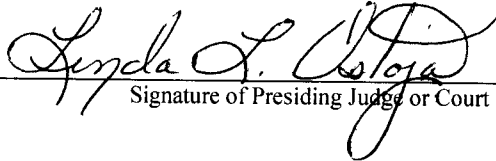


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/26/2012

Date

MODOC

Court

2012/2013 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

MODOC

Court

2012/2013 1st Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

MODOC

Court

2012/2013 1st Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	14	12			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	Fiscal Year 2012/13							2011/12	
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ 68,718	\$ (4,193)	\$ (36,206)				\$ 43,195	\$ 71,514	\$ (17,417)
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees									
Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (996)	\$ (996)	\$ 52,383
Cash on Hand	\$ 724							\$ 724	\$ 724
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ 74,441	\$ 59,407	\$ (36,206)				\$ 42,199	\$ 134,648	\$ 72,096
Short Term Investment									
Investment in Financial Institution	\$ 118,476						\$ 13,773	\$ 132,249	\$ 170,939
Total Investments	\$ 118,476						\$ 13,773	\$ 132,249	\$ 170,939
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General	\$ 1,050	\$ 0	\$ 36,206					\$ 37,256	\$ 32,226
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments		\$ 0						\$ 0	\$ 0
Due From Other Courts	\$ 0							\$ 0	\$ 0
Due From State	\$ 260	\$ 0	\$ 0				\$ 0	\$ 260	\$ 0
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 13							\$ 13	\$ 19
Total Receivables	\$ 1,323	\$ 0	\$ 36,206				\$ 0	\$ 37,256	\$ 32,246
Prepaid Expenses - General									
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 194,241	\$ 65,213	\$ 0				\$ 66,972	\$ 308,426	\$ 275,282
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 10,791	\$ 767	\$ 0				\$ 237	\$ 11,794	\$ 4,720
Due to Other Funds		\$ 0	\$ 0				\$ 13	\$ 13	\$ 19
Due to Other Courts									
Due to State									
TC145 Liability									
Due to Other Governments	\$ 0	\$ 59,407					\$ 14,055	\$ 14,055	\$ 12,416
AB145 Due to Other Government Agency								\$ 59,407	\$ 31,408
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 4
Interest							\$ 6	\$ 6	\$ 7
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 10,791	\$ 60,173	\$ 0				\$ 14,311	\$ 86,275	\$ 48,574
Civil									
Criminal							\$ 27,375	\$ 27,375	\$ 25,378
Unreconciled - Civil and Criminal							\$ 10,726	\$ 10,726	\$ 22,045
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 93	\$ 93	\$ 59
Miscellaneous Trust									
Total Trust Deposits							\$ 38,194	\$ 66,194	\$ 47,482
Accrued Payroll									
Benefits Payable	\$ 10,230	\$ 930						\$ 11,160	\$ 372
Deferred Compensation Payable	\$ 0	\$ 0						\$ 0	\$ 0
Deductions Payable	\$ 0	\$ 0						\$ 0	\$ 25,742
Payroll Clearing									
Total Payroll Liabilities	\$ 10,230	\$ 930						\$ 11,160	\$ 26,114
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 189						\$ 605	\$ 794	\$ 774
Jury Fees - Non-Interest							\$ 2,862	\$ 2,862	\$ 450
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 189						\$ 3,468	\$ 6,616	\$ 1,224
Total Liabilities	\$ 21,209	\$ 61,103	\$ 0				\$ 55,972	\$ 139,288	\$ 123,393
Total Fund Balance	\$ 173,032	\$ (5,890)	\$ 0					\$ 167,140	\$ 151,889
Total Liabilities and Fund Balance	\$ 194,241	\$ 55,213	\$ 0				\$ 55,972	\$ 305,426	\$ 275,282

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	Fiscal Year 2012/13							2011/12		
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 221,603						\$ 221,603	\$ 879,852	\$ 270,519	\$ 1,101,083
Trial Court Improvement Fund								\$ 1,691	\$ 0	\$ 1,692
Judicial Administration Efficiency & Mod Fund										
Judges' Compensation (45.25)										
Court Interpreter (45.45)	\$ 400						\$ 400	\$ 4,825	\$ 670	\$ 4,000
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 6,955						\$ 6,955	\$ 53,629	\$ 7,936	\$ 67,224
Other Miscellaneous								\$ 29,584		
	\$ 228,958						\$ 228,958	\$ 968,391	\$ 279,125	\$ 1,173,999
Grants										
AB 1058 Commissioner/Facilitator			\$ 18,032				\$ 18,032	\$ 72,130	\$ 18,230	\$ 74,019
Other AOC Grants								\$ 12,040		\$ 14,000
Non-AOC Grants										
			\$ 18,032				\$ 18,032	\$ 84,170	\$ 18,230	\$ 88,019
Other Financing Sources										
Interest Income	\$ 37						\$ 37	\$ 480	\$ 28	\$ 850
Investment Income										
Donations										
Local Fees	\$ 635						\$ 635	\$ 5,299	\$ 1,019	\$ 7,000
Non-Fee Revenues	\$ 284						\$ 284	\$ 924	\$ 0	\$ 1,300
Enhanced Collections		\$ 10,861					\$ 10,861	\$ 69,053	\$ 11,281	\$ 60,806
Escheatment										
Prior Year Revenue	\$ 33						\$ 33		\$ 714	
County Program - Restricted		\$ 16					\$ 16	\$ 216		
Reimbursement Other										
Sale of Fixed Assets										
Other Miscellaneous										
	\$ 989	\$ 10,877					\$ 11,866	\$ 77,972	\$ 13,042	\$ 69,956
Total Revenues	\$ 229,947	\$ 10,877	\$ 18,032				\$ 250,866	\$ 1,726,336	\$ 310,367	\$ 1,351,974
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 127,826	\$ 10,259					\$ 138,085	\$ 515,426	\$ 144,418	\$ 567,738
Temp Help										
Overtime										
Staff Benefits	\$ 65,998	\$ 5,248					\$ 71,246	\$ 305,235	\$ 75,462	\$ 305,162
	\$ 193,823	\$ 15,507					\$ 209,330	\$ 820,661	\$ 219,880	\$ 872,900
Operating Expenses and Equipment										
General Expense	\$ 5,466		\$ 0				\$ 5,466	\$ 39,417	\$ 5,193	\$ 55,156
Printing	\$ 343						\$ 343	\$ 1,861	\$ 2,400	\$ 2,400
Telecommunications	\$ 3,959	\$ 9					\$ 3,968	\$ 16,392	\$ 3,950	\$ 14,541
Postage	\$ 939	\$ 186					\$ 1,125	\$ 4,679	\$ 1,125	\$ 4,950
Insurance								\$ 506	\$ 72	\$ 200
In-State Travel	\$ 22						\$ 22	\$ 4,536	\$ 1,021	\$ 9,940
Out-of-State Travel										
Training								\$ 3,092	\$ 4,636	\$ 6,850
Security Services										
Facility Operations	\$ 3,743						\$ 3,743	\$ 12,406	\$ 1,958	\$ 20,066
Utilities										
Contracted Services	\$ 12,185	\$ 1,303	\$ 18,032				\$ 31,521	\$ 293,883	\$ 34,346	\$ 357,156
Consulting and Professional Services	\$ 595						\$ 595	\$ 3,612	\$ 1,635	\$ 3,600
Information Technology	\$ 457						\$ 457	\$ 18,482	\$ 8,061	\$ 28,987
Major Equipment								\$ 2,034	\$ 5,545	
Other Items of Expense										
	\$ 27,709	\$ 1,498	\$ 18,032				\$ 47,240	\$ 459,395	\$ 67,542	\$ 364,849
Special Items of Expense										
Grand Jury								\$ 250		
Jury Costs								\$ 4,172	\$ 1,464	\$ 4,200
Judgements, Settlements and Claims										
Debt Service										
Other										
Capital Costs										
Internal Cost Recovery									\$ 0	
Prior Year Expense Adjustment									\$ (15)	
									\$ 1,449	\$ 4,200
Total Expenditures	\$ 221,532	\$ 17,005	\$ 18,032				\$ 248,567	\$ 1,285,956	\$ 289,671	\$ 1,361,948
Excess (Deficit) of Revenues Over Expenditures	\$ 8,415	\$ (6,128)	\$ 0				\$ 2,286	\$ (96,260)	\$ 21,526	\$ (49,972)
Operating Transfers In (Out)	\$ (234)	\$ 234					\$ 0	\$ 0		\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 164,851	\$ 4	\$ 0				\$ 164,855	\$ 164,855	\$ 130,362	\$ 130,362
Ending Balance (Deficit)	\$ 173,266	\$ (6,890)	\$ 0				\$ 164,855	\$ 164,855	\$ 151,888	\$ 130,362

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

Fiscal Year 2012/13										2011/12	
PROGRAM EXPENDITURES:	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
Judges & Courtroom Support	\$ 38,290	\$ 3,639					\$ 41,929	\$ 189,313	\$ 44,730	\$ 209,166	
Traffic & Other Infractions	\$ 13,305	\$ 1,785					\$ 15,090	\$ 61,228	\$ 7,790	\$ 66,236	
Other Criminal Cases	\$ 45,328	\$ 975					\$ 46,304	\$ 194,820	\$ 46,518	\$ 192,707	
Civil	\$ 12,978	\$ 9,524					\$ 22,502	\$ 100,788	\$ 36,350	\$ 97,720	
Family & Children Services	\$ 12,291	\$ 18,441					\$ 30,732	\$ 125,839	\$ 30,233	\$ 123,760	
Probate, Guardianship & Mental Health Services	\$ 12,291	\$ 638					\$ 12,929	\$ 52,089	\$ 11,980	\$ 50,441	
Juvenile Dependency Services		\$ 1,178					\$ 1,178	\$ 11,500	\$ 1,560	\$ 17,299	
Juvenile Delinquency Services		\$ 0					\$ 0		\$ 5	\$ 5	
Other Court Operations		\$ 905					\$ 905	\$ 5,193	\$ 765	\$ 4,368	
Court Interpreters								\$ 6,322	\$ 1,651	\$ 4,960	
Jury Services								\$ 2,034	\$ 5,545	\$ 6,545	
Security											
	\$ 134,484	\$ 37,084							\$ 187,027	\$ 175,500	
Trial Court Operations Program											
Enhanced Collections	\$ 15,507	\$ 748					\$ 16,255	\$ 69,053	\$ 16,974	\$ 60,835	
Other Non-Court Operations	\$ 15,507	\$ 750					\$ 750	\$ 3,100	\$ 750	\$ 3,000	
Non-Court Operations Program									\$ 17,724	\$ 23,835	
Executive Office	\$ 31,567	\$ 429					\$ 31,996	\$ 137,768	\$ 29,961	\$ 126,776	
Fiscal Services	\$ 20,232	\$ 1,957					\$ 22,189	\$ 70,776	\$ 27,520	\$ 132,333	
Human Resources	\$ 7,539	\$ 67					\$ 7,607	\$ 34,404	\$ 15,670	\$ 60,594	
Business & Facilities Services		\$ 3,743					\$ 3,743	\$ (618)	\$ 2,047	\$ 20,555	
Information Technology		\$ 2,462					\$ 2,462	\$ 162,374	\$ 8,822	\$ 204,646	
Court Administration Program	\$ 59,339	\$ 8,657					\$ 67,996	\$ 40,710	\$ 84,038	\$ 544,904	
Expenditures Not Distributed or Posted to a Program											
Prior Year Adjustments Not Posted to a Program											
Total	\$ 209,330	\$ 47,240					\$ 256,570	\$ 1,252,385	\$ 288,871	\$ 1,381,946	