	Capital Costs										
	Internal Cost Recovery										
Prior Year Expense Adjustment	Prior Year Expense Adjustment								\$ 0	\$ (1)	\$ 0
	Capital Costs										
Total Expenditures	Total Expenditures	\$ 4,493,269	\$ 34,117	\$ 470				\$ 4,527,856	\$ 22,273,994	\$ 5,363,254	\$ 23,045,119
	Excess (Deficit) of Revenues Over Expenditures	\$ (1,144,213)	\$ (1,571)	\$ (1,223)				\$ (1,157,007)	\$ (5,689,827)	\$ (618,117)	\$ (1,701,604)
Operating Transfers In (Out)	Operating Transfers In (Out)								\$ 0		\$ 0
	Fund Balance (Deficit)	\$ 6,624,897	\$ 9,218	\$ (1,223)				\$ 6,634,116	\$ 6,634,116	\$ 6,634,116	\$ 7,670,739
Ending Balance (Deficit)											\$ 5,969,135

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

PROGRAM EXPENDITURES:	Fiscal Year 2012/13							For the month ended Sep		2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
Judges & Courtroom Support	\$ 1,211,408	\$ 105,267					\$ 1,316,675	\$ 6,167,935	\$ 1,483,004	\$ 6,386,346	
Traffic & Other Infractions	\$ 228,252	\$ 57,452					\$ 285,704	\$ 1,202,929	\$ 326,390	\$ 1,117,034	
Other Criminal Cases	\$ 647,423	\$ 52,200					\$ 699,623	\$ 3,241,361	\$ 892,229	\$ 3,460,405	
Civil	\$ 221,398	\$ 10,840					\$ 232,238	\$ 1,236,800	\$ 410,420	\$ 1,398,194	
Family & Children Services	\$ 276,076	\$ 90,703					\$ 366,779	\$ 1,736,689	\$ 392,722	\$ 1,570,955	
Probate, Guardianship & Mental Health Services	\$ 79,788	\$ 32,506					\$ 112,294	\$ 462,069	\$ 87,993	\$ 322,917	
Juvenile Dependency Services	\$ 20,999	\$ 48,265					\$ 69,264	\$ 19,870	\$ 58,010	\$ 445,587	
Juvenile Delinquency Services	\$ 18,734	\$ 1,136					\$ 19,870	\$ 145,478	\$ 17,530	\$ 122,221	
Other Court Operations	\$ 127,388	\$ 7,613					\$ 135,001	\$ 599,186	\$ 203,912	\$ 898,497	
Court Interpreters	\$ 159,295	\$ 45,497					\$ 204,792	\$ 1,236,722	\$ 238,451	\$ 1,152,437	
Jury Services	\$ 80,359	\$ 21,929	\$ 45,515				\$ 147,803	\$ 481,316	\$ 112,881	\$ 715,257	
Security		\$ 109,060					\$ 109,060	\$ 736,872	\$ 118,525	\$ 637,955	
Trial Court Operations Program	\$ 3,071,120	\$ 582,467	\$ 45,515				\$ 3,699,102	\$ 17,664,707	\$ 4,342,067	\$ 18,227,805	
Enhanced Collections	\$ 22,899	\$ 1,370					\$ 24,269	\$ 71,199	\$ 21,999	\$ 90,000	
Other Non-Court Operations	\$ 11,218						\$ 11,218	\$ 27,190	\$ 22,846	\$ 131,946	
Non-Court Operations Program	\$ 34,117	\$ 1,370					\$ 35,487	\$ 98,389	\$ 44,845	\$ 221,946	
Executive Office	\$ 142,628	\$ 9,528					\$ 152,156	\$ 810,580	\$ 149,877	\$ 846,356	
Fiscal Services	\$ 227,878	\$ 24,510					\$ 252,388	\$ 1,034,091	\$ 227,726	\$ 905,933	
Human Resources	\$ 90,901	\$ 2,971					\$ 93,872	\$ 351,979	\$ 131,033	\$ 620,345	
Business & Facilities Services	\$ 55,678	\$ 12,640					\$ 68,318	\$ 918,237	\$ 190,592	\$ 737,966	
Information Technology	\$ 276,527	\$ (49,994)					\$ 226,532	\$ 1,396,011	\$ 277,115	\$ 1,484,768	
Court Administration Program	\$ (793,611)	\$ (345)					\$ (793,956)	\$ 4,510,898	\$ 976,342	\$ 4,595,368	
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0				
Prior Year Adjustments Not Posted to a Program											
Total	\$ 3,898,849	\$ 583,492	\$ 45,515				\$ 4,527,856	\$ 22,273,994	\$ 5,363,254	\$ 23,045,119	